

Effective Management of Mchinji Ecosystems for Restoration of Upper Bua River Catchment

Review PIF and Make a recommendation

Basic project information

GEF ID
11019
Countries
Malawi
Project Name
Effective Management of Mchinji Ecosystems for Restoration of Upper Bua
River Catchment
Agencies
UNEP
Date received by PM
5/6/2022
Review completed by PM
6/17/2022
Program Manager
Jurgis Sapijanskas

Focal Area		
Multi Focal Area Project Type		
MSP		

PIF

Part I ? Project Information

Focal area elements

1. Is the project/program aligned with the relevant GEF focal area elements in Table A, as defined by the GEF 7 Programming Directions?

Secretariat Comment at PIF/Work Program Inclusion JS 6/17/2022 Cleared.

We note the substantial revision of the project and the welcomed thematic streamlining. However, the entirety of the project seem to also have been substantially reduced in scale (a single forest reserve and its surroundings) when the reduced number of activities and the thematically refocused project should enable impact on a more ambitious scale. The overall framing of the project should indeed be to tackle a more general, systemic issue through piloting some work in a well justified location along with a clear replication/ up-scaling strategy and key national-level interventions (e.g. training of trainers for national forest extension services).

During PPG please thus work on including key national-level interventions (some seem included but the log-frame and the description of the alternative scenario are not sufficiently clear on the scale of the interventions) and defining a strong replication / up-scaling strategy.

JS 6/14/2022 -

1- Please add BD-1-1 (biodiversity mainstreaming) in table A in addition to BD-2-7. Component 2 and part of component 3 should be funded through BD-1-1, as they will not focus on protected areas. BD-2-7 is dedicated to work in protected areas. We note the substantial revision of the project and the welcomed thematic streamlining. However, the entirety of the project seem to also have been substantially reduced in scale (a single forest reserve and its surroundings) when the reduced number of activities and the thematically refocused project should enable impact on a more ambitious scale. The overall framing of the project should indeed be to tackle a more general, systemic issue through piloting some work in a well justified location along with a clear replication/ up-scaling strategy and key national-level interventions (e.g. training of trainers for national forest extension services).

During PPG please thus work on including key national-level interventions (some seem included but the log-frame and the description of the alternative scenario are not sufficiently clear on the scale of the interventions) and defining a strong replication / up-scaling strategy.

JS 5/12/2022

Thank you for the submission of this PIF. However, while the design would be sound overall for a FSP, it does not appear viable as proposed. The relatively small level of funding of this PIF (less than a million from the GEF and almost exclusively in-kind co-finance) is spread across too many activities for any of them to have a significant impact. As a result, interventions are on such a small scale that they are not cost efficient and upscaling/replication will be challenging.

Please revise and concentrate resources on fewer activities. We suggest focusing:

- either on forest management and biodiversity by notably removing component 3 and addressing financial sustainability,

- or on a LDN project by removing most of components 1 and 2 from the design and building on project Malawi's child project of the Drylands IP (GEF ID 10254).

In any case, please embed in the design a clear replication / upscaling strategy.

1. Is the project/program aligned with the relevant GEF focal area elements in Table A, as defined by the GEF 7 Programming Directions? Secretariat Comment at PIF/Work Program Inclusion	
JS 6/14/2022 -	BD-1-1 (biodiversity mainstreaming) has been added in table A in addition to BD-2-7
1- Please add BD-1-1 (biodiversity mainstreaming) in table A in addition to BD-2-7. Component 2 and part of component 3 should be funded through BD-1-1, as they will not focus on protected areas. BD-2-7 is dedicated to work in protected areas.	We agree, during PPG, we will work on including key national-level interventions. We also
We note the substantial revision of the project and the welcomed thematic streamlining. However, the entirety of the project seem to also have been substantially reduced in scale (a single forest reserve and its surroundings) when the reduced number of activities and the thematically refocused project should enable impact on a more ambitious scale. The overall framing of the project should indeed be to tackle a more general, systemic issue through piloting some work in a well justified location along with a clear replication/ up- scaling strategy and key national-level interventions (e.g. training of trainers for national forest extension services).	promise that we will make the log-frame and the description of the alternative scenario more sufficiently clear on the scale of the interventions. We will also aim at defining a strong replication / up-scaling strategy.
During PPG please thus work on including key national-level interventions (some seem included but the log-frame and the description of the alternative scenario are not sufficiently clear on the scale of the interventions) and defining a strong replication / up- scaling strategy.	

JS 5/12/2022

Thank you for the submission of this PIF. However, while the design would be sound overall for a FSP, it does not appear viable as proposed. The relatively small level of funding of this PIF (less than a million from the GEF and almost exclusively in-kind co-finance) is spread across too many activities for any of them to have a significant impact. As a result, interventions are on such a small scale that they are not cost efficient and upscaling/replication will be challenging.

Please revise and concentrate resources on fewer activities. We suggest focusing:

- either on forest management and biodiversity by notably removing component 3 and addressing financial sustainability,

- or on a LDN project by removing most of components 1 and 2 from the design and building on project Malawi's child project of the Drylands IP (GEF ID 10254).

In any case, please embed in the design a clear replication / upscaling strategy.

Response to GEF review comment of 12th May 2022

The PIF has been revised and activities have been reduced. It is now focusing on forest management and biodiversity of the Mchinji ecosystem. What was formerly component 3 has been removed. The project now has only 2 components and the 3rd component is only on M&E. Component 3 has been removed and the focus of the project is now on forest management and biodiversity. This will ensure that there is a focus on biodiversity and protected areas including community forest areas. Since Mchinji does not fall in the category of drylands, it cannot be effectively aligned to the Drylands IP. However, there are still areas of interface with regards to restoration, but they fall in the Forest management and biodiversity category. Hopefully this allows focus on few attainable outcomes that can be upscaled.

An output on development of replication / upscaling strategy has been added.

Indicative project/program description summary

2. Are the components in Table B and as described in the PIF sound, appropriate, and sufficiently clear to achieve the project/program objectives and the core indicators?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022

1- Many outputs are formulated as outcomes (e.g.Output 3.2.1 Alternative livelihood opportunities for women, men and youthin communities enhanced). Please revise.

2- There is no proportionality between GEF-funded and co-financed PMC: GEF financed PMC represents 9.7% of GEF project financing, while 8.6% of co-funding devoted to components is allocated to PMC. Please correct to ensure proportionality.

Agency Response

JS 5/12/2022 1- Many outputs are formulated as outcomes (e.g. Output 3.2.1 Alternative livelihood opportunities for women, men and youth in communities enhanced). Please revise.	Response to GEF review comment of 12th May 2022 The outputs have been reformulated to sound as outputs and not outcomes.
2- There is no proportionality between GEF- funded and co-financed PMC: GEF financed PMC represents 9.7% of GEF project financing, while 8.6% of co-funding devoted to components is allocated to PMC. Please correct to ensure proportionality.	Response to GEF review comment of 12th May 2022 The co-financed PMC has been increased to USD 600,000 (10.5%)

Co-financing

3. Are the indicative expected amounts, sources and types of co-financing adequately documented and consistent with the requirements of the Co-Financing Policy and Guidelines, with a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022

1- Please expand the acronym:

Civil Society Organization	NASFAM (write in full)	In-kind	Recurrent
			expenditures

JS 5/12/2022 1- Please expand the acronym:	Response to GEF review comment of 12th May 2022 NASFAM has been revised and written in full
×	Please see table C of the PIF

GEF Resource Availability

4. Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022

1- Please align the amounts reported in table D with that of Table A, i.e. the total on BD entry points in Table A should equal the total BD STAR in Table D.

JS 5/12/2022 1- Please align the amounts reported in table D with that of Table A, i.e. the total on BD entry points in Table A should equal the total BD STAR in Table D.	Response to GEF review comment of 12th May 2022 The amounts reported in table D have been aligned with that of Table A
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The STAR allocation?

Secretariat Comment at PIF/Work Program Inclusion JS 5/12/2022- Cleared.

Agency Response Cleared on 12th May 2022 The focal area allocation?

Secretariat Comment at PIF/Work Program Inclusion JS 5/12/2022 - Cleared. Malawi has full flexibility.

Agency Response Cleared on 12th May 2022 The LDCF under the principle of equitable access?

Secretariat Comment at PIF/Work Program Inclusion

Agency Response N/A The SCCF (Adaptation or Technology Transfer)?

Secretariat Comment at PIF/Work Program Inclusion

Agency Response N/A Focal area set-aside?

Secretariat Comment at PIF/Work Program Inclusion

Agency Response N/A Impact Program Incentive?

Secretariat Comment at PIF/Work Program Inclusion

Agency Response N/A Project Preparation Grant

5. Is PPG requested in Table E within the allowable cap? Has an exception (e.g. for regional projects) been sufficiently substantiated? (not applicable to PFD)

Secretariat Comment at PIF/Work Program Inclusion JS 5/12/2022 - Cleared.

Agency Response Cleared on 12th May 2022 Core indicators

6. Are the identified core indicators in Table F calculated using the methodology included in the corresponding Guidelines? (GEF/C.54/11/Rev.01)

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared. The attached Ex-ACT calculation are noted.

During PPG, please:

- explore all possibilities to improve the cost efficiency of the project and increase targets on core indicator 1 and 4. The project indeed remains very small in scale. Given the now streamlined project design and focus on forest management, impact in this area should be achievable on a larger scale.

- refine the Ex-Act calculation to ensure consistency with the other core indicator targets, and include the underlying assumptions in the CEO approval request under table F. Ex-ACT calculations currently do not account for the improved management in the Mchinji Forest Reserve,

- provide in the CEO approval the cost assumptions underlying the restoration target.

JS 5/12/2022

1- The project's cost effectiveness, as measured through core indicators, is very low. The project is entirely devoted to a single river catchment basin of ca. 1 million ha and yet would have an impact on only 11,500 ha. While simplifying the design to concentrate resource on fewer and more impactful activities, please ensure an acceptable level of cost-effectiveness in the delivery of global environmental benefits. We, for example, note that the Nkhotakota Wildlife Reserve (WDPA ID 2318), the Ntchisi forest reserve (WDPA ID 33185), the Kasungu National Park (WDPA ID 780), and to a small extent the Dzalanyama forest reserve (WDPA ID 33184) are also to be part of the project landscape but were not to benefit from the project as originally designed.

2- Please add a short explanation under table F of how the targets were derived (e.g. main assumptions to derive the number of beneficiaries, assumptions on restoration cost, etc.).

3- core indicator 6: Please add a target under core indicator 6 to capture the climate mitigation co-benefits of the project.

4- 2- Following comments relate closely to the PIF's current formulation. They are to be addressed if still relevant once the project design has been simplified:

4.a- core indicator 1: Please add the WDPA ID and if known the IUCN category in the portal entry:

Name of the Protected Area	WDPA ID	IUCN Category	Ha (Expected at PIF) ①	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR) ①	Total Ha (Achieved at TE) 0	METT score (Baseline at CEO Endorsement)	METT score (Achieved at MTR)	METT score (Achieved at TE)	
Mchinji FR	-	-	300.00							ſ

b: According to the WDPA under the ID 33183, the Mchinji forest reserve is 19,166 ha. The PIF also states that forest reserves in the Mchinji district cover 21, 385 ha. Please confirm or correct the 300 ha reported in the portal entry and make sure to correct, if needed, the WDPA entry as part of the PPG, or project implementation at the latest.

c: The PIF mentions the inclusion of the Ngara hills forest reserve in the scope of the project (WDPA ID 33205, 2,253 ha) but it is not reflected in the core indicator. Please correct or explain.

6. Are the identified core indicators in Table F calculated using the methodology included in the corresponding Guidelines? (GEF/C.54/11/Rev.01)

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared. The attached Ex-ACT calculation are noted.

During PPG, please:

- explore all possibilities to improve the cost efficiency of the project and increase targets on core indicator 1 and 4. The project indeed remains very small in scale. Given the now streamlined project design and focus on forest management, impact in this area should be achievable on a larger scale.

- refine the Ex-Act calculation to ensure consistency with the other core indicator targets, and include the underlying assumptions in the CEO approval request under table F. Ex-ACT calculations currently do not account for the improved management in the Mchinji Forest Reserve,

 provide in the CEO approval the cost assumptions underlying the restoration target.

We agree.

- During PPG, we will explore all possibilities to improve the cost efficiency of the project and increase targets on core indicator 1 and 4.

- during PPG, we will refine the Ex-Act calculation to ensure consistency with the other core indicator targets, and include the underlying assumptions in the CEO approval request under table F.

IS 5/12/2022	
JS 5/12/2022	
1- The project's cost effectiveness, as measured through core indicators, is very low. The project is entirely devoted to a single river catchment basin of ca. 1 million ha and yet would have an impact on only 11,500 ha. While simplifying the design to concentrate resource on fewer and more impactful activities, please ensure an acceptable level of cost-effectiveness in the delivery of global environmental benefits. We, for example, note that the Nkhotakota Wildlife Reserve (WDPA ID 2318), the Ntchisi forest reserve (WDPA ID 33185), the Kasungu National Park (WDPA ID 780), and to a small extent the Dzalanyama forest reserve (WDPA ID 33184) are also to be part of the project landscape but were not to benefit from the project as originally designed.	The project has been redesigned to focus on Mchingi forest ecosystem only. Mchinji is the origin of Bua River and upper catchment. Mchinji district has two forest reserves, namely; Thyolansanu and Mchinji. In total they cover 21,385 hectares. However, Mchinji forest reserve is 19, 166 ha. The 300 ha has been corrected to be 19, 166 ha. Ngara hills has been removed from the scope because of the need to focus the few resources for the best outcome These have been corrected, Nkhotakota and Kasungu represent a small percentage of Bua catchment and have some initiatives ongoing. Mchinji has no initiative yet it is 100% a catchment of Bua River. Bua River is the biggest tributary from Malawi side for Lake Malawi which is a Biosphere reserve and world heritage site. Ntchisi is not a catchment area of Bua.
2- Please add a short explanation under table F of how the targets were derived (e.g. main assumptions to derive the number of beneficiaries, assumptions on restoration cost, etc.).	A short explanation under table F of how the targets were derived has been added. Mchinji forest reserve is 19, 166 ha.
3- core indicator 6: Please add a target under core indicator 6 to capture the climate mitigation co- benefits of the project.	A target of 1,297,850 million metric tons of CO2e has been added under core indicator 6. Refer to the attached EXACT calculation worksheet
 4- 2- Following comments relate closely to the PIF's current formulation. They are to be addressed if still relevant once the project design has been simplified: 4.a- core indicator 1: Please add the WDPA ID and if known the IUCN category in the portal entry: 	The WDPA ID and the IUCN category for Mchinji have been added in the portal The WDPA ID is 33183 and the IUCN category is ?Protected area with sustainable use of natural resources?

b: According to the WDPA under the ID 33183, the Mchinji forest reserve is 19,166 ha. The PIF also states that forest reserves in the Mchinji district cover 21, 385 ha. Please confirm or correct the 300ha reported in the portal entry and make sure to correct, if needed, the WDPA entry as part of the PPG, or project implementation at the latest.	The WDPA ID and the IUCN category for Mchinji have been added in the portal Mchinji forest reserve is 19, 166 ha. The 300 ha has been corrected to be 19, 166 ha.
c: The PIF mentions the inclusion of the Ngara hills forest reserve in the scope of the project (WDPA ID 33205, 2,253 ha) but it is not reflected in the core indicator. Please correct or explain.	The project has been redesigned to focus on Mchinji forest reserve only Ngara hills has been removed from the scope because of the need to focus the few resources for the best outcome

Project/Program taxonomy

7. Is the project/program properly tagged with the appropriate keywords as requested in Table G?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

During PPG, please reconsider the tags (eg. Sustainable Pasture management no longer seems to be part of the project).

JS 5/12/2022- To be revisited once the design has been simplified.

7. Is the project/program properly tagged with the appropriate keywords as requested in Table G?	Sustainable Pasture
Secretariat Comment at PIF/Work Program Inclusion	management has been
JS 6/14/2022 - Cleared.	tagged off
During PPG, please reconsider the tags (eg. Sustainable Pasture management no longer seems to be part of the project). JS 5/12/2022- To be revisited once the design has been simplified.	

JS 5/12/2022- To be revisited once the design has	The overall design of the project has been
been simplified.	simplified

Part II ? Project Justification

1. Has the project/program described the global environmental/adaptation problems, including the root causes and barriers that need to be addressed?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

During PPG, please:

- develop a clear problem statement, including root cause, threats and barriers, with a more general scope than a single forest reserve. This section is indeed now entirely focused on the Mchinji Forest Reserve. The overall framing of the project should be to tackle a more general, systemic issue (e.g. forest degradation) through piloting some work in a well justified location along with a clear replication, up-scaling strategy and, to the extent possible, national-level interventions,

- better justify the relevance of the targeted sites with regards to biodiversity of global significance.

JS 5/12/2022

1- Please correct the many typos throughout the PIF, including but not limited to:

Agriculture, which is the most representative land use in the Bua River Catchment is a major driver of the continued pressure on land and resources. In 2010, rainfed herbaceous crops with small sized fields represented about 58% of the land cover in the Bua Catchment and all managed terrestrial areas represented about 68% of the cathment land cover. The natural or semi-natural terrestrial vegetation represented obly catchment land cover and open woodlade with herbaceous layer is the most frequest at 12.1%. Natural dambo areas are also important within occupying 14.3% and buildt up areas only represent 1% of the catchment land cover. Over a period of 20 years between 2000 and 2020, crincreased by 28,848.20 ha. Cultivation land mostly expanded into area covered by forest and customary land and the area which has degra customary land. The area covered by degraded forests in 2000 dropped by 12, 027.91 hacteres and forests, both in protected ateas and customary by 30,294.61 ha representing 2.85% of the Bua river catchment. On customary land forest was converted to cultivation land and some parts were determined to cultivation land and some parts were determined.

2- Please focus and refine the barrier analysis according to the overall simplification of the project design.

3- Following comments relate closely to the PIF's current formulation. They are to be addressed if still relevant once the project design has been simplified:

- Please explain the land tenure context in the PIF, including what are and how are governed forest reserves, customary land and communal land in Malawi.

- Please justify the relevance of the targeted sites with regards to biodiversity of global significance.

Part II ? Project Justification 1. Has the project/program described the global environmental/adaptation problems, including the root causes and barriers that need to be addressed? Secretariat Comment at PIF/Work Program Inclusion	
JS 6/14/2022 - Cleared. During PPG, please:	We agree, during PPG, we will develop a clear problem statement,
 develop a clear problem statement, including root cause, threats and barriers, with a more general scope than a single forest reserve. This section is indeed now entirely focused on the Mchinji Forest Reserve. The overall framing of the project should be to tackle a more general, systemic issue (e.g. forest degradation) through piloting some work in a well justified location along with a clear replication, up-scaling strategy and, to the extent possible, national-level interventions. better justify the relevance of the targeted sites with regards to 	including root cause, threats and barriers, with a more general scope than a single forest reserve
biodiversity of global significance.	

JS 5/12/2022 1- Please correct the many typos throughout the PIF, including but not limited to:	The typos throughout the PIF have been corrected
2- Please focus and refine the barrier analysis according to the overall simplification of the project design.	The barriers analysis has been refocused to Mchinji district and Mchinji forest reserve
 3- Following comments relate closely to the PIF's current formulation. They are to be addressed if still relevant once the project design has been simplified: Please explain the land tenure context in the PIF, including what are and how are governed forest reserves, customary land and communal land in Malawi. 	The description of land tenure has been added in section 1.1 of the PIF but a detailed context will be properly exhausted during the PPG
- Please justify the relevance of the targeted sites with regards to biodiversity of global significance.	relevance of the targeted sites with regards to biodiversity of global significance have been properly provided in sections 1.1, and 1.4 and 1.5 of the PIF

2. Is the baseline scenario or any associated baseline projects appropriately described?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

At CEO approval stage, please:

- provide the timelines and the budget of the projects cited in the baseline

- provide additional information on the UNDP-supported Bua River Restoration and Management Plan and how this PIF relates to it. In particular, please provide the resulting prioritization map of the UNDP-supported Bua River Restoration and Management Plan and explain in detail how the PIF interventions relate to it.

-clarify for the projects / programs that are overlapping in interventions and/or target sites (e.g. USAID MCHF), how complementarity and added-value will be ensured.

JS 5/12/2022

1- Please provide the timelines and, whenever possible, the budget of the projects cited in the baseline.

2- Please provide additional information on the UNDP-supported Bua River Restoration and Management Plan. It includes: when it was developed, what it covers more precisely, if it was part of a project with support for implementation, and how this PIF relates to it. In particular, why would this project support the development of management plans if it has already been done? Please also provide, if available, the resulting prioritization map of the UNDP-supported Bua River Restoration and Management Plan and explain in detail how the PIF interventions relate to it.

3 - Please add the following project to the baseline:

10411 - AfDB - *Malawi-climate resilient and sustainable capture fisheries, aquaculture development and watershed management project,* which is notably set to pilot community-based soil and water conservation and improved fallow and agroforestry in the Bua river basin.

10254 - FAO - Transforming landscapes and livelihoods: A cross-sector approach to accelerate restoration of Malawi?s Miombo and Mopane woodlands for sustainable forest and biodiversity management, which targets other landscapes but will generate

lessons and includes national-level interventions on which this PIF should build (e.g. training on LDN, LDN monitoring framework, information clearing house).

3- Please clarify in the PIF, for the projects / programs that are overlapping in interventions and target sites (e.g. KULIMA, USAID MCHF, PROSPER), how complementarity will be ensured and whether they are to provide co-funding to this GEF PIF. In doing so, please clarify the intervention sites of KULIMA and MCHF as current formulation is not clear ("MCHF project will provide technical backstopping and training to participating institutions in delivery of forestry services and sustainable use of forestry resources in targeted areas and support in Regulatory and enforcement frameworks and that is here its investment will be", "this EU-funded KULIMA Programme, training of farmers and establishment of FFS in the targeted districts and that is here its investment will be").

2. Is the baseline scenario or any associated baseline projects appropriately described? Secretariat Comment at PIF/Work Program Inclusion	We agree that at CEO approval stage, we will: - provide the timelines and
JS 6/14/2022 - Cleared.	the budget of the projects cited in the baseline
At CEO approval stage, please:	- provide additional information on the UNDP-
- provide the timelines and the budget of the projects cited in the baseline	supported Bua River Restoration and Management Plan and how
- provide additional information on the UNDP-supported Bua River Restoration and Management Plan and how this PIF relates to it. In particular, please provide the resulting prioritization map of the UNDP-supported Bua River Restoration and Management Plan and explain in detail how the PIF interventions relate to it.	this PIF relates to it. -clarify for the projects / programs that are overlapping in interventions and/or target sites, how complementarity
-clarify for the projects / programs that are overlapping in interventions and/or target sites (e.g. USAID MCHF), how complementarity and added-value will be ensured.	and added-value will be ensured.

JS 5/12/2022	The timelines and the budget of the projects
	cited in the baseline will be properly
1- Please provide the timelines and, whenever	exhausted during the PPG. In fact, more
possible, the budget of the projects cited in the	might be identified
baseline.	8

2- Please provide additional information on the UNDP-supported Bua River Restoration and Management Plan. It includes when it was developed, what it covers more precisely, if it was part of a project with support for implementation, and how this PIF relates to it. In particular, why would this project support the development of management plans if it has already been done? Please also provide, if available, the resulting prioritization map of the UNDP-supported Bua River Restoration and Management Plan and explain in detail how the PIF interventions relate to it.	The project designed has now been changed to focus on Mchinji forest reserve. It no longer focusing on the entire Bua river basin.
 3 - Please add the following project to the baseline: 10411 - AfDB - Malawi-climate resilient and sustainable capture fisheries, aquaculture development and watershed management project, which is notably set to pilot community- based soil and water conservation and improved fallow and agroforestry in the Bua river basin. 10254 - FAO - Transforming landscapes and livelihoods: A cross-sector approach to accelerate restoration of Malawi?s Miombo and Mopane woodlands for sustainable forest and biodiversity management, which targets other landscapes but will generate lessons and includes national-level interventions on which this PIF should build (e.g. training on LDN, LDN monitoring framework, information clearing house). 	These 2 projects have now been included
3- Please clarify in the PIF, for the projects / programs that are overlapping in interventions and target sites (e.g. KULIMA, USAID MCHF, PROSPER), how complementarity will be ensured and whether they are to provide co-funding to this GEF PIF. In doing so, please clarify the intervention sites of KULIMA and MCHF as current formulation is not clear ("MCHF project will provide technical backstopping and training to participating institutions in delivery of forestry services and sustainable use of forestry resources in targeted areas and support in Regulatory and enforcement frameworks and that is here its investment will be", "this EU-funded KULIMA Programme, training of farmers and establishment of FFS in the targeted districts and that is here its investment will be").	the component to which KULIMA was going to participate in has been removed. In addition, the details of who will do what will be effectively explored at PPG stage

3. Does the proposed alternative scenario describe the expected outcomes and components of the project/program?

Secretariat Comment at PIF/Work Program Inclusion JS 6/16/2022 - Cleared.

At CEO approval stage:

 please provide detailed description of the alternative scenario, including all outcomes, outputs and tentative underlying activities

- please refine the theory of change and develop a narrative. While there remains diverse ways of presenting a ToC, key issues are to communicate clearly, through a diagram and a narrative, the causal pathways by which interventions are expected to have the desired effect and the justification that these causal pathways are necessary and sufficient. Please refer to STAP's guidance: https://www.stapgef.org/resources/advisory-documents/theory-change-primer

JS 6/14/2022 -

Please remove entirely the narrative in the PIF (paragraph from "The intervention logic for the project ..." to "supporting local government and institutions.") as it is not adequate and, entirely generic could apply to any project:

At CEO approval stage:

 please provide detailed description of the alternative scenario, including all outcomes, outputs and tentative underlying activities

 please refine the theory of change and develop a narrative. While there remains diverse ways of presenting a ToC, key issues are to communicate clearly, through a diagram and a narrative, the causal pathways by which interventions are expected to have the desired effect and the justification that these causal pathways are necessary and sufficient.
 Please refer to STAP's guidance: https://www.stapgef.org/resources/advisorydocuments/theory-change-primer

JS 5/12/2022 - To be revisited once the project has been focused on fewer activities.

1- Please provide an adequate description of the alternative scenario. The current elaboration is almost exclusively a justification of the project and explanation of the problems to be addressed. It does not describe the outputs, let alone give an idea of the anticipated project activities.

2- The theory of change narrative is inadequate. It is almost entirely generic and could apply to any project:

Theory of change

The above alternative scenario can be summarized into a Theory of Change (described and graphically presented below): The intervention logic for the project is premised on the understanding that resources will be deployed to implement the interoutputs which in turn will lead to certain institutional and behavioral changes (outcomes) at the intermediate level provided that the conditions governing project implementation hold true. At the lowest level of the theory of change, necessary and sufficient inter deliver outputs. The key assumptions underpinning this level of the theory of change is that there is political will for integrated la and commitment from the local communities. The next level of the theory of change, shows that outputs will lead directly outcomes, namely:

While there remains diverse ways of presenting a ToC, key issues are to communicate clearly, through a diagram and a narrative, the causal pathways by which interventions are expected to have the desired effect and the justification that these causal pathways are necessary and sufficient. Please refer to STAP's guidance: https://www.stapgef.org/resources/advisory-documents/theory-change-primer

3. Does the proposed alternative scenario describe the expected outcomes and components of the project/program? Secretariat Comment at PIF/Work Program Inclusion	
JS 6/14/2022 - Please remove entirely the narrative in the PIF (paragraph from "The intervention logic for the project" to "supporting local government and institutions.") as it is not adequate and, entirely generic could apply to any project:	This narrative has been removed from both the PIF and the portal
At CEO approval stage: - please provide detailed description of the alternative scenario, including all outcomes, outputs and tentative underlying activities	We agree that At CEO approval stage,
- please refine the theory of change and develop a narrative. While there remains diverse ways of presenting a ToC, key issues are to communicate clearly, through a diagram and a narrative, the causal pathways by which interventions are expected to have the desired effect and the justification that these causal pathways are necessary and sufficient. Please refer to STAP's guidance: https://www.stapgef.org/resources/advisory-documents/theory-change-	we will: - provide detailed description of the alternative scenario, including all outcomes, outputs and tentative underlying activities
primer	- refine the theory of change and develop a narrative.

 JS 5/12/2022 - To be revisited once the project has been focused on fewer activities. 1- Please provide an adequate description of the alternative scenario. The current elaboration is almost exclusively a justification of the project and explanation of the problems to be addressed. It does not describe the outputs, let alone give an idea of the anticipated project activities. 	the expected outcomes and components have been reduced and are now focusing only on forestry. The PIF has been revised and activities have been reduced. It is now focusing on forest management and biodiversity of the Mchinji ecosystem. We have tried to adequate describe the alternative scenario and a deeper description will be done during the PPG stage
2- The theory of change narrative is inadequate. It is almost entirely generic and could apply to any project:	We have tried to revise the ToC according to the reduced components and outputs. A stronger/better ToC will be developed during the PPG stage because we plan to hire a good consultant.
While there remains diverse ways of presenting a ToC, key issues are to communicate clearly, through a diagram and a narrative, the causal pathways by which interventions are expected to have the desired effect and the justification that these causal pathways are necessary and sufficient. Please refer to STAP's guidance: https://www.stapgef.org/resources/advisory- documents/theory-change-primer	

4. Is the project/program aligned with focal area and/or Impact Program strategies?

Secretariat Comment at PIF/Work Program Inclusion JS 6/16/2022 - Cleared.

JS 6/14/2022 - In addition to the elaboration already included for BD 2-7, please justify in one or two sentences alignement with BD-1-1. Component 2 in particular is dedicated to biodiversity mainstreaming outside of protected areas. To be revisited once the project has been focused on fewer activities.

Agency Response

 4. Is the project/program aligned with focal area and/or Impact Program strategies? Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - In addition to the elaboration already included for BD 2-7, please justify in one or two sentences alignment with BD-1-1. Component 2 in particular is dedicated to biodiversity mainstreaming outside of protected areas. 	This has been added in both the portal and the PIF
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Secretariat Comment at PIF/Work Program Inclusion	The project has been focused on fewer activities. It now has 2 components and 2
To be revisited once the project has been focused on fewer activities.	outcomes.

5. Is the incremental/additional cost reasoning properly described as per the Guidelines provided in GEF/C.31/12?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022 - To be revisited once the project has been focused on fewer activities and comments on the baseline have been addressed.

Agency ResponseJS 5/12/2022 - To be revisited once the
project has been focused on fewer activities
and comments on the baseline have been
addressed.the incremental/additional cost reasoning has
been revised according to the reduced
components and it will be further deeply
described during the PPG stage.

6. Are the project?s/program?s indicative targeted contributions to global environmental benefits (measured through core indicators) reasonable and achievable? Or for adaptation benefits?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

Please see comments above on core indicators.

Agency Response

6. Are the project?s/program?s indicative targeted contributions to global environmental benefits (measured through core indicators) reasonable and achievable? Or for adaptation benefits? Secretariat Comment at PIF/Work Program	Please see our response above on core indicators.
Inclusion	
Please see comments above on core indicators.	

7. Is there potential for innovation, sustainability and scaling up in this project?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

However, please see request for PPG in the first comment box.

JS 5/12/2022 - To be revisited once the project has been focused on fewer activities.

1- The PIF as formulated is very local in scale with no strategy for replication/upscaling and no national-level intervention. Please embed in the design a replication/upscaling strategy, including dedicated output(s).

Agency Response this is noted and will properly handed at PPG stage

JS 5/12/2022 - To be revisited once the project has been focused on fewer activities.	
1- The PIF as formulated is very local in scale with no strategy for replication/upscaling and no national-level intervention. Please embed in the design a replication/upscaling strategy, including dedicated output(s).	An output on developing a replication/upscaling strategy has been included under component 3 on M&E

Project/Program Map and Coordinates

Is there a preliminary geo-reference to the project?s/program?s intended location?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022- A map is provided. However, please add coordinates as text in the portal entry.

Agency Response

JS 5/12/2022- A map is provided. However, please add coordinates as text in the portal entry.	
	The map with coordinates has been provided

Stakeholders

Does the PIF/PFD include indicative information on Stakeholders engagement to date? If not, is the justification provided appropriate? Does the PIF/PFD include information about the proposed means of future engagement?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022 - Please provide in the PIF a short summary of the the consultations made to date.

Agency Response

JS 5/12/2022 - Please provide in the PIF a short	A summary of the consultations made has
summary of the consultations made to date.	been provided in section 2 of the PIF at the
	bottom of the table of stakeholders

Gender Equality and Women?s Empowerment

Is the articulation of gender context and indicative information on the importance and need to promote gender equality and the empowerment of women, adequate?

Secretariat Comment at PIF/Work Program Inclusion JS 6/16/2022 - Cleared.

The response that specific women groups will be identified during the PPG stage is well noted. Please also engage with gender specialists during PPG.

JS 6/16/2022 - The project document provides a clear description of the gender equality considerations in the section on Gender Equality and Women's Empowerment, demonstrating a clear understanding of how the project will contribute to gender equality objectives and women's empowerment. However:

1- Please reflect in table B / Output 2.1 that the assessment will be done taking gender equality considerations or gender perspectives into account (as already reflected in the section on Gender Equality). Suggested language is: output 2.1 *Gender responsive* assessment of biodiversity and values in community forests and communal lands is conducted.

2. Please include/specify women groups and gender experts among stakeholders listed in the table provided in section 2. Stakeholders.

JS 5/12/2022 - To be revisited once the project has been focused on fewer activities.

1- Please confirm in the PIF that a gender analysis will be carried out during PPG and a gender action plan or equivalent will be developed.

Agency Response

Gender Equality and Women?s Empowerment	
Is the articulation of gender context and indicative information on the importance and need to promote gender equality and the empowerment of women, adequate? Secretariat Comment at PIF/Work Program Inclusion JS 6/16/2022 - The project document provides a clear description of the gender equality considerations in the section on Gender Equality and Women's Empowerment, demonstrating a clear understanding of how the project will contribute to gender equality objectives and women's empowerment. However:	This output has been revised accordingly
 Please reflect in table B / Output 2.1 that the assessment will be done taking gender equality considerations or gender perspectives into account (as already reflected in the section on Gender Equality). Suggested language is: output 2.1 <i>Gender responsive assessment of biodiversity and values in community forests and communal lands is conducted</i>. Please include/specify women groups and gender experts among stakeholders listed in the table provided in section 2. Stakeholders. 	The specific women groups will be identified during the PPG stage

1- Please confirm in the PIF that a gender analysis will be carried out during PPG and a gender action plan or equivalent will be developed.	The project has been focused on fewer activities and a gender writeup has been included in section 3 of the PIF but we confirm that a deeper gender analysis will be carried out during PPG and a gender action
	plan or equivalent will be developed

Private Sector Engagement

Is the case made for private sector engagement consistent with the proposed approach?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022- To be revisited once the project has been focused on fewer activities.

Agency Response

JS 5/12/2022- To be revisited once the project has been focused on fewer activities.	The project has been focused on fewer activities and a private sector engagement writeup has been included in section 4 of the PIF but we confirm that a deeper description of private sector engagement will be carried out during PPG
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Risks to Achieving Project Objectives

Does the project/program consider potential major risks, including the consequences of climate change, that might prevent the project objectives from being achieved or may be resulting from project/program implementation, and propose measures that address these risks to be further developed during the project design?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

At CEO approval stage, please:

provide a thorough climate risk assessment and mitigation measures. Please see related
 STAP guidance

(https://stapgef.org/sites/default/files/publications/Climate%20Risk%20Screening%20w eb%20posting.pdf)

- provide a strategy or action framework for the COVID-19 pandemic. This should include an analysis of emergent ?risks? and ?opportunities? relative to specific context for the project. Please refer to "Project Design and Review Considerations in Response to the COVID-19 Crisis and the Mitigation of Future Pandemics"

(https://www.thegef.org/documents/project-design-and-review-considerations-responsecovid-19-crisis-and-mitigation-future) and revise the COVID risk analysis and/or other parts of the CEO endorsement request accordingly.

JS 5/12/2022- To be revisited once the project has been focused on fewer activities.

1- Please see STAP guidance on climate risk screening (link below) and provide at least a basic climate risk screening at PIF stage. At a minimum, at PIF stage, the climate risks should be identified, listed and described. This can include:

a.) Outlining the key aspects of the climate change projections/scenarios at the project location (or as close to it with data available), which are relevant for the type of intervention being financed (e.g. changes in temperatures, rainfalls, increased flooding, sea level rise, saltwater acquirer contamination, increased soil erosion, etc).
b.) Time horizon if feasible/data available (e.g. up to 2050). Please refer to list of examples from STAP guidance.

c.) Listing key potential hazards for the project that are related to the aspects of the climate scenarios listed above (describe how the climate scenarios identified above are likely to affect the project, during 2020-2050).

d.) Describing plans for climate change risk assessment and mitigation measures during PPG.

(https://stapgef.org/sites/default/files/publications/Climate%20Risk%20Screening%20w eb%20posting.pdf)

2- Please remove the risk related to implementation of IAS activities as it is not part of the project:

Insufficient funding	
and Government	Low
support to continue	
implementation of	
IAS activities after	
the project ends	

3- Please clarify why the following is relevant for the target sites:

Sharing boarders with other countries m aking it difficult for planning and enforc ing some of the legislation on citizens of the other country	

4- Please note however that the GEF expects all new PIFs and CEO Endorsements to demonstrate a strategy or action framework for the COVID-19 pandemic. This should include an analysis of emergent ?risks? and ?opportunities? relative to specific context for the project. Please refer to "Project Design and Review Considerations in Response to the COVID-19 Crisis and the Mitigation of Future Pandemics"

(https://www.thegef.org/documents/project-design-and-review-considerationsresponse-covid-19-crisis-and-mitigation-future) and revise the COVID risk analysis and/or other parts of the CEO endorsement request accordingly.

Does the project/program consider potential major risks, including the consequences of climate change, that might prevent the project objectives from being achieved or may be resulting from project/program implementation, and propose measures that address these risks to be further developed during the project design?	
Secretariat Comment at PIF/Work Program Inclusion	We agree
JS 6/14/2022 - Cleared,	that at CEO
At CEO approval stage, please:	approval stage, we
- provide a thorough climate risk assessment and mitigation measures. Please see related STAP	will:
guidance (https://stapgef.org/sites/default/files/publications/Climate%20Risk%20Screening%20web%20posting .pdf)	- provide a thorough climate risk
- provide a strategy or action framework for the COVID-19 pandemic. This should include an analysis of emergent ?risks? and ?opportunities? relative to specific context for the project. Please refer to "Project Design and Review Considerations in Response to the COVID-19 Crisis and the Mitigation of Future Pandemics" (https://www.thegef.org/documents/project-design-and-review-considerations- response-covid-19-crisis-and-mitigation-future) and revise the COVID risk analysis and/or other parts	assessmen t and mitigation measures. - provide a
of the CEO endorsement request accordingly.	strategy or
	action framewor
	k for the
	COVID-
	19
	pandemic.

 JS 5/12/2022- To be revisited once the project has been focused on fewer activities. 1- Please see STAP guidance on climate risk screening (link below) and provide at least a basic climate risk screening at PIF stage. At a minimum, at PIF stage, the climate risks should be identified, listed and described. This can include: a.) Outlining the key aspects of the climate change projections/scenarios at the project location (or as close to it with data available), which are relevant for the type of intervention being financed (e.g. changes in temperatures, rainfalls, increased flooding, sea level rise, saltwater acquirer contamination, increased soil erosion, etc). b.) Time horizon if feasible/data available (e.g. up to 2050). Please refer to list of examples from STAP guidance. c.) Listing key potential hazards for the project that are related to the aspects of the climate scenarios listed above (describe how the climate scenarios identified above are likely to affect the project, during 2020-2050). d.) Describing plans for climate change risk assessment and mitigation measures during PPG. (https://staggef.org/sites/default/files/publications/Climate%20Risk%20Screening%20web%20pos ting.pdf) 	The project has been focused on fewer activities. Risks and their mitigation measures have been revised accordingly in section 5 of the PIF. We confirm that a detailed climate risk screening will be conducted during PPG
2- Please remove the risk related to implementation of IAS activities as it is not part of the project:	the risk related to implementatio n of IAS activities has been removed
3- Please clarify why the following is relevant for the target sites:	This has been removed because it is not relevant

	A risk on
4- Please note however that the GEF expects all new PIFs and CEO Endorsements to demonstrate	COVID19 has
a strategy or action framework for the COVID-19 pandemic. This should include an analysis of	been included
emergent ?risks? and ?opportunities? relative to specific context for the project. Please refer to	in section 5 of
"Project Design and Review Considerations in Response to the COVID-19 Crisis and the	the PIF. We
Mitigation of Future Pandemics" (https://www.thegef.org/documents/project-design-and-review-	confirm that a
considerations-response-covid-19-crisis-and-mitigation-future) and revise the COVID risk analysis	strategy or
and/or other parts of the CEO endorsement request accordingly.	action
	framework for
	the COVID-
	19 pandemic
	will be very
	well
	demonstrated
	during PPG
	because we
	will hire a
	good
	consultant

Coordination

Is the institutional arrangement for project/program coordination including management, monitoring and evaluation outlined? Is there a description of possible coordination with relevant GEF-financed projects/programs and other bilateral/multilateral initiatives in the project/program area?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022

1- Please add a description of possible coordination with relevant GEF-financed projects/programs and other bilateral/multilateral initiatives in the project/program area. Please consider notably adding:

10411 - AfDB - *Malawi-climate resilient and sustainable capture fisheries, aquaculture development and watershed management project,* which is notably set to pilot community-based soil and water conservation and improved fallow and agroforestry in the Bua river basin.

10254 - FAO - Transforming landscapes and livelihoods: A cross-sector approach to accelerate restoration of Malawi?s Miombo and Mopane woodlands for sustainable forest and biodiversity management

Agency Response

JS 5/12/2022 1- Please add a description of possible coordination with relevant GEF-financed projects/programs and other bilateral/multilateral initiatives in the project/program area. Please consider notably adding: 10411 - AfDB - <i>Malawi-climate resilient and</i> <i>sustainable capture fisheries, aquaculture</i> <i>development and watershed management</i> <i>project,</i> which is notably set to pilot community- based soil and water conservation and improved fallow and agroforestry in the Bua river basin. 10254 - FAO - Transforming landscapes and livelihoods: A cross-sector approach to accelerate restoration of Malawi?s Miombo and Mopane woodlands for sustainable forest and biodiversity management	A description of possible coordination with relevant GEF-financed projects/programs and other bilateral/multilateral initiatives has been included in section 1.2 of the PIF and more detailed one will be explored during the PPG stage
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Consistency with National Priorities

Has the project/program cited alignment with any of the recipient country?s national strategies and plans or reports and assessments under relevant conventions?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022- To be revisited.

Agency Response

Has the project/program cited alignment with any of the recipient country?s national strategies and plans or reports and assessments	Section 7 of the PIF provides a description of the Project?s alignment with Malawi?s national strategies and plans
under relevant conventions?	
Secretariat Comment at PIF/Work Program	
Inclusion	
JS 5/12/2022- To be revisited.	

Knowledge Management

Is the proposed ?knowledge management (KM) approach? in line with GEF requirements to foster learning and sharing from relevant projects/programs, initiatives and evaluations; and contribute to the project?s/program?s overall impact and sustainability?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

JS 5/12/2022- If the revised project is still funded through the LD focal area, please elaborate on alignement with UNCCD and project contribution to Malawi's national LDN targets.

Agency Response

JS 5/12/2022- If the revised project is still funded	the revised project is no longer funded
through the LD focal area, please elaborate on	through the LD focal area
alignment with UNCCD and project contribution	
to Malawi's national LDN targets.	

Environmental and Social Safeguard (ESS)

Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?

Secretariat Comment at PIF/Work Program Inclusion JS 6/14/2022 - Cleared.

We note the low risk rating and the attached SRIF. JS 5/12/2022 - To be revisited.

1- Please remove the table from this section as it is a duplicate of the table already included in the risk section and not a table of ESS risks and mitigation measures.

Agency Response

JS 5/12/2022 - To be revisited. 1- Please remove the table from this section as it is a duplicate of the table already included in the risk section and not a table of ESS risks and mitigation measures.	The table has been removed
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Part III ? Country Endorsements

Has the project/program been endorsed by the country?s GEF Operational Focal Point and has the name and position been checked against the GEF data base?

Secretariat Comment at PIF/Work Program Inclusion JS 5/12/2022 - Cleared.

Agency Response Cleared on 12th May 2022 Termsheet, reflow table and agency capacity in NGI Projects

Does the project provide sufficient detail in Annex A (indicative termsheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table in Annex B to assess the project capacity of generating

reflows? If not, please provide comments. After reading the questionnaire in Annex C, is the Partner Agency eligible to administer concessional finance? If not, please provide comments.

Secretariat Comment at PIF/Work Program Inclusion NA Agency Response

GEFSEC DECISION

RECOMMENDATION

Is the PIF/PFD recommended for technical clearance? Is the PPG (if requested) being recommended for clearance?

Secretariat Comment at PIF/Work Program Inclusion JS 6/17/2022 - The project is recommended for clearance.

JS 6/16/2022 - Not at this stage. Please address the few comments above and resubmit.

JS 5/12/2022 - Not at this stage. Please address the comments above and resubmit. Please contact jsapijanskas@thegef.org for clarifications.

ADDITIONAL COMMENTS

Additional recommendations to be considered by Agency at the time of CEO endorsement/approval.

Secretariat Comment at PIF/Work Program Inclusion

Please see guidance and requests for PPG embedded throughout the review sheet.

Review Dates

	PIF Review	Agency Response
First Review	5/12/2022	5/12/2022
Additional Review (as necessary)	6/14/2022	6/14/2022

	PIF Review	Agency Response
Additional Review (as necessary)	6/17/2022	
Additional Review (as necessary)		
Additional Review (as necessary)		

PIF Recommendation to CEO

Brief reasoning for recommendations to CEO for PIF Approval