

Strengthening National Institutions in Haiti to meet the Transparency Requirements of the Paris Agreement

Review PIF and Make a recommendation

Basic project information

GEF ID

10318

Countries

Haiti

Project Name

Strengthening National Institutions in Haiti to meet the Transparency Requirements of the Paris Agreement

Agencies

UNDP

Date received by PM

8/8/2019

Review completed by PM

Program Manager

Milena Vasquez

Focal Area

Climate Change

Project Type

MSP

PIF

Part I – Project Information

Focal area elements

1. Is the project/program aligned with the relevant GEF focal area elements in Table A, as defined by the GEF 7 Programming Directions?

Secretariat Comment at PIF/Work Program Inclusion

Yes, the project is relevant to the climate change focal area strategy.

Agency Response

Indicative project/program description summary

2. Are the components in Table B and as described in the PIF sound, appropriate, and sufficiently clear to achieve the project/program objectives and the core indicators?

Secretariat Comment at PIF/Work Program Inclusion

Please clarify the following.

Outcome 1.1 - This gap analysis, as described, could be carried out during PPG phase to inform the full project development. Perhaps this output could focus on a roadmap for enhanced transparency over time, including considerations of which aspects of the transparency framework Haiti may decide to choose flexibility for and an improvement plan to support it.

Outcome 1.2 is incorrectly numbered and worded on the portal submission. Please correct.

Outcome 1.4 seems to overlap with 1.2. Consider combining them.

Outcome 1.5 seems to fit better under component 3.

Component 3 should make reference to the Global Coordination Platform.

10/18/2019: Comments above addressed and cleared.

Agency Response

UNDP, 09/10/2019:

Outcome 1.1 gap analysis deleted / in Output 1.1.2 the following has been added:

Roadmap to strengthen the national institutions to meet enhanced transparency requirements of the PA in place

Outcome 1.2 is corrected on the Portal.

Outcome 1.4 and 1.2 have been combined in the document, Ok. Done.

Outcome 1.5 was moved to component 3, reference to the Global Coordination Platform has been added in output 3.1.1

Co-financing

3. Are the indicative expected amounts, sources and types of co-financing adequately documented and consistent with the requirements of the Co-Financing Policy and Guidelines, with a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized?

Secretariat Comment at PIF/Work Program Inclusion

Yes. Co-financing in kind of \$30,000 is listed. Please consider whether any co-financing for the PMC will be provided. The PMC is usually funded partly by the GEF funding and partly by the counterpart funding of the beneficiary government or other co-financing resources. Execution generally includes the management and administration of project activities, in addition to managing the delivery of project outputs.

10/18/2019: Comments cleared for now.

Agency Response

UNDP, 09/10/2019: Yes, in cash co-financing for the PMC will be provided. The amount will be decided during PPG.

GEF Resource Availability

4. Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

The STAR allocation?

Secretariat Comment at PIF/Work Program Inclusion

N/A

Agency Response

The focal area allocation?

Secretariat Comment at PIF/Work Program Inclusion

N/A

Agency Response

The LDCF under the principle of equitable access

Secretariat Comment at PIF/Work Program Inclusion

N/A

Agency Response

The SCCF (Adaptation or Technology Transfer)?

Secretariat Comment at PIF/Work Program Inclusion

N/A

Agency Response

Focal area set-aside?

Secretariat Comment at PIF/Work Program Inclusion

Yes, this project is requesting resources from the CBIT set-aside. At the moment of this review there are enough resources to support this project.

Agency Response

Impact Program Incentive?

Secretariat Comment at PIF/Work Program Inclusion

N/A

Agency Response

Project Preparation Grant

5. Is PPG requested in Table E within the allowable cap? Has an exception (e.g. for regional projects) been sufficiently substantiated? (not applicable to PFD)

Secretariat Comment at PIF/Work Program Inclusion

Yes, PPG is requested and is within norm.

Agency Response

Core indicators

6. Are the identified core indicators in Table F calculated using the methodology included in the correspondent Guidelines? (GEF/C.54/11/Rev.01)

Secretariat Comment at PIF/Work Program Inclusion

Yes, a target for Core Indicator 11 has been provided. By CEO Endorsement we will expect the CBIT indicators to be reported on as well.

Agency Response

UNDP, 09/10/2019: CBIT indicators will be reported on as well by CEO endorsement

Project/Program taxonomy

7. Is the project/ program properly tagged with the appropriate keywords as requested in Table G?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

Part II – Project Justification

1. Has the project/program described the global environmental / adaptation problems, including the root causes and barriers that need to be addressed?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

2. Is the baseline scenario or any associated baseline projects appropriately described?

Secretariat Comment at PIF/Work Program Inclusion

Yes, the institutional and regulatory baseline scenario is well described.

Agency Response

3. Does the proposed alternative scenario describe the expected outcomes and components of the project/program?

Secretariat Comment at PIF/Work Program Inclusion

Overall, yes. In addition to the comments raised above, please address the following:

Component 1

Please comment on how the project will coordinate and avoid overlaps with the work under the TNC and BUR 1, which will be using 2006 IPCC guidelines, including necessary training.

Please comment on whether the flexible national tool could be piloted by the TNC/BUR 1 project or if it is expected to support inventories after 2015.

Please clarify the reference made to "an environmental information system which Haiti will start development soon".

Please clarify how NDC tracking and reporting at the sectoral level is expected to support the tracking at the national level. Which experts will be trained and on which aspects? Please confirm whether this will support both the GHG target and the non-GHG target in Haiti's NDC.

Component 2

Please clarify how this process will be coordinated with the NAP process. Please clarify how the priority sectors were identified.

Component 3

Please clarify whether Haiti will be involved in the Caribbean MRV Hub. Please add reference to actively participating in the CBIT Global Platform.

In addition, we note that overall the project is focused on the MRV (and M&E) system but additional consideration of how Haiti will use this improved transparency domestically, which will support the sustainability of the capacity-built and systems created is necessary.

10/18/2019: Comments above addressed and cleared. During PPG, we encourage the agency and the country to think about other ways in which this information can inform national policy-making and/or be valued domestically beyond the obligations under the Convention and the Paris Agreement.

Also, while we understand the importance of the sharing practices with countries that share the same language, there are some specific contexts that other Caribbean (or SIDS) countries may share with Haiti that could be useful. For example, as it relates to the resilience, reliability and durability of data and information storage when a country may be at risk of natural disasters. Thus, we also encourage during PPG to explore the themes further to ensure sustainability.

Agency Response

UNDP, 09/10/2019:

****Added as introduction of Outcome 1.2:**

The project will closely coordinate with the work carried out in the framework of the TNC/BUR 1, to avoid any overlap in terms of expert training and other activities. The project will build on the work of the TNC/BUR 1 to complete the training sessions and go further in terms of accuracy, completeness, consistency, comparability and transparency. The project will also allow applying actions from the improvement plan developed in the TNC/BUR 1 framework. The fact that the same stakeholders should be involved in the different projects will simplify the coordination amongst them.

****Added in Output 1.2.3:** The development of such a national tool is not planned in the framework of the TNC/BUR 1 project. However, it is essential for the country to increase the overall quality of the GHG inventory and NNDC tracking.

****Added in Output 1.2.3:**

This project is in line with Haiti's commitments to global environmental management and action plans in response to Multilateral Agreements, in particular, the Rio Conventions (Climate Change, Biodiversity, Desertification) to which the country is a signatory party. Through the EIS, alphanumeric and geospatial environment information will be collected, validated processed and stored and eventually made available through an online platform to different users.

** Added in Output 1.3.1.

Training sessions will cover tracking of both GHG targets and non-GHG targets as GHG emissions trends are interlinked with the achievement of national objectives in the different sectors.

NDC tracking and reporting at the sectoral level will support the tracking of objectives set at national level in the unconditional and the conditional scenarios compared to the BAU. Tracking emissions and variables at sectoral levels is the only way to understand the global emission trend and to track non-GHGs objectives as defined in the NDC Annex (i.e. indicators in terms of renewable energy shares, reduction of biomass energy consumption, energy efficiency, reforestation, National waste Management plan application, etc.).

** Component 2:

This component will be realized in close coordination with the NAP process which will be facilitate as the Institutional coordination is carried out by the same Ministry (Ministry of Environment) and the same implementing agency (UNDP).

Output 2.1.1

These sectors are clearly identified as priority sectors in the NDC. Needs in terms of adaptation are clearly identified in Annex of the NDC.

** Reference to CBIT GCP added

Reference to Caribbean countries deleted in the title.

No more references to regional level

However, this MRV Hub being composed of English-speaking countries only, it is rather proposed that experts from Haiti will participate in exchanges of good practices with French speaking experts from Annex I and non-Annex I countries such as Belgium, France or Morocco to facilitate exchanges.

**** Added in Output 3.1.1**

Domestically, the knowledge gained by national experts and the tool developed in terms of MRV and M&E systems, will allow Haiti to regularly track the implementation of the mitigation and adaptation plans and the state of progress compared to scenarios envisaged in the NDC. The results from the different components will allow Haiti to follow on a regular basis and correct, if necessary, the trajectory observed against scenarios published.

4. Is the project/program aligned with focal area and/or Impact Program strategies?

Secretariat Comment at PIF/Work Program Inclusion

Yes, but please make reference to the CBIT programming directions which has a list of CBIT eligible activities that this project is aligned with.

Agency Response

UNDP, 09/10/2019:

The project is also aligned with CBIT eligible activities from the CBIT programming directions.

5. Is the incremental / additional cost reasoning properly described as per the Guidelines provided in GEF/C.31/12?

Secretariat Comment at PIF/Work Program Inclusion

Please provide additional reasoning for the incremental value of this project to Haiti's overall capacity for transparency and its response to the Paris Agreement, and what the domestic value beyond international reporting may be.

10/18/2019: Comment cleared for now. Please investigate further during project development.

Agency Response

UNDP, 09/10/2019:

Added in 5 (page 20)

Organized and improved national capacities will allow Haiti to measure, monitor and report indicators over time and to prioritize actions, among the ones presented in the NDC, in line with the PA and requirement under the ETF. The country will be organized to enhance the transparency in reporting and identify long-term national mitigation potential and relevant adaptation actions.

6. Are the project's/program's indicative targeted contributions to global environmental benefits (measured through core indicators) reasonable and achievable? Or for adaptation benefits?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

7. Is there potential for innovation, sustainability and scaling up in this project?

Secretariat Comment at PIF/Work Program Inclusion

Additional information on how the project will ensure sustainability of the systems and capacity built is necessary.

10/18/2019: Comment cleared. Please strengthen during project development.

Agency Response

UNDP, 09/10/2019:

Added in 7 (page 21)

The new organization which will be set up under the CBIT project will allow to improve the national MRV system on a regular basis and to shift from a project-based model toward an institutionalized system supporting the engagement of all stakeholders in the new ETF framework. The sustainability of the new system relies on the national organization set up, the knowledge gained by experts, as well as on the tool developed aiming at centralizing and archiving the knowledge to avoid knowledge losses over time.

Project/Program Map and Coordinates

Is there a preliminary geo-reference to the project's/program's intended location?

Secretariat Comment at PIF/Work Program Inclusion

N/A. This is a national capacity-building project.

Agency Response

Stakeholders

Does the PIF/PFD include indicative information on Stakeholders engagement to date? If not, is the justification provided appropriate? Does the PIF/PFD include information about the proposed means of future engagement?

Secretariat Comment at PIF/Work Program Inclusion

Yes. By CEO Endorsement we expect additional information on CSOs were engaged during PPG and how they will be engaged during the project implementation.

Agency Response

UNDP, 09/10/2019:

During PPG, additional information will be given on CSOs engaged during PPG and how they will be engaged during the project implementation.

Gender Equality and Women's Empowerment

Is the articulation of gender context and indicative information on the importance and need to promote gender equality and the empowerment of women, adequate?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

Private Sector Engagement

Is the case made for private sector engagement consistent with the proposed approach?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

Risks

Does the project/program consider potential major risks, including the consequences of climate change, that might prevent the project objectives from being achieved or may be resulting from project/program implementation, and propose measures that address these risks to be further developed during the project design?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

Coordination

Is the institutional arrangement for project/program coordination including management, monitoring and evaluation outlined? Is there a description of possible coordination with relevant GEF-financed projects/programs and other bilateral/multilateral initiatives in the project/program area?

Secretariat Comment at PIF/Work Program Inclusion

Yes, with comments above. Additional information on the timelines and execution arrangements of this project, and plans for active coordination is needed.

10/18/2019: Comment cleared.

Agency Response

UNDP, 09/10/2019:

PPG is planned to start right after the PIF is cleared. The ministry of environment will be leading the consultations and project execution with the support of UNDP. Additional information on the timelines and execution arrangements of this project and plans for active coordination will be given during PPG.

Consistency with National Priorities

Has the project/program cited alignment with any of the recipient country's national strategies and plans or reports and assessments under relevant conventions?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response**Knowledge Management**

Is the proposed "knowledge management (KM) approach" in line with GEF requirements to foster learning and sharing from relevant projects/programs, initiatives and evaluations; and contribute to the project's/program's overall impact and sustainability?

Secretariat Comment at PIF/Work Program Inclusion

Yes.

Agency Response

Part III – Country Endorsements

Has the project/program been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF data base?

Secretariat Comment at PIF/Work Program Inclusion

Yes, Mr. Jean-Pierre Moise has endorsed the project.

Agency Response

Termsheet, reflow table and agency capacity in NGI Projects

Does the project provide sufficient detail in Annex A (indicative termsheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table in Annex B to assess the project capacity of generating reflows? If not, please provide comments. After reading the questionnaire in Annex C, is the Partner Agency eligible to administer concessional finance? If not, please provide comments.

Secretariat Comment at PIF/Work Program Inclusion

GEFSEC DECISION

RECOMMENDATION

Is the PIF/PFD recommended for technical clearance? Is the PPG (if requested) being recommended for clearance?

Secretariat Comment at PIF/Work Program Inclusion

Not yet, please address comments above.

10/18/2019: Comments cleared. PM recommends PIF clearance.

CEO Memo

This CBIT project aims to set up a national monitoring, reporting and verification (MRV) system to track greenhouse gas (GHG) emissions and impacts of mitigation actions, as well as indicators for an monitoring and evaluation (M&E) system on adaptation. These systems will serve to track the Nationally Determined Contribution (NDC) of Haiti according to the requirements of the enhanced transparency framework of the Paris Agreement.

Haiti ratified the Paris Agreement on February 2017. Its NDC has sectoral mitigation targets and prioritizes integrated management of water resources and watersheds; integrated coastal areas management and infrastructures; preservation and strengthening food security; and enhanced information, education and awareness. It also aims to integrate effects of climate change into sectoral development strategies.

The project consists of the following components:

1. Developing policies and technical capacities on national MRV for GHG emissions inventory and mitigation actions;
2. Enhancing technical capacities to support the M&E system on adaptation; and
3. Project learning and exchange of good practices.

Key gaps identified that will be addressed by the project include a lack of institutional arrangements, lack of official national scheme defining responsibilities of each stakeholder for the exchange of data, lack of complete and reliable data sets, and insufficient technical capacities to effectively track GHG emissions and the impacts of climate change mitigation and adaptation actions.

ADDITIONAL COMMENTS

Additional recommendations to be considered by Agency at the time of CEO endorsement/approval.

Secretariat Comment at PIF/Work Program Inclusion

Per comments above:

- Please update PMC cofinancing.
- Please provide additional and specific stakeholder information, particularly on CSOs and private sector.
- Please provide additional information on coordination with ongoing EA project and NAP process.
- Please incorporate comments on incremental reasoning, domestic value of transparency and sharing experiences with other SIDS in the project design.
- Please elaborate on adaptive management and sustainability strategies for this project.
- Please assess and provide targets for CBIT indicators.

Review Dates

	PIF Review	Agency Response
First Review		
Additional Review (as necessary)		
Additional Review (as necessary)		
Additional Review (as necessary)		
Additional Review (as necessary)		