

Fourth National Communication and 4th Biennial Update Report to the United Nations Framework Convention on Climate Change (UNFCCC)

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

10441

Countries

Indonesia

Project Name

Fourth National Communication and 4th Biennial Update Report to the United Nations Framework Convention on Climate Change (UNFCCC)

Agencies

UNDP

Date received by PM

11/12/2020

Review completed by PM

Program Manager

Milena Vasquez

Focal Area

Climate Change

Project Type

EA

Non-Expedited Enabling Activity req (PIF) ☐

Non-Expedited Enabling Activity req (CEO) ☐

Part 1: Project Information**Focal area elements**

Is the enabling activity aligned with the relevant GEF focal area elements as indicated in Table A and as defined by the GEF 7 Programming Directions?

Secretariat comment at CEO Endorsement Request

12/2/2020: Yes, the enabling activity is aligned with relevant GEF focal area. Please complete the table the "expected completion date" and "expected report submission to Convention" for each of the reports under Part I: Project Information.

6/22/2021: Please provide answers to review sheet comments and questions directly in this page. In addition, any changes made need to be reflects in the Portal submission not just in the uploaded CER doc and ProDoc. Comment above has not yet been addressed in the Portal.

Agency Response**Project description summary**

Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, the project structure as shown in Table B is appropriate.

Agency Response

Co-financing

Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified [and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?]

Secretariat comment at CEO Endorsement Request

12/2/2020: Co-financing is not required for enabling activities. However, co-financing of \$34,186,123 is listed as in-kind from the national government and properly categorized as recurrent expenditures.

6/22/2021: Please consider adding co-financing to the PMC so that it is proportional with the GEF financing allocated to the PMC (i.e. 5% or \$1,692,500).

Agency Response

GEF Resource Availability

Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, this has not changed since Council approval.

Agency Response

**Are they within the resources available from:
The STAR allocation?**

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, this has not changed since Council approval.

Agency Response

The focal area allocation?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, this has not changed since Council approval.

Agency Response

The LDCF under the principle of equitable access

Secretariat comment at CEO Endorsement Request N/A

Agency Response

The SCCF (Adaptation or Technology Transfer)?

Secretariat comment at CEO Endorsement Request N/A

Agency Response

Focal area set-aside?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, this has not changed since Council approval.

Agency Response

Is the financing presented adequate and demonstrate a cost-effective approach to meet the project objectives?

Secretariat comment at CEO Endorsement Request

12/2/2020: We note that in the budget the financial audits have been charged outside of the PMC as an M&E activity. Please incorporate into the PMC and adjust as necessary. Please copy paste the budget table onto the Portal submission Annex A.

6/22/2021: Comment above on financial audits is cleared. Please copy-paste the budget table unto the Portal submission under Annex A.

Agency Response

Part 2: Enabling Activity Justification

Background and Context.

Are the achievements of previously implemented enabling activities cited since the country(ies) became a party to the Convention?

Secretariat comment at CEO Endorsement Request

12/2/2020: Yes, the background and context are properly described.

6/22/2021: We noted that in the ProDoc, under section 2.3, it mentions that "All NCs have received GEF-funding support with BUR 2 and BUR 3 being prepared with funds from other sources." Please clarify as according to the projects supported by the GEF, Indonesia's first and second BUR's should have been supported by project GEF ID 4933.

Agency Response

Goals, Objectives, and Activities.

Is the project framework sufficiently described?

Secretariat comment at CEO Endorsement Request

12/2/2020: The expected submission dates and how they relate to the work for the upcoming BTR requirement have not been properly explained, especially considering the intent for this project to prepare the GHG inventories up to 2022, which is the year expected for the BTR, and potential submission by December 2023 of a combined NC/BUR (which it says has not been yet confirmed).

Please clarify what are Indonesia's plans to prepare the BTR for submission by December 2024? Wouldn't this project provide necessary inputs for the key elements of a BTR?

6/22/2021: This comment has not been fully addressed. It is not clear if the response is saying that the 4NC/BUR report is expected to be "turned" into the first BTR for submission in 2024. Please clarify. Suggest assessing the information required to prepare a BTR and compare that with the 4NC/BUR and determine whether this project would be able to satisfy those requirements. Consider adding an output to this effect. Based on the workplan presented in the ProDoc, and considering that the project will not in fact start in Q1 2021, but rather Q3 2021, it is likely that the project will spill into 2024. Perhaps at MTR this could be assessed as well.

Under component A, please consider incorporating the 2019 IPCC refinement.

Under component B, please clarify how "Output B.3.2 Produced a portfolio of prioritized adaptation options by sectors" is aligned with what has been included in Indonesia's NDC.

Under component E, we note that the project results framework in the ProDoc shows two different expected submission dates under indicator 2 and indicator 17.

We note that the Risks matrix is missing risks associated to the COVID pandemic and climate change. Please include.

Agency Response

Stakeholders.

Does the project include detailed report on stakeholders engaged during the design phase?

Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes.

Agency Response

Gender equality and women's empowerment.

Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes

Agency Response

Monitoring and Evaluation.

Does the project include a budgeted M&E Plan?

Secretariat comment at CEO Endorsement Request

12/2/2020: Please remove audits from the M&E budget table and incorporate into the PMC.

6/22/2021: Comment above cleared.

Agency Response

Cost Effectiveness.

Is the project cost effective?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes.

Agency Response

Cost Ranges

If there was a deviation in the cost range, was this explained?

Secretariat comment at CEO Endorsement Request 12/2/2020: The deviation is outside of set-aside resources and covered by STAR. Cleared.

Agency Response

Part III. Endorsement/Approval by OFP

Country endorsement

Has the project been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF database?

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes.

Agency Response

Response to Comments

Are all the comments adequately responded to? (only as applicable)

GEF Secretariat Comment 12/2/2020: Yes, comments from Germany Council Member have been addressed and responses provided in the Project Document.

Agency Response

Other Agencies comments?

Secretariat comment at CEO Endorsement Request N/A

Agency Response

Council comments

Secretariat comment at CEO Endorsement Request 12/2/2020: Yes, comments from Germany Council Member have been addressed and responses provided in the Project Document.

Agency Response

STAP Comments

Secretariat comment at CEO Endorsement Request N/A

Agency Response

Convention Secretariat comments

Secretariat comment at CEO Endorsement Request N/A

Agency Response

CSOs comments

Secretariat comment at CEO Endorsement Request N/A

Agency Response

GEFSEC DECISION

RECOMMENDATION

Is CEO Endorsement/approval recommended?

Secretariat comment at CEO Endorsement Request

12/2/2020: Not yet. Please address comments above.

6/22/2021: Please address remaining comments.

Review Dates

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
First Review	12/2/2020	
Additional Review (as necessary)	6/22/2021	
Additional Review (as necessary)		
Additional Review (as necessary)		

**Secretariat Comment at
CEO Endorsement**

**Response to
Secretariat
comments**

**Additional Review
(as necessary)**

CEO Recommendation

Brief reasoning for CEO Recommendations