

Financial tools for small scale fishers in Melanesia

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

10437

Countries

Regional (Fiji, Papua New Guinea)

Project Name

Financial tools for small scale fishers in Melanesia

Agencies

WWF-US

Date received by PM

4/12/2021

Review completed by PM

5/5/2021

Program Manager

Jason Spensley

Focal Area

Climate Change

Project Type

MSP

PIF □ **CEO Endorsement** □

Part I ? Project Information

Focal area elements

1. Does the project remain aligned with the relevant GEF focal area elements as presented in PIF (as indicated in table A)?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Project description summary

2. Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

N/A

Agency Response

Co-financing

4. Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?

Secretariat Comment at CEO Endorsement Request

GEFSEC 29April2021:

Cleared

GEFSEC 17April2021:

We note ORRAA is indicated in table C as Private Sector. However, according to ORRAA's website, they are a membership organization with NGO and government members. Please confirm if they should be listed as private sector, civil society, or otherwise. Also, we note the co-financing letter for ORRAA indicates the type of investment for the \$1,109,064 amount is "Investment Mobilized", but in Table C it is indicated as "Recurrent Expenditures". Please provide brief explanation of why this co-financing source is Recurrent Expenditures, or revise in Table C.

Agency Response

WWF Agency Response 28 April 2021:

Thank you for this comment, we have adjusted the co-financing table C accordingly, updating the type of investment and the category of ORRA co-financier.

GEF Resource Availability

5. Is the financing presented in Table D adequate and does the project demonstrate a cost-effective approach to meet the project objectives?

Secretariat Comment at CEO Endorsement Request

GEFSEC 17May2021:

Cleared

GEFSEC 11May2021:

The \$1200 for the inception workshop should be charged to M&E.

"Incidentals" should be removed as the GEF does not cover items labelled as incidentals. We suggest this can be charged to the co-financing portion of the PMC.

Agency Response

WWF Agency Response 13 May 2021:

Thank you for this feedback. The budget has been adjusted in the Annex E as well as in the uploaded project document.

Project Preparation Grant

6. Is the status and utilization of the PPG reported in Annex C in the document?

Secretariat Comment at CEO Endorsement Request

GEFSEC 17April2021:

No

Agency Response

WWF Agency Response 28 April 2021:

Thank you for this comment, we have uploaded an image of the utilization report for PPG in the Annex C box provided.

Core indicators

**7. Are there changes/adjustments made in the core indicator targets indicated in Table E?
Do they remain realistic?**

Secretariat Comment at CEO Endorsement Request

GEFSEC 5May2021:

Cleared

GEFSEC 29April2021:

We note the reply that the targets have been adjusted to ensure equal numbers of male and female beneficiaries under core indicator 1 and 4. However, we also note indicator levels have been significantly decreased from PIF stage, and Core indicator 2 is now zero. This would require significant explanation and reconsideration. Please consider and explain this change.

GEFSEC 17April2021:

We note the number of female beneficiaries and people trained is lower than male. Please consider opportunity to achieve at equal numbers of female and male for core indicator 1 and 4. Please also consider if policies or plans will be mainstreamed, and if so indicate the number in core indicator 3.

Agency Response

WWF Agency Response 5 May 2021:

Thank you for this comment. The discrepancy has been addressed and the project is projected to meet targets indicated at PIF stage.

WWF Agency Response 28 April 2021:

Thank you for this comment. The targets have been adjusted to ensure equal numbers of male and female beneficiaries under core indicator 1 and 4. This project will not be working on changing or updating country national adaptation plans, therefore the project will not be reporting against indicator 3.

Part II ? Project Justification

1. Is there a sufficient elaboration on how the global environmental/adaptation problems, including the root causes and barriers, are going to be addressed?

Secretariat Comment at CEO Endorsement Request

GEFSEC 17April2021:

Yes

Agency Response

2. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?

Secretariat Comment at CEO Endorsement Request

GEFSEC 17April2021:

Yes

Agency Response

3. Is the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there sufficient clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?

Secretariat Comment at PIF/Work Program Inclusion

GEFSEC 17April2021:

Yes

Agency Response

4. Is there further elaboration on how the project is aligned with focal area/impact program strategies?

Secretariat Comment at CEO Endorsement Request

GEFSEC 17April2021:

Yes

Agency Response

5. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?

Secretariat Comment at CEO Endorsement Request

GEFSEC 17April2021:

Yes

Agency Response

6. Is there further and better elaboration on the project's expected contribution to global environmental benefits or adaptation benefits?

Secretariat Comment at CEO Endorsement Request

GEFSEC 17April2021:

Yes

Agency Response

7. Is there further and better elaboration to show that the project is innovative and sustainable including the potential for scaling up?

Secretariat Comment at CEO Endorsement Request

GEFSEC 17April2021:

Yes. We encourage expanding the description in the innovation with regards to the project innovation in terms of seeking opportunity to reduce cost and increase accessibility to insurance by incentivizing and tracking increased ecosystem resilience.

Agency Response

WWF Agency Response 28 April 2021:

We have added more information about the project's innovative approaches for ecosystem resilience in the ProDoc (Section 3.6) and innovation section of the CEO Endorsement Request.

Project Map and Coordinates

Is there an accurate and confirmed geo-referenced information where the project intervention will take place?

Secretariat Comment at CEO Endorsement Request

GEFSEC 26Nov2019:

Sufficient for PIF stage.

GEFSEC 19Nov2019:

The map provided for the Fiji sites is unclear; please provide a clearer one. The map provided for PNG project locations is sufficient for PIF stage. By CEO Endorsement, please provide improved geo-referencing for project sites in both countries.

Agency Response The map of Fiji has been updated.

Child Project

If this is a child project, is there an adequate reflection of how it contributes to the overall program impact?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

N.A

Agency Response
Stakeholders

**Does the project include detailed report on stakeholders engaged during the design phase?
Is there an adequate stakeholder engagement plan or equivalent documentation for the
implementation phase, with information on Stakeholders who will be engaged, the means of
engagement, and dissemination of information?**

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Gender Equality and Women's Empowerment

**Has the gender analysis been completed? Did the gender analysis identify any gender
differences, gaps or opportunities linked to project/program objectives and activities? If so,
does the project/program include gender-responsive activities, gender-sensitive indicators
and expected results?**

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Private Sector Engagement

If there is a private sector engagement, is there an elaboration of its role as a financier and/or as a stakeholder?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Risks to Achieving Project Objectives

Has the project elaborated on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved? Were there proposed measures that address these risks at the time of project implementation?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Coordination

Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Consistency with National Priorities

Has the project described the alignment of the project with identified national strategies and plans or reports and assessments under the relevant conventions?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Knowledge Management

Is the proposed Knowledge Management Approach for the project adequately elaborated with a timeline and a set of deliverables?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Environmental and Social Safeguard (ESS)

Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17May2021:

Cleared

GEFSEC 11May2021:

We note with thanks that WWF-US has attached the WWF Environment and Social Safeguards Screening Tool for Landscapes and Seascapes. In the screening, WWF labeled this project as a 'policy reform' project and as such it does not require any additional ESS screening. The project, however, includes activities on the ground including activities with communities. Please explain how the project intends to address any potential social risks and potential impacts during project implementation.

Agency Response
WWF Agency Response 13 May 2021:

Thank you for this comment.

This project has been categorized as a Category C project, and thus does not require additional safeguards screenings as there are minimal social or environmental risks associated with the project. Community participation in all project activities (workshops, trainings, accessing insurance products) is voluntary. Community members that opt into the project will be engaged throughout project implementation in an equitable and purposeful manner as detailed in the Stakeholder Engagement Plan, which will ensure that communities benefit from the project.

Monitoring and Evaluation

Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response
Benefits

Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Please briefly strengthen the explanation of how this project can contribute to resilient recovery from the COVID-19 pandemic.

Agency Response
WWF Agency Response 28 April 2021:

This feedback has been noted. We have provided a brief explanation of how the project can contribute to resilient recovery from the COVID-19 pandemic in risk table of the ProDoc (Section 3.4) and CEO Endorsement Request.

Annexes

Are all the required annexes attached and adequately responded to?

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Please note the comment above on Annex C.

Agency Response

WWF Agency Response 28 April 2021:

Thank you for this comment, we have uploaded an image of the utilization report for PPG in the Annex C box provided.

Project Results Framework

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Yes

Agency Response

GEF Secretariat comments

Secretariat Comment at CEO Endorsement Request
GEFSEC 17April2021:

Kind note the comments above and resubmit.

Agency Response

WWF Agency Response 28 April 2021:

Thank you for your feedback. We have addressed all comments provided.

Council comments

Secretariat Comment at CEO Endorsement Request

Agency Response

STAP comments

Secretariat Comment at CEO Endorsement Request

Agency Response

Convention Secretariat comments

Secretariat Comment at CEO Endorsement Request

Agency Response

Other Agencies comments

Secretariat Comment at CEO Endorsement Request

Agency Response

CSOs comments

Secretariat Comment at CEO Endorsement Request

Agency Response

Status of PPG utilization

Secretariat Comment at CEO Endorsement Request

GEFSEC 29April2021:

Cleared

Agency Response

Project maps and coordinates

Secretariat Comment at CEO Endorsement Request

GEFSEC 29APril2021:

Cleared

Agency Response

Does the termsheet in Annex F provide finalized financial terms and conditions? Does the termsheet and financial structure address concerns raised at PIF stage and that were pending to be resolved ahead of CEO endorsement? (For NGI Only)

Secretariat Comment at CEO Endorsement Request
GEFSEC 29April2021:

N/A

Agency Response

Do the Reflow Table Annex G and the Trustee Excel Sheet for reflows provide accurate reflow expectations of the project submitted? Assumptions for Reflows can be submitted to explain expected reflows. (For NGI Only)

Secretariat Comment at CEO Endorsement Request
GEFSEC 29April2021:

Cleared

Agency Response

Did the agency Annex H provided with information to assess the Agency Capacity to generate and manage reflows? (For NGI Only)

Secretariat Comment at CEO Endorsement Request
GEFSEC 29April2021:

N/A

Agency Response

GEFSEC DECISION

RECOMMENDATION

Is CEO endorsement recommended? (applies only to projects and child projects)

Secretariat Comment at CEO Endorsement Request
GEFSEC 17May2021:

Cleared

GEFSec 11May2021:

Please note and address the further policy oriented comments above, related to budget and ESS.

GEFSEC 5May2021:

Yes, this CEO Approval (termed "CEO Approval" as its a Medium Sized Project) is recommended by the PM, pending clearance by MGR and any final comments from PPO as needed.

Review Dates

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
First Review	4/17/2021	
Additional Review (as necessary)	4/29/2021	
Additional Review (as necessary)	5/5/2021	
Additional Review (as necessary)	5/11/2021	
Additional Review (as necessary)	5/17/2021	

CEO Recommendation

Brief reasoning for CEO Recommendations

GEFSEC 5May2021:

This CEO Approval (termed "CEO Approval" as its a Medium Sized Project) is recommended by the PM, pending clearance by MGR and any final comments from PPO as needed.