



Fourth National Communication and 4th Biennial Update Report to the United Nations Framework Convention on Climate Change (UNFCCC)

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

10441

Countries

Indonesia

Project Name

Fourth National Communication and 4th Biennial Update Report to the United Nations Framework Convention on Climate Change (UNFCCC)

Agencies

UNDP

Date received by PM

11/12/2020

Review completed by PM

10/21/2021

Program Manager

Satoshi Yoshida

Focal Area

Climate Change

Project Type

EA

Non-Expedited Enabling Activity req (PIF) **Non-Expedited Enabling Activity req (CEO)**

Part 1: Project Information

Focal area elements

Is the enabling activity aligned with the relevant GEF focal area elements as indicated in Table A and as defined by the GEF 7 Programming Directions?

Secretariat comment at CEO Endorsement Request

12/2/2020: Yes, the enabling activity is aligned with relevant GEF focal area. Please complete the table the "expected completion date" and "expected report submission to Convention" for each of the reports under Part I: Project Information.

6/22/2021: Please provide answers to review sheet comments and questions directly in this page. In addition, any changes made need to be reflects in the Portal submission not just in the uploaded CER doc and ProDoc. Comment above has not yet been addressed in the Portal.

Oct 4, 2021: Submission date and expected implementation start are wrong while Expected Completion Date and Expected Report Submission to Convention are empty. Please address.

Oct 20, 2021: Comment cleared.

Agency Response

Response to Oct 4, 2021 comment:

Submission and expected implementation start date have been corrected. The Expected Completion Date and Expected Report Submission to Convention have been added.

Project description summary

Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?

Secretariat comment at CEO Endorsement Request

Nov 4, 2021: Comment addressed.

Oct 26, 2021: Additional comments on Table B: Please regroup the expected outcomes and outputs under the same component and include expected outputs for the M&E Component.

12/2/2020: Yes, the project structure as shown in Table B is appropriate.

Agency Response 4 November 2021: Tables are regrouped in a way to show total amounts under each same component. And outputs for the M&E component are also added.

Co-financing

Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified [and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?]

Secretariat comment at CEO Endorsement Request

12/2/2020: Co-financing is not required for enabling activities. However, co-financing of \$34,186,123 is listed as in-kind from the national government and properly categorized as recurrent expenditures.

6/22/2021: Please consider adding co-financing to the PMC so that it is proportional with the GEF financing allocated to the PMC (i.e. 5% or \$1,692,500).

Oct 4, 2021: Comment cleared.

Agency Response

Response: Although for enabling activities co-financing is not required, the ProDoc decided to report the in-kind co-funding from the Government. Co-financing allocated to the PMC has been adjusted to align with project budget, see Table B in CEO Endorsement Request.

GEF Resource Availability

Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, this has not changed since Council approval.

Agency Response

**Are they within the resources available from:
The STAR allocation?**

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, this has not changed since Council approval.

Agency Response

The focal area allocation?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, this has not changed since Council approval.

Agency Response

The LDCF under the principle of equitable access

Secretariat comment at CEO Endorsement Request N/A

Agency Response

The SCCF (Adaptation or Technology Transfer)?

Secretariat comment at CEO Endorsement Request N/A

Agency Response

Focal area set-aside?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, this has not changed since Council approval.

Agency Response

Is the financing presented adequate and demonstrate a cost-effective approach to meet the project objectives?

Secretariat comment at CEO Endorsement Request

12/2/2020: We note that in the budget the financial audits have been charged outside of the PMC as an M&E activity. Please incorporate into the PMC and adjust as necessary. Please copy paste the budget table onto the Portal submission Annex A.

6/22/2021: Comment above on financial audits is cleared. Please copy-paste the budget table unto the Portal submission under Annex A.

Oct 4, 2021: The budget table under the Annex A is difficult to read. Please revise it so that it is readable. (subcomponents can be deleted).

Oct 20, 2021: Comment cleared.

Agency Response

The GEF budget table is copy-paste into Annex A of the GEF portal. As recommend by GEF IT Support team, the table is copy-pasted as an image to ensure a good visual/reading quality and avoid formatting error. The table is also uploaded into the Portal as a supplementary annex (Annex L).

Response to Oct 4, 2021 comment:

The subcomponents have been removed. The revised version is copy-paste to Annex A and uploaded into GEF portal.

Part 2: Enabling Activity Justification

Background and Context.

Are the achievements of previously implemented enabling activities cited since the country(ies) became a party to the Convention?

Secretariat comment at CEO Endorsement Request

12/2/2020: Yes, the background and context are properly described.

6/22/2021: We noted that in the ProDoc, under section 2.3, it mentions that "All NCs have received GEF-funding support with BUR 2 and BUR 3 being prepared with funds from other sources." Please clarify as according to the projects supported by the GEF, Indonesia's first and second BUR's should have been supported by project GEF ID 4933.

Agency Response

Response:

This has been a typo in the Project Document as indeed BUR 1 and BUR 2 have been supported by the GEF PIMS 5019/GEF ID 4933. Only BUR 3 was supported with funds from other sources, this has now been corrected in the Project Document (p15).

Goals, Objectives, and Activities.

Is the project framework sufficiently described?

Secretariat comment at CEO Endorsement Request

12/2/2020: The expected submission dates and how they relate to the work for the upcoming BTR requirement have not been properly explained, especially considering the intent for this project to prepare the GHG inventories up to 2022, which is the year expected for the BTR, and potential submission by December 2023 of a combined NC/BUR (which it says has not been yet confirmed).

Please clarify what are Indonesia's plans to prepare the BTR for submission by December 2024? Wouldn't this project provide necessary inputs for the key elements of a BTR?

6/22/2021: This comment has not been fully addressed. It is not clear if the response is saying that the 4NC/BUR report is expected to be "turned" into the first BTR for submission in 2024. Please clarify. Suggest assessing the information required to prepare a BTR and compare that with the 4NC/BUR and determine whether this project would be able to satisfy those requirements. Consider adding an output to this effect. Based on the workplan presented in the ProDoc, and considering that the project will not in fact start in Q1 2021, but rather Q3 2021, it is likely that the project will spill into 2024. Perhaps at MTR this could be assessed as well.

Under component A, please consider incorporating the 2019 IPCC refinement.

Under component B, please clarify how "Output B.3.2 Produced a portfolio of prioritized adaptation options by sectors" is aligned with what has been included in Indonesia's NDC.

Under component E, we note that the project results framework in the ProDoc shows two different expected submission dates under indicator 2 and indicator 17.

We note that the Risks matrix is missing risks associated to the COVID pandemic and climate change. Please include.

Oct 4, 2021: Comments on components are cleared. On the transition to BTR, while we note the general descriptions on the ProDoc, there are MPGs other than Article 13 and therefore minimum requirements for the first BTR are already clear. Please explain on the portal and ProDoc expected gaps between contents of this NC/BUR and those of the first BTR and how these will be addressed to the extent possible, given that there would be only a year after this NC/BUR submission and the first BTR submission.

Oct 20, 2021: Comment cleared.

Agency Response

Response to 6/22/2021 comment:

ProDoc p24 assesses the information required to prepare a BTR and compares this with the 4NC/BUR as well as explains that the 4NC/BUR report to be submitted by the end of 2023 will be able to provide input to and a firm basis for the formulation of Indonesia's first BTR to be submitted in 2024. The data and information generated from the NC4/BUR4 project will be the basis for the preparation of the BTR, which will be submitted no later than 2024 by also considering and adding the latest information on climate change control that occurs in 2024. There has been no decision regarding the content of the BTR but based on the PA at least it will contain the GHG Inventory, progress made in implementing and achieving NDC, climate change impacts and adaptation, and financial, technology transfer and capacity building support needed and received.

Review comment: *?Under component A, please consider incorporating the 2019 IPCC refinement?*

Response: The 2019 IPCC refinement has been considered and added to component A, see ProDoc p30.

Review comment: *?Under component B, please clarify how "Output B.3.2 Produced a portfolio of prioritized adaptation options by sectors" is aligned with what has been included in Indonesia's NDC?:*

Response: The NDC provides broad adaptation actions in 3 focus areas, i.e. (i) economic, (ii) social and livelihood, and (iii) ecosystem and landscape. The prioritization of adaptation options by sectors will be aligned with these focus areas (added in the ProDoc p35).

Review comment: *?Under component E, we note that the project results framework in the ProDoc shows two different expected submission dates under indicator 2 and indicator 17?*

Response: The submission of the NC4 and BUR4 is expected in 2023 in one combined document. The expected submission dates in indicator 2 and 17 will be the same, i.e. 2023 (adjusted in ProDoc Logframe Indicators 2 and 17).

Review comment: *?We note that the Risks matrix is missing risks associated to the COVID pandemic and climate change. Please include?*

Response: The risk of covid pandemic has been included in the risk matrix in the ProDoc on p43 Box 9 and in Annex D.

Response to Oct 4, 2021 comment:

Data and information on the NC 4/BU 4 report will be used as an initial reference for the preparation of BTR 1 by completing the data/information gaps used for the preparation of BTR1 for the remaining 1 year (2024). The BTR1 report will be submitted by the Ministry of Environment and Forestry as the Focal Point of the UNFCCC (on behalf of the Government of Indonesia) no later than December 2024.

Reporting themes for BTR are national GHG inventory; progress made in implementing and achieving NDC contributions under Article 4; climate change impacts and adaptation under Article 4; and Financial, technology transfer and capacity building support needs and received under Articles 9, 10, 11. In general, the data/information requirements for preparing BTR1 is similar and partially contained in the NC 4/ BUR 4 report, except for information on the areas of improvement.

Gaps that need to be completed in the preparation of BTR1 are as follows:

1. National GHG Inventory: NC/BUR has already used 2006 IPCC guideline; it shall develop and implement a QA/QC plan (NC4/BUR4 implement QA/QC); shall report 7 gases (CO₂, CH₄, N₂O, HFCs, PFCs, SF₆, NF₃) using AR5 GWP; shall quantitatively estimate uncertainty (NC4/BUR4 and previous NCs/BURs have conducted quantitative uncertainty analysis)
2. Progress made in implementing and achieving NDC contributions under Article 4: shall provide more detailed information on legal, institutional, administrative and procedural arrangements for domestic MRV.
3. Climate change impacts and adaptation under Article 4: should report information related to averting; minimizing and addressing loss and damage associated with climate change impact; cooperation, good practices, experiences, lessons learn; adaptation-related economic diversification/ mitigation co-benefits of adaptation; and gender perspective and/or traditional, indigenous, and local knowledge.
4. Financial, technology transfer and capacity building support needs and received under Article 9, 10, 11: should provide information on financial support needed and received by developing country Parties under Article 9; Information on technology development and transfer support needed and received by developing country Parties under Article 10; and information on capacity building needed and received by developing country Parties under Article 11.

To address the gaps above, MoEF will identify and update data needs for NC 4/BUR 4 including part of BTR information on areas of improvement; collecting data and information including BTR information and will be carried out earlier; and develop institutional arrangements for NC 4, BUR 4 and BTR 1 simultaneously.

Stakeholders.

**Does the project include detailed report on stakeholders engaged during the design phase?
Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?**

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes.

Agency Response

Gender equality and women's empowerment.

Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes

Agency Response

Monitoring and Evaluation.

Does the project include a budgeted M&E Plan?

Secretariat comment at CEO Endorsement Request

12/2/2020: Please remove audits from the M&E budget table and incorporate into the PMC.

6/22/2021: Comment above cleared.

Agency Response

Cost Effectiveness.

Is the project cost effective?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes.

Agency Response

Cost Ranges

If there was a deviation in the cost range, was this explained?

Secretariat comment at CEO Endorsement Request 12/2/2020: The deviation is outside of set-aside resources and covered by STAR. Cleared.

Agency Response

Part III. Endorsement/Approval by OFP

Country endorsement

Has the project been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF database?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes.

Agency Response

Response to Comments

Are all the comments adequately responded to? (only as applicable)

GEF Secretariat Comment

Nov 4, 2021: Thank you for including the responses on the portal as well. Comment cleared.

Oct 26, 2021: While Comments from German Council Member have been addressed in the project documents, please provide explicit responses on the portal.

12/2/2020: Yes, comments from Germany Council Member have been addressed and responses provided in the Project Document.

Agency Response

4 November 2021: Comments from Germany Council Member have been addressed and responses provided are added under Project Justification Section within Portal Entry of CEO Endorsement, in addition to the Project document.

Other Agencies comments?

Secretariat comment at CEO Endorsement Request N/A

Agency Response

Council comments

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, comments from Germany Council Member have been addressed and responses provided in the Project Document.

Agency Response

STAP Comments

Secretariat comment at CEO Endorsement Request N/A

Agency Response

Convention Secretariat comments

Secretariat comment at CEO Endorsement Request N/A

Agency Response

CSOs comments

Secretariat comment at CEO Endorsement Request N/A

Agency Response
GEFSEC DECISION

RECOMMENDATION

Is CEO Endorsement/approval recommended?

Secretariat comment at CEO Endorsement Request

12/2/2020: Not yet. Please address comments above.

6/22/2021: Please address remaining comments.

Oct 4, 2021: Please address remaining comments.

Oct 26, 2021: Please address the comments on Table B. also Please address the below comments.

1. Executing functions under section C: Please correct a description "UNDP is the GEF Executing Agency," which is an implementing agency.

2. Implementation/completion dates : Please adjust such dates considering the 4-week council review period.

Nov 4, 2021: Please correct a description above, which was not addressed. Other comments cleared.

Nov 5, 2021: The comment cleared.

Review Dates

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
First Review	12/2/2020	
Additional Review (as necessary)	6/22/2021	
Additional Review (as necessary)	10/26/2021	

**Secretariat Comment at
CEO Endorsement**

**Response to
Secretariat
comments**

**Additional Review
(as necessary)**

11/4/2021

**Additional Review
(as necessary)**

CEO Recommendation

Brief reasoning for CEO Recommendations