



National Action Plan for the Artisanal and Small-scale Gold Mining (ASGM) Sector in South Africa

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

11494

Countries

South Africa

Project Name

National Action Plan for the Artisanal and Small-scale Gold Mining (ASGM) Sector in South Africa

Agencies

UNEP

Date received by PM

1/26/2024

Review completed by PM

2/13/2024

Program Manager

Toshiyuki Yamasaki

Focal Area

Chemicals and Waste

Project Type

EA

Expedited Enabling Activity req (CEO)

Section I - Enabling Activity Summary

Funding elements.

Is the enabling activity aligned with the relevant GEF funding elements as indicated in Table A and as defined by the GEF-8 Programming Directions? Is the General Enabling Activity Information table correctly populated?

Secretariat's Comments

Toshi 2/26/2024:

This project is aligned with the GEF-8 Chemical and Waste focal area strategy. However, the following information is missing in the General Enabling Activity Information table:

- "Type of Report(s)"
- "Expected Report Submission to Convention"

Agency's CommentsThe missing information has been added to the GEF Portal.

Cost Ranges.

If there was a deviation in the cost range, was this explained?

Secretariat's Comments

Toshi 2/26/2024:

Cleared. The project has deviations in the cost range (twice the amount of the standard NAP). However, the reasons for this increase are provided.

Agency's Comments

Enabling activity summary.

Is the enabling activity summary clear? Are the components in Table B and as described in the enabling activity request sound, appropriate, and sufficiently clear to achieve the project objectives?

Secretariat's Comments

Toshi 2/26/2024:

- 1) Stakeholders: Please consider adding a list of project's stakeholders, including the role each of them is expected to have in the project and their means of engagement. This list shall include private sector, NGOs, academia and financial institutions. In addition to these stakeholders, it is recommended that the list include relevant civil society organizations and local communities.
- 2) Gender: Please integrate gender perspectives in Outputs: 1.1 and 2.1. And also, please ensure that gender perspectives are captured in the relevant activities under Outputs 1.1 and 2.1, ensuring gender-responsive frameworks and women representation. Under M&E, please ensure that gender-related results are monitored and reported on, and a GPA is developed.
- 3) In the Budget Table, M&E is in Component 3, so please unify the notation. Also, please fill in the Output and Outcome for M&E.
- 4) On the use of the GEF logo: please update the logo in table D (it's old one) and wherever applicable.

ANNEX B: PROJECT BUDGET TABLE

Attach the project budget table.

		BUDGET ALLOCATION BY PROJECT COMPONENT/ACTIVITY				ALLOCATION BY CALENDAR YEAR			
		Component 1	Component 2	Component 3	Project Management	Total	Year 1	Year 2	Total
		Global Technical Support for National Action Plan development	National Action Plan development	Monitoring and Evaluation					
		Output 1.1	Output 2.1	Output 3.1 and 3.2					
		US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$
LINEP BUDGET LINE/OBJECT OF EXPENDITURE									
PROJECT PERSONNEL COMPONENT									
10	UMQIA CODES	1100	Project Personnel						
	1161	1101	Project coordinator			80,000	40,000	40,000	80,000
	1161	1102	Project assistant			15,900	7,950	7,950	15,900
		1199	Sub-Total	0	0	95,900	47,950	47,950	95,900
		1200	Consultants w/m						
	1161	1201	Int'l consultant for inventory training and development or review	0	20,000	20,000	20,000		20,000
		1202	National consultants for national activities		593,000	593,000	296,500	296,500	593,000
		1203	Expert on NAP development and validation	50,000		50,000	25,000	25,000	50,000
		1299	Sub-Total	50,000	613,000	0	663,000	341,500	663,000
		1300	Administrative Support						
	1161	1301	Project Financial Officer			0			0
		1600	Travel on official business (above staff)						
	1561	1601	Travel Project coordinator/project staff		70,200	70,200	35,100	35,100	70,200
		1699	Sub-Total	0	70,200	0	70,200	35,100	70,200
		1999	Component Total	50,000	683,200	0	95,900	424,550	404,550
20			SUB CONTRACT COMPONENT						

Project Components	GEF Enabling Activity Financing (\$)
Component 1 – Global Technical Support for NAP Development	50,000.00
Component 2: National Action Plan development	809,100.00
M&E	50,000.00

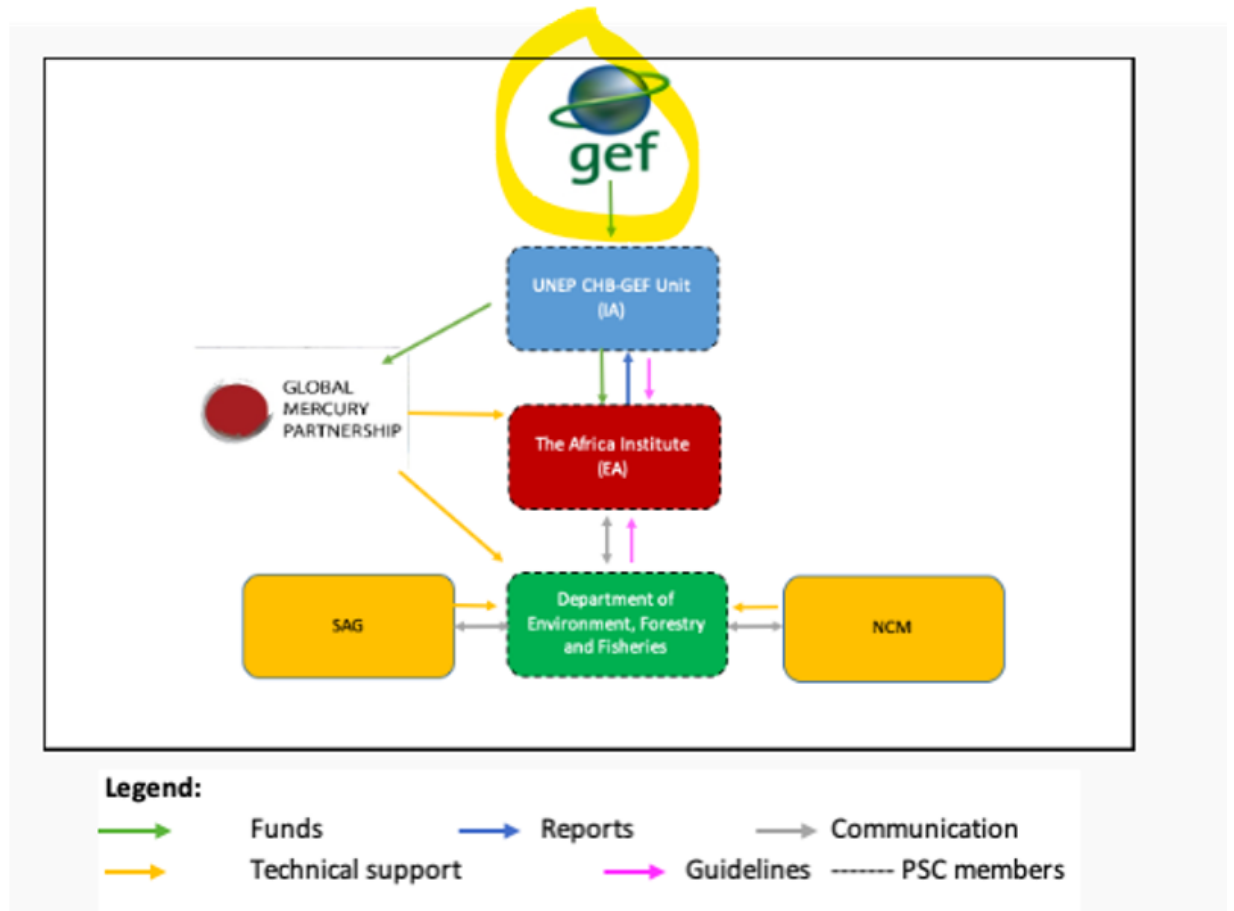
Output:
2.1: National Action Plan developed as per Annex C of the Minamata Convention

M&E

GEF Enabling Activity Financing (\$): 50,000.00

Outcome:

Output:



Agency's Comments

1) A tentative list of relevant stakeholders to be engaged in the project has been added under Section D) Institutional Framework. Please note that considering the suggested length limitation within the document and the level of detailed information at this stage, the list will

be further elaborated at inception. Recommendations from the NAP Guidance in relation to stakeholder engagement will be followed.

2) Gender-related aspects have been incorporated across the document, in particular under output 2.1. A dedicated gender strategy will be designed and implemented throughout the project and a gender focal point will monitor its progress and results. Gender-related recommendations from the NAP Guidance and ??Incorporating gender into national strategy setting in chemicals management?? will be observed.

3) M&E has been labelled as ??Component 3: M&E?? across all documents. Output and Outcome have been filled.

4) The GEF logo has been updated accordingly across the documents.

Section 2 - Enabling Activity Supporting Information

Eligibility Criteria.

Is this enabling activity eligible for GEF funding?

Secretariat's Comments

Toshi 2/26/2024:

Yes.

Agency's Comments

Institutional framework.

Are the institutional arrangements for implementation adequately described?

Secretariat's Comments

Toshi 2/26/2024:

Yes.

Agency's Comments

Monitoring and Evaluation.

Does the project include a budgeted M&E Plan?

Secretariat's Comments

Toshi 2/26/2024:

Yes. The M&E budget for the project is \$50,000.

Agency's Comments

Section 3. Information Tables

GEF resource availability.

Is the proposed GEF financing in Table F (including the Agency fee) in line with GEF policies and guidelines?

Secretariat Comment at PIF/Work Program Inclusion

Toshi 2/26/2024:

Yes.

Agency Response

Are they within the resources available from (mark all that apply):

STAR allocation?

Secretariat's Comments

N/A.

Agency's Comments

Focal Area allocation?

Secretariat's Comments

Toshi 2/26/2024:

Yes.

Agency's Comments

LDCF under the principle of equitable access?

Secretariat's Comments

N/A.

Agency's Comments

SCCF (Adaptation or Tech Transfer)?

Secretariat's Comments

N/A.

Agency's Comments
Focal Area Set Aside?

Secretariat's Comments
N/A.

Agency's Comments
Rio Markers.
Are the Rio Markers for CCM ,CCA, BD and LD presented?

Secretariat's Comments
N/A.

Agency's Comments
Country endorsement.

Has the project been endorsed by the country's GEF Operational Focal Point at the time of the EA submission and has the name and position been checked against the GEF database? Are the endorsed amounts consistent with the amounts included in Portal

Secretariat's Comments
Toshi 2/26/2024:

The LOE template used for this project removed the footnote that conditions the selection of the executing partner to the following: "Subject to the capacity assessment carried out by the GEF Implementing Agency, as appropriate?". Per the attached email distributed back in March when we were aiming to constitute June 2023 Work Program, Agencies were informed that LoEs "with modifications cannot be accepted and will be returned?". While the removal of the footnote seems to be trivial, it is not: this footnote reduces the chances of having an executing partner that does not meet the fiduciary and procurement standards required to safely execute the project. Please get an email from the OFP accepting this footnote to be part of the LoE (this is an alternative to request a new LoE).

Agency's Comments A new Letter of Endorsement has been uploaded including the footnote conditioning the selection of the executing partner.

Response to Comments

Are all the comments adequately responded to? (only as applicable)
Gef Secretariat comments

Secretariat's Comments N/A.

Agency's Comments
Other Agencies comments

Secretariat's CommentsN/A.

Agency's Comments
Council comments

Secretariat's CommentsN/A.

Agency's Comments
STAP comments

Secretariat's CommentsN/A.

Agency's Comments
Convention Secretariat comments

Secretariat's CommentsN/A.

Agency's Comments
CSOs comments

Secretariat's CommentsN/A.

Agency's Comments
Project Budget Table.

Is the project budget table attached? Are the activities / expenditures reasonably and accurately charged to the three identified sources (Components, M&E and PMC)?

Secretariat's Comments

Toshi 2/26/2024:

- 1) There seems to be a difference in the total amounts, for component 2 and the PMC, between the budget table provided in Annex B and the budget provided in table B. Please correct where necessary.

				BUDGET ALLOCATION BY PROJECT COMPONENT/ACTIVITY				ALLOCATION BY CALENDAR YEAR			
				Component 1	Component 2	Component 3	Project Management	Total	Year 1	Year 2	Total
				Global Technical Support for National Action Plan development	National Action Plan development	Monitoring and Evaluation					
TOTAL				50,000	798,100	50,000	101,900	1,000,000	517,500	482,500	1,000,000



Enabling Activity Component	Enabling Activity Outcomes	Enabling Activity Outputs	GEF Enabling Activity Financing (\$)
Component 1 – Global Technical Support for NAP Development	South Africa is enabled to develop and implement its NAP and contribute to the protection of the human health and the environment from the emissions and releases of mercury from the artisanal and small-scale gold mining sector	Output 1.1: Training and guidance provided to relevant stakeholders in South Africa to develop and implement a NAP as per Annex C of the Minamata Convention.	50,000.00
Component 2: National Action Plan development	South Africa is enabled to develop and implement its NAP and contribute to the protection of the human health and the environment from the emissions and releases of mercury from the artisanal and small-scale gold mining sector	2.1: National Action Plan developed as per Annex C of the Minamata Convention	809,100.00
Monitoring and Evaluation (M&E)			
M&E			50,000.00
Subtotal:			909,100.00
Project Management Cost:			90,900.00

- 2) Please include, in the budget table in Annex B, a column that mentions the responsible entity for executing each expenditure category. You can use the project budget template provided in the *Guidelines on the Project and Program Cycle Policy*

Expenditure Category	Detailed Description	Component (USDeq.)									Total (USD eq.)	Responsible Entity (Executing Entity receiving funds from the GEF Agency) ⁶
		Component 1		Component 2		Component 3		Sub-Total	M & E	P M C		
		Outcome 1.1	Outcome 1.2	Outcome 2.1	Outcome 2.2	Outcome 3.1	Outcome 3.2					
Travel	...											
	...											
Office Supplies	...											
	...											
Other Operating Costs	...											
	...											
Grand Total												

Agency's Comments The difference in the Budget has been corrected in the GEF Portal and related annexes and the Responsible Entity has been indicated in the budget.

Environmental and Social Safeguards.

If there are screening documents or other ESS documents available, have these been attached? (only as applicable)

Secretariat's Comments

Toshi 2/26/2024:

Yes. ESS documents have been attached. The overall project risk is categorized as low risk.

Agency's Comments

GEFSEC DECISION

RECOMMENDATION.

Is CEO endorsement/ approval recommended?

Secretariat's Comments

Toshi 2/26/2024:

Please address the comments above and resubmit. Please highlight in yellow the changes you make to the portal form for ease of revision.

REVIEW DATE(S)

Secretariat Comment at CEO Endorsement

Response to Secretariat comments

First Review	2/13/2024	3/18/2024
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**Secretariat Comment at
CEO Endorsement**

**Response to
Secretariat comments**

Additional Review (as necessary)	2/26/2024
Additional Review (as necessary)	
Additional Review (as necessary)	
Additional Review (as necessary)	