

# Cerrado standing with income generation: the baru production chain as an ally of biodiversity and traditional peoples

Review CEO Endorsement and Make a recommendation

## Basic project information

**GEF ID**

10911

**Countries**

Brazil

**Project Name**

Cerrado standing with income generation: the baru production chain as an ally of biodiversity and traditional peoples

**Agencies**

Funbio

**Date received by PM**

2/21/2023

**Review completed by PM**

2/24/2023

**Program Manager**

Mark Zimsky

**Focal Area**

Biodiversity

**Project Type**

MSP

**PIF**  
**CEO Endorsement**

**Part I ? Project Information**

**Focal area elements**

**1. Does the project remain aligned with the relevant GEF focal area elements as presented in PIF (as indicated in table A)?**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Please complete the focal area outcomes in Table A as noted below:

**A. FOCAL/NON-FOCAL AREA ELEMENTS**

Objectives/Programs	Focal Area Outcomes	Trust Fund	GEF Amount(\$)	Co-Fin Amount(\$)
BD-1-5		GET	1,833,065.00	4,527,002.00
<b>Total Project Cost(\$)</b>			<b>1,833,065.00</b>	<b>4,527,002.00</b>

5/15/2023

Cleared.

Agency Response done.

**Project description summary**

**2. Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

NA

Agency Response

**Co-financing**

**4. Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Please change all grants to Investment Mobilized in terms of their characterization, they should not be labeled recurrent expenditures.

All co-financing evidence letters are in Portuguese. Please provide the translation of co-financing letters in English.

The co-financing letters for the following entities are missing: IEB, FURNAS, FUNBIO.

5/15/2023

Cleared.

Agency Response

Done.

All co-finance letters in Portuguese have an english translation after the letter in portuguese.

FURNAS was included into IEB letter as this is an IEB contract with Furnas.

IEB and Funbio letters included.

**GEF Resource Availability**

**5. Is the financing presented in Table D adequate and does the project demonstrate a cost-effective approach to meet the project objectives?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**Project Preparation Grant**

**6. Is the status and utilization of the PPG reported in Annex C in the document?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

No, please provide the PPG utilization report in Annex C directly in the portal format.

5/15/2023

Cleared.

Agency Response PPG utilization included.

**Core indicators**

**7. Are there changes/adjustments made in the core indicator targets indicated in Table E? Do they remain realistic?**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Please embed the core indicator targets in the results framework in Annex A.

**Neither the M&E Plan, nor the Results Framework describe the means of verification, sources, responsibilities, and cost. Please revise.**

5/15/2023

Cleared.

Agency Response Core indicators were embedded into annex A and means of verification, sources, responsibilities and costs were also included.

**Part II ? Project Justification**

**1. Is there a sufficient elaboration on how the global environmental/adaptation problems, including the root causes and barriers, are going to be addressed?**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Yes, cleared.

Agency Response

**2. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Yes, cleared.

Agency Response

**3. Is the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there sufficient clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?**

Secretariat Comment at PIF/Work Program Inclusion

2/24/2023

Yes, cleared.

Agency Response

**4. Is there further elaboration on how the project is aligned with focal area/impact program strategies?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**5. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**6. Is there further and better elaboration on the project's expected contribution to global environmental benefits or adaptation benefits?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**7. Is there further and better elaboration to show that the project is innovative and sustainable including the potential for scaling up?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**Project Map and Coordinates**

**Is there an accurate and confirmed geo-referenced information where the project intervention will take place?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**Child Project**

**If this is a child project, is there an adequate reflection of how it contributes to the overall program impact?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

NA.

Agency Response

**Stakeholders**

**Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

It is noted that that the agency has provided (annex 2) detailed information on stakeholder consultations during project development. However, the project has not provided a stakeholder engagement plan or equivalent outlining the roles and responsibilities of stakeholders during project implementation. Please revise accordingly and you can find the GEF policy and guidelines on stakeholder engagement here: [https://www.thegef.org/sites/default/files/council-meeting-documents/EN\\_GEF.C.55\\_Inf.08\\_Guidelines\\_Stakeholder\\_Engagement.pdf](https://www.thegef.org/sites/default/files/council-meeting-documents/EN_GEF.C.55_Inf.08_Guidelines_Stakeholder_Engagement.pdf).

5/15/2023

Cleared.

Agency Response Included text clarifying this issue and stating that as the project relies heavily on engagement with local communities, there is no separated plan for stakeholder engagement as it is already built into almost all project activities.

**Gender Equality and Women's Empowerment**

**Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**Private Sector Engagement**

**If there is a private sector engagement, is there an elaboration of its role as a financier and/or as a stakeholder?**

Secretariat Comment at CEO Endorsement Request



2/24/2023

Yes, cleared.

Agency Response

**Risks to Achieving Project Objectives**

**Has the project elaborated on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved? Were there proposed measures that address these risks at the time of project implementation?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**Coordination**

**Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**Consistency with National Priorities**

**Has the project described the alignment of the project with identified national strategies and plans or reports and assessments under the relevant conventions?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response  
**Knowledge Management**

**Is the proposed Knowledge Management Approach for the project adequately elaborated with a timeline and a set of deliverables?**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Yes, cleared.

Agency Response  
**Environmental and Social Safeguard (ESS)**

**Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Yes, cleared.

Agency Response  
**Monitoring and Evaluation**

**Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Neither the M&E Plan, nor the Results Framework describe the means of verification, sources, responsibilities, and cost. Please revise.

Please include a detailed M&E budget in section 9.

5/15/2023

Cleared.

Agency Response Means of verification were explained, sources, responsibilities and costs were included.

**Benefits**

**Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**Annexes**

**Are all the required annexes attached and adequately responded to?**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, for the most part.

Please reformat the budget so it can be read easily in the portal, right now it is very difficult to read.

Please include a line in the budget table provided in Annex E where we can see the total amounts for all the components, M&E and PMC

Please provide some level of detail on what the item *recurring office costs* include.

5/15/2023

Cleared.

Agency Response

Budget was reformatted, we also include an image of the table.

Line in the budget included.

Recurring office details were included in the table.

**Project Results Framework**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Please embed the GEF Core Indicators in the Results Framework, Annex A.

5/15/2023

Cleared.

Agency Response Core indicators were embebed

**GEF Secretariat comments**

Secretariat Comment at CEO Endorsement Request

2/24/2023

NA.

Agency Response

**Council comments**

Secretariat Comment at CEO Endorsement Request

2/24/2023

NA.

Agency Response

**STAP comments**

Secretariat Comment at CEO Endorsement Request

2/24/2023

NA.

Agency Response  
**Convention Secretariat comments**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

NA.

Agency Response  
**Other Agencies comments**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

NA.

Agency Response  
**CSOs comments**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

NA.

Agency Response  
**Status of PPG utilization**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Please provide as noted above, Annex C is missing.

5/15/2023

Cleared.

Agency Response Included.

**Project maps and coordinates**

Secretariat Comment at CEO Endorsement Request

2/24/2023

Yes, cleared.

Agency Response

**Does the termsheet in Annex F provide finalized financial terms and conditions? Does the termsheet and financial structure address concerns raised at PIF stage and that were pending to be resolved ahead of CEO endorsement? (For NGI Only)**

Secretariat Comment at CEO Endorsement Request

2/24/2023

NA.

Agency Response

**Do the Reflow Table Annex G and the Trustee Excel Sheet for reflows provide accurate reflow expectations of the project submitted? Assumptions for Reflows can be submitted to explain expected reflows. (For NGI Only)**

Secretariat Comment at CEO Endorsement Request

2/24/2023

NA.

Agency Response

**Did the agency Annex H provided with information to assess the Agency Capacity to generate and manage reflows? (For NGI Only)**

Secretariat Comment at CEO Endorsement Request

2/24/2023

NA.

Agency Response

**GEFSEC DECISION**

**RECOMMENDATION**

**Is CEO endorsement recommended? (applies only to projects and child projects)**

Secretariat Comment at CEO Endorsement Request  
2/24/2023

Please address all revisions above.

Also, the listed start date for the project is in 2022 and the end date should be 4 years after the start date. Please revise both entries accordingly.

5/15/2023

Yes, project is recommended for CEO approval.

**Review Dates**

	<b>Secretariat Comment at CEO Endorsement</b>	<b>Response to Secretariat comments</b>
<b>First Review</b>	<b>3/7/2023</b>	
<b>Additional Review (as necessary)</b>	<b>5/15/2023</b>	
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		

**CEO Recommendation**

**Brief reasoning for CEO Recommendations**

