

# Indicators and Framework for Climate Change Adaptation and Biodiversity conservation finance for Smallholders and Rural communities: leveraging private and public finance

Review CEO Endorsement and Make a recommendation

## Basic project information

**GEF ID**

11001

**Countries**

Regional (Benin, Senegal, Zambia)

**Project Name**

Indicators and Framework for Climate Change Adaptation and Biodiversity  
conservation finance for Smallholders and Rural communities: leveraging private  
and public finance

**Agencies**

IFAD

**Date received by PM**

2/9/2023

**Review completed by PM**

3/27/2023

**Program Manager**

Tshewang Dorji

**Focal Area**

Climate Change

**Project Type**

MSP

## **PIF** **CEO Endorsement**

### **Part I ? Project Information**

#### **Focal area elements**

**1. Does the project remain aligned with the relevant GEF focal area elements as presented in PIF (as indicated in table A)?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Project description summary**

**2. Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?**

Secretariat Comment at CEO Endorsement Request GEFSEC, 3/23/2023: Yes

Agency Response

**3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Co-financing**

**4. Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?**

Secretariat Comment at CEO Endorsement Request GEFSEC, 3/23/2023: Yes

Agency Response

**GEF Resource Availability**

**5. Is the financing presented in Table D adequate and does the project demonstrate a cost-effective approach to meet the project objectives?**

Secretariat Comment at CEO Endorsement Request GEFSEC, 3/23/2023: Yes

Agency Response

**Project Preparation Grant**

**6. Is the status and utilization of the PPG reported in Annex C in the document?**

Secretariat Comment at CEO Endorsement Request GEFSEC, 3/23/2023: Not used

Agency Response

**Core indicators**

**7. Are there changes/adjustments made in the core indicator targets indicated in Table E? Do they remain realistic?**

Secretariat Comment at CEO Endorsement Request GEFSEC, 3/23/2023: Core indicator has not changed, and it remains realistic.

Agency Response

## Part II ? Project Justification

**1. Is there a sufficient elaboration on how the global environmental/adaptation problems, including the root causes and barriers, are going to be addressed?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**2. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**3. Is the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there sufficient clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?**

Secretariat Comment at PIF/Work Program Inclusion

GEFSEC, 3/23/2023: Yes

Agency Response

**4. Is there further elaboration on how the project is aligned with focal area/impact program strategies?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**5. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**6. Is there further and better elaboration on the project's expected contribution to global environmental benefits or adaptation benefits?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**7. Is there further and better elaboration to show that the project is innovative and sustainable including the potential for scaling up?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Project Map and Coordinates**

**Is there an accurate and confirmed geo-referenced information where the project intervention will take place?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Child Project**

**If this is a child project, is there an adequate reflection of how it contributes to the overall program impact?**

Secretariat Comment at CEO Endorsement Request

N/A

Agency Response

**Stakeholders**

**Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Gender Equality and Women's Empowerment**

**Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes.

Update, GEFSEC, 4/7/2023: Thank you for preparing gender action plan. However, we noted that the plan does not speak to the gender gaps identified or the gender analysis conducted. For example, it is indicated that ? The Gender Action Plan will specify: -Equal access to training and capacity for women and men -Integration of gender considerations, such as addressing women's specific vulnerability to climate change, the women role in agriculture, and the specific climate change adaptation practices and technologies of higher priorities for women- Monitoring and reporting that includes the gender disaggregated data, as well as gender-related decisions.? These elements are not currently reflected in the project components. Instead, the proposal says that ?During the full project proposal preparation main expected findings and planned actions concerning gender will be included in project components to the extent possible.? We would like to underline this commitment and request that this be reflected in the full project proposal development and subsequent project implementation.

GEFSEC, 4/27/2023. Cleared. Thanks

Agency Response

27 April 2023

Thanks for the precious inputs.

We have adapted the text in the GEFSEC accordingly.

We have in particular included in components 1 and components 2 gender related aspects, concerning:

- analyze specific investible adaptation solutions that are women-led, or of higher priorities for women
- climate change gender specific adaptation items (risks and solutions) will be included in the training provided to the IFSPs
- specific climate resilience KPIs and SPTs disaggregated per gender, where possible.
- Monitoring and reporting that includes the gender disaggregated data
- Equal access to training and capacity for women and men
- Sharing with sector stakeholders of findings and reflecting on women farmers about how the project specifically addressed their needs and where there is room for improvement.

We have also in particular included in Output 1.1: analysis and collect data to measure women's exposure to climate risks as well as specific investible adaptation solutions per gender.

As reported in section 3, a simple Gender Action Plan will be developed at the beginning of the project.

The gender gaps identified are presented in the subsections in:

?1. The state of rural women in Senegal, Benin and Zambia?

In this section it is explained that the gender analysis was conducted through literature review, as well as with analysis done by the GCAF thanks to its present in the countries and with FSPs.

As per request we will indeed commit to include gender lenses in the actual project implementation.

### **Private Sector Engagement**

**If there is a private sector engagement, is there an elaboration of its role as a financier and/or as a stakeholder?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Risks to Achieving Project Objectives**

**Has the project elaborated on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved? Were there proposed measures that address these risks at the time of project implementation?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Coordination**

**Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Consistency with National Priorities**

**Has the project described the alignment of the project with identified national strategies and plans or reports and assessments under the relevant conventions?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Knowledge Management**

**Is the proposed Knowledge Management Approach for the project adequately elaborated with a timeline and a set of deliverables?**

## Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Update, GEFSEC, 4/7/2023: Thank you. For Output 2.1, it would be helpful if the project's results framework (and the KM section) could clarify the targeted audience for the proposed roundtables and the anticipated number of participants who will attend these roundtables. Also, we would encourage you to make those materials public afterwards, pending confidential information.

GEFSEC, 4/27/2023. Cleared. Thanks

## Agency Response

27 April 2023

Thanks a lot for the kind suggestion, it is appreciated.

We have added some further details concerning the targeted audience, both in the KM section and in the project's results framework.

It is not possible at this stage to specify the actual number of participants; we had instead preferred to define the actual number of stakeholders invited to participate.

The material produced will be made public, excluding confidential information.

## **Environmental and Social Safeguard (ESS)**

**Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?**

## Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

## Agency Response

**Monitoring and Evaluation**

**Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Benefits**

**Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Agency Response

**Annexes**

**Are all the required annexes attached and adequately responded to?**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Update, GEFSEC, 4/7/2023: Annex E, Project budget table, please amend as follows:

- ? Column ?Responsible entity? is left blank. Please filled out
- ? Please charge Inception workshops to M&E
- ? Please charge the financial reports to GEF to PMC

GEFSEC, 4/27/2023. Cleared. Thanks

Agency Response

27 April 2023

Thanks for the feedback. We understand that the referee refers here to the Annex G (project budget table). Here below our answers. In Annex G (project budget table), the information has been updated accordingly.

- Column ? Responsible entity? is now updated.
- The inception workshop is already charged to the M&E, but financed through PMC. Please kindly find the details in table ?Indicative Costed M&E Plan? in the section Monitoring and Evaluation. Please see Cell S15 (and T15) of the Annex G, Project budget table
- The financial reports to GEF is now financed with PMC and managed together with financial report to IFAD done by GCAF. M&E and Annex G (project budget table), has been adapted accordingly.

**Project Results Framework**

Secretariat Comment at CEO Endorsement Request GEFSEC, 3/23/2023: Yes

Agency Response

**GEF Secretariat comments**

Secretariat Comment at CEO Endorsement Request GEFSEC, 3/23/2023: Yes

Agency Response

**Council comments**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**STAP comments**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Convention Secretariat comments**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Other Agencies comments**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**CSOs comments**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Status of PPG utilization**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Project maps and coordinates**

Secretariat Comment at CEO Endorsement Request GEFSEC, 3/23/2023: Yes

Agency Response

**Does the termsheet in Annex F provide finalized financial terms and conditions? Does the termsheet and financial structure address concerns raised at PIF stage and that were pending to be resolved ahead of CEO endorsement? (For NGI Only)**

Secretariat Comment at CEO Endorsement Request

N/A

Agency Response

**Do the Reflow Table Annex G and the Trustee Excel Sheet for reflows provide accurate reflow expectations of the project submitted? Assumptions for Reflows can be submitted to explain expected reflows. (For NGI Only)**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Did the agency Annex H provided with information to assess the Agency Capacity to generate and manage reflows? (For NGI Only)**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**GEFSEC DECISION**

**RECOMMENDATION**

**Is CEO endorsement recommended? (applies only to projects and child projects)**

Secretariat Comment at CEO Endorsement Request

GEFSEC, 3/23/2023: Yes

Update, GEFSEC, 4/7/2023: Not yet. Please address the comments under Gender, Knowledge Management and Budget table

GEFSEC, 4/27/2023. Cleared. Thanks

**Review Dates**

	<b>Secretariat Comment at CEO Endorsement</b>	<b>Response to Secretariat comments</b>
<b>First Review</b>	<b>2/22/2023</b>	<b>4/27/2023</b>
<b>Additional Review (as necessary)</b>	<b>3/27/2023</b>	
<b>Additional Review (as necessary)</b>	<b>4/4/2023</b>	
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		

**CEO Recommendation**

**Brief reasoning for CEO Recommendations**

This project will support smallholder farmers to build resilience to climate change by helping to establish specific financial products dedicated to support climate change adaptation for smallholder farmers in Benin, Senegal and Zambia. Like rest of the Smallholder farmers in Africa, they play a crucial role for their countries? food security and income generation. Despite their important contributions, they are amongst the most vulnerable to the adverse impact of climate change. Limited access to affordable financial services is one of the many

barriers on the path of adopting practices that can make their activities and production more climate resilient.

The project will directly benefit 17,500 people (60% Women), enable the climate resilient management of 1,750 ha of land, train 80 (50% women) people about climate risks and adaptation measures and mainstream climate resilience in 6 policies/plans. The project will attract private-public finance, and blend them, to provide a better offer and higher supply of finance to smallholder farmers to foster the promotion of climate change adaptation practices and technologies

On COVID-19, the project is expected contribute to strengthening the overall resilience of smallholder farmers in Benin, Senegal and Zambia.