

Communications, Coordination and Knowledge Management Project

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

10266

Countries

Global

Project Name

Communications, Coordination and Knowledge Management Project

Agencies

UNEP

Date received by PM

7/21/2020

Review completed by PM

12/8/2020

Program Manager

Anil Sookdeo

Focal Area

Chemicals and Waste

Project Type

MSP

PIF

CEO Endorsement

Part I ? Project Information

Focal area elements

1. Does the project remain aligned with the relevant GEF focal area elements as presented in PIF (as indicated in table A)?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Project description summary

2. Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?

Secretariat Comment at CEO Endorsement Request

Yes, however please provide a detailed annotated budget to map resources to outputs.

Nov 9, 2020 - comment cleared.

Agency Response

06Oct2020

A revised detailed budget, mapping resources to outputs is attached. This revised budget:

- Includes an additional \$2 million allocation, sourced from the additional child project being prepared for the Caribbean (GEF ID 10472). Resources from this project are mapped against outputs.
- Forecasts annual expenditure for both the GEF ID 10266 allocation (colour-coded green) and the GEF ID 10472 allocation (colour-coded yellow). Shared expenditure is colour-coded orange.
- GEF ID 10266 components are mapped against GEF ID 10472 subcomponents. These output based budget allocations map resources to outputs.

3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

Co-financing

4. Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?

Secretariat Comment at CEO Endorsement Request Yes

Agency Response

GEF Resource Availability

5. Is the financing presented in Table D adequate and does the project demonstrate a cost-effective approach to meet the project objectives?

Secretariat Comment at CEO Endorsement Request

Yes, however please see comment in 2 above.

Nov 9, 2020 - comment cleared

Agency Response

Noted, this is addressed in the response to Comment 2 above.

Project Preparation Grant

6. Is the status and utilization of the PPG reported in Annex C in the document?

Secretariat Comment at CEO Endorsement Request Yes

Agency Response

Core indicators

**7. Are there changes/adjustments made in the core indicator targets indicated in Table E?
Do they remain realistic?**

Secretariat Comment at CEO Endorsement Request Yes

Agency Response

Part II ? Project Justification

1. Is there a sufficient elaboration on how the global environmental/adaptation problems, including the root causes and barriers, are going to be addressed?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

2. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

3. Is the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there sufficient clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?

Secretariat Comment at PIF/Work Program Inclusion

Yes

Agency Response

4. Is there further elaboration on how the project is aligned with focal area/impact program strategies?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

5. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

6. Is there further and better elaboration on the project's expected contribution to global environmental benefits or adaptation benefits?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

7. Is there further and better elaboration to show that the project is innovative and sustainable including the potential for scaling up?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Project Map and Coordinates

Is there an accurate and confirmed geo-referenced information where the project intervention will take place?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Child Project

If this is a child project, is there an adequate reflection of how it contributes to the overall program impact?

Secretariat Comment at CEO Endorsement Request

Yes, this is the coordination project for the ISLANDS program and has a well defined coordination, communications and knowledge mechanism to ensure the program delivers,

Agency Response

Stakeholders

**Does the project include detailed report on stakeholders engaged during the design phase?
Is there an adequate stakeholder engagement plan or equivalent documentation for the
implementation phase, with information on Stakeholders who will be engaged, the means of
engagement, and dissemination of information?**

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Gender Equality and Women's Empowerment

**Has the gender analysis been completed? Did the gender analysis identify any gender
differences, gaps or opportunities linked to project/program objectives and activities? If so,
does the project/program include gender-responsive activities, gender-sensitive indicators
and expected results?**

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Private Sector Engagement

**If there is a private sector engagement, is there an elaboration of its role as a financier
and/or as a stakeholder?**

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Risks to Achieving Project Objectives

**Has the project elaborated on indicated risks, including climate change, potential social and
environmental risks that might prevent the project objectives from being achieved? Were
there proposed measures that address these risks at the time of project implementation?**

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response
Coordination

Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response
Consistency with National Priorities

Has the project described the alignment of the project with identified national strategies and plans or reports and assessments under the relevant conventions?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response
Knowledge Management

Is the proposed Knowledge Management Approach for the project adequately elaborated with a timeline and a set of deliverables?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response
Monitoring and Evaluation

Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Benefits

Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Annexes

Are all the required annexes attached and adequately responded to?

Secretariat Comment at CEO Endorsement Request

Yes

Agency Response

Project Results Framework

Secretariat Comment at CEO Endorsement Request

Agency Response

GEF Secretariat comments

Secretariat Comment at CEO Endorsement Request

Please see comments from PPO:

1- Project Title: The title in the Portal [ISLANDS ? Global Child Project] is different from the project titles indicated in the PFD or those in the co-financing letters [Global: Communications, Coordination and Knowledge Management Project (or rephrased differently)] ? please amend.

2- It is not possible to start execution on 09/01/2020 ? please amend (perhaps the expected completion date also should be adjusted)

3- Co-financing - The co-financing amount in Portal (\$700,000) differs from the Co-financing letter (\$948,550) ? please ask the Agency to amend Table C

- Co-financing: According to the letter from Swires shipping (private sector), their co-financing is in-kind, recurrent expenditure. Please revise the information in table C (see above).

4- Core indicators: Please monitor any GEB's that may be accrued during the implementation of the coordination project and report at PIR, midterm and terminal evaluation stage in the portal.

5- Gender/Stakeholder Engagement: The submission indicated it would integrate gender analyses (using programmatic templates) from partners preparing regional child projects by June 2020 and draft its gender framework action plan by July-September 2020. The CEO approval, however, does not provide complete gender analysis or stakeholder engagement plan and states that the project plans to complete these during implementation. Please provide additional justification/explanation for this delay.

6- The Project Budget table is not attached in Portal as per the requirement for projects submitted after 07/20/2020 (this project's CEO Approval request was submitted on 07/21/2020) ? please include the budget table in Portal when re-submitting.

7- Monitoring & Evaluation Plan: The M& E table in Portal indicates \$160,000 while the Project Documents budget gives a total of \$150,000 [\$57,000 + \$63,000 + 0 + \$30,000] for 4 years only instead of 5 as indicated in Portal. Please amend.

Jan 11, 2021 - 2- On expected implementation start: addressed (but it is unlikely that the project will start its implementation on January 4th, 2021).

3- On co-financing: one of the comments on co-financing has not been addressed.

According to the co-financing letter from Swire shipping, their co-finance contribution is in-kind (not grant). Please revise.

5- On Gender/Stakeholder Engagement:

(i) On Gender: not addressed - the submission indicates it had planned to integrate gender analyses (using programmatic templates) from regional child projects by June 2020 and to draft its gender framework/action plan by July-September 2020. The CEO approval, however, does not provide any complete gender analyses. Please provide additional justification/explanation for the this delay and more clearly elaborate on project plans to complete these during implementation.

(ii) On stakeholder: the Agency justified the lack of a Stakeholder Engagement plan due to the difficulties in collecting data prompted by the COVID-19 crisis. This explanation as satisfactory.

6- On budget: while the budget is included in Portal this time, the format goes beyond the margins ? please adjust accordingly.

Jan 28, 2021 - All comments cleared.

Agency Response
Nov 27 2020

1-Project Title: The title in the Portal [ISLANDS ? Global Child Project] is different from the project titles indicated in the PFD or those in the co-financing letters [Global: Communications, Coordination and Knowledge Management Project (or rephrased differently)] ? please amend.

Sent a request to WB IT team to assist in the renaming of project title: from 'ISLANDS ? Global Child Project' to 'Communications, Coordination and Knowledge Management Project'

2- It is not possible to start execution on 09/01/2020 ? please amend (perhaps the expected completion date also should be adjusted)

Amended to 04/01/2021

3-Co-financing - The co-financing amount in Portal (\$700,000) differs from the Co-financing letter (\$948,550) ? please ask the Agency to amend Table C

Co-financing: According to the letter from Swire shipping (private sector), their co-financing is in-kind, recurrent expenditure. Please revise the information in table C (see above).

Table C updated.

4-Core indicators: Please monitor any GEB?s that may be accrued during the implementation of the coordination project and report at PIR, midterm and terminal evaluation stage in the portal.

Noted. GEBs accrued will be monitoring and reported on at PIR, MTR and TE stages.

5- Gender/Stakeholder Engagement: The submission indicated it would integrate gender analyses (using programmatic templates) from partners preparing regional child projects by June 2020 and draft its gender framework action plan by July-September 2020. The CEO approval, however, does not provide complete gender analysis or stakeholder engagement plan and states that the project plans to complete these during implementation. Please provide additional justification/explanation for this delay.

During the project preparatory process, programmatic templates were developed and distributed to project preparatory teams for each of the regional child projects. It was intended that regionally specific data on stakeholders and gender would be provided by the regional child projects to the CCKM. Due to the impact of COVID-19 however, the collection of this data was significantly slowed. To avoid delay in submission of the CCKM it was therefore decided to collect the regional gender and stakeholder data upon submission of the regional child projects, and to integrate it and develop the programmatic gender analysis and stakeholder engagement plans prior to CCKM inception (that is once the data is available).

6- The Project Budget table is not attached in Portal as per the requirement for projects submitted after 07/20/2020 (this project's CEO Approval request was submitted on 07/21/2020) ? please include the budget table in Portal when re-submitting.

Noted, project budget table has been updated and has been uploaded to the Portal

7-Monitoring & Evaluation Plan: The M& E table in Portal indicates \$160,000 while the Project Documents budget gives a total of \$150,000 [\$57,000 + \$63,000 + 0 + \$30,000] for 4 years only instead of 5 as indicated in Portal. Please amend

Noted, this has been amended. GEF ID 10266 is budgeted over four years. The additional Caribbean child project will include an additional \$2 million for the project, and this will bring the project to five years. All GEF ID 10266 funding will be expended in four years. Hence no change to expenditure timeframe.

15 Jan:

- Start date has been adjusted to 1 March 2021

- Co-financing for Swire has been adjusted to in-kind

- There was an error in the submission, dates should have read 2021. As per previous answer, the work on gender will be done at the inception period of this project so that gender analysis can be integrated at programmatic levels in collaboration with the regional project's own inception phases. Dates have been adjusted.

- Budget has been adjusted to fit the window in the portal. Additionally, the excel sheet is also attached

Council comments

Secretariat Comment at CEO Endorsement Request All Council comments have been addressed.

Agency Response

STAP comments

Secretariat Comment at CEO Endorsement Request

Agency Response
Convention Secretariat comments

Secretariat Comment at CEO Endorsement Request

Agency Response
Other Agencies comments

Secretariat Comment at CEO Endorsement Request

Agency Response
CSOs comments

Secretariat Comment at CEO Endorsement Request

Agency Response
Status of PPG utilization

Secretariat Comment at CEO Endorsement Request

Agency Response
Calendar of expected reflows (if NGI is used)

Secretariat Comment at CEO Endorsement Request

Agency Response
Project maps and coordinates

Secretariat Comment at CEO Endorsement Request

Agency Response
Termsheet, reflow table and agency capacity in NGI Projects

Does the project provide sufficient detail in Annex A (indicative termsheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table in Annex B to assess the project capacity of generating reflows? If not, please provide comments. After reading the questionnaire in Annex C, is the Partner Agency eligible to administer concessional finance? If not, please provide comments.

Secretariat Comment at CEO Endorsement Request
Agency Response

GEFSEC DECISION

RECOMMENDATION

Is CEO endorsement recommended? (applies only to projects and child projects)

Secretariat Comment at CEO Endorsement Request
Please respond to the review.

Nov 9, 2020 - Please see the PPO comments in the comments of the GEF secretariat above.

Dec 8, 2020 - All comments cleared. Recommended for CEO endorsement.

Review Dates

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
First Review	9/1/2020	
Additional Review (as necessary)	11/9/2020	
Additional Review (as necessary)	1/11/2021	
Additional Review (as necessary)		
Additional Review (as necessary)		

CEO Recommendation

Brief reasoning for CEO Recommendations

