



# Implementation of National Biosecurity Framework of Ethiopia

Review CEO Endorsement and Make a recommendation

## Basic project information

**GEF ID**

10984

**Countries**

Ethiopia

**Project Name**

Implementation of National Biosecurity Framework of Ethiopia

**Agencies**

UNEP

**Date received by PM**

10/28/2023

**Review completed by PM**

11/28/2023

**Program Manager**

Adriana Moreira

**Focal Area**

Biodiversity

**Project Type**

## **PIF**

### **CEO Endorsement**

#### **Part I ? Project Information**

##### **Focal area elements**

**1. Does the project remain aligned with the relevant GEF focal area elements as presented in PIF (as indicated in table A)?**

Secretariat Comment at CEO Endorsement Request

11.8.23: The project is well aligned with the Biodiversity focal area priorities

Agency Response

**Project description summary**

**2. Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?**

Secretariat Comment at CEO Endorsement Request 11.8.23: The proposed components and outputs described in Table B are adequate.

Agency Response

**3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Co-financing**

**4. Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?**

Secretariat Comment at CEO Endorsement Request 11.8.23: Co-financing is satisfactory.

Agency Response  
**GEF Resource Availability**

**5. Is the financing presented in Table D adequate and does the project demonstrate a cost-effective approach to meet the project objectives?**

Secretariat Comment at CEO Endorsement Request 11.8.23: Proposed financing indicated in Table D is adequate and concurrent with guidelines.

Agency Response  
**Project Preparation Grant**

**6. Is the status and utilization of the PPG reported in Annex C in the document?**

Secretariat Comment at CEO Endorsement Request 11.8.23: Status of PPG utilization is reported in Annex C.

Agency Response  
**Core indicators**

**7. Are there changes/adjustments made in the core indicator targets indicated in Table E? Do they remain realistic?**

Secretariat Comment at CEO Endorsement Request 11.8.23: Please include WDPA IDs under core indicator 1.1 and core indicator 2.1

Agency Response  
**11/23/2023**

The listed areas are not included in the WDPA-IUCN list, the data in the CEO endorsement is derived from important bird areas of Africa code (IBA) . This is summarized and inserted under the Section on core indicators in the CEO endorsement template and the portal entry. A reference is provided below.

Name	Important Bird Areas (IBA code)	National code	WDPA IDs
Lake-Zuway (Bochese and Abayi Danaba wetland)	ET041	68	NEW
Bahirdar-Lake-Tana (Firka River Basin, Lemba Wetland )	ET007	15	NEW
Dabaeta mountain chain	-	-	NEW

Source: Ethiopian Wildlife Conservation Authority ? See <https://datazone.birdlife.org/userfiles/file/IBAs/AfricaCntryPDFs/Ethiopia.pdf>

## Part II ? Project Justification

**1. Is there a sufficient elaboration on how the global environmental/adaptation problems, including the root causes and barriers, are going to be addressed?**

Secretariat Comment at CEO Endorsement Request

11.8.23: Barriers and threats are adequately described.

Agency Response

**2. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?**

Secretariat Comment at CEO Endorsement Request

11.8.23: Elaboration of baseline scenario is adequate.

Agency Response

**3. Is the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there sufficient clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?**

Secretariat Comment at PIF/Work Program Inclusion

11.9.23: Alternative scenarios are adequately described.

Agency Response

**4. Is there further elaboration on how the project is aligned with focal area/impact program strategies?**

Secretariat Comment at CEO Endorsement Request

11.9.23: Project is well aligned with focal area strategies.

Agency Response

**5. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?**

Secretariat Comment at CEO Endorsement Request

11.9.23: Incremental cost reasoning is adequate.

Agency Response

**6. Is there further and better elaboration on the project's expected contribution to global environmental benefits or adaptation benefits?**

Secretariat Comment at CEO Endorsement Request

11.9.23: GEBs are satisfactory.

Agency Response

**7. Is there further and better elaboration to show that the project is innovative and sustainable including the potential for scaling up?**

Secretariat Comment at CEO Endorsement Request

11.9.23: Description of potential for innovation, sustainability and scaling up is adequate.

Agency Response

**Project Map and Coordinates**

**Is there an accurate and confirmed geo-referenced information where the project intervention will take place?**

Secretariat Comment at CEO Endorsement Request

11.9.23: Maps are satisfactory.

Agency Response

**Child Project**

**If this is a child project, is there an adequate reflection of how it contributes to the overall program impact?**

Secretariat Comment at CEO Endorsement Request

N/A

## Agency Response

### Stakeholders

**Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?**

### Secretariat Comment at CEO Endorsement Request

11.10.23: The Tables *?List of potential key stakeholders to support the biosecurity project?* and *?Roles and responsibilities of the project?s governance mechanism?* are off the margins. Please, amend these tables (ITS can assist, if needed)

#### STAKEHOLDER GROUP VI: Youth Groups

The stakeholder role of youths in the execution of the project is very significant. It is incumbent upon the project coordination team to make use of the youths in the important role of awareness raising and education through social media platforms and other channels. Youths can equally be engaged in advocacy for policies that encourage the implementation of biosecurity initiatives with policymakers and community leaders. They definitely should be actively involved in the tree planting/forest restoration and the control and management of Invasive Alien Species. They are expected to bring in innovative ideas and new technologies to the project, promoting sustainable and creative solutions to biosecurity threats and should be encouraged to do so at all levels of the project execution. Youth representation in terms of number and gender should be given due consideration. Their participation is critical under all the activities.

List of potential key stakeholders to support the biosecurity project

S/N	Organization/ Institution	Related Duties & Influence on IAS & LMO Domains	Institutional Role	Localization & Contact Details
1.	Environmental Protection Authority	<p>EPA charged with the duty to protect the environment in Ethiopia.</p> <p>EPA is Responsible for the approval of all importation requests for LMOs and the setting of conditions for import and the issuing of certification for export.</p> <p>Hosts the Office of focal point for the Cartagena Protocol</p> <p>Responsible for the assessment of the environmental impacts of potential LMO introductions to Ethiopia.</p>	<p>EPA is Project Coordination Unit. - will coordinate with relevant national ministries, institutions and other organizations.</p> <p>Taking the lead in policy, regulatory and institutional planning process notably in the lobbying team for the passing into law of the text of an overarching biosecurity act and its accompanying supplementary text of application.</p> <p>Ensure the mainstreaming of biosecurity concerns into all land restoration projects and initiatives.</p> <p>Provide relevant data on the implementation of The Restoration Initiative-Challenge under the Biodiversity, Climate change and Land degradation focal areas.</p> <p>Representation and coordination of Biosecurity Steering and Technical Advisory Committees</p> <p>Implementation of risk-based management to LMO transfer, handling and use.</p> <p>Ensure that biosecurity considerations are integrated into Climate Change adaptation and mitigation activities to minimize biological invasion-related risks.</p> <p>Incorporation of the issue of biological invasions in environmental planning.</p> <p>Establishment of expertise particularly in the area of biosafety all through the project implementation period.</p> <p>Prepare the National Biosecurity Communication Strategy and Action Plan.</p> <p>Follow up and report on biosecurity mainstreaming into the tertiary education sector.</p> <p>Ascertain gender equality and equity during all the implementation process of the project as specified in the project's gender action plan.</p>	<p>www.epa.gov.et</p> <p>tel 251111704099</p>
2.	House of Peoples Representative (Standing Committee of Water, Irrigation, Lowlands and Environmental Development affairs)	<p>The House of Peoples Representatives is one of the two legislative bodies in the Federal Democratic Republic of Ethiopia</p> <p>It has the power to approve and ratify legislations (policies, proclamations, etc.) and control and follow-up the performances of the executive wing and judiciary.</p> <p>It has different standing committees which are responsible for follow-up of specific sectors.</p>	<p>Standing Committee of Water, Irrigation, Lowlands and Environmental Development affairs which is responsible for following-up on environmental issues involving biological introductions including LMOs and IAS.</p>	
3.	Ministry of Agriculture (MoA)	<p>Responsible for preparing and implementing Government policy in agriculture and rural development.</p> <p>Responsible for the majority of activities that concern plant-related biosecurity in Ethiopia and plays a significant role in land management for agriculture and ecosystem services.</p> <p>Ensures the preparation and follow-up of regulations in the agricultural sector.</p> <p>Ensures plant protection through prevention of entry of pests and diseases.</p>	<p>MoA, will continue to take the lead in activities concerning the implementation of risk-based management of the imported and exported plants and plant products.</p> <p>It will ascertain the incorporation of risk-based decision-making processes in pest management and will provide expertise particularly in the area of plant protection.</p> <p>Involved in policy, regulatory and institutional planning process</p> <p>is a member of the Biosecurity Technical Advisory Committee</p> <p>Incorporation of risk-based decision-making processes in pest management</p>	<p>Website: <a href="http://www.moa.gov.et/">http://www.moa.gov.et/</a> (link is external)</p> <p>Email: <a href="mailto:Byasu.Abrha@moa.gov.et">Byasu.Abrha@moa.gov.et</a> (link sends e-mail)</p> <p>Phone number: 251-116460746</p> <p>Location:</p>

3.	<b>Ministry of Agriculture (MoA)</b>	<ul style="list-style-type: none"> <li>• MoA, responsible for preparing and implementing Government policy in agriculture and rural development.</li> <li>• Responsible for the majority of activities that concern plant-related biosecurity in Ethiopia and plays a significant role in land management for agriculture and ecosystem services.</li> <li>• Ensures the preparation and follow-up of regulations in the agricultural sector.</li> <li>• Ensures plant protection through prevention of entry of pests and diseases.</li> <li>• Undertakes seed testing, supports the development of IPM and the introduction of biological control agents, the development and monitoring of regulations and standards, and crisis management in agriculture.</li> <li>• Responsible for the training of agriculture personnel.</li> <li>• Promotes sustainable agricultural development.</li> </ul>	<ul style="list-style-type: none"> <li>• MoA, will continue to take the lead in activities concerning the implementation of risk-based management of the imported and exported plants and plant products.</li> <li>• It will ascertain the incorporation of risk-based decision-making processes in pest management and will provide expertise particularly in the area of plant protection.</li> <li>• Involved in policy, regulatory and institutional planning process</li> <li>• Is a member of the Biosecurity Technical Advisory Committee</li> <li>• Incorporation of risk-based decision-making processes in pest management systems</li> <li>• Intensification of training of relevant MOA personnel in risk-based biosecurity decision making processes.</li> <li>• Management of the introduction of</li> </ul>	Website: <a href="http://www.moa.gov.et/">http://www.moa.gov.et/</a> (link is external) Email: <a href="mailto:Eyasu.Abraha@moa.gov.et">Eyasu.Abraha@moa.gov.et</a> (link sends e-mail) Phone number: 251-116460746 Location Ethiopia See map: <a href="#">Google Maps</a> (link is external) ET Postal address: P.O. Box 62347
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### Agency Response

11/20/2023

The Table has been amended to fit into the margins of the portal as guided.

### Gender Equality and Women's Empowerment

Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?

### Secretariat Comment at CEO Endorsement Request

11.10.23: The gender context is adequately articulated.

### Agency Response

#### Private Sector Engagement

If there is a private sector engagement, is there an elaboration of its role as a financier and/or as a stakeholder?



Secretariat Comment at CEO Endorsement Request

11.10.23: Private sector engagement is satisfactory.

Agency Response

**Risks to Achieving Project Objectives**

**Has the project elaborated on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved? Were there proposed measures that address these risks at the time of project implementation?**

Secretariat Comment at CEO Endorsement Request

11.10.23: Description of risks and mitigation approach is adequate.

Agency Response

**Coordination**

**Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?**

Secretariat Comment at CEO Endorsement Request

11.10.23: Roles and responsibilities are well described.

Agency Response

**Consistency with National Priorities**

**Has the project described the alignment of the project with identified national strategies and plans or reports and assessments under the relevant conventions?**

Secretariat Comment at CEO Endorsement Request

11.10.23: Project is well aligned with the country's national strategies.

Agency Response

**Knowledge Management**

**Is the proposed Knowledge Management Approach for the project adequately elaborated with a timeline and a set of deliverables?**







**Environmental and Social Safeguard (ESS)**

**Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?**

Secretariat Comment at CEO Endorsement Request

11.10.23: Project safeguards are consistent with guidelines.

Agency Response

**Monitoring and Evaluation**

**Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?**

Secretariat Comment at CEO Endorsement Request

11.10.23: Please, include an M&E budget plan in Section 9 of the portal describing each activity finance through M&E.

Agency Response

11/23/2023

M & E budget plan is included in Section 9 with budgeted figures for the activities in the Portal Entry

**Benefits**

**Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?**

Secretariat Comment at CEO Endorsement Request

11.10.23: Socioeconomic benefits are well described.

Agency Response

**Annexes**

**Are all the required annexes attached and adequately responded to?**

Secretariat Comment at CEO Endorsement Request

11.10.23: Annexes are complete.

11.29.23: In relation to the Budget Table, please, make the following adjustments:

- a. Non-expandable equipment for project management must be charged to PMC, not to M&E.
- b. Column ?Executing Entity? needs to be filled out.
- c. Inception workshop must be charged to PMC.

ANNEX I-1: DETAILED GEF BUDGET

Annex I-1 : Indicative Project Budget (GEF Costs)									
Expenditure Category	Detailed Description	Component (USD eq.)					M&E	PMC	Total (USD eq.)
		Component 1	Component 2	Component 3	Sub-Total				
		Outcome	Outcome	Outcome					
Works	--								
Goods	Field, lab and office consumables for project management		30,000.00					30,000.00	
	Field, lab and office consumables for sectoral agencies for effective execution of biosecurity mandates			5,000.00				5,000.00	
	Field, lab and office consumables for pilot site activities	28,000.00	66,000.00	20,000.00				114,000.00	
	Lab Equipment			120,000.00				120,000.00	
	Non-expandable equipment for project management						30,000.00		30,000.00
	Inception workshop		20,000.00					20,000.00	

Agency Response

12/02/2023

a. Non-expandable equipment for project management must be charged to PMC, not to M&E.

The non expendable equipment relates to non laboratory equipment including computers, GIS tools/equipment to be used by the field offices and experts at the project site. The budget has been amended to reflect the allocation under Components 2 and 3

b. Column ?Executing Entity? needs to be filled out.

The column on Executing Entity(ies) has been updated

c. Inception workshop must be charged to PMC.

The budget for inception workshop as presented relates to M & E Parts to be reviewed and updated through the consultative process of the inception workshop in line with the GEF Project and Policy guidelines (GEF/C.59/Inf.03 pg. 18, Table 3)

#### **Project Results Framework**

Secretariat Comment at CEO Endorsement Request 11.10.23: Results framework is adequate.

Agency Response

**GEF Secretariat comments**

Secretariat Comment at CEO Endorsement Request

Agency Response

**Council comments**

Secretariat Comment at CEO Endorsement Request

Agency Response

**STAP comments**

Secretariat Comment at CEO Endorsement Request

Agency Response

**Convention Secretariat comments**

Secretariat Comment at CEO Endorsement Request

Agency Response

**Other Agencies comments**

Secretariat Comment at CEO Endorsement Request

Agency Response

**CSOs comments**

Secretariat Comment at CEO Endorsement Request

Agency Response

**Status of PPG utilization**

Secretariat Comment at CEO Endorsement Request 11.10.23: Status of PPG utilization is reported in Annex C.

Agency Response

**Project maps and coordinates**

Secretariat Comment at CEO Endorsement Request 11.10.23: Project maps are satisfactory.

Agency Response

**Does the termsheet in Annex F provide finalized financial terms and conditions? Does the termsheet and financial structure address concerns raised at PIF stage and that were pending to be resolved ahead of CEO endorsement? (For NGI Only)**

Secretariat Comment at CEO Endorsement Request

N/A

Agency Response

**Do the Reflow Table Annex G and the Trustee Excel Sheet for reflows provide accurate reflow expectations of the project submitted? Assumptions for Reflows can be submitted to explain expected reflows. (For NGI Only)**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Did the agency Annex H provided with information to assess the Agency Capacity to generate and manage reflows? (For NGI Only)**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**GEFSEC DECISION**

**RECOMMENDATION**



**Is CEO endorsement recommended? (applies only to projects and child projects)**

**Secretariat Comment at CEO Endorsement Request**

11.16.23: Please, address the comments above and resubmit for further review. Thanks!

11.29.23: Please, adjust the budget tables as requested above and resubmit for review.  
Thanks!

**Review Dates**

	<b>Secretariat Comment at CEO Endorsement</b>	<b>Response to Secretariat comments</b>
<b>First Review</b>		
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		

**CEO Recommendation**

**Brief reasoning for CEO Recommendations**