

# Elaboration of 3BUR and 4NC for submission to UNFCCC

Review CEO Endorsement and Make a recommendation

## Basic project information

**GEF ID**

10894

**Countries**

Peru

**Project Name**

Elaboration of 3BUR and 4NC for submission to UNFCCC

**Agencies**

UNDP

**Date received by PM**

10/28/2021

**Review completed by PM**

**Program Manager**

Patricia Marcos Huidobro

**Focal Area**

Climate Change

**Project Type**

EA

## **Expedited Enabling Activity req (CEO) □**

### **Part 1: Project Information**

#### **Focal area elements**

**Is the enabling activity aligned with the relevant GEF focal area elements as indicated in Table A and as defined by the GEF 7 Programming Directions?**

Secretariat comment at CEO Endorsement Request

**11/15/2021 PM:**

**Cleared.**

**11/7/2021 PM:**

**Yes with comments.** This project is aligned with the GEF-7 climate change focal area strategy. EA are usually marked as Rio Markers CCM2 and CCA1. Please clarify and/or update accordingly.

Agency Response **15 Nov 2021:** The Rio Markers are updated as CCM2 and CCA1 in the Portal accordingly.

#### **Project description summary**

**Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?**

Secretariat comment at CEO Endorsement Request

**11/15/2021 PM:**

**Noted with thanks.**

**11/7/2021 PM:**

**Yes, with suggestions.** The timeline for the development and submission of Peru's BUR3 (i.e. December 2022) is too tight. As such, and in line with previous conversation held between the GEF Secretariat and the Agency in October 2021, the GEF kindly request the Agency and the Executing Agency to expedite the implementation of BUR3 to ensure the agreed timelines are met.

#### Agency Response

**15 Nov 2021:** The preparation of a Third BUR, to be presented in December 2022, is feasible and is aligned with the country's planning for climate action in terms of technical and institutional capacities in order to meet the timeline for an orderly transition to the BTR. On the other side, considering that the last national GHG inventory (INGEI) is from 2016 and that a national inventory for 2022 needs to be presented in 2024, there is an information gap that needs to be covered. Thus, submitting a BUR in December 2022 with an INGEI to 2018, constitutes a primary and necessary action to comply with international commitments, within the framework of the UNCCC and the Paris Agreement. Both the executing agency and implementing agency are committed to expedite project implementation and the preparation of BUR3 to ensure its submission by December 2022 at the latest.

#### Co-financing

**Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified [and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?]**

Secretariat comment at CEO Endorsement Request

**11/15/2021 PM:**

**Cleared.**

**11/7/2021 PM:**

**No.** Although co-financing is not required for EA, project has identified \$75,000 in-kind co-financing from the Ministry of Environment. However, any co-financing amount included in Sections B and C of the CEO Approval Document (i.e. Portal Entry) shall be evidenced with a co-financing letter. Please either provide a co-financing letter from the Ministry of Environment or remove co-financing amounts from Section B and C. If the letter of co-financing cannot be provided at this stage, you can still make a reference to the co-financing amount expected from the Ministry of Environment in the main body of the CEO Approval Document.

Agency Response **15 Nov 2021**: Taking into account that the co-financing letter will not be ready in the short time required at this stage, co-financing references were deleted from Sections B and C of the CEO Approval Document. Despite this, it is expected that MINAM will provide in-kind co-financing of \$75,000 for complementary activities for the preparation of the NC and BUR when necessary during the execution phase.

Reference on this has been included under section C of the EA request.

**GEF Resource Availability**

**Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines?**

**Secretariat comment at CEO Endorsement Request**

**11/7/2021 PM:**

**Yes.**

**Agency Response**

**Are they within the resources available from:**

**The STAR allocation?**

**Secretariat comment at CEO Endorsement Request**

**11/7/2021 PM:**

**N/A.**

**Agency Response**

**The focal area allocation?**

**Secretariat comment at CEO Endorsement Request**

**11/7/2021 PM:**

**N/A.**

**Agency Response**

**The LDCF under the principle of equitable access**

**Secretariat comment at CEO Endorsement Request**

**11/7/2021 PM:**

N/A.

Agency Response

**The SCCF (Adaptation or Technology Transfer)?**

Secretariat comment at CEO Endorsement Request

**11/7/2021 PM:**

N/A.

Agency Response

**Focal area set-aside?**

Secretariat comment at CEO Endorsement Request

**11/7/2021 PM:**

**Yes**, this amount is available from the focal area set-aside.

Agency Response

**Is the financing presented adequate and demonstrate a cost-effective approach to meet the project objectives?**

Secretariat comment at CEO Endorsement Request

**11/15/2021 PM:**

**Cleared.**

**11/7/2021 PM:**

**Yes, with comments.** On the budget, kindly note that Project Management Team/Coordinator expenses shall be charged to PMC, while the technical team shall be charged to the different components. On the budget table provided, only the following consultant has been charged to PMC *"27 months Finance and Technical Assistant at USD 2,500"*. Please clarify if this consultant is indeed the project coordinator and if there are other project coordinators/administrative assistants. If so, please amend the budget accordingly.

Agency Response **15 Nov 2021**: The budget notes have been revised for the respective budget lines including now a Project Manager responsible for project coordination under PMC and some technical tasks under Component 4. The ToR of the Project Manager outlining both project management and technical tasks is included under Annex 5 of the EA request document.

**Part 2: Enabling Activity Justification**

**Background and Context.**

**Are the achievements of previously implemented enabling activities cited since the country(ies) became a party to the Convention?**

Secretariat comment at CEO Endorsement Request  
**11/15/2021 PM:**

**Cleared.**

**11/7/2021 PM:**

**Yes, with suggestions.** Information on Peru's previous national communications and BURs and other relevant activities is provided, as well as how it has advanced in responding to gaps and barriers identified by the International Consultative Analysis process.

Please note that footnote [1] in the following sentence is missing, please update: *"These efforts were thus reflected in the Second National Communication (2NC), supported by a GEF project [1], which involved a broad process of capacity building and strengthening of public institutions".*

Agency Response **15 Nov 2021**: The footnote for the respective sentence was in the Portal but the number format was incorrect. It has been now corrected.

**Goals, Objectives, and Activities.**

**Is the project framework sufficiently described?**

Secretariat comment at CEO Endorsement Request  
**11/15/2021 PM:**

**Cleared.**

**11/7/2021 PM:**

**Yes, with suggestions.** To avoid confusion, under section "*C. Describe the enabling activity and institutional framework for project implementation*" of the CEO Approval Request, please use the GEF terminology where MINAM is the executing agency of the project (instead of implementing partner) and UNDP the implementing agency. Please update this section accordingly.

Agency Response **15 Nov 2021:** Section has been updated and GEF terminology used for MINAM as executing agency and UNDP as implementing agency.

**Stakeholders.**

**Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?**

Secretariat comment at CEO Endorsement Request

**11/15/2021 PM:**

**Cleared.**

**11/7/2021 PM:**

**Yes, with suggestions.** Please either add to this section the list and roles for the project stakeholders or specify that this info is provided in Annex 4 of UNDP Project Document submitted to the GEF Secretariat.

Agency Response **15 Nov 2021:** The list and roles of stakeholders will be included within the portal entry under the respective section.

**Gender equality and women's empowerment.**

**Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?**

Secretariat comment at CEO Endorsement Request

**11/15/2021 PM:**

**Cleared.** Thank you for the clarification.

**11/7/2021 PM:**

**Yes, with request for clarifications.** Please clarify the meaning of the sentence below.  
Has there been a GSP in Peru on gender integration through NCs and BURs?

*"The abovementioned national background in gender approaches and considerations is akin to the guidance on gender integration through the NCs and BURs developed by the Global Support Program (GSP) through UNDP and in collaboration with UNEP and GEF, which will be consequently applied"*

#### Agency Response

**15 Nov 2021:** The sentence has been referring to the *Gender Responsive National Communications Toolkit* developed by the UNDP-UNEP Global Support Program for National Communications and Biennial Update Reports (GSP) which will provide guidance to this project on integrating gender aspects in the national reporting process and project activities. The document name was specified in the EA request and also the link of the document was included.

[https://www.un-gsp.org/sites/default/files/documentos/undp\\_gender\\_responsive\\_national\\_communications\\_toolkit\\_0.pdf](https://www.un-gsp.org/sites/default/files/documentos/undp_gender_responsive_national_communications_toolkit_0.pdf)

#### **Monitoring and Evaluation.**

**Does the project include a budgeted M&E Plan?**

Secretariat comment at CEO Endorsement Request

**11/15/2021 PM:**

**Cleared.**

**11/7/2021 PM:**

**No.** Although a budgeted M&E plan is not required for EA, the project has provided a budgeted M&E plan with indicative costs. However, two out of three M&E items have not been budgeted. Please either provide an indicative costs for the remaining items or remove the budgeted M&E plan from the CEO Approval Document. If the M&E is updated, please update the project budget accordingly.

Agency Response **15 Nov 2021:** Unbudgeted M&E items have been removed from the M&E table and only the budgeted M&E item (Inception Workshop) has been kept in line with the project's budget.

**Cost Effectiveness.**



**Is the project cost effective?**

Secretariat comment at CEO Endorsement Request  
**11/7/2021 PM:**

**Yes.**

Agency Response  
**Cost Ranges**

**If there was a deviation in the cost range, was this explained?**

Secretariat comment at CEO Endorsement Request  
**11/7/2021 PM:**

**No**, there is no deviation in the cost range.

Agency Response  
**Part III. Endorsement/Approval by OFP**

**Country endorsement**

**Has the project been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF database?**

Secretariat comment at CEO Endorsement Request  
**11/7/2021 PM:**

**Yes.**

Agency Response  
**Response to Comments**

**Are all the comments adequately responded to? (only as applicable)**

GEF Secretariat Comment

**11/7/2021 PM:**

N/A.

Agency Response

**Other Agencies comments?**

Secretariat comment at CEO Endorsement Request

**11/7/2021 PM:**

N/A.

Agency Response

**Council comments**

Secretariat comment at CEO Endorsement Request

**11/7/2021 PM:**

N/A.

Agency Response

**STAP Comments**

Secretariat comment at CEO Endorsement Request

**11/7/2021 PM:**

N/A.

Agency Response

**Convention Secretariat comments**

Secretariat comment at CEO Endorsement Request

**11/7/2021 PM:**

N/A.

Agency Response

**CSOs comments**

Secretariat comment at CEO Endorsement Request  
11/7/2021 PM:

N/A.

Agency Response  
GEFSEC DECISION

**RECOMMENDATION**

**Is CEO Endorsement/approval recommended?**

Secretariat comment at CEO Endorsement Request  
11/15/2021 PM:

**Cleared.**

11/7/2021 PM:

**No.** Please address comments above.

**Review Dates**

	<b>Secretariat Comment at CEO Endorsement</b>	<b>Response to Secretariat comments</b>
<b>First Review</b>		<b>11/15/2021</b>
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		

**CEO Recommendation**

**Brief reasoning for CEO Recommendations**