



Amazon sustainable landscape approach in the Plurinational System of Protected Areas and Strategic Ecosystems of Bolivia

Part I: Project Information

Name of Parent Program

Amazon Sustainable Landscapes Program - Phase II

GEF ID

10295

Project Type

FSP

Type of Trust Fund

GET

CBIT/NGI

CBIT

NGI

Project Title

Amazon sustainable landscape approach in the Plurinational System of Protected Areas and Strategic Ecosystems of Bolivia

Countries

Bolivia

Agency(ies)

CAF

Other Executing Partner(s)

Ministry of Environment and Water (MMAyA) of The Plurinational State of Bolivia

Executing Partner Type

Government

GEF Focal Area

Multi Focal Area

Taxonomy

Focal Areas, Forest, Amazon, Biodiversity, Protected Areas and Landscapes, Community Based Natural Resource Mngt, Mainstreaming, Tourism, Extractive Industries, Financial and Accounting, Conservation Finance, Influencing models, Strengthen institutional capacity and decision-making, Stakeholders, Indigenous Peoples, Local Communities, Gender Equality, Gender Mainstreaming, Sex-disaggregated indicators, Capacity, Knowledge and Research, Terrestrial Protected Areas, Capacity Development

Rio Markers

Climate Change Mitigation

Climate Change Mitigation 1

Climate Change Adaptation

Climate Change Adaptation 1

Submission Date

10/15/2020

Expected Implementation Start

1/30/2021

Expected Completion Date

1/30/2025

Duration

48In Months

Agency Fee(\$)

905,057.00

A. FOCAL/NON-FOCAL AREA ELEMENTS

Objectives/Programs	Focal Area Outcomes	Trust Fund	GEF Amount(\$)	Co-Fin Amount(\$)
IP SFM Amazon	Promoting effective coordination for sustainable forest management	GET	10,056,189.00	38,371,258.00
Total Project Cost(\$)			10,056,189.00	38,371,258.00

B. Project description summary

Project Objective

Strengthening the management effectiveness and financial sustainability of the National System of Protected Areas (SNAP) and strategic ecosystems, based on social participation and on the sustainable production of natural resources, focusing on the Bolivian Amazon

Project Component	Financing Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing (\$)	Confirmed Co-Financing(\$)
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Project Component	Financing Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing (\$)	Confirmed Co-Financing(\$)
1. Effective management of the SNAP, based on community participation and sustainable use of biodiversity in the Bolivian Amazon (Program component: Integrated Protected Landscapes)	Technical Assistance	Outcome 1.1. Improved institutional framework allowing for enhanced management effectiveness of the SNAP by PY2	Output 1.1.1. Updated SNAP and strategic ecosystems program prepared by PY2	GET	1,874,100.00	18,894,988.00
		Outcome 1.2. Improved monitoring and management effectiveness of SNAP as of PY3	Output 1.1.2. Standardized monitoring protocols developed for at least four protected areas by PY2 and for the remaining 3 until EOP			
		Outcome 1.3. Enhanced governance structures across the SNAP by PY3	Output 1.1.3. Standardized Management Effectiveness			
		Outcome 1.1. Improved institutional framework allowing for enhanced management effectiveness of the SNAP by PY2	Tool updated and validated in four protected areas by PY2 and for the remaining 3 until EOP			
		Outcome 1.2. Improved monitoring and management effectiveness of SNAP as of PY3	Output 1.2.1. Systematic monitoring and assessment of management effectiveness implemented in at least seven protected areas in PY3 to PY5			
		Outcome 1.3. Enhanced governance structures across the SNAP by PY3	Output 1.2.2. Accurate technical data available on the flora and fauna populations of globally			

Project Component	Financing Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing (\$)	Confirmed Co-Financing(\$)
2. Improved Financial Sustainability of the SNAP (Program component: Integrated Protected Landscapes)	Technical Assistance	<p>Outcome 2.1. Evaluation of funding baseline and gaps of the SNAP in accordance with the updated SNAP ECOS program by PY1</p> <p>Outcome 2.2. Investigation of new revenue mechanisms for PA financing by PY3</p> <p>Outcome 2.3. Development of frameworks for sustainable income and revenue generating activities that are compatible with the management objectives of the protected areas by PY4</p> <p>Outcome 2.4. Sustainable financing of the SNAP increased by 10% above the baseline by EOP</p>	<p>Output 2.1.1. Detailed assessment of current funding from all sources, needs, and gaps, at the system level and for each protected area performed by PY1</p> <p>Outcome 2.2. Investigation of new revenue mechanisms for PA financing by PY3</p> <p>Output 2.2.1. At least two funding mechanisms, designed to fill funding gap, developed by PY3</p> <p>Output 2.3.1. Guidelines and protocols for increased uptake of SLWM practices (agroforestry, cattle ranching, fisheries, biotechnology entrepreneurship, and ecotourism among others) developed and implemented in at least seven protected areas and three</p>	GET	1,608,000.00	5,269,463.00

Project Component	Financing Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing (\$)	Confirmed Co-Financing(\$)
3. Capacity Building in support of effective management and improved financial sustainability of the SNAP (Program Component: Policies/Incentives for Protected and Productive Landscapes)	Technical Assistance	<p>Outcome 3.1. Enhanced capacity for effective management of the SNAP and strategic ecosystems starting in PY3</p> <p>Outcome 3.2. Strengthened capacity in achieving the financial sustainability of the SNAP and strategic ecosystems starting in PY3</p>	<p>Output 3.1.1. Training in the development and implementation of management plans (integrated planning in case of RAMSAR sites), protected areas monitoring, and assessment of management effectiveness, conducted for personnel of protected areas and partner agencies for at least seven protected areas and three RAMSAR sites between PY3 and EOP</p> <p>Output 3.1.2. Essential equipment needed to conduct systematic monitoring and assessment of management effectiveness provided to at least seven targeted protected areas and three RAMSAR sites by PY3</p> <p>Output 3.2.1.</p>	GET	2,088,200.00	1,486,259.00

Project Component	Financing Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing (\$)	Confirmed Co-Financing (\$)
4. Sustainable use of biodiversity (Program Component: Integrated Productive Landscapes)	Investment	Outcome 4.1. Improved sustainable use practices by indigenous territories of CIPOAP in their territories	Output 4.1.1. Sustainable Land and Water Management (SLWM) practices implemented in selected communities in the five (Yaminahua, Tacana, Cavineño, Machineri and Esse eja) indigenous territories of CIPOAP	GET	2,489,500.00	10,561,105.00
		Outcome 4.2. Enhanced capacity for effective management of freshwater ecosystems (in particular RAMSAR sites) starting in PY2	Output 4.1.2. Training of selected community organisations in the five (Yaminahua, Tacana, Cavineño, Machineri and Esse eja) indigenous territories of CIPOAP implemented to increase uptake or strengthening of sustainable agriculture and SLWM practices			
			Output 4.2.1. Local agreements for aquatic resources use (in agreement with the Ministry of Rural Development and Lands) signed and enforced in			

Project Component	Financing Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing (\$)	Confirmed Co-Financing(\$)
5. Project Management, Monitoring & Evaluation, and Knowledge Management (Program component: Capacity building and regional cooperation)	Technical Assistance	<p>Outcome 5.1. Effective project management, monitoring & evaluation, as per the technical, administrative, and fiduciary standards defined by CAF/GEF and the Bolivian legal framework, through-out project implementation</p> <p>Outcome 5.2. Systematisation of lessons learned, experiences and results, on a continuous basis through-out project implementation</p>	<p>Output 5.1.1. Annual Work Plans, Annual Progress Reports, Budgeted Monitoring & Evaluation Plan, Annual Financial Audit Reports, Mid-Term Evaluation Report, Terminal Evaluation report drafted, and GEF Tracking Tools completed according to established deadlines</p> <p>Output 5.2.1. Systematized information on lessons from the eleven project sites continuously disseminated using web-based tools (among others), targeting lessons with replication potential in remaining protected areas of the SNAP and strategic ecosystems</p> <p>Output 5.2.2. Communication Strategy for the SNAP and strategic ecosystems,</p>	GET	1,517,523.00	60,000.00

Project Component	Financing Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing (\$)	Confirmed Co-Financing (\$)
				Sub Total (\$)	9,577,323.00	36,271,815.00
Project Management Cost (PMC)						
		GET	478,866.00	2,099,443.00		
Sub Total(\$)			478,866.00	2,099,443.00		
Total Project Cost(\$)			10,056,189.00	38,371,258.00		

C. Sources of Co-financing for the Project by name and by type

Sources of Co-financing	Name of Co-financier	Type of Co-financing	Investment Mobilized	Amount(\$)
Recipient Country Government	SERNAP	Public Investment	Recurrent expenditures	25,858,551.00
Recipient Country Government	SISCO	Other	Investment mobilized	1,891,602.00
GEF Agency	CAF	Loans	Investment mobilized	10,561,105.00
GEF Agency	CAF	Grant	Investment mobilized	60,000.00
Total Co-Financing(\$)				38,371,258.00

Describe how any "Investment Mobilized" was identified

? Recipient Country government (SERNAP & SISCO, Other; USD 27 750 154): The figure for SERNAP is a conservative estimate of recurrent expenditure that the SERNAP will assign to the participating PAs and related programmes through its budget for Integrated, participative and sustainable management of PAs, Control, monitoring and enforcement activities, Environmental awareness and community relations, and Increased revenue from new mechanisms. SISCO refers to the payment collection system (Sistema de CObro) that SERNAP implements for PAs, and the figure is a conservative estimate of new income to be generated for the project areas as a result of improved planning and participative management capacities. SISCO assigns this income to each PA, so income generated in project areas will be reinvested in each of them. SISCO SERNAP Budget TOTAL C1 18 894 988 18 894 988 C2 1 891 602 3 377 861 5 269 463 C3 1 486 259 1 486 259 C4 - C5 - PMC 2 099 443 2 099 443 TOTAL 1 891 602 25 858 551 27 750 154 ?

GEF Agency (CAF, Loan; USD 10 561 105): investment in a new phase of the MiAgua Program will be coordinated with activities in the project area to ensure that water-infrastructure investments align with the project's environmental requirements. ? GEF Agency (CAF, Grant; USD 60 000): CAF is willing to provide a grant for the strengthening of sewage treatment capacities in the project area.

D. Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

Agency	Trust Fund	Country	Focal Area	Programming of Funds	Amount(\$)	Fee(\$)
CAF	GET	Bolivia	Biodiversity	BD STAR Allocation	6,900,226	621,020
CAF	GET	Bolivia	Multi Focal Area	IP SFM Amazon Set-Aside	3,155,963	284,037
Total Grant Resources(\$)					10,056,189.00	905,057.00

E. Non Grant Instrument

NON-GRANT INSTRUMENT at CEO Endorsement

Includes Non grant instruments? **No**

Includes reflow to GEF? **No**

F. Project Preparation Grant (PPG)

PPG Required

PPG Amount (\$)

200,000

PPG Agency Fee (\$)

18,000

Agency	Trust Fund	Country	Focal Area	Programmin g of Funds	Amount(\$)	Fee(\$)
CAF	GET	Bolivia	Biodiversity	BD STAR Allocation	200,000	18,000
Total Project Costs(\$)					200,000.00	18,000.00

Core Indicators

Indicator 1 Terrestrial protected areas created or under improved management for conservation and sustainable use

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
0.00	6,201,689.00	0.00	0.00

Indicator 1.1 Terrestrial Protected Areas Newly created

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)
0.00	0.00	0.00	0.00

Name of the Protected Area	WDP A ID	IUCN Category	Total Ha (Expected at PIF)	Total Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)
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Indicator 1.2 Terrestrial Protected Areas Under improved Management effectiveness

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)
0.00	6,201,689.00	0.00	0.00

Name of the Protected Area	WDP A ID	IUCN Category	Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)	METT score (Baseline at CEO Endorsement)	METT score (Achieved at MTR)	METT score (Achieved at TE)
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Name of the Protected Area	WDA ID	IUCN Category	Ha (Expected at PIF)	Ha (Expected at CEO Endorsment)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)	METT score (Baseline at CEO Endorsment)	METT score (Achieved at MTR)	METT score (Achieved at TE)
Akula National Park	125689	Select National Park		1,895,750.00			63.00		
Natural de Manejo Integrado y Parque Nacional Madidi	125689	Select National Park		1,646,756.00			59.00		
Parque Nacional y Patrimonio Natural de la Humanidad Noel Kempff Mercado	125689	Select National Park							

Name of the Protected Area	WDP ID	IUCN Category	Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)	METT score (Baseline at CEO Endorsement)	METT score (Achieved at MTR)	METT score (Achieved at TE)
Akula National Park Reserva de la Biosfera Estacion Biologica del Beni	12568930812472	SelectProtected Landscape/ Seascape		135,274.00			56.00		
Akula National Park Reserva de Vida Silvestre Bruno Racua	125689303899	SelectHabitat/Species Management Area		74,152.00			30.00		
Akula National Park Reserva Nacional Amazonica Manu ripi	12568935	SelectProtected area with sustainable use of natural resources		747,000.00			64.00		

Name of the Protected Area	WDA ID	IUCN Category	Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)	METT score (Baseline at CEO Endorsement)	METT score (Achieved at MTR)	METT score (Achieved at TE)
Akula National Park Territory Indigenous National Security	125689303897	SelectProtected area with sustainable use of natural resources		1,302,757.00			53.00		
Akula National Park Territory Indigenous Reserva de la Biosfera Pilon Lajas	12568938011	SelectProtected area with sustainable use of natural resources		400,000.00			62.00		

Indicator 4 Area of landscapes under improved practices (hectares; excluding protected areas)

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
0.00	7124915.00	0.00	0.00

Indicator 4.1 Area of landscapes under improved management to benefit biodiversity (hectares, qualitative assessment, non-certified)

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
	6,941,173.00		

Indicator 4.2 Area of landscapes that meets national or international third party certification that incorporates biodiversity considerations (hectares)

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Type/Name of Third Party Certification

Indicator 4.3 Area of landscapes under sustainable land management in production systems

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
	183,742.00		

Indicator 4.4 Area of High Conservation Value Forest (HCVF) loss avoided

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Documents (Please upload document(s) that justifies the HCVF)

Title	Submitted
OCT 15.10.20_AS2 Bolivia - GEF7 Core Indicators	

Indicator 6 Greenhouse Gas Emissions Mitigated

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO ₂ e (direct)	0	5282619	0	0
Expected metric tons of CO ₂ e (indirect)	0	0	0	0

Indicator 6.1 Carbon Sequestered or Emissions Avoided in the AFOLU (Agriculture, Forestry and Other Land Use) sector

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO ₂ e (direct)		5,282,619		
Expected metric tons of CO ₂ e (indirect)				
Anticipated start year of accounting		2025		
Duration of accounting				

Indicator 6.2 Emissions Avoided Outside AFOLU (Agriculture, Forestry and Other Land Use) Sector

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO ₂ e (direct)				
Expected metric tons of CO ₂ e (indirect)				
Anticipated start year of accounting				
Duration of accounting				

Indicator 6.3 Energy Saved (Use this sub-indicator in addition to the sub-indicator 6.2 if applicable)

Total Target Benefit	Energy (MJ) (At PIF)	Energy (MJ) (At CEO Endorsement)	Energy (MJ) (Achieved at MTR)	Energy (MJ) (Achieved at TE)
Target Energy Saved (MJ)				

Indicator 6.4 Increase in Installed Renewable Energy Capacity per Technology (Use this sub-indicator in addition to the sub-indicator 6.2 if applicable)

Technology	Capacity (MW) (Expected at PIF)	Capacity (MW) (Expected at CEO Endorsement)	Capacity (MW) (Achieved at MTR)	Capacity (MW) (Achieved at TE)

Indicator 11 Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment

	Number (Expected at PIF)	Number (Expected at CEO Endorsement)	Number (Achieved at MTR)	Number (Achieved at TE)
Female		2,600		
Male		3,260		
Total	0	5860	0	0

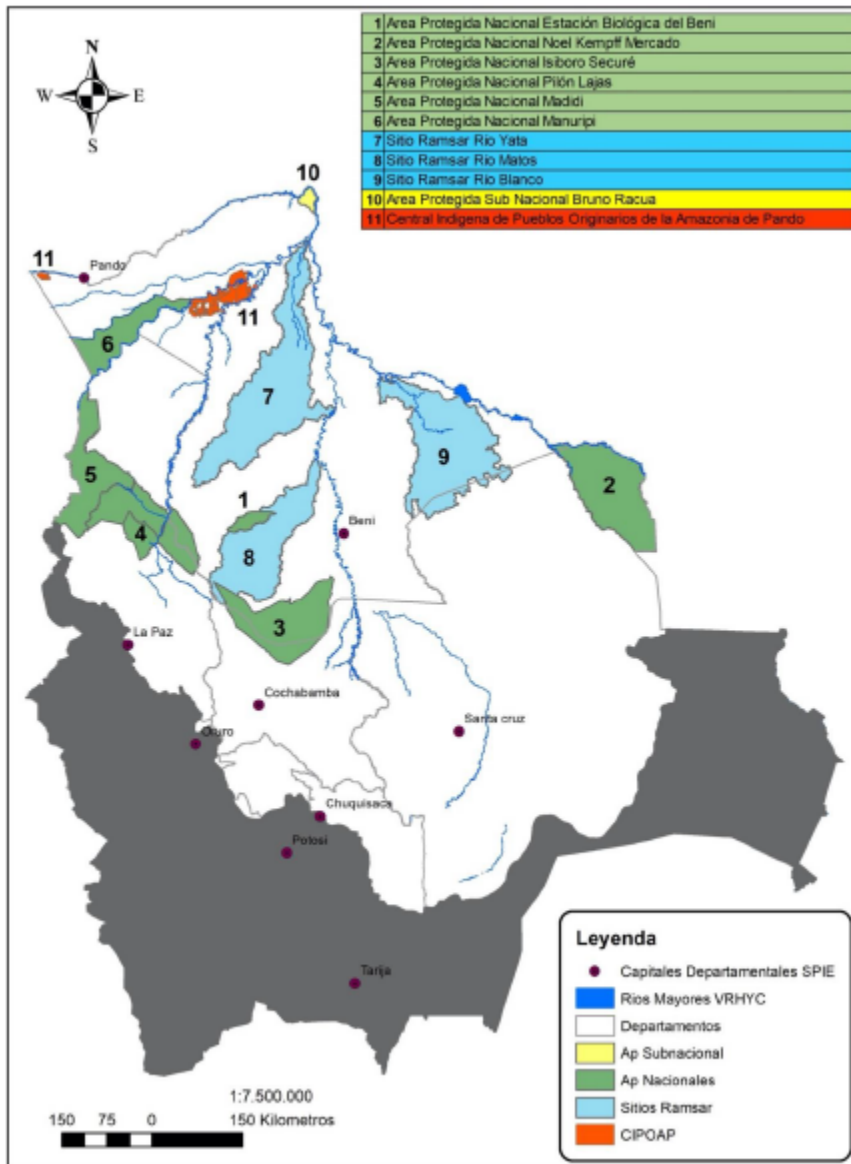
Part II. Project Justification

1a. Project Description

No changes from PIF.

1b. Project Map and Coordinates

Please provide geo-referenced information and map where the project interventions will take place.



1c. Child Project?

If this is a child project under a program, describe how the components contribute to the overall program impact.

No changes from PIF.

The objective and proposed activities of the project are well aligned with the programming priorities and the ToC outlined for the Amazon Sustainable Landscapes Program - Phase II (ASL2) Impact Program. The project will produce the higher-level outcomes of increased strengthened management capacity in the SNAP and strategic ecosystems, increased actors and capacity for ZND production, improved land use landscape planning and strengthened policy frameworks for biodiversity conservation and sustainable use and increased knowledge and awareness on conservation and SLWM in the Bolivian Amazon. Please see, in the PRODOC, 2.1. Barrier analysis, theory of change, strategic rationality and scope.

Cross-border activities will focus on improving coordination between the project's protected areas and RAMSAR sites with those in neighbouring countries (Madre de Dios department in Peru; the states of Acre, Rondônia and Mato Grosso in Brazil, among others).

2. Stakeholders

Select the stakeholders that have participated in consultations during the project identification phase:

Civil Society Organizations Yes

Indigenous Peoples and Local Communities Yes

Private Sector Entities

If none of the above, please explain why:

Please provide the Stakeholder Engagement Plan or equivalent assessment.

Each intervention area will oversee its project activities through its Management Committee. The project keeps a subsidiary, incremental role with respect to existing and new institutional arrangements in each protected area and in the SNAP and SNAP ECOS as a whole. To that end, the PMU will participate in planning coordination mechanisms defined at the national, subnational or site level in order to facilitate new co-financing and to ensure that the project fulfills its aims with the maximum uptake and sustainability. The Project Steering Committee (PSC) will be composed by five members, two of whom will be representatives from the participating Management Committees, elected by their assembly. Of the five persons conforming the PSC, at least two must be of indigenous origin and at least one woman. Please see PRODOC 1.4. Stakeholders, 3.3. Implementation arrangements & Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples.

The project consultation process and stakeholder engagement plan are included in ProDoc Appendix 6.

In addition, provide a summary on how stakeholders will be consulted in project execution, the means and timing of engagement, how information will be disseminated, and an explanation of any resource requirements throughout the project/program cycle to ensure proper and meaningful stakeholder engagement

Please see PRODOC 1.4. Stakeholders, 3.3. Implementation arrangements & Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples. Also see the appendix Synthesis of participative consultations.

Select what role civil society will play in the project:

Consulted only;

Member of Advisory Body; Contractor;

Co-financier;

Member of project steering committee or equivalent decision-making body; Yes

Executor or co-executor; Yes

Other (Please explain)

3. Gender Equality and Women's Empowerment

Provide the gender analysis or equivalent socio-economic assesment.

Please see PRODOC 4.3. Social analysis and stakeholder participation, 4.5. Gender analysis, and Appendix 9. Appendix 9. Gender Evaluation & Action Plan with a Gender Approach.

Does the project expect to include any gender-responsive measures to address gender gaps or promote gender equality and women empowerment?

Yes

Closing gender gaps in access to and control over natural resources; Yes

Improving women's participation and decision making Yes

Generating socio-economic benefits or services or women No

Does the project's results framework or logical framework include gender-sensitive indicators?

Yes

4. Private sector engagement

Elaborate on the private sector's engagement in the project, if any.

No changes from PIF. Private sector actors will have an important role as collaborative partners in order to improve local capacities, specifically in the research, monitoring, and sustainable use activities.

5. Risks to Achieving Project Objectives

Elaborate on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved, and, if possible, the proposed measures that address these risks at the time of project implementation.(table format acceptable):

Risk	Probability	Significance	Overall Risk Rating	Discussion	Proposed measure	Monitoring
Political Risk: political will (response) is not maintained through administrations	Low	High	Medium	General and subnational (departments and municipalities) elections are due in 2020, within a transitional political climate. Nonetheless, protected areas and its sustainability are not contentious political issues. The project will assure adequate dialogue and stakeholder-friendly communication before, during and after these dates	A major policy-dialogue process is at the core of Component 1	The adaptive management process established for the project establishes a recursive cycle of internal evaluation and planning adaptation on a yearly basis. The MTE provides an instance for major external evaluation at midterm, when the riskiest period from this perspective will likely be already over, and the opportunity for adapting the project to the resulting scenario

Risk	Probability	Significance	Overall Risk Rating	Discussion	Proposed measure	Monitoring
Climate Change Risk: conditions under climate change (state) differ substantially from those modelled along the project period	Medium	Medium	Medium	According to numerous studies, the Amazon is approaching a tipping point (v.gr. Nepstad et al., 2008; Lovejoy & Nobre, 2018). The Project is precisely aimed at diminishing such possibility, although it cannot avert it on its own. In addition to the evidence signalling that repeated droughts do not compound their effects (Feldpausch et al., 2016), it can be expected that the Bolivian Amazon will be one of the last parts of the Amazon to suffer dire consequences for such an event during the period in which the project will be executed (2020-20205) and produce GEB (2025-2045), for its position near the water sources feeding the basin. The project reduces the	Output 1.1.2 will provide the SNAP with an improved monitoring framework that will be able to detect changes in this direction from PY2	The implementation arrangements for the project include mechanisms for a two-way exchange of information and coordination between local and national levels that will allow for alert signals to trigger

Risk	Probability	Significance	Overall Risk Rating	Discussion	Proposed measure	Monitoring
<p>COVID-19 Risk: project start is hampered by movement restrictions. The post-pandemic situation makes project assumptions invalid</p>	<p>Medium</p>	<p>Medium</p>	<p>Medium</p>	<p>The final stage of the formulation process (including public consultation) has demonstrated that it is possible to provide continuity to project activities during the acute phase of the pandemic. A combination of virtual and physically-distanced meetings has taken place, showing that means are available for participative decision-making in the post-pandemic situation in which the project will start its execution.</p>	<p>The final stage of the formulation process, including public consultations on site, has demonstrated the feasibility of organising and carrying out the kind of participative decision-making that is central to project governance.</p>	<p>Key staff will receive specific training on participation in times of COVID-19.</p> <p>Assumptions on the promise of tourism as a sustainable income source have been reviewed in order to reflect 1-2 years of reduced traveling. The possibility of increased cost of certain inputs has also been taken into account in budgeting.</p>

Risk	Probability	Significance	Overall Risk Rating	Discussion	Proposed measure	Monitoring
<p>Implementation Risk: at different levels (policy-making process, private participants, finance) information is not actionable due to other barriers not being removed (response)</p>	<p>Medium</p>	<p>Low</p>	<p>Low</p>	<p>Coordination between the different measures in this project is key to its success and has been received major attention during the design phase. Knowledge-related actions, technical and financial measures and institutional and regulatory measures are to be phased in in a stakeholder-friendly form</p>	<p>The project has established the necessary implementation arrangements, including the necessary capabilities and budget, and a robust chronogram</p>	<p>The M&E mechanisms in place during project implementation explicitly measure key indicators that provide alert signals and trending. The adaptive management process established for the project contains a recursive cycle of internal evaluation and planning adaptation on a yearly basis</p>

Risk	Probability	Significance	Overall Risk Rating	Discussion	Proposed measure	Monitoring
Cultural Risk: cultural differences, pre-existing conflicts or other reasons make it unfeasible to exchange and transfer knowledge (response)	Low	Medium	Low	The project is built over deep awareness of the cultural reality it works in and values and supports indigenous knowledge and its proven results with respect to conservation. It is built too over deep awareness of the problems that indigenous populations face for their participation in sustainable development. As the project supports established protected areas, it benefits from and strengthens existing intercultural-dialogue mechanisms (PA Management Committees and others)	Capacity and budget for the necessary interaction with stakeholders, with special attention to the needs and specificities of indigenous peoples, has been included in the project's implementation arrangements and budget	The adaptive management process established for the project contains a recursive cycle of internal evaluation and planning adaptation on a yearly basis

Risk	Probability	Significance	Overall Risk Rating	Discussion	Proposed measure	Monitoring
Uncertainty Risk: actual values of (state) critical indicators (BOB-USD exchange rate, rainfall, internal migration) differ substantially from those modelled along the project	Low	Medium	Low	The project's models have been prepared through a thorough expert review process, submitted to sensitivity analysis and results from it are incorporated, thus rendering the model and key variables risk-explicit	The M&E mechanisms in place during project implementation explicitly measure key indicators that provide key-value signals and trending	The adaptive management process established for the project contains a recursive cycle of internal evaluation and planning adaptation on a yearly basis. The MTE provides an instance for major external evaluation at midterm
Innovation Risk: it is not possible to align livelihoods incentives with the sustainable management of land and water (response)	Low	Low	Low	The technologies that the project applies and transfers are well-known and tested, and its application has already been effected in similar conditions. The project provides support and monitoring capabilities to ensure the detection of any shortcoming during that process	The project considers the participation and access to knowledge of all stakeholders. Capacity and budget for the necessary interaction with stakeholders has been included in the project's implementation arrangements and budget	The adaptive management process established for the project contains a recursive cycle of internal evaluation and planning adaptation on a yearly basis. Particular innovation processes have been equipped with its own specific M&E processes (Outputs 2.3.1, 2.3.2, 4.1.2)

6. Institutional Arrangement and Coordination

Describe the institutional arrangement for project implementation. Elaborate on the planned coordination with other relevant GEF-financed projects and other initiatives.

SERNAP will execute the project on behalf of MMAYA for national protected areas. In the case of subnational areas, RAMSAR sites and other sites, SERNAP will propose and the VMA will delegate mandates as appropriate. The general design and institutionalisation of shared-management processes for RAMSAR sites and other novel situations will be proposed by SERNAP and instituted by VMA in close consultation with the involved municipalities, TCOs and other relevant stakeholders. Each intervention area will oversee its project activities through its Management Committee. The Project Steering Committee (PSC) will be composed by five members: A representative from MMAYA-VMA, who chairs the Committee, A representative from SERNAP, two representatives from the participating Management Committees, elected by their assembly, and a representative from CAF. Of the five persons conforming the PSC, at least two must be of indigenous origin and at least one woman.

7. Consistency with National Priorities

Describe the consistency of the project with national strategies and plans or reports and assessments under relevant conventions from below:

NAPAs, NAPs, ASGM NAPs, MIAs, NBSAPs, NCs, TNAs, NCSAs, NIPs, PRSPs, NPFE, BURs, INDCs, etc.

SERNAP works in a decentralisation strategy in the framework of its Master Plan (2012), which establishes a strategic framework and general and specific objectives within a 10-year framework that has been proven compatible with subnational protected areas (MMAYa, 2012). Within this framework, SERNAP seeks to enhance the mechanisms, capacities, management and sustainable funding of national and subnational protected areas and strategic ecosystems (RAMSAR sites and indigenous territories) in the Bolivian Amazon, with a view to promote the sustainable management of the represented ecosystems.

The project advances Bolivia's contribution to the Aichi Targets, in particular Targets 2, 3, 5, 6, 8, 11, 14 & 19.

The project also contributes to SDG targets 1.4, 1.5, 2.4, 3.9, 4.5, 4.7, 5.5, 6.3, 6.6, 10.2, 12.2, 12.4, 12.8, 15.1, 15.2, 15.5, 15.9, 16.7, 17.3 & 17.7.

8. Knowledge Management

Elaborate the "Knowledge Management Approach" for the project, including a budget, key deliverables and a timeline, and explain how it will contribute to the project's overall impact.

Systematized information on lessons from the seven targeted protected areas will be continuously disseminated using web-based tools (among others), targeting lessons with replication potential in remaining protected areas of the SNAP and strategic ecosystems. Also, a Communication Strategy focused

on the dissemination of best practice from project actions will be developed and under implementation from PY2.

In order to maximize the impacts and sustainability of activities, the Project will seek to coordinate its actions with existing government programmes and policies, as well as programmes and projects financed by CAF. In particular, the project will address the identified gender differences and gaps, gender-differentiated impacts and risks, and opportunities to promote the empowerment of women that support project objectives and outcomes. In particular, this means the implementation of comprehensive, gender-disaggregated indicators for all possible project elements (M&E and MRV implementations) and the monitoring of stakeholder participation (decision focus), knowledge management and capacity development activities (process focus), and any resulting new employment (impact focus).

The project institutional and implementation arrangements include specific provisions for Monitoring and Evaluation, Knowledge Management and Lessons-Learning. The project will act as a coherent device in the origination of data, refinement of information and knowledge being obtained from its activity.

9. Monitoring and Evaluation

Describe the budgeted M and E plan

M&E of Project implementation will be conducted through three main mechanisms (i) assessment of progress at the activity level (specific M&E systems will be developed for the different investment activities) which will generate data required for the purpose of the project (e.g., validate relevance of activity and provide feedback to management instruments); (ii) the measurement of progressive achievement of expected project outputs and results (outcomes) as per indicators defined in the Project Results Framework; status of progress will be reported every six months as part of the project progress reports; and (iii) evaluation of the project at certain moments of its implementation: a) progress reviews during CAF implementation support missions; b) mid-term review of project implementation; c) final evaluation report to be carried out by the PMU with input from the PSC; and d) the Implementation Completion and Results Report (ICR).

Activity	Responsibility	Estimated Budget (Excluding MMA Staff Time and costs covered by CAF)	Time Frame
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Activity	Responsibility	Estimated Budget (Excluding MMA Staff Time and costs covered by CAF)	Time Frame
Inception Workshop	? CAF ? PMU (Project Coordinator) ? Ministry of Environment and Water	10,000	Within first 2 months of project implementation
Measurement of Project Indicators including GEF Tracking Tools and Core Indicators	? CAF ? PMU (Project Coordinator)	40,000	To be developed at start up and applied throughout the project
Annual Implementation Reports (PIRs)	? PMU	20,000	At Inception, MTE & FE
Project Steering Committee meetings ^[1]	? Project Steering Committee members ? PMU (Project Coordinator)	40,000	One physical meeting per year and at least one virtual meeting per year
Monitoring Visits to Project Sites	? PMU	30,500	At least every 3 months and due within 15 days of each completed quarter
Monitoring of Environmental and Social Safeguards	? PMU ? CAF	20,000	

Activity	Responsibility	Estimated Budget (Excluding MMA Staff Time and costs covered by CAF)	Time Frame
External Mid-Term Review/Evaluation	<ul style="list-style-type: none"> ? Project Steering Committee ? CAF ? PMU ? International Consultant (1) ? National Consultants (2) 	30,000	Within 90 days of project's mid-term
Project Final Report	<ul style="list-style-type: none"> ? Project Steering Committee ? CAF ? PMU ? Consultant 	5,000	At least one month before the end of the project
Terminal Evaluation (TE)	<ul style="list-style-type: none"> ? Project Steering Committee ? CAF ? PMU ? International Consultant (1) ? National Consultants (2) 	45,000	Within 90 days of EOP
TOTAL INDICATIVE COST, EXCLUDING STAFF TIME AND CAF STAFF TRAVEL		240,500	

Please see ProDoc 3.4. Monitoring, reports and evaluation & Appendix 7. Monitoring, Reporting and Evaluation Plan.

[1] With formally prepared minutes and resolutions.

10. Benefits

Describe the socioeconomic benefits to be delivered by the project at the national and local levels, as appropriate. How do these benefits translate in supporting the achievement of global environment benefits (GEF Trust Fund) or adaptation benefits (LDCF/SCCF)?

The project will increase the forest area under integrated sustainable management, based on the framework of established policies, focusing on forests where agricultural expansion and forest resource extraction activities are common. A dual emphasis approach to strengthen the management of protected areas is planned, consistent with prioritizing policy frameworks on protected areas as an engine for sustainable development, together with the sustainable use and management of natural resources both within and outside of protected areas. Environmental governance will be improved by strengthening the capacities of a wide range of stakeholders, both men and women, to achieve conservation benefits that will go beyond SNAP and the project's lifespan. Concrete socioeconomic benefits of the project are designed to be:

- ? Enhanced Capacities: at least 2600 women will be beneficiaries of the project. A majority (likely the vast majority) of beneficiaries will belong to indigenous peoples.
- ? Sustainable Use: in a rough estimation of socioeconomic benefits, the project increases income within its direct beneficiaries in at least four million USD per year, on average increasing their household income by 12%.
- ? Adaptive Management: the participation of new stakeholders in the SNAP and strategic ecosystems reduces conflict and increases ownership and stewardship, and therefore contributes to the main aim of improving management effectiveness and reducing deforestation and biodiversity loss.

11. Environmental and Social Safeguard (ESS) Risks

Provide information on the identified environmental and social risks and potential impacts associated with the project/program based on your organization's ESS systems and procedures

Overall Project/Program Risk Classification*

PIF	CEO Endorsement/Approval	MTR	TE
Medium/Moderate			
Measures to address identified risks and impacts			

Elaborate on the types and risk classifications/ratings of any identified environmental and social risks and impacts (considering the GEF ESS Minimum Standards) and any measures undertaken as well as planned management measures to address these risks during implementation.

Please see ProDoc Appendix 11 for the ESS form. It is also posted Below. Please also see the document Annex Synthesis of design measures included in the Project, that prevent or mitigate Social and Environmental Risks, it is also posted below.

PROJECT CONCEPT PRELIMINARY QUESTIONNAIRE	
PART I: GENERAL INFORMATION	
Interested Organization Name: CAF	Project Location: Bolivia
Projected amount of required project funding (\$ /USD): TOTAL: _____ GEF: _____ OTHER(S) (Specify) _____	
Project Sector or Similar: Protected Area management	Brief project description:
GEF focal Area(s): Multifocal	Project Duration (months): 48



Focal Area Strategy Framework (other Program strategies)		
Objectives / Programs (Focal areas, Others)	GEF Project Financing (USD)	Co- Financing (USD)

Project Description Summary (Please include available information)				
Project Component	Project Outcomes	Project Outputs	GEF Project Financing (USD)	Co- Financing (USD)
Project cost (No project Management included)				

Indicative Sources of Co-financing (Please include available information, comprised type of co-financing: grants, loans, equity, guarantees, in-kind, unknown)

Source of co -financing	Name of co-financer	Type of cofinancing)	Amount (USD)

financing	Total co-		
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Potential Environmental Impacts	
<p>Air emissions</p> <p>X Vehicles and equipment</p> <p>Heating /air conditioning equipment</p> <p>Others (describe) _____</p> <p>_____</p> <p>_____</p>	<p>Waste water</p> <p>Domestic waste water</p> <p>Water treatment unit</p> <p>Others (describe) _____</p> <p>_____</p> <p>_____</p>

<p>Solid waste</p> <p>X Solid waste produced</p> <p>Types of solid waste _____ Organic residue from NTFP and agricultural production</p> <p>Hazardous waste _____ _____</p> <p>Waste disposal (where, how) _____ Household composting facilities and recycling for inorganic residue</p>	<p>Hazardous Chemical substances / Combustibles / Pesticides</p> <p>Storage within the facilities Protective measures against spills</p> <p>Leaks / spills traces</p> <p>Spill containment / cleanup equipment Heating /air conditioning equipment</p> <p>X Chemical substances and combustibles management training</p> <p>_____</p> <p>Training in the use and disposal of fuel and oil</p> <p>_____</p> <p>Pesticide use and Management</p>
<p>Resource consumption</p> <p>X Materials used _____ Local materials</p> <p>_____</p> <p>Renewable natural resources use</p> <p>Tools and equipment use</p> <p>X Water source _____ Local sources. Basic WASH systems leveraged</p>	<p>Environmental nuisances</p> <p>Dust</p> <p>Noise Odors Vapors / fumes Noise Traffic jams and obstructions</p>
<p>X Energy source _____ Local energy sources. Renewable sources leveraged</p>	
<p>Other Environmental Issues</p>	

Impacts on health, and forest quality and natural habitats in general (rivers, lakes, aquifers, paramo, ocean/marine ecosystems, mangroves, wetlands, biodiversity, among others),

Please specify on which one(s)

Positive impact on freshwater _ecosystems

Impacts on health protected areas (parks, reservoirs, etc.)

Please specify on which one(s)

Positive impact on six national PAs

Impacts on other singular / sensible / high value (scientific, landscape, traditional, others) / places,

Please specify on which one(s)

Positive impact on other ecosystems

Interactions with the Community

With a person in charge of answering community questions

With Community complaints management procedure
Safety personal use

Social Issues

Land acquisition required

Resettlement of local communities is required

Impacts on local livelihoods

Impacts on Indigenous Peoples

Neighbors or community complaints

Cultural Resources to be affected or close to project location.

Dams involved in Project

Pesticides to be used

Land property condition, Please specify (public property, private property, community property, others)

Public land (protected areas), communal land and some individual properties

Questionnaire answer date: <p style="text-align: center;">6 March 2020</p>
Questionnaire answer responsible officer: <p style="text-align: center;">Cecilia Guerra</p>
Additional comments:

CAF Environmental and Social Officer / National expert Preliminary concept related to project site conditions and potential project impacts	
Questionnaire answer date:	Additional technical review required (to be answered by CAF): Yes <input checked="" type="checkbox"/> No
Questionnaire answer responsible officer (name, position):	
Environmental considerations and recommendations	
The intervention sites are mostly protected areas that count with a management plan and ranger corps who enforce planning and zoning.	
Community considerations and recommendations	
Local common and traditional regulations that contribute to the sustainability of livelihoods must be supported. The intervention sites are extensive areas where communities are organized through different governance systems at different levels, and these governance systems must be respected to minimize negative social impacts.	
Other social considerations and recommendations	
Demonstrative and pilot activities will be accompanied by widespread training and knowledge exchange activities to foster the uptake of improved practices.	
Additional comments:	

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
	ENVIRONMENTAL AND SOCIAL ASSESSMENT (ESA)			
	Safeguard always applicable (at least preliminary environmental and social assessment)			
1	<p>Considering the following variables of the project, is there a possibility that the project will generate environmental and social side, multiple and complex impacts? If the answer is no, please briefly justify.</p> <p>(a) The potential environmental and social impacts that the project may have on its area of direct influence and, when required, indirect, cumulative and similar impacts;</p> <p>(b) The impacts on physical physical, biotic, social, economic, cultural resources, and health and safety of people;</p> <p>(c) Global environmental problems;</p> <p>(d) The alternatives to improve the selection, location, planning, design and execution of the project, including the "without project" as well as capital and recurrent costs and the comparison of the environmental benefits and costs of the project;</p> <p>(e) The design of measures to prevent, mitigate and / or compensate the identified impacts, including the use of positive impacts and other opportunities that may be identified by both the project itself and by the communities affected by the project. (Probable category A)</p>		X	Project activities aim at reducing negative impacts of unplanned human activity on biodiversity. The project causes no negative environmental impacts of its own but reduces existing impacts and restores and promotes sustainability.

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
2	<p>Considering the project variables described above in Question 1, is there a possibility that the project will generate environmental and social impacts that although they are not classified as moderate, adverse, multiple and complex, they can be significant? If the answer is no, please briefly justify. (Probable category B)</p>		X	<p>Project activities aim at reducing negative impacts of unplanned human activity on biodiversity. The project causes no negative environmental impacts of its own but reduces existing impacts and restores and promotes sustainability.</p>
3	<p>Considering the project variables described above in Question 1, is there a possibility that the project will generate low environmental and social impacts that can be prevented, mitigated or compensated on the basis of best environmental practices and engineering, along with measures environmental management widely known and accessible? If yes, please briefly justify. (Probable category C)</p>		X	
4	<p>Can the project be included in any of the following groups?</p> <p>(I) projects related to excavation, demolition, earthwork, flood or other significant environmental changes;</p> <p>(Ii) projects located on a site with physical cultural resources, or in their area, and recognized by the proponent.</p> <p>(Iii) projects specifically designed to support the management or conservation of physical cultural resources.</p> <p>If yes, please document the relevant requirements of national legislation, your procedures to identify, mitigate and monitor impacts on physical cultural resources, and a procedure for handling chance findings).</p> <p>(Probable category A or B)</p>		X	

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
5	Is there a possibility that the project will generate potential or significant conversion or degradation of critical forest or other natural habitats? (Probable category A)		X	
6	Is there a possibility that the project violates environmental legal framework in force in the country, and / or applicable international agreements or conventions? (Probable unacceptable project)		X	
7	Does the organization, in its activities and projects, extend to its contractors and third parties its Policy Commitments and Programs in Environmental and Social Management, and Health and Safety?	X		CAF as implementing agency will monitor and take measures for the executing parties and stakeholders to be in full compliance with its Environmental and Social Management Safeguards.
Environmental and Social Assessment (ESA) Practices				
8	Does the organization carry out a process of Social and Environmental Assessment that considers holistically the potential social and environmental impacts of its activities and projects (including labor, health and safety)?	X		

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
9	Does the ESA identify individuals or groups as vulnerable or disadvantaged, and are proposed and implemented for them differentiated measures?	X		A wide consultation process has taken place during the formulation phase. As a result, the project design devotes special attention to ensuring fair participation of vulnerable beneficiary populations (the rural poor, indigenous peoples, women) in decision-making.
Environmental and Social Management Plan (ESMP) and Action Plans				
10	For its activities and projects, does the organization establish and implement a plan / program of measures and mitigation and performance improvement that addresses the environmental and social impacts and consider the major findings of the ESA and the result of the consultation with affected communities?	X		Project design has been submitted to extensive consultations. Governance mechanisms have been foreseen that allow for extensive community involvement. The project will implement a detailed M&E plan (see ProDoc 3.4. Monitoring, reports and evaluation & Appendix 7. Monitoring, Reporting and Evaluation Plan).
11	Does the ESMP define the desired outcomes as measurable events (performance indicators, targets or acceptance criteria), with estimation of resources and responsibilities for implementation?	X		

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
12	Has the organization allocated sufficient resources to implement the ESMP?	X		As a result of extensive consultations, the project design devotes special attention to ensuring fair participation of vulnerable beneficiary populations (the rural poor, indigenous peoples, women) in decision-making. Governance mechanisms have been foreseen that allow for extensive community involvement.
13	Has the organization planned and implemented the action plans necessary to comply with regulations and applicable Performance Standards?	X		Governance mechanisms have been foreseen that allow for extensive community involvement. The project will implement a detailed M&E plan (see ProDoc 3.4. Monitoring, reports and evaluation & Appendix 7. Monitoring, Reporting and Evaluation Plan).
Participation and involvement of stakeholders				

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
14	Has the organization properly identified all the relevant stakeholders for their activities?	X		See PRODOC 1.4. Stakeholders, 3.3. Implementation arrangements, and Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples.
15	Have these stakeholders played a part in planning their activities or services?	X		A wide consultation process has taken place during the formulation phase.

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
16	Does the organization have a community engagement process for the benefited / affected communities?	X		<p>As a result of extensive consultations, the project design devotes special attention to ensuring fair participation of vulnerable beneficiary populations (the rural poor, indigenous peoples, women) in decision-making. Governance mechanisms have been foreseen that allow for extensive community involvement.</p> <p>See PRODOC 1.4. Stakeholders, 3.3. Implementation arrangements, and Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples.</p> <p>The project will implement a detailed M&E plan (see ProDoc 3.4. Monitoring, reports and evaluation & Appendix 7. Monitoring, Reporting and Evaluation Plan).</p>

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
17	Does such process guarantee free, prior and informed participation to communities?	X		Governance mechanisms have been foreseen that allow for extensive community involvement. See PRODOC 1.4. Stakeholders, 3.3. Implementation arrangements, and Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples.
18	Has the organization implemented a complaints mechanism for addressing and responding to communities?			CAF provides an independent complaints mechanism.
	External Communications and Grievance Mechanisms			
19	Has the company implemented procedures for external communications?	X		The Project includes a subcomponent (Outcome 5.1) devoted to communication, including to external audiences.
20	Has the company established a complaints mechanism to receive and facilitate resolution of the concerns of the communities on environmental and social performance of their activities?	X		CAF provides an independent complaints mechanism.
	Continuous report to affected communities			

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
21	Does the company provide periodic reports to the communities that describes its activities that involve 1. risk or impact running or developing communities; and 2. the consultation or complaints mechanism?	X		Governance mechanisms have been foreseen that allow for extensive community involvement. The project will implement a detailed M&E plan that provides metrics to the established governance mechanisms.
NATURAL HABITATS AND FORESTS				
Safeguard triggering conditions				
1	Do the design and development of the project include the conservation or sustainable use of natural habitats or the maintenance of the ecological functions of natural habitats?	X		
2	Do the design and development of the project include the rehabilitation/reforestation of degraded natural habitats?		X	
3	Do the activities and development of the project may eventually cause impacts on the health and quality of forest and natural habitats in general (rivers, lakes, aquifers, moors, ocean / marine ecosystems, mangroves, wetlands, biodiversity, etc.)?		X	
4	Do the activities and development of the project may affect the rights and welfare of people depending on forests or interacting with them?		X	
5	May the activities and development of the project generate changes in management, protection and use of natural or planted forests, whether they are public, private or community property?	X		The Project will promote positive changes (enhanced practices, improved governance) in the protection of natural forests in all intervention sites.

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
6	<p>Is there any possibility that access to information and knowledge about project impacts on natural habitats prevent that such information and knowledge become complete or conclusive?</p> <p>(UNFEASIBLE PROJECT)</p>		X	
7	<p>Does the project include forest plantations or any other activity that involves a significant degree of conversion or degradation of critical habitats or critical wooded areas?</p> <p>(UNFEASIBLE PROJECT)</p>		X	
8	<p>Does the project include forest plantations or any other activity that involves a significant degree of conversion or degradation of critical habitats or critical forest areas or forests and natural habitats that are not critical, and it is foreseen to implement an alternatives study? (CONDITIONALLY FEASIBLE PROJECT)</p>		X	
9	<p>Does the organization have implemented Procedures or Guidelines for the Management of Environmental and Social impacts related to natural habitats or forest?</p>	X		<p>In addition to in-project measures, CAF Safeguards S02 SUSTAINABLE USE OF RENEWABLE NATURAL RESOURCES, S03 CONSERVATION OF BIOLOGICAL DIVERSITY, & S04 PREVENTION AND MANAGEMENT OF CONTAMINATION apply.</p>

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
10	Have the organization designated responsible officers (employees or consultants) for the Environmental and Social Management of its activities and projects related to natural habitats or forests? Describe briefly	X		<p>The Environmental and Social Management Unit (UGRAS in its Spanish acronym), which is attached to CAF's Vicepresidency of Risks, is in charge of ensuring compliance with the risk mitigation measures linked to CAF's environmental and social Safeguards, including Safeguards S02, S03, and S04. CAF also counts on the Coordination of Evaluation and Environmental and Social Monitoring under the Directorate of Sustainability, Inclusion and Climate Change, attached to the Vicepresidency of Sustainable Development. The later is in charge of monitoring compliance with both national environmental and social regulations as well as CAF's environmental and social safeguards that apply, during the execution of programs, plans and projects financed by CAF.</p> <p>An officer is specifically in charge of Safeguards supervision in the Green Business Coordination (CAF/GEF).</p>

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
	INVOLUNTARY RESETTLEMENT			
	Safeguard triggering conditions			
1	<p>Considering the activities that:</p> <p>i) Are directly or indirectly related to the project; (ii) are required to achieve the objectives of the evaluation; and (iii) are conducted or planned to be held concurrently with the project; During the project development, any of the following cases of involuntary taking of lands is foreseen?</p> <p>(i) displacement or loss of shelter (ii) loss of assets or access to those assets (iii) loss of income sources or means of livelihood, whether the person concerned is forced to move elsewhere or not. (iv) Loss of social networks in the local environment that may be sources of consumer goods for exchange by non-financial mechanisms (such as barter, gifts exchange and other) or loss of safety networks?</p>		X	
2	<p>Considering the activities that:</p> <p>i) Are directly or indirectly related to the project; (ii) are required to achieve the objectives of the evaluation; and (iii) are conducted or planned to be held concurrently with the project; During the project development, involuntary restriction of access to parks and protected areas legally established is foreseen ?</p>		X	
3	<p>Does the environmental assessment of the project envisage the development of alternatives analysis, and that analysis includes the verification of the measures to prevent and minimize, to the extent possible, involuntary resettlement? (MANDATORY CONDITION IN CASE OF SAFEGUARD APPLICABILITY)</p>			
	Other Ones			

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
4	Does the organization have implemented Guidelines or Procedures for the Management of Environmental and Social impacts related to involuntary resettlement?			
5	Have the organization designated responsible officers (employees or consultants) for the Environmental and Social Management of its activities and projects related to involuntary resettlement? Describe briefly			
6	Can displacement be avoided?			
7	Will displacement be physical?			
8	Will Land rights or land use rights be acquired through expropriation or other compulsory procedures in accordance with the legal system of the host country?			
9	Will Land rights or land use rights be acquired through negotiated settlements with property owners or those with legal rights to the land if failure to reach settlement would have resulted in expropriation or other compulsory procedures?			
10	Will displacement be economic?			
11	Will the project situations where involuntary restrictions on land use and access to natural resources cause a community or groups within a community to lose access to resource usage where they have traditional or recognizable usage rights?			
12	Will certain project situations requiring evictions of people occupying land without formal, traditional, or recognizable usage rights?			
13	Because of the project, there will be restriction on access to land or use of other resources including communal property and natural resources such as marine and aquatic resources, timber and non-timber forest products, freshwater, medicinal plants, hunting and gathering grounds and grazing and cropping areas?			
14	Is the Involuntary Resettlement Safeguard triggered?		X	
	INDIGENOUS PEOPLES			

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
	Safeguard triggering conditions			
1	Is it anticipated that there is presence of indigenous peoples in the area of project development or in its area of influence?	X		See PRODOC 1.4. Stakeholders, and Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples.
2	Are there indigenous peoples with community links to the project area, whether it is the project development area or its area of influence?	X		
3	Does the planned project involve the physical relocation of Indigenous Peoples or restriction of access of Indigenous Peoples to parks and protected areas legally established? (CONDITION THAT TRIGGERS THE INVOLUNTARY RESETTLEMENT SAFEGUARD, IN ADDITION TO THAT OF INDIGENOUS PEOPLES).		X	
	Other ones			

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
4	Does the organization have implemented Guidelines or Procedures for the Management of Environmental and Social Impacts relating to indigenous peoples?	X		CAF Safeguard S06 ETHNIC GROUPS AND CULTURAL DIVERSITY includes requirements in this regard, that apply to the project and that have been considered in the design of the Project's governance mechanisms. See PRODOC 3.3. Implementation arrangements, and Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples.

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
5	Has the organization designated responsible officers (employees or consultants) for the Environmental and Social Management of its activities and projects related to indigenous peoples? Describe briefly	X		<p>The Environmental and Social Management Unit (UGRAS), which is attached to CAF's Vicepresidency of Risks, is in charge of ensuring compliance with the risk mitigation measures linked to CAF's environmental and social Safeguards, including Safeguard S06 ETHNIC GROUPS AND CULTURAL DIVERSITY. CAF also counts on the Coordination of Evaluation and Environmental and Social Monitoring under the Directorate of Sustainability, Inclusion and Climate Change, attached to the Vicepresidency of Sustainable Development. The later is in charge of monitoring compliance with both national environmental and social regulations as well as CAF's environmental and social safeguards that apply, during the execution of programs, plans and projects financed by CAF.</p> <p>The supervision of CAF S06 safeguard is carried out by the Coordination of Social Inclusion and Gender (CISG by its</p>

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
	PEST MANAGEMENT			
	Safeguard triggering conditions			
1	Does the project include potential aspects of control and management of pests or vectors, which may affect agriculture or public health?	X		
	Other ones			
2	Does the organization have implemented Guidelines or Procedures for the Management of Environmental and social impacts related to managing pests or vectors?	X		
3	Has the organization designated responsible officers (employees or consultants) for the Environmental and Social Management of its activities and projects related to pest or vectors management? Describe briefly	X		
Q	Question	Yes	No	Comment
	PHYSICAL CULTURAL RESOURCES			
	Safeguard triggering conditions			
1	Is it anticipated that project includes major activities excavations, demolition, earthworks, floods or other alterations to the landscape?		X	
2	Is it anticipated that project is located in a place where there are physical cultural resources recognized by the competent authorities or where they are expected to be found?	X		
3	Is it anticipated that the project is aimed at supporting the management of Physical Cultural Resources?		X	
	Other ones			

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
4	Does the organization have implemented Guidelines or Procedures for the Management of Environmental and social impacts on physical cultural resources?	X		
5	Has the organization designated responsible officers (employees or consultants) for the Environmental and Social Management of its activities and projects related to Physical Cultural Resources? Describe briefly.	X		
Q	Question	Yes	No	Comment
	SAFETY OF DAMS			
	Safeguard triggering conditions			
1	Is it anticipated that the project involves the construction of a new(s) dam(s) or the rehabilitation or performance of a(n) existing dam(s)?		X	
2	Is it anticipated that the project involves the rehabilitation or performance of (an) existing dam (s). NOTE: THIS INCLUDES THE USE OF WATER FROM DAM(S).		X	
3	Is it anticipated that the project includes power plants or water supply systems that benefits directly from a reservoir controlled by an existing dam or construction?		X	
4	It is anticipated that the project includes diversion dams or hydraulic structures downstream from an existing dam or a dam under construction that due to failure of a dam upstream could cause extensive damage to or failure of the new structure that is part of the project?		X	
5	Do you anticipate that the project includes works or irrigation activities or water supply that depends on the storage capacity and performance of an existing dam or a dam under construction, and that any dam failure will cause project failure?		X	
6	Is it anticipated that the project includes increasing the capacity of an existing dam or changes in the characteristics of materials, whereas a failure of the existing dam could cause extensive damage or deterioration of facilities that are part of the project?		X	

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING				
Q	Question	Yes	No	Comment
	Other ones			
7	Does the organization have implemented Guidelines or Procedures for the Management of Environmental and Social impacts related to dam safety?			
8	Has the organization designated responsible officers (employees or consultants) for the Environmental and Social Management of its activities and projects related to dam safety? Describe briefly.			
Q	Question	Yes	No	Comment
	GENDER MAINSTREAMING			
	Safeguard always applicable			
1	Has there been any assessment or analysis of gender in relation to the possible roles, benefits, impacts and risks that can generate the project for women and men of different ages, ethnicities, state and social structure?	X		See PRODOC 4.3. Social analysis and stakeholder participation, 4.5. Gender analysis, and Appendix 9. Gender Evaluation & Action Plan with a Gender Approach.
2	Does the organization have implemented Guidelines or Procedures for the Management of Environmental and social impacts related to mainstreaming gender issues?	X		CAF Safeguard S09 GENDER EQUITY includes requirements in this regard.

PART II: ENVIRONMENTAL AND SOCIAL SAFEGUARDS TRIGGERING

Q	Question	Yes	No	Comment
3	Has the organization designated responsible officers (employees or consultants) for the Environmental and Social Management of its activities and projects related to mainstreaming gender issues? Describe briefly.	X		<p>The Environmental and Social Management Unit (UGRAS), which is attached to CAF's Vicepresidency of Risks, is in charge of ensuring compliance with the risk mitigation measures linked to CAF's environmental and social Safeguards, including Safeguard S09 GENDER EQUITY. CAF also counts on the Coordination of Evaluation and Environmental and Social Monitoring under the Directorate of Sustainability, Inclusion and Climate Change, attached to the Vicepresidency of Sustainable Development. The later is in charge of monitoring compliance with both national environmental and social regulations as well as CAF's environmental and social safeguards that apply, during the execution of programs, plans and projects financed by CAF.</p> <p>The supervision of CAF Safeguard S09 is carried out by the Coordination of Social Inclusion and Gender (CISG by its Spanish Acronym).</p>

Synthesis of design measures included in the Project, that prevent or mitigate Social and Environmental Risks

Element in the Questionnaire	Risk	Measure	Reference in ProDoc
ESA Q 9	Vulnerable groups	<ul style="list-style-type: none"> - Composition of the Project Steering Committee - Oversight of local Management Committees 	<ul style="list-style-type: none"> - 3.3. Implementation arrangements
ESA Q 10, 11, 12, 13	Environmental and social impacts	<ul style="list-style-type: none"> - Composition of the Project Steering Committee - Oversight of local Management Committees - M&E plan 	<ul style="list-style-type: none"> - 3.3. Implementation arrangements - 3.4. Monitoring, reports and evaluation - Appendix 7. Monitoring, Reporting and Evaluation Plan
ESA Q 17	FPIC	<ul style="list-style-type: none"> - Consultation process - Composition of the Project Steering Committee - Oversight of local Management Committees 	<ul style="list-style-type: none"> - 1.4. Stakeholders - 3.3. Implementation arrangements - 3.7. Safeguards - Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples

Element in the Questionnaire	Risk	Measure	Reference in ProDoc
FORESTS Q 9	Impacts on forests	<ul style="list-style-type: none"> - The project is primarily focused on reducing deforestation - Composition of the Project Steering Committee - Oversight of local Management Committees - M&E plan - CAF independent complaints mechanism 	<ul style="list-style-type: none"> - 3.3. Implementation arrangements - 3.4. Monitoring, reports and evaluation - 3.7. Safeguards - Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples - Appendix 7. Monitoring, Reporting and Evaluation Plan
INDIGENOUS PEOPLES Q 1, 2, 4	Presence of indigenous populations	<ul style="list-style-type: none"> - Composition of the Project Steering Committee - Oversight of local Management Committees - M&E plan - CAF independent complaints mechanism 	<ul style="list-style-type: none"> - 1.4. Stakeholders - 3.3. Implementation arrangements - Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples

Element in the Questionnaire	Risk	Measure	Reference in ProDoc
GENDER Q 1, 2, 3	Gender inequality	<ul style="list-style-type: none"> - Composition of the Project Steering Committee - M&E plan - CAF independent complaints mechanism 	<ul style="list-style-type: none"> - 4.3. Social analysis and stakeholder participation - 4.5. Gender analysis - Appendix 9. Gender Evaluation & Action Plan with a Gender Approach

Supporting Documents

Upload available ESS supporting documents.

Title	Module	Submitted
MAR 15	CEO Endorsement ESS	
Synthesis of design measures included that prevent or mitigate Social and Environmental Risks	CEO Endorsement ESS	
DEC 04.12.2020_AS2 Bolivia - ESS screening form (ProDoc Appendix 11)	CEO Endorsement ESS	

ANNEX A: PROJECT RESULTS FRAMEWORK (either copy and paste here the framework from the Agency document, or provide reference to the page in the project document where the framework could be found).

The Project Logical Framework and Results Framework are included as ProDoc Appendix 2. It is also posted below

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
Project Objective: Strengthening the management effectiveness and financial sustainability of the National System of Protected Areas (SNAP) and strategic ecosystems, based on shared management, social participation and on the sustainable production of natural resources, focusing on the Bolivian Amazon												
SO1. Effective management of the SNAP based on community participation and sustainable use of biodiversity in the Bolivian Amazon (Program component: Integrated Protected Landscapes)												
Outcome 1.1. Improved institutional framework allowing for enhanced management effectiveness of the SNAP by PY2												

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 1.1.1. Updated SNAP and strategic ecosystems program prepared by PY2	Central SERNAP and PAs staff	National updating and dialogue processes on the conceptual, normative and administrative framework of SNAP & SNAP ECOS concluded by PY2	Outdated national regulation that is not harmonized with other current sectorial regulations. Institutional framework presents gaps for new types of protected area. Administrative model disconnected from monitoring and lacking managerial cross-control. SNAP and SNAP ECOS are instituted but lack development	A new framework for SNAP and SNAP ECOS is in place, including technical regulations	There exists political will for the harmonization of procedures and tools at the landscape level. The public perceives value in protected areas and strategic ecosystems. Key technical elements make it through the participatory and political processes	Drafting of a multilevel, multistakeholder proposal. National consultation	National consultation and final proposal	(intentionally void)	(intentionally void)	Initial proposal and final version. Record of letters, meetings, assemblies, workshops and agreements. Outreach materials

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 1.1.2. Standardized monitoring protocols developed for at least four protected areas by PY2 and for the remaining 3 until EOP	Central SERNAP and PAs staff	Standardised monitoring protocols for key and indicator species, including adjustment to specific biophysical and social characteristics of 7 PAs	Monitoring protocols are not coherent across taxa, ecosystems and institutions	Robust, standardised monitoring protocols allow for comparable conservation indexes to be built	Specialists are able to reach consensus Proposed methodologies are feasible in the existing logistical and financial conditions	Proposal, general standardised protocol for biodiversity monitoring Specialist consultations	Specialist consultations Consensual general standardised protocol for biodiversity monitoring 3 PAs adjust the protocol to its specific biophysical and social characteristics	3 PAs adjust the protocol to its specific biophysical and social characteristics	(intentionally void)	Initial proposal and final version Record of letters, meetings, workshops and agreements Outreach materials, user manual

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 1.1.3. Standardized Management Effectiveness Tool updated and validated in four protected areas by PY2 and for the remaining 3 until EOP	Central SERNAP and PAs staff	EGEM tool updated and validated in at least 4 PAs by PY2	An EGEM tool is in use, which presents need for adjustments and for the availability of user guides and training	An improved, METT-compliant EGEM tool is integrated in SNAP ECOS management	SERNAP and PAs staff participate in the development of the improved tool	EGEM updated Staff training	4 PAs validate the updated tool	3 PAs validate the updated tool	(intentionally void)	Initial proposal and final version Record of letters, meetings, workshops and agreements Outreach materials, user manual

Outcome 1.2. Improved monitoring and management effectiveness of SNAP as of PY3

		Output 1.2.1. Systematic monitoring and assessment of management effectiveness implemented in at least seven protected areas in PY3 to PY5	Central SERNAP and PAs staff	Standardised monitoring protocols and EGEM tool implemented in 7 PAs by EOP	Updated Monitoring protocols and EGEM tool available	Implementation and permanence of the updated protocol and EGEM tool	Availability of Outputs 1.1.2 and 1.1.3	(intentionally void)	Implementation in 4 PAs	Implementation in 3 PAs	Permanence evaluation	Original filled formats Systematised database Permanence analysis report
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Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 1.2.2. Accurate technical data available on the flora and fauna populations of globally significant, endangered or threatened species in at least seven protected areas and three RAMSAR sites by PY5	Central SERNAP and PAs staff	Reliable biodiversity information and knowledge has been collected and systematised, and means for its use and updating are available in 7 PAs by EOP	Some PAs count on information on key species and natural history. There are no partnerships for permanent research	A permanent research program that responds to the needs of PAs involves external partners	Relevant research institutions are interested in participating in long-term programming	Consensual research program proposal Consensual mechanism for data sharing and systematisation At least 2 research agreements	At least 5 research agreements	At least 4 research agreements	All project sites have updated flora & fauna databases	Research program Research agreements Databases Evaluation report
Outcome 1.3. Enhanced governance structures across the SNAP by PY3												

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 1.3.1. Management Plans of protected areas updated to ensure coordination and alignment with other planning documents in at least seven protected areas, including specific attention to the inclusion of indigenous people and women in protected areas? governance structures by PY3	Central SERNAP and PAs staff	Management Plans updated in at least 7 protected areas by PY3	Management plans are outdated and lacking implementation	Updated and feasible management plans, and a methodological approach to ensure they stay so	There exists political will for the harmonization of procedures and tools at the landscape level There exist conditions for wide participation	Evaluation of the current status of management plans, methodological streamlining and work plan Elaboration of management plans	Elaboration of management plans	Elaboration of management plans	(intentionally void)	7 Updated management plans, including proof of the respective participative processes

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 1.3.2. Specific tool developed to integrate the different Land-Use Planning tools from Instituto Nacional de Reforma Agraria (INRA), Ministerio de Desarrollo Rural y Tierras (MDRYT), Ministerio de Planificación del Desarrollo (MPD) with protected area management, within the framework of the updated SPAP and strategic	MMA YA, SERNAP, Instituto Nacional de Reforma Agraria (INRA), Ministerio de Desarrollo Rural y Tierras (MDRYT), Ministerio de Planificación del Desarrollo (MPD)	Sectorial agreements reached on planning protocols and public disclosure tool for works and projects within PAs	No formal mechanism exists for intersectorial coordination over works and projects within PAs	Formal, mandatory mechanisms for the planning, design, consultation and execution of works and projects within SNAP ECOS areas	A special regime for PAs is regulated and accepted within other branches of government. There exists political will for the harmonization of procedures and tools at the landscape level	High-level coordination Proposed protocol and disclosure tool Consultations	Final protocol Disclosure tool Training	(intentionally void)	(intentionally void)	High-level coordination minutes Proposed and final protocol Record of letters, meetings, workshops and agreements Outreach materials Disclosure tool, user manual

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 1.3.3. At least four agreements signed among the SPAP and communities, national and regional institutions, public and private companies to strengthen the integrated management of protected areas and the sustainable development of local communities in and adjacent to protected areas by PY4	Local communities, national and regional institutions, public and private companies, MMA YA and Central SERNAP and PAs staff	At least 4 agreements signed by PY3, implemented and evaluated by EOP	Scattered, non-strategic agreements with no monitoring and evaluation	After the identification of priorities and potential partners, far-reaching agreements with clear financing and M&E contribute to advance SNAP ECOS	Accountability is relevant in Bolivian leadership	Identification and prioritization of collaboration opportunities Draft collaboration agreement/s Identification of potential partners	Outreach campaign At least 3 agreements signed	Outreach campaign At least 3 agreements signed Evaluation of current agreements and adjustment	At least 2 agreements signed	Report Master agreement Record of letters, meetings, workshops At least 8 agreements Evaluation report Outreach materials

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
SO2. Improved Financial Sustainability of the SNAP (Program component: Integrated Protected Landscapes)												

Outcome 2.1. Evaluation of funding baseline and gaps of the SNAP by PY1

Output 2.1.1. Detailed assessment of current funding from all sources, needs, and gaps, at the system level and for each protected area performed by PY1	MMA yA, Central SERNAP and PAs staff	Assessment at the system level and for each protected area performed by PY1	Scattered, outdated studies. No criteria for state responsibility at different levels. No accountability mechanism for external funding or system-wide financial monitoring system	Detailed, updated assessment of current funding from all sources, needs, and gaps, at the system level and for each protected area. A unified financial monitoring system allows analysis and forecasting	Accountability is relevant in leadership. There exists political will for the harmonization of procedures and tools	Detailed, updated assessment of current funding from all sources, needs, and gaps, at the system level and for each protected area. Proposed financial monitoring system Consultations	Financial monitoring system in place Training	Evaluation and adjustment	(intentionally void)	Assessment report Proposed and final financial monitoring system Record of letters, meetings, workshops Databases Evaluation report Outreach materials, user manual
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Outcome 2.2. Investigation of new revenue mechanisms for PA financing by PY3

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 2.2.1. At least five funding mechanisms, designed to fill funding gap, developed by PY3	MMAyA, Central SERNAP and PAs staff	At least five funding mechanisms developed by PY3	No systematic approach to SNAP funding. Lack of results and previous evaluations undermine trust	New, sustainable funding mechanisms provide stability to SNAP and SNAP ECOS	Accountability is relevant in leadership. There exists political will for the harmonization of procedures and tools	Diagnostic report. Participative brainstorming and prioritization of proposed funding mechanisms	Implementation of at least 5 new funding mechanisms	Evaluation and adjustment	(intentionally void)	Diagnostic report. Record of letters, meetings, workshops. 2+ new mechanism reports. Evaluation report. Outreach materials
Outcome 2.3. Development of frameworks for sustainable income and revenue generating activities that are compatible with the management objectives of the protected areas by PY4												

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 2.3.1. Guidelines and protocols for increased uptake of SLWM practices (agroforestry, cattle ranching, fisheries, biotechnology entrepreneurship, and eco-tourism among others) developed and implemented in at least seven protected areas and three RAMSAR sites by PY4	Central SERNAP and PAs staff	Up to 26 guidelines and manuals for sustainable productive practices in protected areas (taking into account relevant zoning and management plans)	Scattered, outdated information and technical knowledge. No criteria for the coordination of PA planning and productive activities	Knowledge is readily available and used for the coordination between better livelihoods and conservation objectives	Technical know-how is critical for sustainability	3 guidelines and manuals on hydrobiological resource management (PNNKM, Yata, Blanco) 1 guidelines and manual on sustainable gold mining (PNM, RNAM, Matos, Blanco)	1 guidelines and manual on sustainable agricultural practices (10 sites) 1 guidelines and manual on sustainable livestock breeding (Yata, Matos, Blanco, EBB) 10 guidelines and manuals on sustainable wildlife use (10 sites)	6 guidelines and manuals on sustainable timber extraction in PAs (PNM, EBB, RNAM, TIPNIS, TIRBP L, Matos) 1 guidelines and manual on alternatives to fire as a land management tool (10 sites)	1 guidelines and manual on meliponinae honey production (EBB, TIRBP L, Yata, Matos, Blanco) 2 guidelines and manuals on IAS control (PNM, TIRBP L) Best practice evaluation and systematization	26 Guidelines and manuals Evaluation report

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 2.3.2. Prioritization of protected areas conducted by PY2, with improvements in operational and tourism infrastructure completed within at least 4 protected areas by PY4	Central SERNAP and PAs staff	At least 4 PAs have built ecotourism infrastructure after prioritization and under operation agreements between companies and communities by PY4	Scattered experience on ecotouristic entrepreneurship has never been systematized and learnt from, no systematic approach	SNAP counts with specific regulations for ecotouristic operation that incentivise best practice	There is demand for what PAs have to offer	Comprehensive market-niche analysis (supply and demand) Diagnostic report on installed capacity (hard & soft) in 11 project sites Prioritization and detailed description of perceived opportunities	Strategic analysis and draft collaboration agreement (incl. M&E arrangements) Consultations	Ecotouristic infrastructure enhanced in 4 PAs	Evaluation and adjustment	Market report Diagnostic report Prioritization report Master agreement Record of letters, meetings, workshops End-of-works reports Evaluation report

Project Components	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
							PY1	PY2	PY3	PY4	
	Output 2.3.3. Tourism development, marketing & networking Plan for targeted protected areas developed and under implementation by PY4	Central SERNAP and PAs staff	Tourism development, marketing & networking Plan developed and under implementation by PY4	Incipient development of ecotouristic products although there is a perception of valuable supply. Generalised lack of managerial, networking and marketing skills	Prioritised PAs benefit from sustainable ecotouristic operations	There is demand for what PAs have to offer	Tourism development, marketing & networking Plan	Marketing campaign Business roundtable	Collaboration agreements Implementation	(intentionally void)	Report Record of letters, meetings, workshops Agreements Outreach materials
Outcome 2.4. Sustainable financing of the SNAP increased by 10% above the baseline by EOP											

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 2.4.1. Reduction of the SPAP funding gap through an increase of SNAP sustainable financing of 10% above the baseline by EOP, as a consequence of revised protected areas entrance fees, increased number of visitors, increased natural resources use fees and additional revenues derived from two newly developed	MMA yA, Central SERNAP and PAs staff	Increase of SNAP sustainable financing of 10% above the baseline by EOP	SNAP funding gap is volatile year on year. There exist no reliable funding sources for SNAP ECOS	Increase of SNAP sustainable financing of 10% above the baseline by EOP	There exists political will for the monetisation of visitation and other sustainable use of PAs The public perceives value in protected areas and strategic ecosystems	Report on legal requirements of new SISCOs	Proposal for the regulation of fees on entrance and sustainable use of PAs	Operational evaluation and adjustments	Final evaluation of Component 2	Reports Record of letters, meetings, workshops

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
SO3. Capacity Building in support of effective management and improved financial sustainability of the SNAP (Program Component: Policies/Incentives for Protected and Productive Landscapes)												
Outcome 3.1. Enhanced capacity for effective management of the SNAP and strategic ecosystems starting in PY3												

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 3.1.1. Training in the development and implementation of management plans (integrated planning in case of RAMS AR sites), protected areas monitoring, and assessment of management effectiveness, conducted for personnel of protected areas and partner agencies for at least seven protected areas and three RAMS AR sites between PY3 and EOP	Central SERN AP and PAs staff	Training in the development and implementation of management plans (integrated planning in case of RAMS AR sites), protected areas monitoring, and assessment of management effectiveness, conducted for personnel of protected areas and partner agencies for at least 80 persons (at least 15 female)	High staff turnover means about 40% of personnel lacks training on the implementation of PA management plans	Trained staff implement sound and feasible management plans	Staff turnover will diminish with increased training	(intentionally void)	(intentionally void)	Training activities for PA staff, Management Committees and other key stakeholders	Training activities for PA staff, Management Committees and other key stakeholders	Record of workshops, study tours and other training activities Training materials Participation and qualification lists

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 3.1.2. Essential equipment needed to conduct systematic monitoring and assessment of management effectiveness provided to at least seven targeted protected areas and three RAMS AR sites by PY3	Central SERN AP and PAs staff	Essential equipment for systematic monitoring and assessment of management effectiveness provided to at least 7 PAs and 3 RAMS AR sites by PY3	Essential monitoring equipment is incomplete	7 PAs and 3 RAMS AR sites count with the essential equipment for biodiversity monitoring	Staff turnover will diminish with adequate equipment	(intentionally void)	(intentionally void)	Acquisition of essential equipment	(intentionally void)	Requirement lists from PAs Records of acquisition processes Reception minutes
Outcome 3.2. Strengthened capacity in achieving the financial sustainability of the SNAP and strategic ecosystems starting in PY3												

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 3.2.1. Training of community organizations to introduce or strengthen sustainable practices in production models currently in place within or adjacent to protected areas (agroforestry, cattle ranching, coffee, cacao, sustainable mining, fire management, among others), within at least seven protected areas and three RAMSAR sites	Community organizations trained to introduce or strengthen sustainable practices in productive activities (agroforestry, cattle ranching, coffee, cacao, among others), within at least 7 PAs and 3 RAMSAR sites starting in PY3	Local practices and technologies vary in sustainability across project sites. Activities not necessarily in harmony to PA zoning and compatible use	Communities share and develop more sustainable productive practices	Communities have interest in enhancing their productive practices. The project is able to develop a compelling value proposal for local communities	(intentionally void)	(intentionally void)	Training on hydrobiological resources such as freshwater fish, chelonidae, crocodylidae (PNNKM, Yata, Blanco) Training on mercury-free gold mining (PNM, RNAM, Matos, Blanco) Training on IAS control through use (PNM, TIRBP L) Training on permanent agriculture and intensive cattle farming (7 areas by 3 RAMSAR sites) Training on sustainable	Training on sustainable wildlife use (11 sites) Training on meliponinae honey production (EBB, TIRBP L, Yata, Matos, Blanco) Training on sustainable cattle farming (Yata, Matos, Blanco, EBB)	Training program Training materials Participation and certification lists Participative evaluations	

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 3.2.2. Training in the design and implementation of management plans (tourism, natural resources use), in at least 7 protected areas by PY3	Community organizations (agroforestry, cattle ranching, coffee, cacao, mining) in 7 Pas	Community organizations trained in the design and implementation of management plans (tourism, natural resources use), in at least 7 protected areas by PY3	There exist scant capacities for sustainable resource management, entrepreneurship and cooperative management, administration and marketing	Communities develop capacities for sustainable resource management in PAs	Only sustainable resource management is allowed and supported	(intentionally void)	(intentionally void)	Training on ecotourism (4 sites)	Training on sustainable management of species (11 sites) Follow-up, on-demand training (11 sites)	Training program Training materials Participation and certification lists Participative evaluations
SO4. Sustainable use of biodiversity (Program Component: Integrated Productive Landscapes)												
Outcome 4.1. Improved sustainable use practices by indigenous communities in CIPOAP and other territories												

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 4.1.1. Sustainable Land and Water Management (SLWM) practices implemented in selected communities in at least the five (Yaminahua, Tacana, Cavineño, Machineri and Essejja) indigenous territories of CIPOAP	CIPOAP	Sustainable SLWM practices implemented by EOP	Communities entertain unsustainable cattle and agricultural practices. Training on offer is not appropriate, incoherent and not adapted to local needs	Communities enhance their livelihoods through sustainable cattle, agricultural and other land management practices	Selected thought leaders have interest in enhancing their productive practices. The project is able to develop a compelling value proposal for local communities	Training and support program design Consultations	(intentionally void)	Support to best practice in Brazil nut recollection and commercialisation Support to best practice in sustainable agriculture and livestock breeding Support to best practice in sustainable pisciculture Support to best practice in sustainable fauna use and its regulation as a common	(intentionally void)	Training and support program Requirement lists from supported participants Records of acquisition processes Reception minutes

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 4.1.2. Training of selected community organizations in at least the five (Yaminahua, Tacana, Cavineño, Machineri and Essejja) indigenous territories of CIPOA P implemented to increase uptake or strengthening of sustainable agriculture and SLWM practices	CIPOA P	Community organizations trained to increase uptake or strengthening of sustainable agriculture and SLWM practices by EOP	Communities entertain unsustainable cattle and agricultural practices. Training offer is not appropriate, incoherent and not adapted to local needs	Communities enhance their livelihoods through sustainable cattle, agricultural and other land management practices	Communities have interest in enhancing their productive practices. The project is able to develop a compelling value proposal for local communities	(intentionally void)	Training on best practice in Brazil nut (Bertholletia excelsa) recollection and commercialization. Training on best practice in sustainable agriculture and livestock breeding. Training on best practice in sustainable pisciculture. Training on best practice in sustainable fauna use and its regulation as a common	Training on best practice in Brazil nut (Bertholletia excelsa) recollection and commercialization. Training on best practice in sustainable agriculture and livestock breeding. Training on best practice in sustainable pisciculture. Training on best practice in sustainable fauna use and its regulation as a common	Training and support program evaluation	Training materials. Participation and certification lists. Participative evaluations. Evaluation report

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
Outcome 4.2. Enhanced capacity for effective management of freshwater ecosystems (in particular RAMSAR sites) starting in PY2												
		Output 4.2.1. Local agreements for aquatic resources use (in agreement with the Ministry of Rural Development and Lands) signed and enforced in selected communities	Local communities in 3 RAMSAR sites, Ministerio Desarrollo Rural y Tierras (MDRYT)	Local agreements for aquatic resources use signed and enforced by EOP	There exist conflicts over fishing zones and overexploitation of turtles, which diminishes both stewardship and populations and carrying capacity for subsistence consumption	Agreements over shared resources reduce conflicts and overexploitation	Other sources of conflict are insufficient to impede agreements	Stakeholder map and conflict analysis report	Agreement proposals	Monitoring of agreements Evaluation and adjustment	Monitoring of adjusted agreements	Conflict report Record of letters, meetings, workshops Agreements Evaluation report

Project Components	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
							PY1	PY2	PY3	PY4	
	Output 4.2.2. Basic water sanitation projects leveraged with the correspondent authorities to reduce water pollution	Drinking water and sanitation authorities	Basic water sanitation projects leveraged by EOP	Drinking water supply, sanitation facilities and discharge treatment are deficient	Agreement on the optimization of resources between MiAgu program and the project	Communities assign value to the environmental aspects of basic drinking water, sanitation and discharge treatment facilities	(intentionally void)	High-level meetings Action plan	Implementation	Implementation Evaluation	Action plan Evaluation report

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 4.2.3. Agreements signed with the customs, Commerce Ministry, Mining Ministry, local mining organizations and environmental organizations (public and private) to implement a comprehensive program to control mercury imports, promote sustainable mining practices and diversify production systems in the framework of life	Customs, Ministries of Commerce and Mining, local miners organizations, environmental organizations	Signed agreements	In Bolivia it is estimated that about 300 tonnes of mercury are disposed of in Amazonian rivers per year	The impact of gold-mining mercury use diminishes	There exists political will for the introduction of environmental considerations in the mining sector Mercury-free alternatives for gold concentration are cost-effective	(intentionally void)	High-level meetings Action plan	Proposal for use and availability reduction	Evaluation	Action plan Evaluation report

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
SO5. Project Management, Monitoring & Evaluation, and Knowledge Management (Program component: Capacity building and regional cooperation)												
Outcome 5.1. Effective project management, monitoring & evaluation, as per the technical, administrative, and fiduciary standards defined by CAF/GEF and the Bolivian legal framework, through-out project implementation												

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 5.1.1. Annual Work Plans, Annual Progress Reports, Budgeted Monitoring & Evaluation Plan, Annual Financial Audit Reports, Mid-Term Evaluation Report, Terminal Evaluation report drafted, and GEF Tracking Tools completed according to established deadlines	Project partners	Annual Work Plans, Progress Reports, Budgeted Monitoring & Evaluation Plan, Annual Financial Audit Reports, Mid-Term Evaluation Report, Terminal Evaluation report drafted, and GEF Tracking Tool completed according to established deadlines		Annual Work Plans, Progress Reports, Budgeted Monitoring & Evaluation Plan, Annual Financial Audit Reports, Mid-Term Evaluation Report, Terminal Evaluation report drafted, and GEF Tracking Tool completed according to established deadlines	BOB-USD exchange rate does not vary more than 20% below or over design rate for any six-month period during the project	Operations Manual, Inception workshop and report, Annual Work Plan, Progress Reports, Annual Financial Audit Reports, Annual Audit Reports, Budgeted Monitoring & Evaluation Plan, Annual Financial Audit Reports	Annual Work Plan, Progress Reports, Budgeted Monitoring & Evaluation Plan, Annual Financial Audit Reports, Mid-Term Evaluation Report, and GEF Tracking Tool completed	Annual Work Plan, Final Reports, Budgeted Monitoring & Evaluation Plan, Annual Financial Audit Reports, Terminal Evaluation report drafted, and GEF Tracking Tool completed	Plans Reports Audits Tracking Tool	

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
Outcome 5.2. Systematization of lessons learned, experiences and results, on a continuous basis through-out project implementation												

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 5.2.1. Systematized information on lessons from the eleven project sites continuously disseminated using web-based tools (among others), targeting lessons with replication potential in remaining protected areas of the SNAP and strategic ecosystems	Project audiences	Number of information pieces disseminated	There is information and knowledge about the project's thematic areas, but access to it is time-consuming	Knowledge is made available to different audiences, through different media and formats, in the form of actionable lessons learnt and identified best practice to support decision making	Practitioners are willing to share their experiences	Long-term monitoring, reporting and evaluation plan Protocol for the permanent documentation and systematisation of activity, experiences, learning, and knowledge Outreach products	Documentation and systematisation of activity, experiences, learning, and knowledge Outreach products	Documentation and systematisation of activity, experiences, learning, and knowledge Outreach products	Documentation and systematisation of activity, experiences, learning, and knowledge Outreach products	Protocol Record of letters, meetings, workshops Systematisation database Outreach products

Project Components	Project Outcomes	Project Outputs	Stakeholders	Indicator/s	Baseline	Goal	Assumptions	Milestones				Verification means
								PY1	PY2	PY3	PY4	
		Output 5.2.2. Communication Strategy for the SNAP and strategic ecosystems, including project-specific actions, developed and under implementation by beginning of PY2		Disaggregated engagement track records	Knowledge on communication strategies is available. It needs to be adapted to the specific needs of the project	An effective communication strategy transcends the project and helps SNAP ECOS achieve its aims	SNAP ECOS is not affected by internal or near reputational problems	Participative design of a SNAP ECOS communication strategy, including a project-specific section Project media infrastructure (website, social media accounts, SEO profile)	Implementation and evaluation on a rolling basis	Implementation and evaluation on a rolling basis	Implementation and evaluation on a rolling basis	Communication strategy Evaluation reports

ANNEX B: RESPONSES TO PROJECT REVIEWS (from GEF Secretariat and GEF Agencies, and Responses to Comments from Council at work program inclusion and the Convention Secretariat and STAP at PIF).

GEF Secretariat Review for Full Sized Project ? GEF - 7
Basic Information

Basic Information

GEF ID

10295

Countries

Bolivia

Project Title

Amazon Sustainable Landscape Approach in the National System of Protected Areas and Strategic Ecosystems of Bolivia (Integrated project as part of the Amazon Sustainable Landscapes 2 SFM Impact Program)

GEF Agency(ies)

CAF

Agency ID

CAF: CAF/GEF 005

GEF Focal Area(s)

Multi Focal Area

Program Manager

Mark Zimsky

Secretariat comment at CEO Endorsement Request	Agency Response
CEO Approval Request	
Part I ? Project Information	
1. Focal area elements. Is the project aligned with the relevant GEF focal area elements as indicated in Table A and as defined by the GEF 7 Programming Directions?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	

Yes. Cleared.	
2. Project description summary. Is the project structure/ design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	
4. Co-financing. Are the confirmed amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, consistent with the requirements of the Co-Financing Policy and Guidelines?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
No.	
Please provide English translations of every cofinancing letter.	Done
Please provide a signed letter to cover the CAF grant and loan. They can be referenced in the same letter.?	Done

<p>Please classify the cofinancing from SISCO.</p>	<p>Done. SISCO refers to new income generated by sustainable economic activity within and around protected areas, to be reinvested in the protected area system. Thus, it is to be classified as CASH and INVESTMENT MOBILIZED.</p>																																
<p>The cofinancing letter from MAYA is confusing as it never references the two cofinanciers of SISCO and SERNAP which are listed as the cofinanciers in the portal. Therefore either edit the MAYA letter clearly referencing SISCO and SERNAP and their amounts and their types or provide letters from SISCO and SERNAP seperately.</p>	<p>Letters from MMAYA and SERNAP have been updated. In the previous MMAYA (and SERNAP) letters, total cofinancing was classified by component. SISCO refers to the payment collection system (<i>Sistema de CObro</i>) that SERNAP implements for PAs. The breakdown by component is laid in the table below, and also presented in the Incremental Cost Matrix.</p> <table border="1" data-bbox="901 716 1396 1465"> <thead> <tr> <th></th> <th>SISCO</th> <th>SERNAP</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>C1</td> <td></td> <td>19 944 710</td> <td>19 944 710</td> </tr> <tr> <td>C2</td> <td>1 891 602</td> <td>3 377 861</td> <td>5 269 463</td> </tr> <tr> <td>C3</td> <td></td> <td>1 486 259</td> <td>1 486 259</td> </tr> <tr> <td>C4</td> <td></td> <td></td> <td>-</td> </tr> <tr> <td>C5</td> <td></td> <td></td> <td>-</td> </tr> <tr> <td>PMC</td> <td></td> <td>1 049 722</td> <td>1 049 722</td> </tr> <tr> <td>TOTAL</td> <td>1 891 602</td> <td>25 858 551</td> <td>27 750 154</td> </tr> </tbody> </table>		SISCO	SERNAP	TOTAL	C1		19 944 710	19 944 710	C2	1 891 602	3 377 861	5 269 463	C3		1 486 259	1 486 259	C4			-	C5			-	PMC		1 049 722	1 049 722	TOTAL	1 891 602	25 858 551	27 750 154
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C5			-																														
PMC		1 049 722	1 049 722																														
TOTAL	1 891 602	25 858 551	27 750 154																														
<p>12/4/2020</p> <p>Please clarify why the cofinance from SISCO is classified as "other" while from SERNAP it is classified as "recurrent expenditures". The explanation in the CEO endorsement request is not clear in this regard.</p> <p>All other issues raised above are cleared.</p>	<p>The mistake has been corrected. Sorry.</p>																																

5. GEF resource availability. Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
The PMC costs should be shared between the GEF and the cofinancing in a way that is consistently proportional with the overall cofinance ratio per the new policy and guidelines. Please revise this and update all budgets accordingly.	Done. PMC costs have been updated in the CEO ER and PRODOC.
12/4/2020 The overall cofinancing ratio of the project is 1:3.8, GEF to cofinance. The current ratio of PMC is 1:2.2, GEF to cofinance. Please revise accordingly.	Done.
STAR allocation?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Focal Area allocation?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
LDCF under the principle of equitable access?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	

SCCF (Adaptation or Tech Transfer)?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	
Focal Area Set Aside?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	
Impact Program Incentive?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
6. Project Preparation Grant. If PPG is requested in Table E.1, has its advanced programming and utilized been accounted for in Annex C of the document?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
7. Non-Grant Instrument. If this an NGI, are the expected reflows indicated in Annex D?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	

NA.	
8. Core Indicators. Are the targeted core indicators in Table E calculated using the methodology in the prescribed guidelines? (GEF/C.54/Infxxx)	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. But please fix core indicator one. The PA hectares are currently listed under 1.1 as "new protected areas". Since these protected areas already exist the hectares should all go under 1.2	Done.
9. Project taxonomy. Is the project properly tagged with the appropriate keywords as in Table G?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Part II ? Project Justification	
1. Project Description. Is there sufficient elaboration on how the global environmental/ adaptation problems, including the root causes and barriers, are going to be addressed?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see, in the PRODOC, 2.1. Barrier analysis, theory of change, strategic rationality and scope.

Please delete hyperlinks to drop box.	Done
2. Project Description. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see PRODOC 1.5. Baseline and 4.2. Learning from past experience
Please delete hyperlinks to drop box.	Done
3. Project Description. Is there an elaboration on the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there more clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see PRODOC 2.5. Global environmental benefits and incremental reasoning.
Please delete hyperlinks to drop box.	Done
4. Project Description. Is there an elaboration on how the project is aligned with focal area/impact program strategies?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	

Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see PRODOC 1.3. Consistency with policies and priorities (national, GEF, SDGs, Aichi)
Please delete hyperlinks to drop box.	Done
5. Project Description. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see PRODOC 2.5. Global environmental benefits and incremental reasoning, 1.5. Baseline & 2.4. Co-financing projects.
Please delete hyperlinks to drop box.	Done
6. Project Description. Is there a better elaboration on the project's expected contribution to global environmental benefits or adaptation benefits?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see PRODOC 2.2. Objectives, expected results and key indicators.
Please delete hyperlinks to drop box.	Done
7. Project Description. Is there a better elaboration to show that the project is innovative and sustainable including the potential for scaling up?	
Secretariat comment at CEO Endorsement Request	

10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see PRODOC 4.2. Learning from past experience, 4.4. Environmental impact, 4.6. Innovation & 4.7. Sustainability and replicability.
Please delete hyperlinks to drop box.	Done
8. Project Map and Coordinates. Is there an accurate and confirmed geo-referenced information where the project intervention will take place?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Done. The map that is pasted in the CEO ER lists project areas validated by the ASL2 Program and included in the Program for Bolivia.
Please delete hyperlinks to drop box.	Done
9. Child Project. If this is a child project, an adequate reflection of how it contributes to the overall program impact?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see PRODOC 4.1. Economic, financial and fiduciary analysis.
Please delete hyperlinks to drop box.	Done

<p>10. Stakeholders. Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?</p>	
<p>Secretariat comment at CEO Endorsement Request</p>	
<p>10/26/2020</p>	
<p>Yes. Cleared.</p>	
<p>Please reference the page numbers, annexes, etc in the project document where this information can be found.</p>	<p>Please see PRODOC 1.4. Stakeholders, 3.3. Implementation arrangements, and Appendix 6. Public Consultation Process & Stakeholder Engagement Plan, with Special Attention to Indigenous Peoples.</p>
<p>Please delete hyperlinks to drop box.</p>	<p>Done</p>
<p>11. Gender equality and women?s empowerment. Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?</p>	
<p>Secretariat comment at CEO Endorsement Request</p>	
<p>10/26/2020</p>	
<p>Yes. Cleared.</p>	
<p>Please reference the page numbers, annexes, etc in the project document where this information can be found.</p>	<p>Please see PRODOC 4.3. Social analysis and stakeholder participation, 4.5. Gender analysis, and Appendix 9. Gender Evaluation & Action Plan with a Gender Approach.</p>
<p>Please delete hyperlinks to drop box.</p>	<p>Done</p>
<p>12. Private sector engagement. If there is a private sector engagement, is there an elaboration of its role as a financier and/or as a stakeholder?</p>	

Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see 1.4. Stakeholders.
Please delete hyperlinks to drop box.	Done
13. Risk. Has the project elaborated on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved? Were there proposed measures that address these risks at the time of project implementation?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see ProDoc 3.6. Risks and mitigation measures, and Appendix 10. Risk Assessment and Mitigation Measures.
Please delete hyperlinks to drop box.	Done
14. Coordination. Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see ProDoc 3.2. Institutional arrangements, 3.3. Implementation arrangements, and 4.2. Learning from past experience.
Please delete hyperlinks to drop box.	Done

15. Consistency with national priorities. Has the project described the consistency of the project with identified national strategies and plans or reports and assessments under the relevant conventions?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see ProDoc 1.3. Consistency with policies and priorities (national, GEF, SDGs, Aichi).
Please delete hyperlinks to drop box.	Done
16. Knowledge management. Is the proposed Knowledge Management Approach? for the project adequately elaborated with a timeline and a set of deliverables?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Plase see ProDoc 2.2. Objectives, expected results and key indicators, 3.4. Monitoring, reports and evaluation, and 3.5. Dissemination of results and visibility.
Please delete hyperlinks to drop box.	Done
17. Monitoring and Evaluation. Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see ProDoc 3.4. Monitoring, reports and evaluation & Appendix 7. Monitoring, Reporting and Evaluation Plan.

Please delete hyperlinks to drop box.	Done
18. Benefits. Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see ProDoc 2.5. Global environmental benefits and incremental reasoning, 4.3. Social analysis and stakeholder participation, and Appendix 5. Incremental Cost Matrix.
Please delete hyperlinks to drop box.	Done
19. Annexes: Are all the required annexes attached and adequately responded to?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Please insert a map on 1.b.	Done.
Annex A the results framework is illegible. Please enter again and reference the page numbers, annexes, etc in the project document where this information can be found.	Please see ProDoc Appendix 2. Logical and Results Framework.
Please delete hyperlinks to drop box.	Done
20. Environmental and Social Safeguard (ESS): Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?	
Secretariat comment at CEO Endorsement Request	

10/26/2020	
Yes. Cleared.	
The portal entry for the ESS is ineligible due to the formatting result. Please reenter.	The portal entry has been re-uploaded.
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see also ProDoc Appendix 11. Environmental and Social Management Framework.
Please delete hyperlinks to drop box.	Done
12/4/2020	
The portal entry for the ESS is still illegible due to the formatting result. Please fix this.	Done.
Project Results Framework	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes. Cleared.	
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see ProDoc Appendix 2. Logical and Results Framework.
Please delete hyperlinks to drop box.	Done
GEF Secretariat comments	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	
Council comments	
Secretariat comment at CEO Endorsement Request	

10/26/2020	
Council comments were mainly on the "Program" per se, but the United States provided the following two comments that apply to all child projects. Please provide a response to each as appropriate:	
United States Comments	
? Risk assessment. It will be important that the child projects more fulsomely assess and incorporate risk (including a monitoring and tracking component) from infrastructure planned as part of the Initiative for the Integration of the Regional Infrastructure of South America (IIRSA) plan, including the planned trans-amazon railway.	The project includes a specific output (Output 1.3.2) within Component 1 (please see ProDoc 2.3. Components, products and schedule, para.108), devoted to facilitating the integrated planning, monitoring and tracking of initiatives with impact over land use, such as infrastructure, between the Protected Area System and other governmental planning tools. Both CAF and the Bolivian Ministry of Development Planning (MPD) participate in both IIRSA and this output, which ensures coordination and the adequate flow of information between the two initiatives.
? Recognizing that the intent of these projects is to mitigate or reverse deforestation, the United States needs to officially confirm for internal purposes that the following projects will not involve any logging of primary forests. Can the GEF please affirm that no logging of primary forests will occur during the implementation of projects: 10125, 10184, 10188, 10192, 10198, 10206, 10208, 10220.	The Bolivia child project of ASL-2 will not involve any logging in primary forests as defined in internal US legislation.
Please note that the project number 10198 refers to ASL program as a whole. Please confirm that the Bolivia child project of ASL-2 will not involve any logging in primary forests.	The correct GEF project ID 10295 has been included. Sorry.
STAP comments	

Secretariat comment at CEO Endorsement Request	
10/26/2020	
No specific comments by STAP on the Bolivia project, thus, not applicable.	
Convention Secretariat comments	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	
Other Agencies comments	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	
CSOs comments	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	
Status of PPG utilization	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Utilized or committed and annex provided. Cleared.	
Calendar of expected reflows (if NGI is used)	

Secretariat comment at CEO Endorsement Request	
10/26/2020	
NA.	
Project maps and coordinates	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Please insert the project map into the CEO endorsement request in the appropriate space.	Done. The Map has been pasted within the CEO ER.
Please reference the page numbers, annexes, etc in the project document where this information can be found.	Please see ProDoc Appendix 1. Forest Cover Loss Maps.
Please delete hyperlinks to drop box.	Done
Part III ? Country and Agency Endorsements	
1. Country endorsements. Has the project/program been endorsed by the country?s GEF Operational Focal Point and has the name and position been checked against the GEF data base?	
Secretariat comment at CEO Endorsement Request	
10/26/2020	
Yes.	
Termsheet, reflow table and agency capacity in NGI Projects	

<p>Does the project provide sufficient detail in Annex A (indicative termsheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table in Annex B to assess the project capacity of generating reflows? If not, please provide comments. After reading the questionnaire in Annex C, is the Partner Agency eligible to administer concessional finance? If not, please provide comments.</p>	
<p>Secretariat comment at CEO Endorsement Request</p>	
<p>10/26/2020</p>	
<p>12/3/2021</p> <p>Please address these remaining issues:</p>	
<p>1. On Project Information: The duration stipulated between implementation start and completion date is 48 months and not 60 ? please ask the Agency to amend.</p>	<p>Done.</p>
<p>2. On Focal/Non-focal Area Elements (Table A): instead of BD 1-1, the correct one is IP SFM Amazon ? please amend.</p>	<p>Done.</p>
<p>3. On Funding allocation (Table D): consistent with the allocation included in the LoE, the second row needs to change as follows: (i) under the column ?Focal Area?, instead of repeating Biodiversity, it needs to be Multi Focal Area; and (ii) under the column ?Programming of Funds?, instead of BD STAR Allocation, it needs to be IP SFM Amazon Set-Aside.</p>	<p>Done.</p>

<p>4. On co-financing: Letters from the government (SERNAP and SISCO) do not specify the type of co-financing. They also don't mention the time frame over which the co-financing will be provided. Also, co-financing form SISCO is labeled as "other" and "investment mobilized". By the description provided, this seems to be in the form of "cash", please ask the government to specify in the letter. The amount stipulated in numbers does not match the amount written.</p>	<p>Done. Updated co-financing letters are included in ProDoc Appendix 4.</p>
<p>5. Audits are charged to M&E Budget but they have to be charged to PMC ? please amend.</p>	<p>Done.</p>
<p>6. On Environmental and social safeguards: CAF has attached the Project Concept and Safeguard Triggering (Preliminary Questionnaire) and CEO endorsement document states that the overall ESS risk is classified moderate. They identified several environmental and social risks including impacts on indigenous peoples/communities and protected areas. The preliminary questionnaire/ submission, however, does not elaborate on any information on additional assessments/reviews or any planned mitigation measures. Please provide further information on any planned mitigation measures or management plans to address the identified risks and potential impacts, specifically including the process of FPIC.</p>	<p>Done. Further information has been included in Appendix 11.</p>

<p>7. On budget: the budget does not provide detailed information on what some costs include. As an example please see below: several ?Outputs? are mentioned but there is no information on what that entails. We cannot assess the budget as it is: we need to understand what type of costs are charged to which part of the budget, including PMC, M&E and the Project?s components. Please pay special attention if after utilizing the PMC (both: the GEF and the co-financing portion) some project?s staff is charged to the project?s components, in which case TORs are required. Once re-submitted, we will review the budget accordingly.</p>	<p>The budget (Appendix 3. Detailed Budget & Disbursement Schedule) presents subtotals per Specific Objective (SO, Component), and Outcome, as well as the assignment of financial resources per expenditure category for each of the Outputs (concrete deliverables) that have been designed in ProDoc 2.3. Components, products and schedule, and the Project Results Framework (Appendix 2. Logical and Results Framework). In the budget, each Output is identified by its code, a 3-digit identifier (Output X.Y.Z.) that relates it directly to its position in the Project Results Framework. The budget and disbursement schedule use this same subtotals and reference system, so subtotals for each Project Component are presented in each Component (SO)?s horizontal row, and a grand total is included at the right end of the matrix. M&E and PMC costs are presented separately (each one is presented in a separate row) from Project Components.</p> <p>TORs for all professional positions included in the Project organigram are included in Appendix 8.</p>
<p>3/25/2021</p> <p>No.</p> <p>Please address these issues and resubmit:</p> <p>1. On Focal/Non-focal Area Elements (Table A): The Agency modified the acronym (instead of BD-1, they included IP SFM Amazon), but under ?Focal Area Outcomes? the Agency did not include the narrative (instead of Multi Focal Area, it should be ?Promoting effective coordination for sustainable forest management?. Please amend.</p>	<p>Done.</p>

<p>2. On Audits: Audits are not charged anymore to M&E. However, with the current budget it is not possible to understand from where the Audits will be paid ? please ask the Agency to clarify (the current budget still is not self-explanatory - see comment 3 below)</p>	<p>Audits will not be charged to Project budget; they have been deleted from the description of activity and budget lines.</p>
<p>3. On budget: as mentioned above, the budget still is not self-explanatory ? as it is, one cannot assess what is being charged to M&E, PMC and Project Components. For example, PMC will pay for ?Sub-contract to executing partner/ entity?, but it is not clear what this entails from the presented budget. Another example is that \$249,000 from the project components will pay ?office supplies?, when actually these should be charged to PMC (if they are actually ?office supplies? for the project execution). In summary, in order to assess the budget, we need to understand what type of costs are charged to which part of the budget, including PMC, M&E and the Project?s components. Please provide these details.</p>	<p>Budget for office supplies assigned to project activities will be devoted to the acquisition of office supplies for the execution of project activities. Should PMC require a devoted budget for office supplies, it is already included in the PMC assignment.</p>
<p>4/5/2021</p> <p>No. These issues remain in the document:</p> <p>1- Formatting for budget uploaded in the portal is very bad and the figures cannot be read as the formatting goes beyond the margins. Please fix.</p>	<p>Done</p>
<p>2- Some expenditures are ineligible to be paid from the PMC as they clearly belong to M&E such as ?Routine Supervision Trip to project Sites? (\$21,561) and ?Project Final Report? (\$5,000). Please revise</p>	<p>Done</p>
<p>3) The ?Semi-annual Progress and Operational Reports to CAF? (\$12,000) seems to be a report that is for CAF, not for the GEF. Therefore the cost of these reports cannot be covered by the M&E plan, and should be paid by cofinancing resources or the agency fee.</p> <p>Given that the budget was hard to read because of the formatting issues, more budget questions may be posed after you upload the budget to the portal/</p>	<p>Done</p>

Review Dates	Response to Secretariat comments
First Review	10/26/2020
Additional Review (as necessary)	12/04/2020
Additional Review (as necessary)	12/07/2020
Additional Review (as necessary)	03/12/2021
Additional Review (as necessary)	03/25/2021
Additional Review (as necessary)	04/05/2021

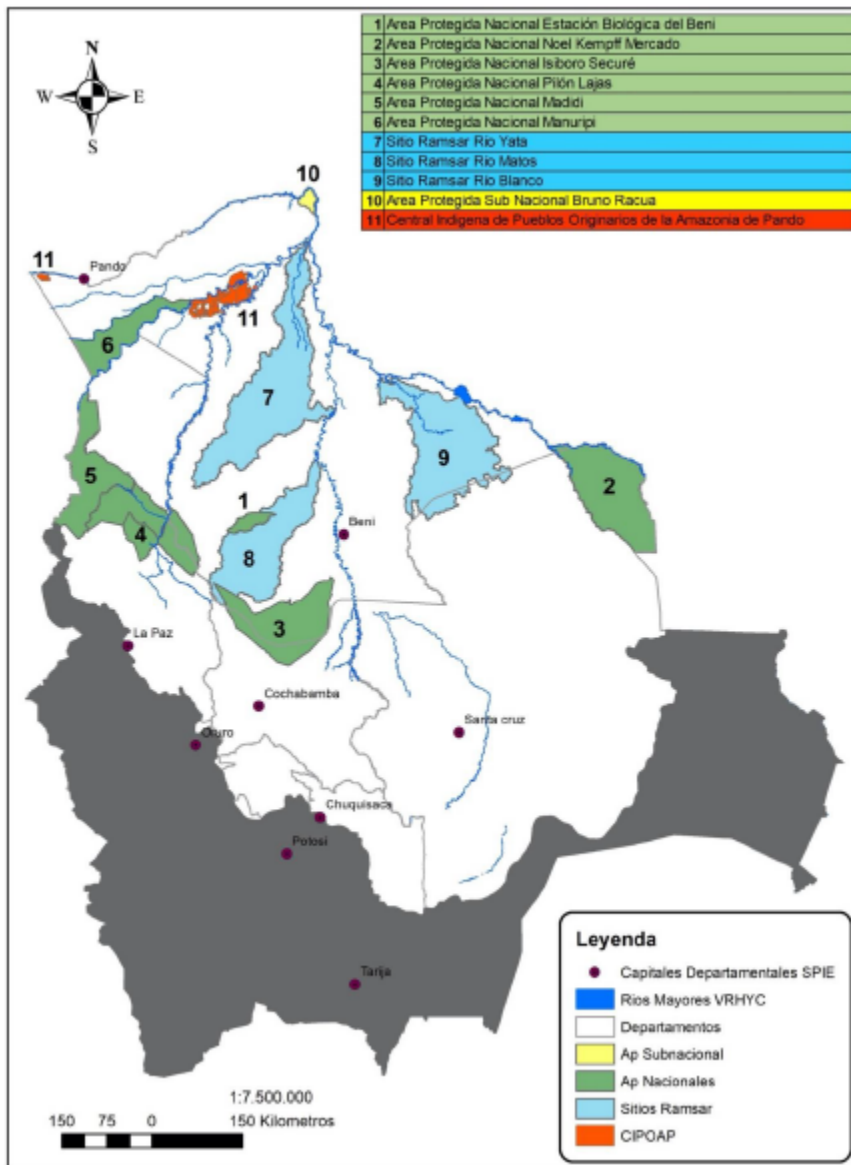
**ANNEX C: Status of Utilization of Project Preparation Grant (PPG).
(Provide detailed funding amount of the PPG activities financing status
in the table below:**

<i>Project Preparation Activities Implemented</i>	<i>GEF/LDCF/SCCF Amount (\$)</i>		
	<i>Budgeted Amount</i>	<i>Amount Spent To date</i>	<i>Amount Committed</i>
Consultancy	177,000	146,785	30,215
Tickets and per diem	10,000	0	10,000
Seminars, training	10,000	0	10,000
Publications, printing, translate	3,000	1,573	1,427

Total	200,000	148,358	51,642

ANNEX D: Project Map(s) and Coordinates

Please attach the geographical location of the project area, if possible.



ANNEX E: Project Budget Table

Please attach a project budget table.

Detailed Budgeted

Amazon Sustainable Landscape Approach in the Plurinational System of Protected Areas and Strategic Ecosystems of Bolivia (GEF Project ID: 10295)

**Project
Budget
22th April
2021**

Expenditure Category	Detailed Description	Component					M&E	PMC	TOTAL	Responsible Entity
		C 1	C 2	C 3	C 4	C 5				
Works										
	<i>Installation and improvement to visitor trails in 7 protected areas</i>	0	210,000	0	0			210,000	Ministry of Environment and Water	
	<i>Improvement to visitor center and sanitation facilities in 7 protected areas</i>	0	350,000	0	0			350,000	Ministry of Environment and Water	
	<i>Development and installation of standardized signage in 7 protected areas</i>	0	140,000	0	0			140,000	Ministry of Environment and Water	
	<i>Minor works for SLWM Demonstration projects in 5 communities</i>	0	0	0	750,000			750,000	Ministry of Environment and Water	
Goods										
	<i>Safety and Evacuation Equipment</i>	0	280,000	0	0			280,000	Ministry of Environment and Water	
	<i>Soil Sampling Kits</i>	0	0	7,000	0			7,000	Ministry of Environment and Water	
	<i>GIS Equipment</i>	0	0	30,000	0			30,000	Ministry of Environment and Water	

	<i>GPS Units</i>	0	0	28,000	0				28,000	Ministry of Environment and Water
	<i>Pesticide Monitoring Kits</i>	0	0	7,000	0				7,000	Ministry of Environment and Water
	<i>Water Quality Monitoring Test Kits</i>	0	0	10,000	0				10,000	Ministry of Environment and Water
	<i>Forest Fire Management Equipment</i>	0	0	140,000	0				140,000	Ministry of Environment and Water
	<i>Field Microscopes</i>	0	0	7,000	0				7,000	Ministry of Environment and Water
	<i>Species Sampling Collection kits</i>	0	0	7,000	0				7,000	Ministry of Environment and Water
	<i>Species Preservation Reagents</i>	0	0	14,000	0				14,000	Ministry of Environment and Water
	<i>Clinometers</i>	0	0	5,000	0				5,000	Ministry of Environment and Water
	<i>Tree Calipers</i>	0	0	3,000	0				3,000	Ministry of Environment and Water
	<i>Ultrasonic Hypsometers</i>	0	0	25,000	0				25,000	Ministry of Environment and Water

	<i>Abney Levels</i>	0	0	4,000	0				4,000	Ministry of Environment and Water
	<i>Digital Tally Counters</i>	0	0	3,000	0				3,000	Ministry of Environment and Water
	<i>Binoculars</i>	0	0	14,000	0				14,000	Ministry of Environment and Water
	<i>Digital Cameras</i>	0	0	21,000	0				21,000	Ministry of Environment and Water
	<i>Miscellaneous Monitoring Accessories</i>	0	0	30,000	0				30,000	Ministry of Environment and Water
	<i>ATV Protected Area Patrol Cycles (7 PAs)</i>	0	0	180,000	0				180,000	Ministry of Environment and Water
	<i>Protected Areas Ranger Gear & Uniforms (7 PAs)</i>	0	0	120,000	0				120,000	Ministry of Environment and Water
	<i>Rugged Field Laptops</i>	0	0	42,000	0				42,000	Ministry of Environment and Water
	<i>Miscellaneous Materials and Goods for SLWM Demonstration Projects in 5 communities</i>	0	0	0	500,000				500,000	Ministry of Environment and Water
	Vehicles	0	0	0	0				0	
	Grants/Sub grants									
	<i>Field Monitoring Activities in 7 protected areas</i>	675000	0	0	0				675,000	Sub-Grant to National Government

	<i>National Consultant - Prioritization Framework for Updated SPAP and Strategic Ecosystems</i>	30000	0	0	0				30,000	Ministry of Environment and Water
	<i>Baseline Assessment of SPAP and Strategic Ecosystems</i>	45000	0	0	0				45,000	Ministry of Environment and Water
	<i>Assessment of Social, Cultural and Economic Implications of an Updated SPAP and Strategic Ecosystems</i>	40000	0	0	0				40,000	Ministry of Environment and Water
	<i>Revised Legal and Institutional Framework for the Updated SPAP and Strategic Ecosystems</i>	30000	0	0	0				30,000	Ministry of Environment and Water
	<i>Review and Update of Management Effectiveness Tool</i>	40000	0	0	0				40,000	Ministry of Environment and Water
	<i>Proposal for Integrated Planning Tool</i>	40000	0	0	0				40,000	Ministry of Environment and Water
	<i>Develop Regulatory Framework for Integrated Planning Tool</i>	30000	0	0	0				30,000	Ministry of Environment and Water
	<i>National Consultant - Development of Funding Mechanisms, including revised Fee Structure</i>	0	30,000	0	0				30,000	Ministry of Environment and Water
	<i>Develop Regulatory Framework for Funding Mechanisms</i>	0	30,000	0	0				30,000	Ministry of Environment and Water

Salary and Benefits and Staff costs										
	<i>Project Coordinator</i>	0	0	0	0			154,000	154,000	Ministry of Environment and Water
	<i>Natural Resources Management Specialist</i>	0	0	0	0			130,000	130,000	Ministry of Environment and Water
	<i>Protected Areas Specialist</i>	0	0	0	0			130,000	130,000	Ministry of Environment and Water
Trainings, Workshops and Meetings										
	<i>Inception Workshop - gender inclusive</i>	0	0	0	0		10,000		10,000	Ministry of Environment and Water
	<i>Project Steering Committee (PSC) and Technical Advisory Committee (TAC) Meetings - gender inclusive; at least 1 per committee per year</i>	0	0	0	0		40,000		40,000	Ministry of Environment and Water
	<i>Consultation Workshops on Prioritization Framework for Updated SPAP and Strategic Ecosystems</i>	15000	0	0	0				15,000	Ministry of Environment and Water
	<i>Consultation Workshops on Standardized Monitoring Protocols</i>	15000	0	0	0				15,000	Ministry of Environment and Water
	<i>Validation Workshops on Updated Management Effectiveness Tool</i>	15000	0	0	0				15,000	Ministry of Environment and Water

	<i>International Consultants</i>	20000	20,000	25,000	10,000				75,000	Ministry of Environment and Water
	<i>National Consultants</i>	13000	18,000	10,000	20,000				61,000	Ministry of Environment and Water
	<i>Routine Supervision Trips to Project Sites</i>	10000	5,000	10,000	40,000				65,000	Ministry of Environment and Water
	<i>Monitoring Visits to Project Sites</i>	0	0	0	0		30,500		30,500	Ministry of Environment and Water
Office Supplies										
	<i>Print cartridges and Toner</i>	0	0	0	0			16,000	16,000	Ministry of Environment and Water
	<i>Other General Supplies: paper, envelopes, tape, clips, etc.</i>	0	0	0	0			8,866	8,866	Ministry of Environment and Water
Publications & Report Preparation										
	<i>Publication of Lessons Learned</i>	15000	20,000	10,000	20,000	5,000			70,000	Ministry of Environment and Water
	<i>Annual Project Implementation Reports (PIRs)</i>	0	0	0	0		20,000		20,000	Ministry of Environment and Water
	<i>Project Final Report</i>	0	0	0	0		5,000		5,000	Ministry of Environment and Water

	<i>Knowledge Management Materials</i>	0	10,000	30,000	20,000				60,000	Ministry of Environment and Water
	<i>Publication of Updated SPAP and Strategic Ecosystems and Associated Maps.</i>	12000	0	0	0				12,000	Ministry of Environment and Water
	<i>Publication of Species and Biodiversity Inventories</i>	15000	0	0	0				15,000	Ministry of Environment and Water
	<i>Publication of Management Plans</i>	0	0	70,000	0				70,000	Ministry of Environment and Water
	<i>Publication of Integrated Planning Tool</i>	20000	0	0	0				20,000	Ministry of Environment and Water
	<i>Publication and socialization of Tourism Development Plan</i>	0	20,000	0	0				20,000	Ministry of Environment and Water
	<i>Web-based Knowledge Management System</i>	0	30,000	40,000	40,000	10,000			120,000	Ministry of Environment and Water
	<i>Implementation of Communication Strategy</i>	30000	40,000	20,000	20,000	10,000			120,000	Ministry of Environment and Water
	<i>Socialization of Updated SPAP and Strategic Ecosystems</i>	20000	0	0	0				20,000	Ministry of Environment and Water
Other Operating Costs										
	<i>Measurement of project indicators including GEF Tracking Tools and Core Indicators</i>	0	0	0	0		40,000		40,000	Ministry of Environment and Water

	<i>Monitoring of Environmental & Social Safeguards</i>	0	0	0	0		20,000		20,000	Ministry of Environment and Water
	<i>Financial Audits</i>	0	0	0	0			40,000	40,000	Ministry of Environment and Water
	<i>Mid-Term Review/Evaluation</i>	0	0	0	0		30,000		30,000	Ministry of Environment & Water, CAF
	<i>Terminal Evaluation</i>	0	0	0	0		45,000		45,000	CAF
Grand Total		1825000	1,893,000	2,682,000	2,906,823	30,000	240,500	478,866	10,056,189	

ANNEX F: (For NGI only) Termsheet

Instructions. Please submit a finalized termsheet in this section. The NGI Program Call for Proposals provided a template in Annex A of the Call for Proposals that can be used by the Agency. Agencies can use their own termsheets but must add sections on Currency Risk, Co-financing Ratio and Financial Additionality as defined in the template provided in Annex A of the Call for proposals. Termsheets submitted at CEO endorsement stage should include final terms and conditions of the financing.

NA

ANNEX G: (For NGI only) Reflows

Instructions. Please submit a reflows table as provided in Annex B of the NGI Program Call for Proposals and the Trustee excel sheet for reflows (as provided by the Secretariat or the Trustee) in the Document Section of the CEO endorsement. The Agency is required to quantify any expected financial return/gains/interests earned on non-grant instruments that will be transferred to the GEF Trust Fund as noted in the Guidelines on the Project and Program Cycle Policy. Partner Agencies will be required to comply with the reflows procedures established in their respective Financial Procedures Agreement with the GEF Trustee. Agencies are welcomed to provide assumptions that explain expected financial reflow schedules.

NA

ANNEX H: (For NGI only) Agency Capacity to generate reflows

Instructions. The GEF Agency submitting the CEO endorsement request is required to respond to any questions raised as part of the PIF review process that required clarifications on the Agency Capacity to manage reflows. This Annex seeks to demonstrate Agencies' capacity and eligibility to administer NGI resources as

established in the Guidelines on the Project and Program Cycle Policy, GEF/C.52/Inf.06/Rev.01, June 9, 2017 (Annex 5).

NA