



Strengthening of Social Forestry in Indonesia

Part I: Project Information

GEF ID

9600

Project Type

FSP

Type of Trust Fund

GET

Project Title

Strengthening of Social Forestry in Indonesia

Countries

Indonesia

Agency(ies)

World Bank

Other Executing Partner(s):

Ministry of Environment and Forestry (in cooperation with National Geospatial Agency, Ministry of Villages, Ministry of Agraria and Spatial Planning, National Development and Planning Agency, Ministry of Home Affairs (including local governments at province and district level), Ministry of Villages

Executing Partner Type

Government

GEF Focal Area

Multi Focal Area

Taxonomy

Biomes, Biodiversity, Focal Areas, Land Degradation Neutrality, Land Degradation, Influencing models, Stakeholders, Communications, Awareness Raising, Strategic Communications, Indigenous Peoples, Local Communities, Non-Governmental Organization, Civil Society, Academia, Community Based Organization, Beneficiaries, SMEs, Private Sector, Financial intermediaries and market facilitators, Participation, Type of Engagement, Consultation, Gender Mainstreaming, Gender Equality, Sex-disaggregated indicators, Women groups, Gender results areas, Capacity Development, Access to benefits and services, Participation and leadership, Capacity, Knowledge and Research, Learning, Theory of change, Knowledge Generation, Mainstreaming, Forestry - Including HCVF and REDD+, Protected Areas and Landscapes, Community Based Natural Resource Mngt, Productive Landscapes, Tropical Rain Forests, Sustainable Land Management, Integrated and Cross-sectoral approach, Sustainable Forest, Community-Based Natural Resource Management, Income Generating Activities, Sustainable Livelihoods, Sustainable Pasture Management, Carbon stocks above or below ground, Forest, Forest and Landscape Restoration, Convene multi-stakeholder alliances, Strengthen institutional capacity and decision-making

Rio Markers

Climate Change Mitigation

Climate Change Mitigation 2

Climate Change Adaptation

Climate Change Adaptation 0

Duration

60In Months

Agency Fee(\$)

1,288,612

A. Focal Area Strategy Framework and Program

Objectives/Programs	Focal Area Outcomes	Trust Fund	GEF Amount(\$)	Co-Fin Amount(\$)
BD-4_P9	Outcome 9.1 Increased area of production landscapes and seascapes that integrate conservation and sustainable use of biodiversity into management; Outcome 9.2 Sector policies and regulatory frameworks incorporate biodiversity considerations.	GET	8,902,612	61,401,223
LD-2_P3	Outcome 2.1: Support mechanisms for forest landscape management and restoration established; Outcome 2.2: Improved forest management and/or restoration; and	GET	835,551	9,444,330
SFM-1	Outcome 2: Innovative mechanisms avoid the loss of high conservation value forest.	GET	1,083,242	5,740,086
SFM-2	Outcome 3: Increased application of good management practices in all forests by relevant government, local community (both women and men) and private sector.	GET	3,496,504	18,527,933
Total Project Cost(\$)			14,317,909	95,113,572

B. Project description summary

Project Objective

To improve access to forest land use rights and strengthen community management in selected priority areas allocated for social forestry.

Project Component	Component Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing(\$)	Confirmed Co-Financing(\$)
Component 1 - Policy and institutional strengthening to support social forestry	Technical Assistance	Social forestry considerations incorporated in Provincial Development Planning; Support mechanisms for the management and restoration of social forestry within the production landscape established; and Community land use plans prepared under consultative process.	Provinces/districts establish Social Forestry management plans that integrate conservation of biodiversity into their economic strategic plans (RPJMD); Social Forestry Groups have at least one FS facilitator/ integrated extension services provider; Knowledge and information management system established.	GET	2,536,104	16,699,845

Project Component	Component Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing(\$)	Confirmed Co-Financing(\$)
Component 2- Strengthening community management within social forestry	Investment	Increased area of production landscapes that integrate conservation and sustainable use of biodiversity into management	Participatory community mapping and/or boundary demarcation under the social forestry licenses completed	GET	11,100,000	73,737,069
		Accelerated adoption of innovative technologies and management practices resulting in the reduction of GHG emissions and increased carbon sequestration	Communities received social forestry certificates for land use			
		Increased investment in SFM and restoration.	Social forestry enterprises with their business plans funded			
			Area restored/rehabilitated within the project landscape			
			Social forestry groups engaged in sustainable forest management businesses.			

Project Component	Component Type	Expected Outcomes	Expected Outputs	Trust Fund	GEF Project Financing(\$)	Confirmed Co-Financing(\$)
Component 3- Project management and M&E (see PMC row below)	Technical Assistance	Project managed and monitored effectively	Robust monitoring and evaluation (M&E) system to document project progress and results Support to project governance structures, coordination with other partners and fiduciary responsibilities	GET	150,000	
Sub Total (\$)					13,786,104	90,436,914
Project Management Cost (PMC)						
				GET	531,805	4,676,658
Sub Total(\$)					531,805	4,676,658
Total Project Cost(\$)					14,317,909	95,113,572

C. Sources of Co-financing for the Project by name and by type

Sources of Co-financing	Name of Co-financier	Type of Co-financing	Amount(\$)
Government	Govt of Indonesia	In-kind	21,376,503
Government	Govt of Indonesia (Social Forestry Program)	Grant	73,737,069
Total Co-Financing(\$)			95,113,572

D. Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

Agency	Trust Fund	Country	Focal Area	Programming of Funds	NGI	Amount(\$)	Fee(\$)
World Bank	GET	Indonesia	Biodiversity		No	8,902,612	801,235
World Bank	GET	Indonesia	Land Degradation		No	835,551	75,200
World Bank	GET	Indonesia	Multi Focal Area	SFM	No	4,579,746	412,177
Total Grant Resources(\$)						14,317,909	1,288,612

E. Non Grant Instrument

NON-GRANT INSTRUMENT at CEO Endorsement

Includes Non grant instruments? **No**

Includes reflow to GEF? **No**

PPG Required

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225,450

20,290

Agency	Trust Fund	Country	Focal Area	Programming of Funds	NGI	Amount(\$)	Fee(\$)
World Bank	GET	Indonesia	Biodiversity		No	141,700	12,753
World Bank	GET	Indonesia	Land Degradation		No	12,900	1,161
World Bank	GET	Indonesia	Multi Focal Area	SFM	No	70,850	6,377
Total Project Costs(\$)						225,450	20,291

Core Indicators

Indicator 3 Area of land restored

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
0.00	40452.00	0.00	0.00

Indicator 3.1 Area of degraded agricultural land restored

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Indicator 3.2 Area of Forest and Forest Land restored

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Indicator 3.3 Area of natural grass and shrublands restored

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
	40,452.00		

Indicator 3.4 Area of wetlands (incl. estuaries, mangroves) restored

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Indicator 4 Area of landscapes under improved practices (hectares; excluding protected areas)

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
0.00	259548.00	0.00	0.00

Indicator 4.1 Area of landscapes under improved management to benefit biodiversity (hectares, qualitative assessment, non-certified)

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
	150,000.00		

Indicator 4.2 Area of landscapes that meets national or international third party certification that incorporates biodiversity considerations (hectares)

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Type/Name of Third Party Certification

Indicator 4.3 Area of landscapes under sustainable land management in production systems

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
	109,548.00		

Indicator 4.4 Area of High Conservation Value Forest (HCVF) loss avoided

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Documents (Please upload document(s) that justifies the HCVF)

Title	Submitted			
Indicator 6 Greenhouse Gas Emissions Mitigated				
Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO ₂ e (direct)	0	22250982	0	0
Expected metric tons of CO ₂ e (indirect)	0	0	0	0

Indicator 6.1 Carbon Sequestered or Emissions Avoided in the AFOLU (Agriculture, Forestry and Other Land Use) sector

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO ₂ e (direct)		22,250,982		
Expected metric tons of CO ₂ e (indirect)				
Anticipated start year of accounting		2024		
Duration of accounting		20		

Indicator 6.2 Emissions Avoided Outside AFOLU (Agriculture, Forestry and Other Land Use) Sector

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
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Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO ₂ e (direct)				
Expected metric tons of CO ₂ e (indirect)				
Anticipated start year of accounting				
Duration of accounting				

Indicator 6.3 Energy Saved (Use this sub-indicator in addition to the sub-indicator 6.2 if applicable)

Total Target Benefit	Energy (MJ) (At PIF)	Energy (MJ) (At CEO Endorsement)	Energy (MJ) (Achieved at MTR)	Energy (MJ) (Achieved at TE)
Target Energy Saved (MJ)				

Indicator 6.4 Increase in Installed Renewable Energy Capacity per Technology (Use this sub-indicator in addition to the sub-indicator 6.2 if applicable)

Technology	Capacity (MW) (Expected at PIF)	Capacity (MW) (Expected at CEO Endorsement)	Capacity (MW) (Achieved at MTR)	Capacity (MW) (Achieved at TE)
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Indicator 11 Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment

	Number (Expected at PIF)	Number (Expected at CEO Endorsement)	Number (Achieved at MTR)	Number (Achieved at TE)
Female		45,000		
Male		105,000		
Total	0	150000	0	0

Provide additional explanation on targets, other methodologies used, and other focal area specifics (i.e., Aichi targets in BD) including justification where core indicator targets are not provided

i) The project contributes to the Aichi Biodiversity Targets 1, 7, 15 and 18. ii) This indicator refers to the total reduction of GHG emissions and enhancement of sinks and reservoirs reported in tons of carbon dioxide equivalent (CO₂e). Methodology: The mitigation of GHG emissions is defined as a human intervention to reduce the sources, or enhance the sinks, of GHG (IPCC, 2012). Carbon sequestered or emissions avoided in the sector of Agriculture, Forestry, and Other Land Use (AFOLU). Regarding the AFOLU Change Lifetime, the length of time is defined as 20 years, unless an alternative number of years is deemed appropriate. For emission or removal factors (tons of CO₂e per hectare per annum), the defaults to be applied are those of the IPCC or country specific factors. Carbon sequestration is defined as the process of increasing the carbon content of a reservoir/pool other than the atmosphere (IPCC, 2012). Avoided emissions refers to reduced emissions due to avoided deforestation or forest degradation, sustainable forest management, and improved practices on other land uses such as in agriculture. iii) To estimate carbon

contribution the project would use the following assumptions that (a) SSF Project would provide contribution through restoration of unproductive area (in particular bareland and shrub land located in the licensed/certificate and in indicative area, with total area of 806.23 ha and 39,645.77 ha, respectively) into more productive area, with plantation in year one (following the completion of the preparation), hence by the end of the Project the restored area will have plantation of four years old. According the National Technical Guidance, the four years old plantation will produce carbon stock of 60 ton/ha; (b) the deforestation rate in the selected area will be similar to as it is for up to 20 years to come (0.76 percent annual rate) and (c) the remaining forest will be maintained sustainably by reducing the deforestation rate into 0.68 percent per year and with the assumption that SFM in SSF area during the Project life will take place effectively, that would better maintain the condition of the forest area in the primary dry land forest (26,850.43 ha), secondary dry land forest (125,234.71 ha), primary mangrove forest (319.02 ha), secondary mangrove forest (15.29 ha), and plantation forest (142.93 ha). The estimated total carbon contribution is 9,209,969 tons CO₂-e by end of the project, and 22,250,982 tons CO₂-e in a 20 years period. See detailed calculation and methodology in provided Annex Ia - Estimated carbon stock in SSF area and Annex Ib - Carbon Stock Estimation in Selected SSF Areas. vi) Project will measure people in targeted forest and adjacent communities with increase monetary and non monetary benefits from forest, disaggregated by gender (PDO level indicator #4, which was defined as direct as direct and indirect community received beneficiaries from the SSF Project which include farmer groups, trader of SF products, middle men of SF products, land management and other private sector related to agriculture and forestry products).

PART II: Project JUSTIFICATION

Child Project?

If this is a child project under a program, describe how the components contribute to the overall program impact.

Not Applicable

Stakeholders

Please provide the Stakeholder Engagement Plan or equivalent assessment.

A Stakeholder Engagement Plan is submitted with the package. See details below.

Documents

Title

Submitted

In addition, provide a summary on how stakeholders will be consulted in project execution, the means and timing of engagement, how information will be disseminated, and an explanation of any resource requirements throughout the project/program cycle to ensure proper and meaningful stakeholder engagement.

Relevant stakeholders have been consulted during preparation, and will be engaged and consulted through different approaches as the project becomes effective, among others, public consultation in an inclusive and participatory manner, using national guideline for public consultation. Other approaches will include workshop, focus group discussion, seminar, interview, field survey, communication material (e.g. info brief, fact sheet, etc.), and informal communication. These activities will also be used as a means for dissemination of relevant information to the public at village, district, provincial and national level (Stakeholder Engagement Plan is attached as additional annex).

The project spans a range of stakeholders at the national, sub-national and customary (*adat*) and local communities. Given the nature of the project, the project steering committee will consist of inter-ministerial representatives that will be responsible for coordination between relevant stakeholders and be used as a platform for regulatory and policy dialogues (including the MoEF, Ministry of National Development Planning, Ministry of Home Affair (MoHA), Ministry of Village (MoV), Ministry of Agriculture (MoA), Ministry of Finance (MoF), Ministry of State-owned Enterprise, Ministry of Cooperative and Small Micro and Medium Enterprise, Ministry of Agrarian and Spatial Plan/National Land Agency and Ministry of Trade.

At the provincial and district levels, relevant local government agencies are important stakeholders that play key roles in the implementation of the project particularly critical for long term support in terms of technical, monitoring, oversight and financial support. Forest management units, the private sector and the academia subgroup would provide

strategic support in development of knowledge, learning and capacity building, as well as NGOs that would provide in most cases technical assistance and facilitation during the preparation and implementation, as well as to ensure an inclusive, transparent and accountable processes.

Local stakeholders include communities inside and around the forest management units, license holders, local and industries. In addition, the World Bank has initiated an important engagement with the indigenous people and local communities (IPLCs) through Dedicated Grant Mechanism (DGM) project, which is a demand-driven delivery mechanism to strengthen their capacity to meaningfully engage in tenure security process and livelihood opportunities, specifically to support this groups to obtain secure and equitable access and control over forest and agricultural land.

Select what role civil society will play in the project:

Consulted only;

Member of Advisory Body; Contractor; Yes

Co-financier;

Member of project steering committee or equivalent decision-making body; Yes

Executor or co-executor;

Other (Please explain)

not applicable

Gender Equality and Women's Empowerment

Provide the gender analysis or equivalent socio-economic assessment.

The GOI is fully committed to promote equal participation of women in project activities and the project design incorporates elements to support the women's participation in a meaningful manner. As part of the project preparation, the GOI carried out a Social Forestry Assessment which also contributed to develop an Integrated Environmental and Social Management Framework (ESMF) along with a Stakeholder Engagement Plan (SEP) and Environmental and Social Commitment Plan (ESCP). The analysis informed the

project design to ensure women and men are able to participate in project supported activities actively and benefit from it (available in project files). The project will monitor and report gender disaggregated benefit as part of its results framework with a PDO level indicator. A site level gender analysis/gender action plan will be conducted as part of project implementation, from which the implication of economics and gender derived from site that implement restoration related activities could be further assessed and adapted within the activities.

Documents

Title

Submitted

Does the project expect to include any gender-responsive measures to address gender gaps or promote gender equality and women empowerment?

Yes

If yes, please upload document or equivalent here

If possible, indicate in which results area(s) the project is expected to contribute to gender equality:

Closing gender gaps in access to and control over natural resources;

Improving women's participation and decision making Yes

Generating socio-economic benefits or services or women Yes

Does the project's results framework or logical framework include gender-sensitive indicators?

Yes

Gender Indicator:

- People in targeted forest and adjacent communities with increased monetary or non-monetary benefits from forests (% of which are women)
- Beneficiaries sharing a social forestry permit are satisfied with project interventions (% of which are women) (*Citizen Engagement indicator*)

PART III: Certification by GEF partner agency(ies)

A. GEF Agency(ies) certification

GEF Agency Coordinator	Date	Project Contact Person	Telephone	Email
skapila@worldbank.org	2/4/2020	Gayatri Kanungo	2024580378	gkanungo@worldbank.org

ANNEX A: STATUS OF IMPLEMENTATION OF PROJECT PREPARATION ACTIVITIES AND THE USE OF FUNDS.

A. Provide detailed funding amount of the PPG activities financing status in the table below:

PPG Grant Approved at PIF: 225,450			
<i>Project Preparation Activities Implemented</i>	<i>GETF/LDCF/SCCF Amount (\$)</i>		
	<i>Budgeted Amount</i>	<i>Amount Spent To date</i>	<i>Amount Committed</i>
Analysis of policies, regulations and institutional framework and capacity on Social Forestry program implementation	13,500	8,130	5,370
Needs assessment for capacity building at national, provincial and local community level	13,500	8,061	5,439
Assessment of options for community investment in the social forestry area	13,500	8,130	5,370
Assessment of options for access to market, credit, and engaging private sectors	13,500	8,130	5,370
Preparation of Environmental and Social Management Framework (ESMF) and Social Assessments	20,000	12,044	7,956
Lead Technical Consultant	50,000	26,556	23,444
Procurement Specialist	13,500	5,165	8,335
Financial Management Specialist	14,000	4,512	9,488
Administrative Support	8,900	3,695	5,205
Consultations/meetings/workshop	40,000	39,927	73
Operating Cost	25,050	1,498	23,552
Total	<u>225,450</u>	<u>125,848</u>	99,602

ANNEX B: CALENDAR OF EXPECTED REFLOWS (if non-grant instrument is used)

Provide a calendar of expected reflows to the GEF/LDCF/SCCF/CBIT Trust Funds or to your Agency (and/or revolving fund that will be set up)

Not Applicable

ANNEX C: GEF 7 Core Indicator Worksheet

Use this Worksheet to compute those indicator values as required in Part I, Table G to the extent applicable to your proposed project. Progress in programming against these targets for the program will be aggregated and reported at any time during the replenishment period. There is no need to complete this table for climate adaptation projects financed solely through LDCF and SCCF.

See attached Core Indicator Worksheet (revised)

ANNEX: Project Taxonomy Worksheet

Use this Worksheet to list down the taxonomic information required under Part1 by ticking the most relevant keywords/topics//themes that best describes the project

See Attached Taxonomy worksheet.

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Submitted to HQ

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