



# Development of the First Biennial Transparency Report and the Fourth National Communication and Second Biennial Transparency Report as combined report under the UNFCCC (1BTR - 4NC/2BTR)

Review CEO Endorsement and Make a recommendation

## Basic project information

**GEF ID**

11646

**Countries**

Guatemala

**Project Name**

Development of the First Biennial Transparency Report and the Fourth National Communication and Second Biennial Transparency Report as combined report under the UNFCCC (1BTR - 4NC/2BTR)

**Agencies**

UNDP

**Date received by PM**

4/2/2024

**Review completed by PM**

4/11/2024

**Program Manager**

Toshiyuki Yamasaki

**Focal Area**

Climate Change

**Project Type**

EA

## Expedited Enabling Activity req (CEO)

### Section I - Enabling Activity Summary

**Funding elements.**

**Is the enabling activity aligned with the relevant GEF funding elements as indicated in Table A and as defined by the GEF-8 Programming Directions? Is the General Enabling Activity Information table correctly populated?**

**Secretariat's Comments**

Toshi 4/16/2024:

**Yes.** This project is aligned with the GEF-8 climate change focal area strategy.

**Agency's Comments**

**Cost Ranges.**

**If there was a deviation in the cost range, was this explained?**

**Secretariat's Comments**

Toshi 4/16/2024:

Cleared. The project has no deviations in the cost range. The costing is in line with Information Note GEF/C.62/Inf.15 - <https://www.thegef.org/council-meeting-documents/gef-c-62-inf-15>

**Agency's Comments**

## Enabling activity summary.

**Is the enabling activity summary clear? Are the components in Table B and as described in the enabling activity request sound, appropriate, and sufficiently clear to achieve the project objectives?**

### Secretariat's Comments

Toshi 5/7/2024:

Thank you, cleared.

Toshi 4/16/2024:

1. Stakeholders:

CATIE is listed in the stakeholders table as part of the government while it is a CSO. Please correct.

2. Gender:

Gender considerations should be mainstreamed in the project outputs. Also, please ensure that gender-related results are included in the project's Monitoring and Reporting activities. Please ensure that related capacity-building and training activities enable women's full participation by for example, providing childcare support. Please ensure that women's lack of access to financial and technology knowledge is address, for example, in Outputs 1.1.3 and 2.1.4. In outcome 4.1., please ensure that gender dimensions are captured in lessons learned and in reports.

### Agency's Comments

#### UNDP response:

1. Stakeholders: Corrected. CATIE is now listed in the NGOs/CSOs category. GCI has also been corrected; it was mistakenly listed in the academy sector while it is a government coordination group.

2. Gender: Corrected.

Gender dimensions have been mainstreamed in the project outputs, in accordance with existing national capacities and the available budget.

As part of **outputs 1.1.2 and 2.1.2**, the NDC progress report will include gender considerations.

An analysis of the differentiated impacts between men and women due to climate change will also be developed under **outputs 1.1.3 and 2.1.3**.

Regarding **outputs 1.1.4 and 2.1.4**, the inclusion of sex-disaggregated information was considered to identify gender gaps in terms of financial support, technology transfer and capacity building. This should be the first step towards strengthening the gender approach in this component, given that the generation of information at the national level in this field is still very limited.

All sections of the BTRs (BIENNIAL TRANSPARENCY REPORTS) and NCs (NATIONAL COMMUNICATIONS) will be cleared by the project gender specialist. And

the project will ensure that results validation workshops are attended by women's representatives, specialists from the gender units of sectoral government institutions, the Inter-institutional Commission on Environment and Gender and the Presidential Secretariat for Women, as appropriate. **(output 3.1.2)**

In terms of **monitoring and reporting activities**, it was included that the project will identify, analyze and share lessons learned that contribute to improving gender equality and women's empowerment.

It was made explicit that the project will monitor the Gender Action Plan, under **output 4.1.2**. And that sex-disaggregated data and gender considerations will be an integral part of the project monitoring and evaluation plan and reporting (ProDoc Section E. Monitoring and Evaluation Plan), ensuring that gender-related results are included in project monitoring and reporting activities.

Budget permitting, the project will put in place measures to facilitate women's participation (such as childcare assistance).

In addition, an inclusive approach will be reflected in recruitment policies, in the implementation of project activities, positive measures will be taken to promote gender balance in all committees, meetings, trainings and equal opportunities between men and women will be respected in all recruitment and selection processes. And gender balance will be considered in project management structures and capacity-building actions (training and workshops).

## **Section 2 - Enabling Activity Supporting Information**

### **Eligibility Criteria.**

**Is this enabling activity eligible for GEF funding?**

### **Secretariat's Comments**

Toshi 4/16/2024:  
Yes.

### **Agency's Comments**

### **Institutional framework.**

**Are the institutional arrangements for implementation adequately described?**

### **Secretariat's Comments**

Toshi 4/16/2024:  
Yes.

Agency's Comments  
**Monitoring and Evaluation.**

**Does the project include a budgeted M&E Plan?**

Secretariat's Comments

Toshi 4/16/2024:

Yes. The M&E budget for the project is \$5,000.

Agency's Comments

**Section 3. Information Tables**

**GEF resource availability.**

**Is the proposed GEF financing in Table F (including the Agency fee) in line with GEF policies and guidelines?**

**Secretariat Comment at PIF/Work Program Inclusion**

Toshi 4/16/2024:

Yes.

**Agency Response**

**Are they within the resources available from (mark all that apply):**

**STAR allocation?**

Secretariat's Comments

**N/A.**

Agency's Comments

**Focal Area allocation?**

Secretariat's Comments

**N/A.**

Agency's Comments

**LDCF under the principle of equitable access?**

Secretariat's Comments

**N/A.**

Agency's Comments  
**SCCF (Adaptation or Tech Transfer)?**

Secretariat's Comments  
N/A.

Agency's Comments  
**Focal Area Set Aside?**

Secretariat's Comments  
Toshi 4/16/2024:  
**Yes.** This is in line with Information Note  
GEF/C.62/Inf.15 - <https://www.thegef.org/council-meeting-documents/gef-c-62-inf-15>

Agency's Comments  
**Rio Markers.**  
**Are the Rio Markers for CCM ,CCA, BD and LD presented?**

Secretariat's Comments  
Toshi 4/16/2024:  
Yes.

Agency's Comments  
**Country endorsement.**

**Has the project been endorsed by the country's GEF Operational Focal Point at the time of the EA submission and has the name and position been checked against the GEF database? Are the endorsed amounts consistent with the amounts included in Portal**

Secretariat's Comments  
Toshi 5/7/2024:  
Thank you, cleared.

Toshi 4/16/2024:  
The LOE was signed by the previous OFP. The current OFP took office since 02/12/2024 and this EA was submitted on 4/2/2024. Therefore, a new LOE with the current OFP's signature is required.

GEF website:

## Guatemala

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Political Focal Point since 2024-02-12

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Agency's Comments **UNDP response:** LOE is updated. See attachment  
**Response to Comments**

**Are all the comments adequately responded to? (only as applicable)**  
**Gef Secretariat comments**

Secretariat's Comments  
N/A.

Agency's Comments  
**Other Agencies comments**

Secretariat's Comments  
N/A.

Agency's Comments

**Council comments**

Secretariat's Comments

N/A.

Agency's Comments

**STAP comments**

Secretariat's Comments

N/A.

Agency's Comments

**Convention Secretariat comments**

Secretariat's Comments

N/A.

Agency's Comments

**CSOs comments**

Secretariat's Comments

N/A.

Agency's Comments

**Project Budget Table.**

**Is the project budget table attached? Are the activities / expenditures reasonably and accurately charged to the three identified sources (Components, M&E and PMC)?**

Secretariat's Comments

Toshi 4/16/2024:

Yes, cleared.

Agency's Comments

**Environmental and Social Safeguards.**

**If there are screening documents or other ESS documents available, have these been attached? (only as applicable)**

Secretariat's Comments

Toshi 4/16/2024:



Yes. ESS documents have been attached. The overall project risk is categorized as low risk.

Agency's Comments

**GEFSEC DECISION**

**RECOMMENDATION.**

**Is CEO endorsement/ approval recommended?**

Secretariat's Comments

Toshi 4/16/2024:

Please address the comments above and resubmit. Please highlight in yellow the changes you make to the portal form for ease of revision.

**REVIEW DATE(S)**

	<b>Secretariat Comment at CEO Endorsement</b>	<b>Response to Secretariat comments</b>
<b>First Review</b>	<b>4/11/2024</b>	<b>5/6/2024</b>
<b>Additional Review (as necessary)</b>	<b>4/16/2024</b>	
<b>Additional Review (as necessary)</b>	<b>5/7/2024</b>	
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		