
Reducing vulnerability and increasing resilience to climate change through promoting innovation, transfer and large-scale deployment of adaptation-oriented technologies in priority agriculture value-chains and creating jobs

Part I: Project Information

GEF ID

10377

Project Type

FSP

Type of Trust Fund

LDCF

CBIT/NGI

CBIT **No**

NGI **No**

Project Title

Reducing vulnerability and increasing resilience to climate change through promoting innovation, transfer and large-scale deployment of adaptation-oriented technologies in priority agriculture value-chains and creating jobs

Countries

Congo DR

Agency(ies)

UNIDO

Other Executing Partner(s)

Expertise France / Ministry of Environment and Sustainable Development

Executing Partner Type

Others

GEF Focal Area

Climate Change

Taxonomy

Focal Areas, Climate Change, Climate Change Adaptation, Climate information, Private sector, Adaptation Tech Transfer, Innovation, Livelihoods, Least Developed Countries, Influencing models, Strengthen institutional capacity and decision-making, Stakeholders, Private Sector, SMEs, Individuals/Entrepreneurs, Gender Equality, Gender Mainstreaming, Gender-sensitive indicators, Capacity, Knowledge and Research

Sector

Technology Transfer/Innovative Low-Carbon Technologies

Rio Markers**Climate Change Mitigation**

Climate Change Mitigation 0

Climate Change Adaptation

Climate Change Adaptation 2

Submission Date

11/12/2021

Expected Implementation Start

9/1/2022

Expected Completion Date

9/1/2029

Duration

84In Months

Agency Fee(\$)

848,580.00

A. FOCAL/NON-FOCAL AREA ELEMENTS

Objectives/Programs	Focal Area Outcomes	Trust Fund	GEF Amount(\$)	Co-Fin Amount(\$)
CCA-1	Reduce vulnerability and increase resilience through innovation and technology transfer for climate change adaptation	LDCF	8,932,420.00	36,258,000.00
Total Project Cost(\$)			8,932,420.00	36,258,000.00

B. Project description summary

Project Objective

To reduce vulnerability and enhance resilience to climate change through promoting innovation, transfer and large-scale deployment of adaptation-oriented technologies and services by SMEs and create jobs

Project Component	Financing Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing(\$)	Confirmed Co-Financing(\$)
PC1: Linking adaptation-oriented technologies and finance innovation with the national planning processes in the Water, Energy and Food (WEF) sectors	Technical Assistance	<p>1.1: An integrated mechanism with strong linkages to national adaptation planning processes is developed</p> <p>1.2: Business environment for climate adaptation innovation is improved</p> <p>1.3: National forums on entrepreneurship, innovation and sustainable financial mechanisms are organized and linkages to regional and global events and platforms are created</p>	<p>1.1.1 Adaptation Innovation Platform with strong linkages to national adaptation planning processes and relevant partners is established</p> <p>1.1.2: Integrated mechanism to promote adaptation, innovation and entrepreneurship is established</p> <p>1.1.3 Improved tools for assessing climate vulnerability and appropriate adaptation solutions technologies, Products and Services (TPS) for the WEF sectors are developed</p> <p>1.1.4: Three (3) specialised education and training courses on climate change and climate change</p>	LDC F	507,000.00	1,165,099.00

adaptation TPS are developed and provided to 60 stakeholders (at least 35% women participation)

1.2.1: At least two (2) policy and regulatory recommendations to improve the climate adaptation business environment developed and presented to policy-makers

1.3.1: Three (3) awareness-raising national fora are organized for 150 national policy-makers on entrepreneurship, innovation and sustainable financial mechanisms (aiming at 35% women participation)

1.3.2: Six (6) links established with global/regional platforms and key project stakeholders participate in 4 international events and 6 regional/national

events for networking
and knowledge
sharing

PC2: Transforming early-stage climate change adaptation TPS innovations into commercial enterprises	Investment	2.1 Adaptation MSMEs, entrepreneurs and start-ups develop their technologies, products and services (TPS)	2.1.1: 2,000 MSMEs, entrepreneurs and start-ups are trained on climate adaptation topics to increase their capacities to understand climate	LDC F	3,650,000.00	15,888,936.00
		2.2: Adaptation MSMEs, entrepreneurs and start-ups commercialise and scale-up their adaptation TPS	risks and vulnerabilities and to identify business opportunities for climate change adaptation during the Pre-Accelerator Programme (aiming at 35% women participation)			
			2.1.2: Seven (7) incubators and key institutions are trained to run 7 cycles of the annual Incubation / Acceleration Programme for MSMEs with innovative climate change adaptation-oriented TPS			
			2.1.3: 1,000 MSMEs, entrepreneurs and start-ups with high-impact innovative			

climate adaptation-oriented TPS are trained and coached through the competition-based Incubator / Accelerator Programme to overcome the Technological Valley of Death (aiming at 35% women participation)

2.2.1: 200 MSMEs, entrepreneurs and start-ups are trained and coached through the Advanced Acceleration Programme to receive early-growth financing and overcome the Commercialisation and scaling-up Valley of Death (aiming at 35% women participation)

2.2.2: 100 MSMEs, entrepreneurs and start-ups receive investment facilitation support in the Post-Acceleration Programme for projects that deliver climate adaptation

TPS at scale (aiming at 35% women participation)

2.2.3: The Climate Adaptation Venture Fund is established and operationalized to financially support 1,000 climate change adaptation enterprises and to de-risk and leverage public/private investment

PC3: Innovative financing of CC adaptation TPS to build resilience of vulnerable groups	Technical Assistance	<p>3.1: Build awareness on climate change vulnerability and availability of climate adaptation technologies for the target vulnerable groups</p> <p>3.2: Market linkages promoted between adaptation TPS suppliers and vulnerable populations to increase climate-resilient livelihoods</p> <p>3.3: Innovative financial mechanisms made available for vulnerable groups to acquire climate adaptation TPS</p>	<p>3.1.1: 21 awareness raising activities per year to target 25,000 vulnerable people conducted (with 35% women participation)</p> <p>3.1.2: Five (5) training workshops for Financial Service Providers (FSPs) on viability and financing of adaptation TPS</p> <p>3.2.1: 42 joint events connecting adaptation TPS suppliers, and the vulnerable population are organised to stimulate demand and reach 25,000 vulnerable people (aiming at 35% women participation)</p>	LDC F	3,650,000.00	15,888,936.00
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3.3.1: Financial services providers (FSPs) design and establish innovative financial products and services adapted to the needs of the most vulnerable populations (to reach at least 100,000 beneficiaries)

3.3.2: Adapting climate risk assessment instruments and climate-smart investment planning tools for FSPs engaged in lending to MSMEs and vulnerable populations

PC4: Project Monitoring and Learning	Technical Assistance	4.1. Regular project monitoring and documentation for learning and knowledge sharing	4.1.1: Regular project monitoring and data collection for impact tracking conducted 4.1.2: Knowledge materials and documentation on best- practices developed and disseminated widely	LDC F	510,000.00	1,290,504.00
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PC5: Project Evaluation	Technical Assistance	5.1: Project evaluation	5.1.1: Mid-term Evaluation 5.1.2: Terminal Evaluation	LDC F	190,067.00	300,000.00
Sub Total (\$)					8,507,067.00	34,533,475.00
Project Management Cost (PMC)						
LDCF					425,353.00	1,724,525.00
Sub Total(\$)					425,353.00	1,724,525.00
Total Project Cost(\$)					8,932,420.00	36,258,000.00

Please provide justification

C. Sources of Co-financing for the Project by name and by type

Sources of Co-financing	Name of Co-financier	Type of Co-financing	Investment Mobilized	Amount(\$)
GEF Agency	UNIDO	Grant	Investment mobilized	130,000.00
GEF Agency	UNIDO	In-kind	Recurrent expenditures	500,000.00
Recipient Country Government	Ministry of Environment and Sustainable Development	In-kind	Recurrent expenditures	2,000,000.00
Other	African Enterprise Challenge Fund (AECF)	Grant	Investment mobilized	6,000,000.00
Other	African Enterprise Challenge Fund (AECF)	In-kind	Recurrent expenditures	500,000.00
Private Sector	Private Finance Advisory Network (PFAN)	Equity	Investment mobilized	10,000,000.00
Private Sector	Equity Bank	Loans	Investment mobilized	13,000,000.00
Private Sector	KoboHub	In-kind	Recurrent expenditures	1,700,000.00
Private Sector	CODESPA	In-kind	Recurrent expenditures	428,000.00
Private Sector	Equity Bank	In-kind	Recurrent expenditures	2,000,000.00
			Total Co-Financing(\$)	36,258,000.00

Describe how any "Investment Mobilized" was identified

Investment for the project was identified at both PIF and PPG stages: • PIF: Initial investment mobilizations has been conducted at PIF stage as part of the project design mission to DRC carried out in September 2019 as well as through meetings carried out by the UNIDO Country Office with stakeholders. The following stakeholders were consulted during the PIF mission, and were identified as potential sources of co-financing investment: (i) Recipient Government: Ministry of Environment and Sustainable Development and Ministry of Finance the last one working on the development of a guarantee fund for MSMEs expected to generate additional co-financing; (ii) Private Sector: AECF –expected to work with MSMEs supported by the project and help them mobilize additional funding in form of catalytic grant and early stage seed funding investments through their extensive networks. In particular, AECF will help MSMEs supported by the project in leveraging matching capital to expand and grow their businesses and hence reach out to more beneficiaries. (iii) PFAN: – expected to work with MSMEs

supported by the project and to provide them with investment facilitation services so that they can leverage investments to expand their projects (grants, debt, equity) from their global, regional and national private financing networks. (iv) Equity Bank/Raw Bank: – is potentially providing long-term funding to incubated/accelerated MSMEs and can therefore provide matching capital; (v) MSMEs: are also expected to raise additional equity funding into their businesses and projects; and (vi) AfDB: through on-going projects in the DRC with synergies to the project being designed, in particular, two large-scale projects: Development of Agricultural Value Chains in six Provinces in Democratic Republic of Congo (PADCA-6P); and The Youth Entrepreneurship in Agriculture and Agri-Business Project (PEJAB). Descriptions of these two projects can be found in the Baseline Report. • PPG: During the PPG stage carried out between 2020 and April 2022, most of the identified contributions at PIF stage were validated and additional contributions from other partners were identified, as identified in the co-finance table. The contributions from the (i) Recipient Government: Ministry of Environment and Sustainable Development; (ii) Private Sector: AECF; PFAN; and Equity Bank were validated and, in some cases, increased. New contributions from the Private Sector – CODESPA and KoboHub – were identified at the PPG stage for supporting the operationalization of the DRC Adaptation Incubator/Accelerator and for building awareness and making available information on adaptation technologies, services and products to the vulnerable population of the DRC.

D. Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

Agency	Trust Fund	Country	Focal Area	Programming of Funds	Amount(\$)	Fee(\$)	Total(\$)
UNIDO	LDCF	Congo DR	Climate Change	NA	8,932,420	848,580	9,781,000.00
Total Grant Resources(\$)					8,932,420.00	848,580.00	9,781,000.00

E. Non Grant Instrument

NON-GRANT INSTRUMENT at CEO Endorsement

Includes Non grant instruments? **No**

Includes reflow to GEF? **No**

F. Project Preparation Grant (PPG)

PPG Required **true**

PPG Amount (\$)

200,000

PPG Agency Fee (\$)

19,000

Agency	Trust Fund	Country	Focal Area	Programming of Funds	Amount(\$)	Fee(\$)	Total(\$)	
UNIDO	LDCF	Congo DR	Climate Change		200,000	19,000	219,000.00	
					Total Project Costs(\$)	200,000.00	19,000.00	219,000.00

Meta Information - LDCF

LDCF **true**

SCCF-B (Window B) on technology transfer

false

SCCF-A (Window-A) on climate Change adaptation

false

Is this project LDCF SCCF challenge program?

false

This Project involves at least one small island developing State(SIDS).

false

This Project involves at least one fragile and conflict affected state.

false

This Project will provide direct adaptation benefits to the private sector.

true

This Project is explicitly related to the formulation and/or implementation of national adaptation plans (NAPs).

false

This Project has an urban focus.

false

This Project covers the following sector(s)[the total should be 100%]:*

Agriculture	40.00%
Natural resources management	30.00%
Climate information Services	10.00%
Costal zone management	0.00%
Water resources Management	20.00%
Disaster risk Management	0.00%
Other infrastructure	0.00%
Health	0.00%
Other (Please specify:)	0.00%
Total	100%

This Project targets the following Climate change Exacerbated/introduced challenges:*

Sea level rise
false

Change in mean temperature
true

Increased Climatic
Variability

Natural hazards
true

Land degradation	Costal and/or Coral reef degradation	true	GroundWater quality/quantity
false	false		false

To calculate the core indicators, please refer to Results Guidance

Core Indicators - LDCF

CORE INDICATOR 1	Total	Male	Female	% for Women
Total number of direct beneficiaries	102,000	66,300	35,700	35.00%
CORE INDICATOR 2				
Area of land managed for climate resilience (ha)	37,500.00			
CORE INDICATOR 3				
Total no. of policies/plans that will mainstream climate resilience ²				
CORE INDICATOR 4		Male	Female	% for Women
Total number of people trained	25,225	16,396	8,829	35.00%

OUTPUT 1.1.1

Physical and natural assets made more resilient to climate variability and change

Total number of direct beneficiaries from more resilient physical assets	100,000	Male	Female
		65,000	35,000
Ha of agriculture land	Ha of urban landscape	Ha of rural landscape	No. of residential houses

37,500.00	0.00		0
No. of public buildings	No. of irrigation or water structures	No. of fishery or aquaculture ponds	No. of ports or landing sites
0	0	0	0
Km of road	Km of riverban	Km of coast	Km of storm water drainage
Other	Other(unit)	Comments	
0			

OUTPUT 1.1.2

Livelihoods and sources of income of vulnerable populations diversified and strengthened

		Male	Female
Total number of direct beneficiaries with diversified and strengthened livelihoods and sources of income	0	0	0
Livelihoods and sources of incomes strengthened / introduced			
Agriculture	Agro-Processing	Pastoralism/diary	Enhanced access to markets
true	true	true	true
Fisheries /aquaculture	Tourism /ecotourism	Cottage industry	Reduced supply chain
true	false	false	false
Beekeeping	Enhanced opportunity to employment	Other	Comments
true	true	false	

OUTPUT 1.1.3

New/improved climate information systems deployed to reduce vulnerability to climatic hazards/variability

Male	Female
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Total number of direct beneficiaries from the new/improved climatic information systems	0	0	0
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Climate hazards addressed

Flood	Storm	Heatwave	Drought
true	true	true	true
Other	Comments		
false			

Climate information system developed/strengthened

Downscaled Climate model	Weather/Hydromet station	Early warning system	Other
true	true	true	false
Comments			

Climate related information collected

Temperature	Rainfall	Crop pest or disease	Human disease vectors
true	true	true	false
Other	Comments		
false			

Mode of climate information dissemination

Mobile phone apps	Community radio	Extension services	Televisions
true	true	true	true
Leaflets	Other	Comments	
true	false		

OUTPUT 1.1.4

Vulnerable natural ecosystems strengthened in response to climate change impacts

Types of natural ecosystem

Desert	Coastal	Mountainous	Grassland
false	true	true	true

Forest true	Inland water true	Other false	Comments
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OUTPUT 1.2.1

Incubators and accelerators introduced

Total no. of entrepreneurs supported	0	Male 1,300	Female 700
No. of incubators and accelerators supported	7	Comments	
No. of adaptation technologies supported	0	Comments	

OUTPUT 1.2.2

Financial instruments or models to enhance climate resilience developed

Financial instruments or models

PPP models true	Cooperatives false	Microfinance true	Risk insurance true
Equity true	Loan true	Other false	Comments

OUTPUT 2.1.1

Cross-sectoral policies and plans incorporate adaptation considerations

Will mainstream climate resilience 0	Of which no. of regional policies/plans 0	Of which no. of national policies/plan 2
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Sectors

Agriculture

true

Fishery

true

Industry

true

Urban

true

Rural

true

Health

false

Water

false

Other

false

Comments

OUTPUT 2.1.2**Cross sectoral institutional partnerships established or expanded**

No. of institutional partnerships established or strengthened **1**

Comments

Adaptation Innovation Platform - more than 20 partnership established**OUTPUT 2.1.3****Systems and frameworks established for continuous monitoring, reporting and review of adaptation**

No. of systems and frameworks **1**

Comments

Monitoring & Reporting System for the entire project**OUTPUT 2.1.4****Systems and frameworks established for continuous monitoring, reporting and review of adaptation**

No. of systems and frameworks **1**

Comments

Monitoring & Reporting System for the entire

project

OUTPUT 2.2.1

No. of institutions with increased ability to access and/or manage climate finance

No. of institution(s) **0**

Comments

OUTPUT 2.2.2

Institutional coordination mechanism created or strengthened to access and/or manage climate finance

No. of mechanism(s) **1**

Comments

OUTPUT 2.2.3

Global/regional/national initiatives demonstrated and tested early concepts with high adaptation potential

No. of initiatives or technologies **100**

Comments

OUTPUT 2.2.4

Public investment mobilized

Amount of investment (US\$) **5,000,000**

Comments

OUTPUT 2.2.5

OUTPUT 2.3

Private investment mobilized

Amount of investment (US\$) **13,600,000**

Comments

OUTPUT 2.3.1

No. of people trained regarding climate change impacts and appropriate adaptation responses

		Male	Female
Total no. of people trained	25,225	16,396	8,829
Of which total no. of people at line ministries	150	97	53
Of which total no. of community/association	25,000	16,250	8,750
Of which total no. of extension service officers	15	10	5
Of which total no. of hydromet and disaster risk management agency staff	0	0	0
Of which total no. of small private business owners	0	0	0
Of which total no. school children, university students or teachers	60	39	21
Other	Comments		

Other

Comments

OUTPUT 2.3.2

No. of people made aware of climate change impacts and appropriate adaptation responses

		Male	Female
No. of people with raised awareness	100,000	65,000	35,000

Please describe how their awareness was raised

OUTPUT 3.1.1

National climate policies and plans enabled including NAP processes by stronger climate information decision-support services

No. of national climate policies and plans	0
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Comments

OUTPUT 3.1.2

Systems and frameworks established for continuous monitoring, reporting and review of adaptation

No. of systems and frameworks	0
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Comments

OUTPUT 3.1.3

Vulnerability assessments conducted

No. of assessments conducted	0
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Comments

OUTPUT 3.2.1

No. of institutions with increased ability to access and/or manage climate finance

No. of institution(s) 0

Comments

OUTPUT 3.2.2

Institutional coordination mechanism(s) created or strengthened to access and/or manage climate finance

No. of mechanism(s) 0

Comments

OUTPUT 3.2.3

Global/regional/national initiative(s) demonstrated and tested early concepts with high adaptation potential

No. of initiative(s) or technology(ies) 0

Comments

OUTPUT 3.3.1

No. of people trained regarding climate change impacts and appropriate adaptation responses

Total no. of people trained	0	Male	Female
		0	0

Of which total no. of people at line ministries	0	Male	Female
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Of which total no. of community/association	0	Male	Female
Of which total no. of extension service officers	0	Male	Female
Of which total no. of hydromet and disaster risk management agency staff	0	Male	Female
Of which total no. of small private business owners	0	Male	Female
Of which total no. school children, university students or teachers	0	Male	Female
Other	Comments		

OUTPUT 3.3.2

No. of people made aware of climate change impacts and appropriate adaptation responses

No. of people with raised awareness	0	Male	Female
Please describe how their awareness was raised			

Part II. Project Justification

1a. Project Description

1b. Project Map and Coordinates

Please provide geo-referenced information and map where the project interventions will take place.

1c. Child Project?

If this is a child project under a program, describe how the components contribute to the overall program impact.

2. Stakeholders

Select the stakeholders that have participated in consultations during the project identification phase:

Civil Society Organizations

Indigenous Peoples and Local Communities

Private Sector Entities

If none of the above, please explain why:

Please provide the Stakeholder Engagement Plan or equivalent assessment.

In addition, provide a summary on how stakeholders will be consulted in project execution, the means and timing of engagement, how information will be disseminated, and an explanation of any resource requirements throughout the project/program cycle to ensure proper and meaningful stakeholder engagement

Select what role civil society will play in the project:

Consulted only;

Member of Advisory Body; Contractor;

Co-financier;

Member of project steering committee or equivalent decision-making body;

Executor or co-executor;

Other (Please explain)

3. Gender Equality and Women's Empowerment

Provide the gender analysis or equivalent socio-economic assesment.

Does the project expect to include any gender-responsive measures to address gender gaps or promote gender equality and women empowerment?

Closing gender gaps in access to and control over natural resources;

Improving women's participation and decision making

Generating socio-economic benefits or services or women

Does the project's results framework or logical framework include gender-sensitive indicators?

4. Private sector engagement

Elaborate on the private sector's engagement in the project, if any.

5. Risks to Achieving Project Objectives

Elaborate on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved, and, if possible, the proposed measures that address these risks at the time of project implementation.(table format acceptable):

6. Institutional Arrangement and Coordination

Describe the institutional arrangement for project implementation. Elaborate on the planned coordination with other relevant GEF-financed projects and other initiatives.

7. Consistency with National Priorities

Describe the consistency of the project with national strategies and plans or reports and assessments under relevant conventions from below:

NAPAs, NAPs, ASGM NAPs, MIAs, NBSAPs, NCs, TNAs, NCSAs, NIPs, PRSPs, NPFE, BURs, INDCs, etc.

8. Knowledge Management

Elaborate the "Knowledge Management Approach" for the project, including a budget, key deliverables and a timeline, and explain how it will contribute to the project's overall impact.

9. Monitoring and Evaluation

Describe the budgeted M and E plan

10. Benefits

Describe the socioeconomic benefits to be delivered by the project at the national and local levels, as appropriate. How do these benefits translate in supporting the achievement of global environment benefits (GEF Trust Fund) or adaptation benefits (LDCF/SCCF)?

11. Environmental and Social Safeguard (ESS) Risks

Provide information on the identified environmental and social risks and potential impacts associated with the project/program based on your organization's ESS systems and procedures

Overall Project/Program Risk Classification*

PIF	CEO Endorsement/Approval	MTR	TE
Medium/Moderate			

Measures to address identified risks and impacts

Elaborate on the types and risk classifications/ratings of any identified environmental and social risks and impacts (considering the GEF ESS Minimum Standards) and any measures undertaken as well as planned management measures to address these risks during implementation.

Please see ESMP

Supporting Documents

Upload available ESS supporting documents.

Title

Module

Submitted

Annex_J_DRC_ESMP_3

CEO Endorsement ESS

ANNEX A: PROJECT RESULTS FRAMEWORK (either copy and paste here the framework from the Agency document, or provide reference to the page in the project document where the framework could be found).

Project Strategy	KPIs/Indicator	Baseline	Target	Means of Verification	Assumptions and Risks
Objective: To reduce vulnerability and enhance resilience to climate change through promoting innovation, transfer and large-scale deployment of adaptation-oriented technologies and services by SMEs and create jobs	# of Adaptation Innovation Platforms established	None	One (1) Adaptation Innovation Platform established and operational	Project progress reports Final independent project evaluation report	National government scope of the project continues to support the mainstreaming of adaptation and the creation of a nurturing business environment Business partners, incubators, accelerators, NGOs and other relevant actors continue to support emerging innovative MSMEs beyond the scope of the project Socio-economic and political conditions in the country remain stable, allowing the MSMEs to flourish and encouraging new investments and business ventures
	US\$ additional investment into adaptation technology due to increase interest in the DRC project (million USD)	None	At least US\$22 million raised in co-finance (apart from GEF financing) supporting deployments of adaptation TPS	GEF Tracking Tools Database and records maintained during and after project completion Project institutional Website data	
	# of new jobs associated with adaptation TPS in the WEF sector through the implementation of the project (gender and age disaggregated)	None	200 (at least 35% women employed)		
	# of MSMEs with increased inclusion in value chains	None	30-50 (at least 35% women-led)		
	# of adaptation MSMEs supported by the project	None	1,000		

					BUSINESS VENTURES Demand for adaptation TPS continues to rise due to the awareness raised by national and international actors
	# of vulnerable people targeted by the project (disaggregated by gender and age and if possible)	None	100,000 (at least 35% women)		
PC1: Linking adaptation-oriented technologies and finance innovation with the national planning processes in the Water, Energy and Food (WEF) sectors					
Outcome 1.1: An integrated mechanism with strong linkages to national adaptation planning processes is developed					
Output 1.1.1 An Adaptation Innovation Platform with strong linkages to national adaptation planning processes and relevant partners is established	# Stakeholders Mapping Reports on relevant stakeholders for the Adaptation Innovation Platform and on the- ongoing processes and projects operating in the Adaptation field	None	One (1) stakeholder mapping report on per year from 2 nd year of the project onward (total of 1 report and 5 updates of the report)	Project progress and evaluation reports Project documents - Strategy documents Meeting minutes and attendance record lists	Continuous support from the Government and national partner institutions Commitment from project partners (PMU)
	# of Adaptation Innovation Platforms created	None	One (1) Adaptation Innovation Platform created contributing to the establishment of an Adaptation Innovation ecosystem actively supporting entrepreneurs MSMEs, entrepreneurs and start-ups with promising adaptation innovative technologies, products and services and business ideas in the DRC	Project institutional Website	Continuous support from business partners, incubators, accelerators, NGOs and other relevant actors
	# of meetings of the Adaptation Innovation Platform	None	Two (2) meetings of the Adaptation Innovation Platform per year		
	# Institutions part of the Adaptation Innovation Platform (gender mainstreaming disaggregated)	None	At least 10 institutions are part of the Adaptation Innovation Platform with at least two (2) institutions acting in gender mainstreaming		
	# Representatives in the			20 people (2 per institution, at least)	

	Adaptation Innovation Platform (gender and age disaggregated)		at least 35% are women) are part of the Adaptation Innovation Platform		
	# of Adaptation Innovation Websites developed as part of GEF/UNIDO Adaptation Project	None	One (1) website developed as part of GEF/UNIDO Adaptation Project		
Output 1.1.2: An integrated mechanism to promote adaptation, innovation and entrepreneurship is established	# Integrated mechanism developed to drive innovation and entrepreneurship in adaptation to climate change in the WEF sectors of the DRC	None	One (1) integrated mechanism developed to drive innovation and entrepreneurship in adaptation to climate change in the WEF sectors of the DRC	Project progress and evaluation reports Project documents - Strategy documents Manual on the implementation and operationalization of the integrated mechanism	Continuous support from the Government and national partner institutions Commitment from project partners (PMU)
	# Manuals on the implementation and operationalization of the integrated mechanism developed	None	One (1) manual developed of the integrated mechanism	Project institutional Website data	Continuous support from business partners, incubators, accelerators, NGOs and other relevant actors
Output 1.1.3 Improved tools for assessing climate vulnerability and appropriate adaptation technologies, products, and services (TPS) for the WEF sectors are developed	# Tools for the assessment of climate change risks and vulnerabilities is developed	None	One (1) tool developed for the assessment of climate change risks and vulnerabilities in the DRC	Project progress and evaluation reports Project documents - Strategy documents training material, guide ebooks Workshop minutes and attendance lists	Continuous support from the Government and national partner institutions Commitment from project partners (PMU)
	# Guides describing how to use the modelling tool developed	None	One (1) guide developed describing how to use the modelling tool	Feedback from those trained	Continuous support from business partners, incubators, accelerators, NGOs and other relevant actors
	# Workshops developed on the use of the developed tool	None	2 workshops developed	Attendance records from training	
	# Participants trained in t		At least 20 people are trained (3	Project institutional	

	he developed tool (gender and age disaggregated)		5% of women participation)	Website data	
Output 1.1.4: Three (3) specialised education and training courses on climate change adaptation TPS developed and delivered to train 60 stakeholders (at least 35% women participation)	# Train-the trainers programme designed	None	One (1) train-the trainers programme designed	Project progress and evaluation reports	Continuous support from the Government and national partner institutions Commitment from project partners (PMU) Continuous support from business partners, incubators, accelerators, NGOs and other relevant actors
	# Specialised education and training courses on climate change adaptation TPS delivered	None	Three (3) specialised education and training courses on climate change adaptation TPS delivered	Project documents - Strategy documents, training material	
	# Training module on gender lens and gender awareness	None	One (1) training module with the I-know-gender course or other gender training	Workshop minutes and attendance lists	
	# Training modules on gender challenges to climate change and adaptation TPS		One (1) training module focused on gender challenges to climate change and adaptation TPS	Feedback from those trained	
	# of government stakeholders are trained (gender disaggregated)	None	60 government stakeholders are trained (at least 35% women participation)	Attendance records from training Guidebooks Project institutional Website data	
Outcome 1.2: Business environment for climate adaptation innovation is improved					
Output 1.2.1: Two (2) policy and regulatory recommendations to improve the climate adaptation business environment developed and presented to policymakers	# Analysis report to identify gaps and barriers of existing policies and regulations in the DRC to promote the business adaptation environment and adaptation TPS	None	One (1) analysis report	Project progress and evaluation reports	Continuous support from the Government and national partner institutions Commitment from project partners (PMU)
	# Policy and regulatory recommendations to improve the climate adaptation business environment developed and presented to policymakers	None	Two (2) policy and regulatory recommendations to improve the climate adaptation business environment developed and presented to policymakers	Project documents - Strategy documents	
			Two (2) policy clauses relating to gender equality	Meeting/ workshops notes and participant lists Policy briefs	
# National workshops to collect feedback on the proposed policy instrument	None	Two (2) national workshops to collect feedback on the proposed policy instruments are conducted			

	s conducted				
	# Stakeholders that participated in the national workshops (gender and age disaggregated)	None	40 people participated in total in the national workshops (at least 35% women participation)		
Outcome 1.3: National fora on entrepreneurship, innovation and sustainable financial mechanisms are organized and linkages to regional and global events and platforms are created					
Output 1.3.1: Three (3) awareness-raising national fora are organized for 150 national policymakers on entrepreneurship, innovation and sustainable financial mechanisms (aiming at 35% women participation)	# National fora to raise awareness of policymakers on entrepreneurship, innovation and financial mechanisms are organized	No similar forum held	Three (3) national fora to raise awareness of policymakers on entrepreneurship, innovation and financial mechanisms are organized	Project progress and evaluation reports Project documents - Strategy documents, training material, training records	Continuous support from the Government and national partner institutions Commitment from project partners (PMU)
	# Policymakers attending the national fora (gender disaggregated)	None	At least 150 policymakers attending national fora in total over the course of the project (with at least 35% of women participation)	Workshop minutes and attendance lists Feedback from those trained	Continuous support from business partners, incubators, accelerators, NGOs and other relevant actors
	# Forum proceedings and newsflashes developed and uploaded to the Adaptation Innovation Website	None	Three (3) fora proceedings and newsflashes developed and uploaded to the Adaptation Innovation Website	Meeting/ Forum notes Project institutional Website data	
Output 1.3.2: Six (6) links established with global/regional platforms and key project stakeholders participate in 4 international events and 6 regional/national events for networking and knowledge sharing	The GEF/UNIDO Adaptation Project participates in regional/national events and international events	None	The GEF/UNIDO Adaptation Project participates in six (6) regional/national events and four (4) international events over the project	Project progress and evaluation reports Project documents - Strategy documents Programmes and attendance list for regional and international events	Commitment from project partners (PMU) Continuous support from business partners, incubators, accelerators, NGOs and other relevant actors
	# Stakeholders that are taken to regional/national and international events (gender and age disaggregated)		At least 1 accelerator and 1 MSM Es per event (target of at least 35% women participants)	Press and social media releases	

PC2: Transforming early-stage climate change adaptation TPS innovations into commercial enterprises					
Outcome 2.1 Adaptation MSMEs, entrepreneurs and start-ups develop their technologies, products, and services (TPS)					
Output 2.1.1: 2,000 MSMEs, entrepreneurs and start-ups are trained on climate adaptation topics to increase their capacities to understand climate risks and vulnerabilities and to identify business opportunities for climate change adaptation during the Pre-Accelerator Programme (aiming at 35% women participation)	# Train-the-trainers' Pre-Accelerator Programme for incubators/accelerators delivered	None	Two (2) rounds of train-the-trainer s' Pre-Accelerator Programme for incubators/accelerators are carried out	Project progress and evaluation reports Project documentation Training records – material and participant lists Participant feedback on pre- accelerator and challenges Meeting notes/ records Tracking tools Project institutional Website data Press and social media releases	Continuous support from the Government and national partner institutions Commitment from project partners (PMU, accelerators, others) and committed participation of MSMEs, entrepreneurs and startups
	# Training module on gender lens and gender awareness		One (1) training module with the I-know-gender course or other gender training		
	# Training modules on gender challenges to climate change and adaptation TPS)		One (1) training module focused on gender challenges to climate change and adaptation TPS		
	# Incubators/accelerators and institutions that participated in the Train-the-trainers' Pre-Accelerator Programme (gender and age disaggregated)	None	At least seven (7) incubators/accelerators identified to run the DRC Adaptation Incubator/Accelerator Programmes and receiving this training (with 35% women staff)		
	# Pre-Accelerator Workshop for MSMEs, entrepreneurs and start-ups delivered	None	At least seven (7) Pre-Accelerators Workshops per year. A total of 42 Pre-Accelerator Workshops launched over six (6) out of the seven (7) years of the project implementation.		
	# MSMEs, entrepreneurs and start-ups trained in each Pre- Accelerator workshop (gender and age disaggregated)	None	At least 2,000 MSMEs are engaged in the Pre-Acceleration Programme over six (6) out of the seven (7) years of the project implementation (with at least 35% women led enterprises)		
# Focused training, mentoring and support for wo	None	Six (6) trainings during project implementation			

	men entrepreneurs				
	# Gender responsive outreach activities	None	Two (2) outreach activities in each province before each pre-accelerator workshop		
	# Partners involved that promote gender equality and women and youth empowerment	None	At least one institution in each of the seven (7) provinces		
Output 2.1.2: Seven (7) incubators and key institutions are trained to run the one (1) annual cycle of the Incubation / Acceleration Programme for MSMEs with innovative climate change adaptation oriented TPS	# Selection criteria to choose MSMEs, entrepreneurs and start-ups for the different programmes developed	None	Three (3) different selection criteria will be developed and approved. One for each programme: (1) Incubator/Accelerator Programme; (2) Advance Acceleration Programme; and (3) Post Acceleration Programme Each selection criteria, should include at least one (1) criterion related to the contribution to the empowerment of youth and women	Project progress and evaluation reports Project documents Training material Attendance records from training Trainer/mentor/judge certificates Project institutional Website data	Commitment from project partners (PMU, accelerators, others)
	# DRC Adaptation Incubator/Accelerator Programmes developed	None	Three (3) programmes will be developed. One for each programme: (1) Incubator/Accelerator Programme; (2) Advance Acceleration Programme; and (3) Post Acceleration Programme	Mentorship platform inside the project institutional website	
	# Incubators/accelerators to run the annual competitions of the different programmes under the DRC Adaptation Incubator/Accelerator trained	None	At least seven (7) incubators/accelerators identified to run the DRC Adaptation Incubator/Accelerator Programmes		
Output 2.1.3: 1,000 MSMEs, entrepreneurs and start-ups with high-impact innovative climate adaptation-oriented TPS are trained and coached through the competition	# MSMEs, entrepreneurs and start-ups trained in the Incubator/Accelerator Programme (gender and age disaggregated)	None	At least a total of 1,000 MSMEs are trained during project implementation ^[1] (with a target to receive 35% women-led applications).	Project progress and evaluation reports Project documentation	Continuous support from the Government and national partner institutions
	# Focused training, mentorship	None	At least one (1) per year during project implementation	Guidebooks	

through the competition-based Incubator / Accelerator Programmes to overcome the Technological Valley of Death (aiming at 35% women participation)	oring and support for women entrepreneurs		oject implementation	Training records – material and participant lists	Commitment from project partners (PMU, accelerators, others) and committed participation of MSMEs, entrepreneurs and start-ups
	# Evidence of outreach activities (e.g., Press releases, social media, website, brochures)	None	At least three (3) evidence in each of the seven (7) provinces per cycle	Participant feedback on the Incubator/ Accelerator Programme and challenges	
	# Gender responsive outreach activities	None	At least two (2) outreach activities in each province before each cycle of the Incubator/Accelerator Programme	Company records	
	# Partners involved that promote gender equality and women and youth empowerment	None	At least one (1) institution in each of the seven (7) provinces	Meeting notes/ records	
	# Regional & International incubators and accelerators invited to share experience	None	At least three (3) regional/ international incubators/accelerators are invited to share their experience	Tracking tools Project institutional Website data Press and social media releases	

Outcome 2.2: Adaptation MSMEs, entrepreneurs and start-ups commercialise and scale-up their adaptation TPS

Output 2.2.1: 200 MSMEs, entrepreneurs and start-ups are trained and coached through the Advanced Acceleration Programme to receive early-growth financing and overcome the Commercialisation and scaling-up Valley of Death (aiming at 35% women participation)	# MSMEs, entrepreneurs and start-ups trained in the Advanced Accelerator Programme (gender disaggregated)	None	At least 200 MSMEs receive training during the project implementation period ^[2] (with a target to receive 35% women-led applications).	Project progress and evaluation reports Project documentation Guidebooks	Continuous support from the Government and national partner institutions Commitment from project partners (PMU, accelerators, others) and committed participation of MSMEs, entrepreneurs and start-ups
	# Focused training, mentoring and support for women entrepreneurs	None	At least one (1) per year during project implementation	Training records – material and participant lists	
	# Evidence of outreach activities (e.g., Press releases, social media, website, brochures)	None	At least three (3) evidence in each of the seven (7) provinces per cycle	Participant feedback on the Incubator/ Accelerator Programme and challenges Company records	
	# Gender responsive outreach activities	None	Two (2) outreach activities in each province before each cycle of th	Meeting notes/ records	

			e Advanced Accelerator Program me	-- Tracking tools	
	# Partners involved that promote gender equality and women and youth empowerment	None	At least one (1) institution in each of the seven (7) provinces	Project institutional Website data Press and social media releases	
Output 2.2.2: 100 MSMEs, entrepreneurs and start-ups receive investment facilitation support in the Post-Acceleration Programme for projects that deliver climate adaptation TPS at scale (aiming at 35% women participation)	# MSMEs, entrepreneurs and start-ups trained in the Post- Accelerator Programme (gender and age disaggregated)	None	At least 100 MSMEs receive support during the project implementation period[3]	Project progress and evaluation reports Project documentation	Continuous support from the Government and national partner institutions Commitment from project partners (PMU, accelerators, others) and committed participation of MSMEs, entrepreneurs and start-ups
	# Focused training, mentoring and support for women entrepreneurs	None	One (1) per year during project implementation	Guidebooks Training records – material and participant lists	
	# Evidence of outreach activities (e.g., Press releases, social media, website, brochures)	None	At least three (3) evidence in each of the seven (7) provinces per cycle	Participant feedback on the Incubator/ Accelerator Programme and challenges	
	# Gender responsive outreach activities	None	Two (2) outreach activities in each province before each cycle of the Advanced Accelerator Programme	Company records	
	# Partners involved that promote gender equality and women and youth empowerment	None	At least one institution in each of the seven (7) provinces	Meeting notes/ records Tracking tools	
	# half-day investor connects events	None	At least 42 half-day investor connects events during project implementation	Project institutional Website data	
	# Participants in investor connect events (gender and age disaggregated)	None	At least 630 participants in investor connect events (of which at least 35% women)	Press and social media releases	
	# Post- Acceleration alumni supported by PFAN (gender and age disaggregated)	None	At least 100 MSMEs received support from PFAN[4] (with 35% of these companies being led by wom		

	ed)		en)		
	# Regional & International projects connect with Post-Acceleration Alumni	None	At least three (3) are invited		
Output 2.2.3: The Climate Adaptation Venture Fund is established and operationalized to financially support 1,000 climate change adaptation enterprises and to de-risk and leverage public/private investment	# Dedicated Climate Adaptation Venture Fund established	None	One (1) Climate Adaptation Venture Fund established and operational	Project progress and evaluation reports	Interest from impact investors in a adaptation TPS
	# MSMEs, enterprises and start-ups that can access the Climate Adaptation Venture Fund (gender disaggregated)	None	At least 1,000 MSMEs, enterprises and start-ups from the Incubator/ Accelerator Programme (at least 35% women-led) At least 200 MSMEs, enterprises and start-ups supported from the Advanced- Accelerator Programme (at least 35% women-led) at least 100 MSMEs, enterprises and start-ups supported from the Post- Accelerator Programme (at least 35% women-led) <i>Note: As some of the MSMEs will go through the 3 stages, to be conservative the main target is 1,000 different MSMEs.</i>	Project documentation Fund reporting Budget documents Company records Press and social media releases	Continuous support from the Government and national partner institutions Commitment from project partners (PMU, accelerators, others) and committed participation of MSMEs, entrepreneurs and start-ups
	# co-finance leveraged/disbursed	None	At least USD 7 million are raised as co-finance for CAVF to support MSMEs		

PC3: Innovative financing for climate change adaptation TPS to build the resilience of vulnerable groups

Outcome 3.1: Build awareness on climate change vulnerability and availability of climate adaptation technologies for the target vulnerable groups

Output 3.1.1: 21 awareness raising activities per year to target 25,000 vulnerable people conducted (with 35% women participation)	# Evidence of outreach activities (e.g., Press releases, social media, website, brochures)	None	At least three (3) evidence in each of the seven (7) provinces per cycle	Project progress and evaluation reports	Continuous support from the Government and national partner institutions
	# Gender responsive outreach activities	None	Two (2) outreach activities in each province before each awareness raising event	Project documentation Guidebooks, leaflets	
	# Awareness raising events to target vulnerable groups	None	At least 126 awareness raising activities over the project implement	Training records – material and participation	Commitment from project partner

	ups (disaggregated by gender and age and if possible)		ation period[5]. (target 35% of women and youth participation)	<ul style="list-style-type: none"> Participant feedback on the awareness raising events Tracking tools Project institutional Website data Press and social media releases 	s (PMU, accelerators, others)
	# Training modules focused on Gender challenges to climate change and Adaptation TPS and on gender mainstreaming	None	At least one (1) training module focused on Gender challenges to climate change and Adaptation TPS and on gender mainstreaming		
	# Vulnerable people targeted at awareness raising events (disaggregated by gender and age and if possible,))	None	At least 25,000 vulnerable people attend awareness raising events during the project implementation period[6] (target of at least 35% women participants)		
Output 3.1.2: Five (5) training workshops for Financial Service Providers (FSPs) on viability and financing of adaptation TPS	# Training session for investors on viability and financing of adaptation TPS conducted	None	5 virtual training sessions for investors conducted (provided by PFAN)	<ul style="list-style-type: none"> Project progress and evaluation reports Project documentation Guidebooks Training records – material and participant lists 	Interest from impact investors in a daptation TPS
	# Awareness raising to FSPs on Climate Change, Adaptation and maladaptat ion, Adaptation TPS, others related to investors conducted	None	At least 3 awareness raising events to FSPs carried out (provided by accelerators/incubators running the Programmes)		Commitment from project partners (PMU, accelerators, others)
	# FSP trained / participating in awareness raising workshop (gender disaggregated)	None	At least 20 FSP are trained (at least 35% women of women investors)	<ul style="list-style-type: none"> Participant feedback on the training sessions Meeting notes/ records Tracking tools 	
	# Training on gender-lens investment or gender sensitization for investors	None	At least 20 FSP trained (at least 35% women)		
	# of national financial institutions network established	None	One (1) Financial Institution Network established	<ul style="list-style-type: none"> Project institutional Website data Press and social media releases 	
	# of Financial institutions in network (gender and age disaggregated)	None	At least 15 FSP are members of the network (at least 35% women)		
Outcome 3.2: Market linkages promoted between adaptation TPS suppliers and vulnerable populations to increase climate-resilient livelihoods					

Output 3.2.1: 42 joint events connecting adaptation TPS suppliers, and the vulnerable population are organised to stimulate demand and reach 25,000 vulnerable people (aiming at 35% women participation)	# Joint events connecting adaptation TPS suppliers and the demand side	None	At least one (1) event carried out in each of the seven (7) provinces every year. A total of seven per year and a total of 42 during the last 6 years of the project (from year 2 to year 7).	Project progress and evaluation reports Project documentation Guidebooks, leaflets	Commitment from project partners (PMU, accelerators, others) and committed participation of MSMEs, entrepreneurs and start-ups
	# Vulnerable people reached at joint events (disaggregated by gender and age and if possible,)	None	At least 25,000 vulnerable people attend awareness raising events during the project implementation period ^[7] (target of at least 35% women participants)	Training records – material and participant lists Participants feedback on the awareness raising events	
	# MSMEs, entrepreneurs and start-ups participating at these events	None	At least 300 during the project implementation period	Tracking tools	
	# Evidence of outreach activities (e.g., Press releases, social media, website, brochures)	None	At least one (1) evidence in each of the seven (7) provinces per years	Project institutional Website data	
	# Gender responsive outreach activities	None	Two (2) outreach activities in each province before each joint event	Press and social media releases	

Outcome 3.3: Innovative financial mechanisms made available for vulnerable groups to acquire climate adaptation TPS

Output 3.3.1: Financial services providers (FSPs) design and establish innovative financial products and services adapted to the needs of the most vulnerable populations (to reach at least 100,000 beneficiaries)	# Dedicated innovative financial products and services adapted to the needs of the most vulnerable populations developed (gender and age responsive)	None	At least three (3) direct or indirect financial instruments developed with one (1) specially designed to target women and youth.	Project progress and evaluation reports Project documentation Press and social media releases	Interest from impact investors in a daptation TPS Commitment from project partners (PMU, accelerators, others)
	# FSPs participating in the establishment of these products	None	At least 10 FSPs participated	Leaflets	
	# Beneficiaries reached	None	At least 25,000 people/ households representatives (100,000 beneficiaries since average household in the DRC consists of 4-5 people)	Project institutional Website data Meeting/ forum records	
	# Evidence of outreach activities (e.g., Press releases, social media, website, brochures)	None	At least three (3) evidence in each of the seven (7) provinces per cycle		

	brochures)				
	# Gender responsive outreach activities	None	Two (2) outreach activities in each province per year		
Output 3.3.2: Adapting climate risk assessment instruments and climate-smart investment planning tools for FSPs engaged in lending to vulnerable populations	# Risk mitigation instruments and tools for FSPs developed	None	At least three (3) different tools and/or instruments developed	Project progress and evaluation reports Project documentation	Interest from impact investors in a adaptation TPS
	# Capacity building meetings to FSPs on the developed risk mitigation instruments and tools	None	Three (3) capacity building meetings conducted	Fund reporting Budget documents FSPs records Press and social media releases	Commitment from project partners (PMU, accelerators, others)
	# FSPs trained and supported	None	At least 15 FSPs supported	Attendance records from training Training material	
	US\$ co-finance raised from FSP to be provided over the project duration	None	At least US\$15,000,000	Participant feedback on the training	
PC4: Project Monitoring and Learning					
Outcome 4.1. Regular project monitoring and documentation for learning and knowledge sharing					
Output 4.1.1: Regular project progress monitoring and data collections per GEF and UNIDO guidelines conducted	# PMU Training on UNIDO standards for monitoring and reporting processes and procedures and the GEF Monitoring Policy	None	PMU received at least three (3) trainings over the project duration	Project progress and evaluation reports Project documentation	Continuous support from the Government and national partner institutions
	# M&E Framework consolidated –M&E plan	None	One (1) M&E Framework consolidated / M&E Plan	Training records – material and participant lists	
	# Training sessions for incubators/accelerators on the M&E Framework	None	At least five (5) training sessions every year (35 throughout project implementation)	UNIDO guidelines	Commitment from project partners (PMU, accelerators, others) and committed participation of MSMEs, entrepreneurs and
	# Incubators/accelerators trained	None	All seven (7) incubators/accelerators participating in the project training	Participants feedback on the M&E framework	
	# Progress reports (including)	None	1 Progress report every 6 months	Tracking tools	

	ding monitoring of ESMP, and risks)		(including monitoring of ESMP, gender mainstreaming and risks) Total of 14 reports in 7 years	Tracking tools Project institutional Website data	d start-ups
	% of project activities implemented according to work plan	None	At least 75% of the project activities implemented according to Work Plan	Knowledge materials developed	
	% of achieved expected results	None	At least 75% of the project expected results are achieved		
Output 4.1.2: Knowledge materials developed and best-practices documented and disseminated widely	# DRC Adaptation Project communication strategy developed	None	One (1) DRC Adaptation Project communication strategy developed		
	# Supporting documents and knowledge materials developed for national stakeholders	None	At least seven (7) knowledge materials are developed and disseminated by the project		
PC5: Project Evaluation					
Outcome 5.1: Project evaluation					
Output 5.1.1: Mid-term review conducted	# Independent mid-term review conducted	None	One (1) Project mid-term review report conducted with the project classified as at least Moderately Satisfactory.	Mid-term review	Continuous support from the Government and national partner institutions Commitment from project partners (PMU, accelerators, others) and committed participation of MSMEs, entrepreneurs and start-ups
Output 5.1.2: Independent terminal evaluation conducted	# Independent terminal evaluation report conducted	None	One (1) Terminal evaluation report conducted with the project classified at least as Moderately Satisfactory;	Terminal evaluation report	

[1] This corresponds to an average of 166 enterprises trained per year from the 2nd year of the project onwards.

- [2] This corresponds to an average of 33 MSMEs per year trained from the 2nd year of the project onwards.
- [3] This corresponds to an average of 17 MSMEs per year receiving support under the Post-Accelerator Programme from the 2nd year of the project onwards.
- [4] This corresponds to an average of 17 MSME alumni supported by PFAN per year from the 2nd year of the project onwards.
- [5] This corresponds to three (3) awareness raising activities in each of the seven (7) province per year from the 2nd year of the project onwards.
- [6] This corresponds to an average of 595 people attending these events per year in each of the seven (7) provinces from the 2nd year of the project onwards.
- [7] This corresponds to an average of 595 people attending these events per year in each of the seven (7) provinces from the 2nd year of the project onwards.

ANNEX B: RESPONSES TO PROJECT REVIEWS (from GEF Secretariat and GEF Agencies, and Responses to Comments from Council at work program inclusion and the Convention Secretariat and STAP at PIF).

	GEF Secretariat / STAP comments	Response
1	<p>Comment by Graciela Metternich and Guadalupe Duron, STAP comments to the PIF</p> <p>General Comment:</p> <p>STAP proposes minor issues to be considered during the project design, but it is concerned by the lack of references that are needed to support the multiple assumptions made related to the project narrative and description of the components.</p> <p>1) “STAP strongly recommends for this highly innovative project to develop a Theory of Change (TOC), with clear underlying assumptions that require validation during the project implementation.</p> <p>2) STAP also recommends describing further the activities in the project document and performing a resilience assessment using approaches such as the Resilience, Adaptation Pathways and Transformation Approach (RAPTA).</p> <p>3) Finally, STAP suggests considering component 3 of the project as the first component to ensure the proposed project interventions (under current Component 1 and 2) are closely linked with the local context and respond to the national climate change adaptation process.</p>	<p>1) The Theory of Change (ToC) of the project was developed, discussed and validated with a varied number of stakeholders. In all the meetings carried out with stakeholders, the ToC of the project was presented and discussed. It includes now all the underlying assumptions and was developed having into account the Climate Risk and Vulnerability Assessment (CRVA) carried out during the PPG stake. It clearly shows now the links between the climate change vulnerabilities and risks, the activities, outcomes and impacts of the proposed project.</p> <p>2) A Climate Risk and Vulnerability Assessment (CRVA) was conducted as part of the Baseline Report. This CRVA utilized as a basis the RAPTA approach in terms of stakeholder’s engagements and consultation. The consultation activities involved: (i) the deployment of an online questionnaire, to which 108 replies were received from stakeholders in the DRC; and consultation of more than 20 interviews/meeting (virtual and physical) The CRVA methodology used for this is an innovative approach that uses existing methodologies and models, as well as captures new collected information and valuable insights from local stakeholders. In this CRVA the vulnerability to climate change and occurred and expected impacts were assessed using a series of tools (WB Group’s Climate Change Portal (CCKP), Global Hotspot Explorer (developed within the GEF/UNIDO project ISWEL), ThinkHazard, and GERICS), verified and complemented with stakeholder’s inputs. At the same time the adaptive capacity to climate change was also assessed. By combining all this information and validating all results the geographical fo</p>

		<p>u. By combining all this information and validating all results the geographical focus of the GEF/UNIDO Adaptation Project was identified. The detailed CRVA can be found on the Baseline Report and a summary of it in the GEF CEO Endorsement.</p> <p>3) Component 3 of the PIF was moved and is now Component 1 of the GEF CEO Endorsement.</p>
2	<p>Comment by Graciela Metternich and Guadalupe Duron, STAP comments to the PIF Part I: Project Information</p> <p>4) Outcomes: "Adaptation benefits mentioned may be attained if during the project development a good theory of change is included that can link the desired vision with the type of investments to be done, underlying assumptions and careful consideration of external and internal factors that may influence attainment of the project outcomes. STAP recommends revisiting the narrative of this section and include additional indicators and metrics that can serve to assess if the claimed benefits are to be achieved (e.g., project evaluation phase)</p> <p>5) Outputs: "The project presents several adaptation measures that are important for the priority sectors of water, energy and agriculture. However, it is not clear if all the examples cited (table of page 20) will be implemented.</p>	<p>4) ToC was revised. Indicators and metrics were provided for each of the Outputs/Activities and are included as well in Annex A: Project Results Framework. These were also inserted in the LDCF SCCF Research Framework Core Indicators Spreadsheet (Annex G of the GEF CEO Endorsement) and summarised in E of the GEF CEO Endorsement.</p> <p>5) A list of Adaptation Technologies, Products and Services that should be supported by the project to address the different identified climate change vulnerabilities and impacts across the three sectors is provided in both the Baseline Report (Section 8.6) and the GEF CEO Endorsement.</p>
3	<p>Comment by Graciela Metternich and Guadalupe Duron, STAP comments to the PIF Part II: Project Information</p> <p>The project needs to include a ToC with underlying assumptions; activities; investments for those activities; who will be doing the activities to lead to the expected outcomes, and the consideration of external and internal factors that may affect the delivery of outputs</p> <p>6) Project Description/problem definition: there is a need to provide data & information to back up arguments for the problem statement & barriers identified.</p> <p>7) Baseline scenario: The description of the baseline and associated baseline project contains good elements, however it is not clear what contribution can be developed with the US\$166 million project on urban water supply funded by the World Bank.</p> <p>8) Development of indicators to better support the narrative of the project document.</p>	<p>6) Data and information were provided backing up arguments and affirmation in the Baseline report. All information and statements were triangulated and verified with scientific information, journals, news and with stakeholders in the DRC. The Baseline Report and the GEF CEO Endorsement identify all the different information sources used.</p> <p>7) The World Bank Urban Water supply project was removed from the list of the baseline projects. A new list of projects was put together at the PPG stage identifying the projects and the expected contributions / synergies with the proposed GEF/UNIDO Adaptation project. (see Section 2.2 of the GEF CEO Endorsement).</p> <p>8) The baseline indicators are developed in the Baseline Report, and included Annex A: Project Results Framework</p> <p>9) Lessons learnt and recommendations were listed in Table 5 of the Baseline Report from adaptation projects implemented in the DRC.</p> <p>10) Former PC3 in the PIF is now PC1 in the GEF CEO Endorsement. As explained above the TOC was redeveloped to take into account stakeholders' feedback a</p>

9) Conduct a 'landscape mapping' of other GEF funded projects concluded, searching for good lessons and best practice that could be transferred to this project

10) Alternative scenario /TOC: does not include TOC and missing annex D (list of activities linked to outputs). STAP suggests to consider moving component 3 to be the first component of the project, followed by the current component 1 and 2 as this sequence of events is more likely to lead to the desired outcomes. The project lacks underlying assumptions that are to lead to the desired outcomes. STAP also suggests conducting an exercise to understand the vulnerability, resilience and adaptive capacity of the socio-ecological system that is the target of this project.

11) Incremental cost reasoning / LDCF/SCCF: This is not clear. STAP suggests mapping activities to outputs as Appendix D is missing and conducting an exercise to understand the vulnerability, resilience and adaptive capacity of the socio-ecological system that is the target of this project. Approaches like the RAPTA or similar could be useful to that end.

12) Global environmental benefits: The narrative suggests the project will generate global environmental benefits, though STAP suggests better indicators are identified to verify that this is the case. There is a good formulation of the problem, though it lacks data and information to back up the arguments.

13) STAP recommends that in the project development phase criteria is developed to 'test' if all the outputs mentioned will deliver the said adaptation benefits.

14) The PPG phase needs to better identify methodologies and indicators to measure and monitor that aspirational adaptation benefits are attained.

15) Components 1, 2, 3 of the project describe outputs and vague activities that are to increase resilience to climate change

16) Gender Equality and Women's Empowerment: STAP suggests the team consults the publication of UN Women on gender responsive implementation of the UNCCD.

17) Risks: Social risks are identified, the STAP suggests environmental risks be investigated in the phase of project development.

18) Sensitivity to climate change and its impacts have been partially assessed. STAP suggest the team uses RAPTA or similar approach

and the results of the CRVA assessment (exercise to understand the vulnerability, resilience and adaptive capacity of the socio-ecological system that is the target of this project.) and that was used to identify the provinces to be targeted by the proposed GEF/UNIDO Adaptation Project. Summary of the CRVA is at the start of the GEF CEO Endorsement and the full CRVA conducted can be found in the Baseline Report.

11) CRVA conducted using an innovative approach based on stakeholders' consultation as described in the RAPTA and the use of a series of tools and methods to assess transformational pathways, vulnerabilities, risks and adaptive capacity in the DRC.

12) Better definition of the indicators is now provided on the document. Data and information have been used to back up stated environmental benefits and statements and references for these were provided into the documents GEF CEO Endorsement and Baseline Report.

13) A list of adaptation technologies to be used in the WEF sectors of the DRC to adapt to the adaptation vulnerabilities and risks identified in provided in both Baseline Assessment (Section 8.8) and GEF CEO Endorsement (Table 24 and 25). In addition, Table 26 of the GEF CEO Endorsement identify the environmental benefits of the innovative adaptation solutions in short, medium and long term are re-identified based on evidence (scientific assessments, papers, stakeholders).

14) Annex A, the Project Results Framework highlights how the different indicators should be measured and the M&E section of the GEF CEO Endorsement how to monitor. The ESMP Annex has a thorough monitoring and evaluation plan to track the environmental benefits of this project.

15) The GEF CEO Endorsement has now a comprehensive section explaining all outputs and activities and how those aim to contribute to increase the resilience to climate change.

16) The publication of the UN Women on Gender Equality and Women's Empowerment was taken into account in the development of the GEF CEO Endorsement.

17) The GEF CEO Endorsement identifies in Section 5 varied risks: political risks, institutional risks, market risks, financial risks, environmental risks, climate change risks, social risks. It also has two additional tables including the COVID-19 Pandemic risk analysis and the COVID-19 Pandemic Opportunity Analysis.

18) CRVA conducted and is an integral part of the GEF CEO Endorsement document.

19) The baseline report describes project/programmes in implementation or recently implemented and lessons learnt that might be transferred to this project,

	<p>ch to assess resilience and adaptive capacity.</p> <p>19) Coordination: STAP recommends the team investigate further lessons from other projects of similar objectives.</p>	<p>especially on projects related with Adaptation.</p>
	<p>Council Comments</p>	
<p>4</p>	<p>Germany comments from December 2019</p> <p>Germany welcomes “the project initiative, particularly the involvement of the private sector, including MSMEs and financial institutions and encourages linkages between national adaptation planning processes and the project’s intervention”. However, Germany requests the following issues regarding co-financing to be addressed:</p> <p>20) Given that a substantial part of the co-financing comes from private sector entities including banks (USD 15 million) and SMEs (USD 5 million) and that amounts are still to be confirmed, Germany would welcome further information on:</p> <ul style="list-style-type: none"> · How these amounts have been estimated and how much has been secured”? · Why equity is deemed to be the right financial instrument for SMEs finance, given their substantial appetite for private debt”? <p>21) Germany asks to refine the analysis of present and future climate impacts at the national level (and sub-national if possible). Current and future climate-related impacts on the target sectors agriculture, water and energy should be precisely identified. Information on how climate change is affecting the target vulnerable populations (Indigenous peoples, women, poor farmers) is necessary to achieve sustainable and durable adaptation and development outcomes. The GIZ Climate Expert Tool could be used to assess impacts of potential investees</p> <p>22) As the project’s scope is still broad regarding sectors and technologies, Germany would welcome the following clarifications:</p> <ul style="list-style-type: none"> · Supported technologies under component 1: although selection criteria will be elaborated during the project, some narrowing-down indications should be provided to know which technologies are going to be prioritized (e.g. stage of commercialization, risk level, scale-up potential); · Linkages with NAPA: Germany recommends to clearly define and present which supported technologies have adaptation-benefit 	<p>20) The co-financial amounts for these activities were estimated based on the services and support to be provided by each of the entities to be involved in the different project activities in the project. There are entities that will provide “in-kind co-finance” though the provision of space for the MSMEs and for the implementation of the DRC Adaptation Incubator/Accelerator Programmes (example: KoboHub will support the MSMEs by providing courses on entrepreneurship within the DRC Adaptation Incubator/Accelerator; AECF will mobilize finance for the Climate Adaptation Venture Fund that is the basis for seed-fund and co-finance provision for MSMEs in the DRC Adaptation Incubator/Accelerator). Of the total amount of co-finance listed in the GEF CEO Endorsement US\$16.5 has been secured.</p> <p>The financial mechanism for MSMEs included in this project is the Climate Adaptation Venture Fund (CAVF). The CAVF will provide patient and affordable capital to adaptation ventures so that they have the time to grow and scale their businesses and be connected to follow-on investor, with the overall aims of driving adaptation innovations towards formalisation and scaling up. The complete description of the CAVF can be found in Output 2.2.3 of the GEF CEO Endorsement.</p> <p>21) A Climate Risk and Vulnerability Assessment (CRVA) was conducted as part of the Baseline Report. This CRVA utilized as a basis the RAPTA approach in terms of stakeholders’ engagements and consultation. The consultation activities involved: (i) the deployment of an online questionnaire, to which 108 replies were received from stakeholders in the DRC; and consultation of more than 20 interviews/meeting (virtual and physical) The CRVA methodology used for this is an innovative approach that uses existing methodologies and models, as well as captures new collected information and valuable insights from local stakeholders. In this CRVA the vulnerability to climate change and occurred and expected impacts were assessed using a series of tools (WB Group’s Climate Change Portal (CCKP), Global Hotspot Explorer (developed within the GEF/UNIDO project ISWEL), ThinkHazard, and GERICS), verified and complemented with stakeholders’ inputs. At the same time the adaptive capacity to climate change was also assessed. By combining all this information and validating all results the geographical focus of the GEF/UNIDO Adaptation Project was identified. The detailed CRVA can be found on the Baseline Report and a summary of it in the GEF CEO Endorsement</p>

	<p>and present which supported technologies have adaptation benefits, and which only have co-benefits. (section 1.A.2).</p> <p>23) Germany also recommends including more information on vulnerable target groups in component 2, as training and awareness-raising needs differ for each.</p>	<p>nt.</p> <p>22) List of technologies, products and services to be supported by the project was compiled having into account the results of the CRVA. These tables can be found in both Baseline Assessment (Section 8.8) and GEF CEO Endorsement (Table 24 and 25). The technologies table indicate the sectors to which it will bring benefits and co-benefits – within the sectors that the project is targeting – water, energy and food.</p> <p>23) Specific training and awareness raising activities were included in the old PC2, now PC3 of the GEF CEO Endorsement. Also, when assessing the adaptive capacity an analysis of the vulnerable target groups was carried out.</p>
5	<p><i>United State comments from December 2019</i></p> <p>24) As UNIDO prepares the draft final project document for CEO endorsement, we urge UNIDO to:</p> <ul style="list-style-type: none"> · Expand on how the project will deal with personnel changes – both within the government and implementing partners – as the project moves forward. · Provide more detail on how the proposal plans to address any issues of limited capacity that arise, based on the complexity of the project. · Expand on activities to increase local awareness of climate change; · Generally expand on the successes or challenges faced by the various projects that this proposals seeks to build upon; · Provide more detail on how the project proposes to build capacity at the government and individual level; <p>25) In addition, we expect that UNIDO in the development of its full proposal will:</p> <ul style="list-style-type: none"> · Provide more information on how beneficiaries, including women, have been involved in the development of the project proposal and will benefit from this project; · Engage local stakeholders, including community-based organizations, environmental non-governmental organizations and the private sector in both the development and implementation of the program; and, 	<p>24) The project aims to involve more than one representative of each entity in the Adaptation Innovation Platform/PSC, PMU as well as in the other implementing partners (incubators/accelerators, FSP etc), so to try to avoid losing information when there is a change in personnel. Also Meeting Minutes and Records of agreements reach out during the project will be recorded, saved and shared with the involved partners.</p> <p>The GEF/UNIDO Adaptation Project will be implementing the DRC Adaptation Incubator/Accelerator that also contemplate a pre-accelerator aimed at (i) building the capacity of MSMEs in the country on climate change, climate change adaptation, adaptation TPS etc; (ii) and building the capacity of incubators/accelerators to deliver the pre-acceleration programme as well as the other DRC Adaptation Incubator/Accelerator Programmes. The programmes then included on the DRC Adaptation Incubator/Accelerator will provide funding and /or continue to build the capacities of the MSME on business, entrepreneurship, elaboration of business plans, financial models etc etc.</p> <p>The proposed project also aims at building the Financial Service Providers on climate change and climate change adaptation and on the financial products developed for both the adaptation MSMEs and the vulnerable population to acquire those technologies, products and services provided by the MSMEs.</p> <p>The project will build the capacity of the demand side (vulnerable target group) on all of the above through workshops, fora and events etc, so to raise their awareness and connect both supply and demand.</p> <p>The GEF CEO Endorsement document, includes a section on the relevant adaptation projects and the lessons learnt through their implementation. In addition, it also includes information on on-going projects and synergies/cooperation that should be established between them and the proposed project.</p> <p>The project contemplates a series of capacity building and training activities associated with all its components. PC1 has specific capacity building activities ded</p>

· Clarity on how the implementing agency and its partners will communicate results, lessons learned and best practices identified throughout the project to the various stakeholders both during and after the project.

icated to policy-makers, universities and training institutions and incubators/accelerators. PC2 has specific capacity building for MSMEs, star-ups and entrepreneurs (supply side) in the DRC Adaptation Incubator/Accelerator. And PC3 has capacity building for Financial Service providers as well as to the vulnerable target groups on the demand side.

25) During the development of the full GEF CEO Endorsement several were the project beneficiaries involved: Government Institutions, to business associations, cooperatives, financial services providers, NGOs, CSOs, MSMEs, Development Finance Institutions (DFIs) etc. These stakeholders have been involved through the deployment of an online questionnaire and through face-to-face/virtual meetings and workshops. Regarding women and youth, at the PPG stage several were the organizations contacted in the deployment of the online questionnaire:

· Women associations, NGOs and CSOs - Association des Femmes Entrepreneurs Chefs d'Entreprises (ASSOFE); Femmes Entrepreneurs de la Confédération des Petites et Moyennes Entreprises Congolaises (FECO); Large Unions des Femmes Pour le Développement (LUFED); Femmes Engagées pour le Développement Durable; Solidarité Féminine (SOFEMasbl); Réseau National des Associations des Femmes Rurales (RENAFER); SARCAF; Femme agriculteur élevage et pêche (FAGREfeP); Association des Mamans pour le Développement Agropastoral du Lualaba (AMDAL); Association des Femmes pour le Développement Communautaire (AFEDECO); Femmes Unies pour le Développement (FUD); Union des femmes paysannes du Nord Kivu (UWAKI Nord-Kivu); Actions pour la Réinsertion Sociale de la Femme (ARSF); Femmes et Education des Adultes (FEDA); Women for Women International; Levain Des Femmes du Sud-Kivu.

· Youth Associations, NGOs and CSOs - Debout Jeunesse Congolaise (DJC) Association pour la Promotion et le Développement de la Jeunesse (APPRODEJ); Jeunesse espoir de tous dans la région des grands lacs (JET-RGL); Jeunesse Katangaise pour le Développement (JKD); Children's Voice; Action Communautaire pour le Développement du Kivu (ACDK).

The implementing agency and its partners will communicate the results, lessons learnt and best practices through the compilation and dissemination of information. All generated information will be uploaded in the Adaptation Innovation Platform, including information related to the implementation of the GEF/UNIDO Adaptation Project, calls under the DRC Adaptation Incubator/Accelerator as well as all materials and publications generated by the project. PC4: Project Monitoring and Learning, has a dedicated output on compilation and dissemination of knowledge materials and documentation best-practices.

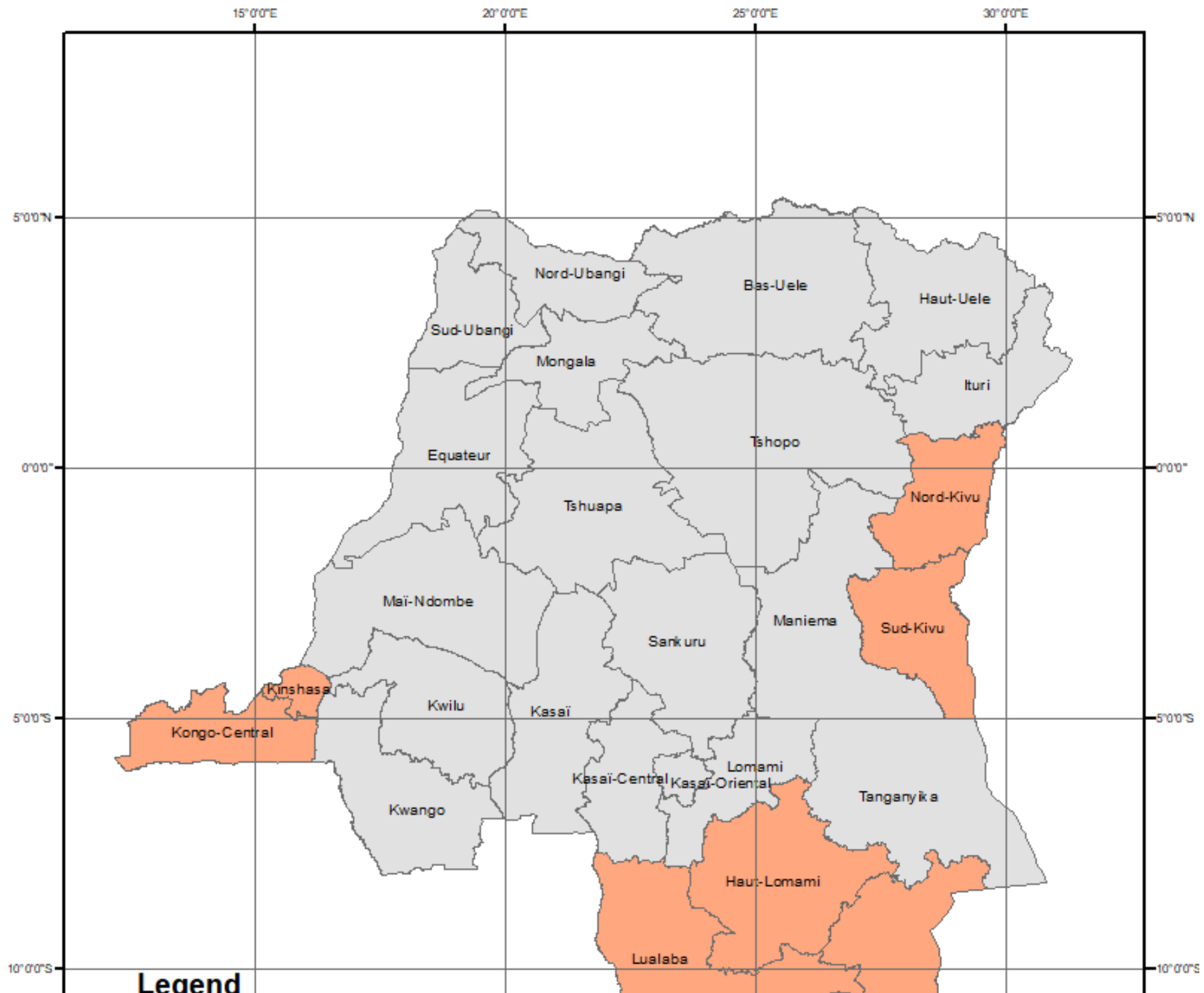
ANNEX C: Status of Utilization of Project Preparation Grant (PPG). (Provide detailed funding amount of the PPG activities financing status in the table below:

PPG Grant Approved at PIF:			
<i>Project Preparation Activities Implemented</i>	<i>GETF/LDCF/SCCF Amount (\$)</i>		
	<i>Budgeted Amount</i>	<i>Amount Spent To date</i>	<i>Amount Committed</i>
Finalization of project documents including: · gender analysis, · Stakeholder engagement activities during PPG (individual consultations and project validation workshops) · stakeholder engagement plan, · ESMP, · finalizing co-finance and · implementation and assessment of execution arrangements -	180,000	154,294	-
Micro-Assessment of Executing Entities	20,000	12,901	-
Other (to be spent on eligible expenditures, in line with GEF Guidelines)	-	-	32,805
Total	200,000	167,195	32,805

ANNEX D: Project Map(s) and Coordinates

Please attach the geographical location of the project area, if possible.

1553 The proposed GEF/UNIDO Adaptation Project will take place in seven (7) provinces: (i) North-Kivu (1.6741° S 29.2285° E), (ii) South-Kivu (2.4908° S 28.8428° E), (iii) Haut Katanga (11.6609° S 27.4794° E), (iv) Haut-Lomami (8.7351° S 24.998° E), (v) Lualaba (10.7148° S 25.4667° E), (vi) Kinshasa (4.3276° S 15.3136° E) and (vii) Congo Central (5.8386° S 13.4631° E). Please see Figure 43 below.



Legend

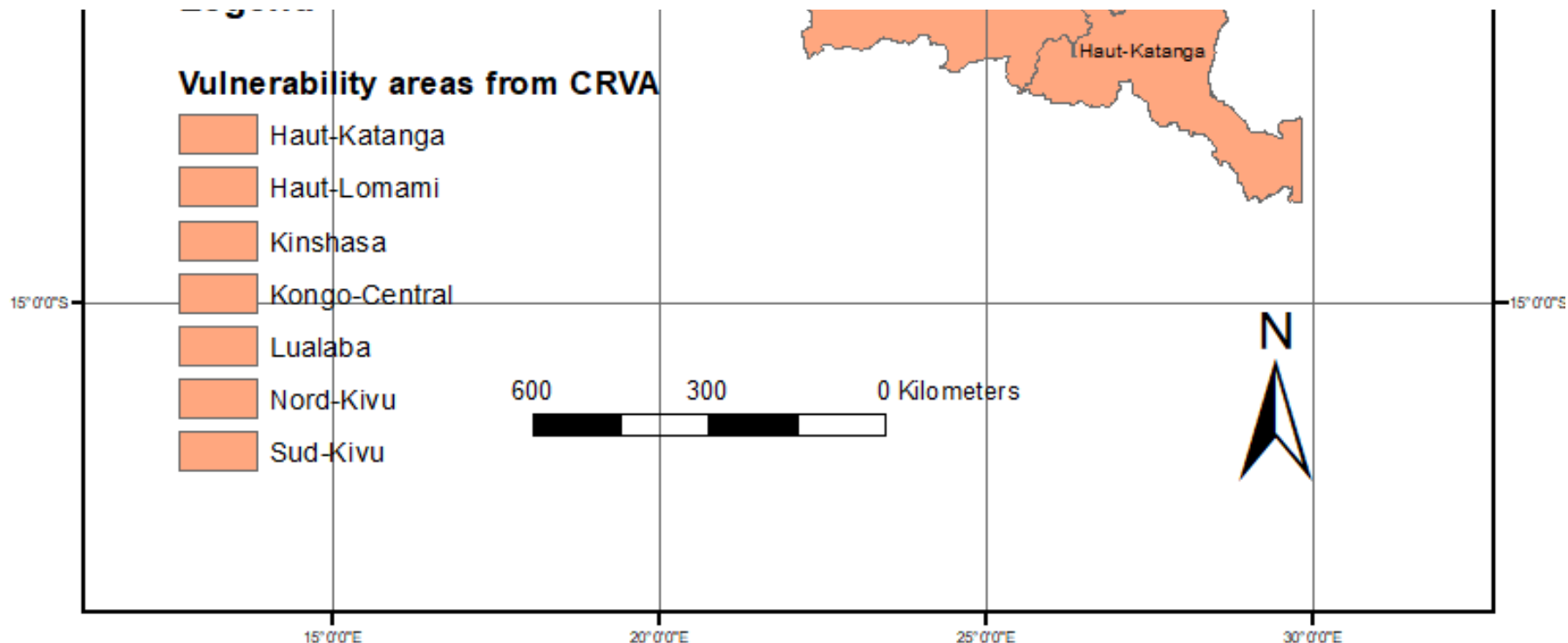


Figure 44 project map and geo-coordinates

ANNEX E: Project Budget Table

Please attach a project budget table.

Please see below a summary of the budget per year and per component as well as a summary of the cost categories per component.

For a detailed budget breakdown, please see Annex E (spreadsheet) attached in the document section.

Budget Summary 1

Categories by Year	Total Project Components (USD)					Sub-total (USD)	M & E (USD)	PMC (USD)	Total GEF (USD)
	Component 1	Component 2	Component 3	Component 4	Component 5				
Year 1									
Sub-total Consultants	-	-	10,000.00	122,500.00	-	132,500.00	85,000.00	35,000.00	167,500.00
Sub-total Contractual Services - Company	311,400.00	115,875.00	198,000.00	42,500.00	-	667,775.00			667,775.00
Sub-total Travel	-	-	-	-	-	-		16,000.00	16,000.00
Sub-total Office Supplies	-	-	-	-	-	-		15,764.71	15,764.71

Sub-total Training/Work shop/meeting	43,350.00	-	1,000.00	-	-	44,350.00	-	-	44,350.00
Total Year 1	354,750.00	115,875.00	209,000.00	165,000.00	-	844,625.00	85,000.00	66,764.71	911,389.71
Year 2									
Sub-total Consultants	-	31,665.00	10,000.00	15,000.00	-	56,665.00	15,000.00	35,000.00	91,665.00
Sub-total Contractual Services - Company	11,750.00	527,835.00	229,000.00	42,500.00	-	811,085.00	-	-	811,085.00
Sub-total Travel	-	-	-	-	-	-	-	14,000.00	14,000.00
Sub-total Office Supplies	-	-	-	-	-	-	-	10,764.71	10,764.71
Sub-total Training/Work shop/meeting	13,250.00	30,000.00	371,000.00	-	-	414,250.00	-	-	414,250.00
Total Year 2	25,000.00	589,500.00	610,000.00	57,500.00	-	1,282,000.00	15,000.00	59,764.71	1,341,764.71
Year 3									
Sub-total Consultants	-	31,665.00	10,000.00	15,000.00	80,000.00	136,665.00	95,000.00	35,000.00	171,665.00
Sub-total Contractual Services - Company	11,750.00	527,835.00	229,000.00	42,500.00	-	811,085.00	-	-	811,085.00
Sub-total Travel	-	-	-	-	-	-	-	14,000.00	14,000.00
Sub-total Office Supplies	-	-	-	-	-	-	-	10,764.71	10,764.71
Sub-total Training/Work shop/meeting	9,000.00	30,000.00	371,000.00	-	-	410,000.00	-	-	410,000.00
Total Year 3	20,750.00	589,500.00	610,000.00	57,500.00	80,000.00	1,357,750.00	95,000.00	59,764.71	1,417,514.71
Year 4									
Sub-total Consultants	-	31,665.00	10,000.00	15,000.00	-	56,665.00	15,000.00	35,000.00	91,665.00
Sub-total Contractual Services - Company	11,750.00	524,835.00	175,000.00	42,500.00	-	754,085.00	-	-	754,085.00
Sub-total Travel	-	-	-	-	-	-	-	14,000.00	14,000.00
Sub-total Office Supplies	-	-	-	-	-	-	-	10,764.71	10,764.71
Sub-total Training/Work shop/meeting	22,250.00	33,000.00	371,000.00	-	-	426,250.00	-	-	426,250.00
Total Year 4	34,000.00	589,500.00	556,000.00	57,500.00	-	1,237,000.00	15,000.00	59,764.71	1,296,764.71
Year 5									
Sub-total Consultants	-	31,665.00	10,000.00	15,000.00	-	56,665.00	15,000.00	35,000.00	91,665.00
Sub-total Contractual Services - Company	11,750.00	527,835.00	175,000.00	42,500.00	-	757,085.00	-	-	757,085.00
Sub-total Travel	-	-	-	-	-	-	-	14,000.00	14,000.00
Sub-total Office Supplies	-	-	-	-	-	-	-	10,764.71	10,764.71
Sub-total Training/Work shop/meeting	9,000.00	30,000.00	371,000.00	-	-	410,000.00	-	-	410,000.00
Total Year 5	20,750.00	589,500.00	556,000.00	57,500.00	-	1,223,750.00	15,000.00	59,764.71	1,283,514.71
Year 6									
Sub-total Consultants	-	31,665.00	10,000.00	15,000.00	-	56,665.00	15,000.00	35,000.00	91,665.00
Sub-total Contractual Services - Company	11,750.00	527,835.00	175,000.00	42,500.00	-	757,085.00	-	-	757,085.00
Sub-total Travel	-	-	-	-	-	-	-	14,000.00	14,000.00
Sub-total Office Supplies	-	-	-	-	-	-	-	10,764.71	10,764.71
Sub-total Training/Work shop/meeting	22,250.00	27,000.00	371,000.00	-	-	420,250.00	-	-	420,250.00
Total Year 6	34,000.00	586,500.00	556,000.00	57,500.00	-	1,234,000.00	15,000.00	59,764.71	1,293,764.71
Year 7									
Sub-total Consultants	-	31,666.00	10,000.00	15,000.00	110,067.00	166,733.00	125,067.00	35,000.00	201,733.00
Sub-total Contractual Services - Company	11,750.00	530,959.00	175,000.00	42,500.00	-	760,209.00	-	-	760,209.00
Sub-total Travel	-	-	-	-	-	-	-	14,000.00	14,000.00
Sub-total Office Supplies	-	-	-	-	-	-	-	10,764.74	10,764.74
Sub-total Training/Work shop/meeting	6,000.00	27,000.00	368,000.00	-	-	401,000.00	-	-	401,000.00
Total Year 7	17,750.00	589,625.00	553,000.00	57,500.00	110,067.00	1,327,942.00	125,067.00	59,764.74	1,387,706.74
Total Years 1 - 7	507,000.00	3,650,000.00	3,650,000.00	510,000.00	190,067.00	8,507,067.00	365,067.00	425,353.00	8,932,420.00

Consultancy services - Company	programme and ii) the training to accelerators/incubators and EF (activity 2.1.1.3, activity 2.1.1.5, activity 2.1.3.1, activity 2.2.1.1, activity 2.2.2.1, and iii) upload knowledge materials on lessons learnt (activity 4.1.2.3)	\$ 65,000.00					\$ 65,000.00		
	Establishment and testing and continuous improvement of the proposed integrated mechanism and developing a manual for guidance (Activity 1.1.2.1 and activity 1.1.2.2)	\$ 36,000.00					\$ 36,000.00		
	Identify and develop tools to assess climate vulnerability and support the identification of appropriate adaptation solutions (TPS) for the WEF sectors (Activity 1.1.3.1) and guide of the tool (Activity 1.1.3.2).	\$ 128,350.00					\$ 128,350.00		
	Development of the different curricula (on adaptation topics) for the different target groups (activity 1.1.4.1 and 1.1.4.2) and for the pre-accelerator (activity 2.1.1.1) and for awareness raising events (activity 3.1.1.1). Delivery of capacity building course (Activity 1.1.4.3)	\$ 31,500.00	\$ 4,500.00				\$ 36,000.00		
	Report on needs assessment of policy instruments in DRC and develop 2 recommendations/proposals about instruments for improvement of business environment in DRC (activity 1.2.1.1 and 1.2.1.2)	\$ 62,550.00					\$ 62,550.00		
	Outreach and communication (activity 2.1.1.4)		\$ 108,125.00				\$ 108,125.00		
	Carry out two rounds of train the trainers pre-accelerator programme for incubators/accelerators (on adaptation topics)		\$ 13,500.00				\$ 13,500.00		
	Develop the three guidelines for the DRC Adaptation Incubator/ Accelerator Programmes (activity 2.1.2.1)		\$ 20,250.00				\$ 20,250.00		
	Launch an Open Innovation Adaptation call for the Accelerator and select target entrepreneurs, start-ups and MSMEs (activity 2.1.3.1) targeting 1,000 MSMEs		\$ 90,000.00				\$ 90,000.00		
	Design the Climate Adaptation Venture Fund (Activity 2.2.3.1)		\$ 72,000.00				\$ 72,000.00		
	Deliver the Training for incubators/ accelerators to run the annual competitions of the different programmes under the DRC Adaptation Incubator/Accelerator (Activity 2.1.2.2)		\$ 5,625.00				\$ 5,625.00		
	The selected Incubator/Accelerator Companies conduct seven (7) cycles per								

	year of the Accelerator Programme		\$ 1,050,000.00	\$ 1,050,000.00			\$ 2,100,000.00		
	Invite international and regional incubators/accelerators to share experiences and lessons learnt (Activity 2.1.3.3) - to produce videos / interviews		\$ 18,000.00				\$ 18,000.00		
	Launch an Open Innovation Adaptation call for the Advanced Accelerator and select target entrepreneurs, start-ups and MSMEs (Activity 2.2.1.1)		\$ 90,000.00				\$ 90,000.00		
	The Climate Adaptation Venture Fund becomes available (Activity 2.2.3.2, Activity 2.1.3.4, Activity 2.2.1.3, Activity 2.2.2.4) for MSMEs, entrepreneurs and startups		\$ 1,766,010.00				\$ 1,766,010.00		
	Launch an Open Innovation Adaptation call for the Post Accelerator and select target entrepreneurs, start-ups and MSMEs (Activity 2.2.2.1)		\$ 45,000.00				\$ 45,000.00		
	Design innovative financial products and services adapted to the needs of the most vulnerable populations (Activity 3.3.1.1)			\$ 90,000.00			\$ 90,000.00		
	Appropriate risk mitigation instruments and climate smart investment planning tools will be jointly developed for FSPs (Activity 3.3.2.1) and become available (activity 3.3.2.3). FSPs are trained on the developed tools (Activity 3.3.2.2) in Y1, Y2, Y3			\$ 216,000.00			\$ 216,000.00		
	Develop supporting documents and knowledge materials to capacitate national stakeholders (Activity 4.1.2.2). Develop and upload knowledge materials on the Adaptation Innovation website to communicate lessons learnt and best practices (Activity 4.1.2.3)				\$ 297,500.00		\$ 297,500.00		
	Subtotal - Consultancy services - Company	\$ 381,900.00	\$ 3,283,010.00	\$ 1,356,000.00	\$ 297,500.00	\$ -	\$ 5,318,410.00	\$ -	\$ -

Cost Categories	Detailed Description	Component 1	Component 2	Component 3	Component 4	Component 5	Subtotal	PMC	M&E
	To host three (3) capacity building/training courses for a total of 60 different stakeholders (Activity 1.1.4.3). Total of 8 meetings during 1 month (2 meets x week, 1 virtual, 1 physical)	\$ 9,600.00					\$ 9,600.00		
	Conduct two (2) national workshops to collect feedback on the proposed policy instruments 1.2.1.3 (75 people each ws)	\$ 33,750.00					\$ 33,750.00		
	One national forum every two years for policymakers are organized (Year 2, Year 4, Year 6) (Activity 1.2.1.1 and 1.2.1.2)	\$ 30,750.00					\$ 30,750.00		

TOTAL	\$ 507,000.00	\$3,650,001.00	\$ 3,650,000.00	\$ 510,000.00	\$ 190,067.00	\$ 8,507,067.00	\$ 425,353.00	\$ 365,067.00
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ANNEX F: (For NGI only) Termsheet

Instructions. Please submit a finalized termsheet in this section. The NGI Program Call for Proposals provided a template in Annex A of the Call for Proposals that can be used by the Agency. Agencies can use their own termsheets but must add sections on Currency Risk, Co-financing Ratio and Financial Additionality as defined in the template provided in Annex A of the Call for proposals. Termsheets submitted at CEO endorsement stage should include final terms and conditions of the financing.

ANNEX G: (For NGI only) Reflows

Instructions. Please submit a reflows table as provided in Annex B of the NGI Program Call for Proposals and the Trustee excel sheet for reflows (as provided by the Secretariat or the Trustee) in the Document Section of the CEO endorsement. The Agency is required to quantify any expected financial return/gains/interests earned on non-grant instruments that will be transferred to the GEF Trust Fund as noted in the Guidelines on the Project and Program Cycle Policy. Partner Agencies will be required to comply with the reflows procedures established in their respective Financial Procedures Agreement with the GEF Trustee. Agencies are welcomed to provide assumptions that explain expected financial reflow schedules.

ANNEX H: (For NGI only) Agency Capacity to generate reflows

Instructions. The GEF Agency submitting the CEO endorsement request is required to respond to any questions raised as part of the PIF review process that required clarifications on the Agency Capacity to manage reflows. This Annex seeks to demonstrate Agencies' capacity and eligibility to administer NGI resources as established in the Guidelines on the Project and Program Cycle Policy, GEF/C.52/Inf.06/Rev.01, June 9, 2017 (Annex 5).