



# Global Partnership for Mitigation of Underwater Noise from Shipping (GloNoise Partnership)

Review CEO Endorsement and Make a recommendation

## Basic project information

**GEF ID**

10890

**Countries**

Global (Argentina, Chile, Costa Rica, India, South Africa, Trinidad and Tobago)

**Project Name**

Global Partnership for Mitigation of Underwater Noise from Shipping (GloNoise Partnership)

**Agencies**

UNDP

**Date received by PM**

2/16/2023

**Review completed by PM**

5/15/2023

**Program Manager**

Taylor Henshaw

**Focal Area**

International Waters

**Project Type**

MSP

**PIF**   
**CEO Endorsement**

**Part I ? Project Information**

**Focal area elements**

**1. Does the project remain aligned with the relevant GEF focal area elements as presented in PIF (as indicated in table A)?**

**Secretariat Comment at CEO Endorsement Request**

4th of March 2023 (thenshaw): Yes, but please address the following:

(1) Please include all participating countries (those with LOEs) in the project information section in the field "Countries", next to "Global".

(2) In general: Please ensure portal references to annexes in the Agency Project Document are correct. The annex numbering is slightly off and the reader is directed to the wrong annex in several instances.

25th of April 2023 (thenshaw):

(1) GEF ITS to add Lead Pilot Countries (Argentina, Chile, Costa Rica, India, South Africa, and Trinidad and Tobago) to Project Information field

(2) Addressed.

28th of April 2023 (thenshaw):

(1) Addressed.

Agency Response  
UNDP, 17 April 2023

(1) The names of the Lead Pilot Countries are indicated in the "Countries" field in the Project Information table in Part I of the CEO ER.

(2) The references to the annexes in the portal were double-checked against those in the Project Document and revised accordingly.

UNDP, 26 April 2023

The GEF Help desk will add the Lead Pilot Countries

#### **Project description summary**

**2. Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?**

Secretariat Comment at CEO Endorsement Request 4th of March 2023 (thenshaw):  
Yes

Agency Response

**3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Co-financing**

**4. Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?**

Secretariat Comment at CEO Endorsement Request  
4th of March 2023 (thenshaw): No, please address the following:

(1) Please classify co-financing from Governments of Argentina, Chile, Costa Rica, India, South Africa and Trinidad and Tobago "Recipient Government" rather than "Other".

(2) The ratio of GEF financing to co-financing is only 1:2. As a general guideline, GEF projects should strive for a co-financing ratio of 1:7 (incremental cost reasoning). Please

explain this low co-financing ratio and describe the strategy to achieve additional co-financing during inception stage to bring this ratio closer to the 1:7 mark.

(3) All co-financing is in-kind only. Please explain why this is the case and why no investment mobilized co-financing was secured for this GEF investment.

(4) Please explain how each LPC co-financing letter is for the same amount: \$421,250. This seems highly unusual.

(5) The co-financing letter from Trinidad and Tobago is missing in the portal. Please secure and upload.

25th of April 2023 (thenshaw):

(1) Not addressed. The portal still shows co-financing from Governments of Argentina, Chile, Costa Rica, India, South Africa and Trinidad and Tobago classified as "Other". Please change to "Recipient Government"

(2) Addressed.

(3) Addressed.

(4) Addressed.

(5) Addressed.

28th of April 2023 (thenshaw)

(1) Addressed.

## Agency Response

UNDP, 17 April 2023

(1) Co-financing from the Lead Pilot Countries has been classified as "Recipient Government" in Table C in the CEO ER.

(2) Over the past month, two additional co-financing letters have been secured: (1) on 28 March 2023, a contribution of USD 1,650,000 in grant (investment mobilized) co-financing was issued by the Joint Research (JoRES) project, a global initiative uniting more than 50 leading industry companies from 17 countries aiming to focus on ship scale Computational Fluid Dynamics validation, hull and propeller designs, cavitation and noise reduction; and (2) on 14 April 2023, a contribution of USD 7,000,000 in grant (investment mobilized) co-financing was issued by the public-private University of Strathclyde coordinated GATERS Innovation Action Project, corresponding to its funding from the European Commission under the Horizon 2020 research funding programme (ID: 860337). The overall objective of GATERS is to exploit the potential benefits and impact of the Gate Rudder System (GRS) on shipping operations, mainly for the "Retrofit" application of the GRS on ships, and to study its impact, amongst others, on the reduction of noise and vibration, including the positive

environmental impact of the GRS associated with improvements of the aft-end vibrations, cavitation and reduced underwater radiated noise.

With these contributions, the co-financing ratio is increased to 1:6.2. There will be further opportunities to mobilize co-financing during project implementation. As outlined in the *Profiles of the Lead Pilot Countries* (Annex 12 to the Project Document), the participating countries are making investments in addressing the impacts of underwater noise from shipping. Moreover, under Output 3.1 and its indicative activities (paragraph 120 of the Project Document), the project will seek to identify and confirm additional Global Strategic Partners (including private sector enterprises and associations, CSOs, IGOs, research institutes etc.) which are implementing important complementary initiatives that could potentially be aligned with the GloNoise Partnership. Identifying, consulting, and engaging with GSP partners (including private, CSOs, IGOs, etc.) will continue during the early phase of the project implementation period, when a more accurate picture can be given of the extent of their underwater noise related activities and the corresponding amount of their co-financing. Building this truly global partnership is a key objective of the project.

(3) The recently secured co-financing from the JoRES project (USD 1.65 million) and the GATERs project (USD 7 million) is in the form of grant (investment mobilized) contributions.

(4) In line with the PIF, the in-kind contributions from the Lead Pilot Countries are based on the costs for participation in IMO MEPC meetings (where global policy on the mitigation of underwater noise is being discussed) for the countries, which comes at a very comparable, if not similar, cost for all IMO Member States with a Delegation to IMO in London, as well as on LPCs' participation in the project. When investment mobilized co-financing is realized during project implementation, then the value of those contributions will be specific to the investments made by the relevant partners.

(5) The co-financing letter from Trinidad and Tobago was issued on 06 April 2023 and has been integrated into the CEO endorsement package

UNDP, 26 April 2023

Addressed.

#### **GEF Resource Availability**

**5. Is the financing presented in Table D adequate and does the project demonstrate a cost-effective approach to meet the project objectives?**

Secretariat Comment at CEO Endorsement Request  
4th of March 2023 (thenshaw): Only partly.

(1) Please see co-financing comment and respond accordingly..This co-financing ratio for this investment is extremely low and does not come close to the 1:7 target.

25th of April 2023 (thenshaw): Addressed.

#### Agency Response UNDP, 17 April 2023

(1) As described above, on 28 March 2023, a contribution of USD 1,650,000 in grant (investment mobilized) co-financing was issued by the Joint Research (JoRES) project, and on 14 April 2023 a contribution of USD 7 million in grant (investment mobilized) co-financing was issued by the University of Strathclyde. With these contributions, the total project co-financing stands at USD 12,127,500, increasing the co-financing ratio to 1:6.2. There will be other opportunities to mobilize co-financing during project implementation. As outlined in the *Profiles of the Lead Pilot Countries* (Annex 12 to the Project Document), the participating countries are making investments in addressing the impacts of underwater noise from shipping. Moreover, under Output 3.1 and its indicative activities (paragraph 120 of the Project Document), the project will seek to identify and confirm additional Global Strategic Partners. Identifying, consulting, and engaging with GSP partners (including private, CSOs, IGOs, etc.) will continue during the early phase of the project implementation period, when a more accurate picture can be given of the extent of their underwater noise related activities and the corresponding amount of their co-financing. Building this truly global partnership is a key objective of the project.

#### **Project Preparation Grant**

#### **6. Is the status and utilization of the PPG reported in Annex C in the document?**

#### Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly.

(1) The PPG budget is \$50,000. This Annex C Table indicates the total budget is \$29,000. Please use the \$50,000 figure for the table calculation. Revise accordingly.

(2) "Project Support Costs (8%)" is an ineligible expenditure. Please amend accordingly.

25th of April 2023 (thenshaw):

(1) Addressed.

(2) Addressed.

Agency Response  
UNDP, 17 April 2023

(1) The total PPG budget in Annex C has been corrected to \$50,000.

(2) Project Support Costs are not included in the project budget.

**Core indicators**

**7. Are there changes/adjustments made in the core indicator targets indicated in Table E? Do they remain realistic?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly. Please address the following:

(1) Now that the LPCs/twinning countries are selected, it appears Core Indicator 7.1 is applicable. Please review if there are shared LMEs (Caribbean, Agulhas) and amend accordingly.

(2) Please include a target for level of engagement for Indicator 7.4: [IW:LEARN](#) and ensure it is included in the results framework.

(3) Please explain why this project is not contributing to Core Indicator 5: Area of marine habitat under improved practices to benefit biodiversity. If it can target this Core Indicator, please amend accordingly throughout document package.

\*For new indicator targets, please, below Table E, explain the methodology/calculations for each target.

25th of April 2023 (thenshaw):

(1) Addressed.

(2) Addressed.

(3) Addressed.

Agency Response  
UNDP, 17 April 2023

(1) The project will advocate for inclusion of underwater noise from shipping considerations in relevant transboundary diagnostic analyses (TDAs) and strategic action programs (SAPs), e.g., as part of the planned activities under Output 3.1. However, the project strategy does not specifically include formulation and/or development of TDAs and SAPs and, therefore,

Indicator 7.1 is considered not relevant. The GloNoise Partnership is a foundational project that could lead to a second phase. A follow-up phase would have the potential to scale up global environmental benefits (including core indicators 5 and 7) within select large marine ecosystems (LMEs).

(2) Indicator 7.4 has been added to the list of project results framework.

(3) Core Indicator 5 was considered in the version of the PIF first submitted. During the PIF review process, it was agreed to exclude this indicator because the project is primarily focused on capacity building and strengthening engagement of developing countries in regional and global dialogues on issues associated with underwater noise from shipping. Through a possible follow-up phase, Core Indicator 5 would likely be an important indicator to include, as the tools and methodologies introduced to the participating countries are put into practice.

## **Part II ? Project Justification**

**1. Is there a sufficient elaboration on how the global environmental/adaptation problems, including the root causes and barriers, are going to be addressed?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Yes

Agency Response

**2. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Yes

Agency Response

**3. Is the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there sufficient clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?**

Secretariat Comment at PIF/Work Program Inclusion

4th of March 2023 (thenshaw): Yes, but please address the following:

(1) Please add new Core Indicators to Theory of Change, based on above comment (Core Indicators 7.1 and 5.3).

25th of April 2023 (thenshaw): Addressed



Agency Response  
UNDP, 17 April 2023

(1) Indicator 7.4 has been added to the Theory of Change. As explained above, Indicators 5.3 and 7.1 are considered not relevant for this project.

**4. Is there further elaboration on how the project is aligned with focal area/impact program strategies?**

Secretariat Comment at CEO Endorsement Request  
4th of March 2023 (thenshaw): Yes

Agency Response

**5. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?**

Secretariat Comment at CEO Endorsement Request  
4th of March 2023 (thenshaw): Partly.

(1) As mentioned above, the co-financing for this project is below expectation. Incremental costs include the convening power of the investment to mobilize partners (co-financing) to achieve transformation. This aspect of the incremental cost reasoning is not captured and it is not clear that the GEF incremental costs can be transformative without strong co-financing. Please elaborate on this aspect.

25th of April 2023 (thenshaw): Addressed.

Agency Response  
UNDP, 17 April 2023

(1) As described above, project co-financing has been substantially increased to USD 12,127,500. The objective of this project is to build a truly global partnership. Identifying partners is a project activity under the GSP and therefore there will be opportunities to mobilize co-financing during project implementation. As outlined in the *Profiles of the Lead Pilot Countries* (Annex 12 to the Project Document), the participating countries are making investments in addressing the impacts of underwater noise from shipping. The baseline studies the countries will be undertaking under Component 1 of the project will be important prerequisites determining the levels of investments they will subsequently make. Moreover, we expect to confirm Global Strategic Partners which are implementing important complementary initiatives that could potentially be aligned with the GloNoise Partnership. One of the underlying aims of the GloNoise Partnership is to facilitate a GSP that convenes

global partners who collaborate on technology and research investments for mitigating the impacts of underwater from shipping.

**6. Is there further and better elaboration on the project's expected contribution to global environmental benefits or adaptation benefits?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly.

(1) Please see comments above regarding Core Indicators. The global environmental benefits should be explained through the Core Indicators, including Core Indicator 11 and likely Core Indicator 7.1 and 5.3. Please revise accordingly.

25th of April 2023 (thenshaw): Addressed.

Agency Response

UNDP, 17 April 2023

(1) Indicator 7.4 has been added to the project results framework. As described above, Indicators 7.1 and 5.3 are considered not relevant for this project.

The following entry has been added to the Global Environmental Benefits section:

?An important global environment benefit generated by this project will be the **increased human capital** of marine professionals in the Lead Pilot Countries, better enabling stakeholders from developing countries to be more meaningfully involved in regional and global dialogues and to make science-based contributions to decision-making processes on regulatory, technological and other conservation management measures regarding the impacts of underwater noise from shipping.?

**7. Is there further and better elaboration to show that the project is innovative and sustainable including the potential for scaling up?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Yes

Agency Response

**Project Map and Coordinates**

**Is there an accurate and confirmed geo-referenced information where the project intervention will take place?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Yes

Reviewer note: This is a global project and specific coordinates are not feasible.

Agency Response

**Child Project**

**If this is a child project, is there an adequate reflection of how it contributes to the overall program impact?**

Secretariat Comment at CEO Endorsement Request

N/A

Agency Response

**Stakeholders**

**Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly.

(1) Please include the Stakeholder Engagement Plan (the entirety of Annex 7) directly in the portal submission so it can be read as a standalone document.

(2) Please provide additional details on the specific stakeholders that were consulted during project development. The stakeholder engagement plan indicates that Member States, Associate Members and intergovernmental organizations were in attendance of a meeting in 2022 and that subsequent series of conversations have taken place with both developing and developed countries. No details of who were consulted have been provided. Please provide these details. In addition, the Plan does not provide details on the specific stakeholder groups to be engaged in project implementation. Please provide additional details on these groups.

25th of April 2023 (thenshaw):

(1) Addressed.

(2) Addressed. A new Stakeholder Engagement Plan is uploaded to the portal. It includes the following table, which notes the specific stakeholders that are candidates to engage in project implementation:

Stakeholder group	Why included (interests)	Engagement methods	Candidates to be engaged
National Government partners	Primary objective of the project is to strengthen capacities of developing countries. The LPCs and other countries are interested in being more meaningfully engaged in the global issue management of underwater noise from shipping	<ul style="list-style-type: none"> <li>? Membership in the Global Project Task Force.</li> <li>? National Task Forces</li> <li>? Membership in the GSP.</li> <li>? Participation in capacity building activities, stakeholder dialogues, and analyses of policy options.</li> <li>? Project communications and knowledge management.</li> </ul>	<ul style="list-style-type: none"> <li>? Prefectura Naval Argentina</li> <li>? Ministry of the Environment of Chile and DIRECTEMAR</li> <li>? Ministry of Public Works of Costa Rica, Directorate of Navigation and Safety [letter included]</li> <li>? Ministry of Ports, Shipping and Waterways of India, Directorate General of Shipping [letter included]</li> <li>? The South African Maritime Safety Authority (SAMSA) [letter included]</li> <li>? Ministry of Public Works, Maritime Services Division &amp; University of Trinidad &amp; Tobago [letter included]</li> <li>? Ministry of Economy and Sustainable Development of Georgia, Maritime Transport Agency [twinning letter included]</li> <li>? Madagascar Ports Maritime and Waterways Agency (APFM) [twinning letter included]</li> <li>? Ministry of Transport Malaysia, Maritime Division</li> <li>Transport Canada [twinning letter included]</li> <li>? Transport Canada [letter included]</li> <li>? Swedish Agency for Marine and Water Management (SWAM) [awaiting letter]</li> <li>? U.S. Department of Commerce, National Oceanic and Atmospheric Administration (NOAA Fisheries - Ocean Acoustics Program)</li> <li>? Finnish Meteorological Institute</li> </ul>

Shipping and ports sector	The interest of this stakeholder group is to be included in policy level discussions and share knowledge of feasibility of mitigation measures.	<ul style="list-style-type: none"> <li>? Membership in the GSP.</li> <li>? Participation stakeholder dialogues.</li> <li>? Project communications and knowledge management.</li> </ul>	<ul style="list-style-type: none"> <li>? Baltic and International Maritime Council (BIMCO - one of the largest of the international shipping associations representing shipowners, covering 60% of the global fleet across 130 countries) [letter included]</li> <li>? Maersk Line (shipping line)</li> <li>? Hapag-Lloyd (shipping line)</li> <li>? International Association of Ports and Harbors (IAPH)</li> <li>? Any further shipping and ports operators identified by and within the Lead Pilot Countries.</li> </ul>
Technology providers and learned organizations (including classification societies)	The interest of this stakeholder group is to be included in policy level discussions and share knowledge of feasibility of mitigation measures, including technological ones.	<ul style="list-style-type: none"> <li>? Membership in the GSP.</li> <li>? Participation stakeholder dialogues.</li> <li>? Project communications and knowledge management.</li> </ul>	<ul style="list-style-type: none"> <li>? Royal Institute of Naval Architects (RINA) [letter included]</li> <li>? Korea Research Institute of Shipping and Ocean Engineering (KRISO) [letter included]</li> <li>? SGS S.A. [letter included]</li> <li>? VAF Instruments B.V. [Awaiting letter]</li> <li>? MARIN [Awaiting letter]</li> <li>? W?rtsil? (tech provide)</li> <li>? DHI Group Water &amp; Environment</li> <li>? Seiche Marine Acoustics Solutions Ltd</li> <li>? dBSea Ltd</li> <li>? Subacoustech Environmental Ltd</li> <li>? International Association of Classification Societies (awaiting letter)</li> <li>? DNV Classification Society</li> <li>? Lloyds Register Classification Society</li> <li>? Bureau Veritas Classification Society</li> <li>? Any further technology providers and learned organizations identified by and within the Lead Pilot Countries.</li> </ul>

<p>Environmental organizations</p>	<p>Environmental organizations actively advocate for protection of marine biodiversity and marine ecosystems, and promote for strengthened assessment and mitigation of the risks and impacts of underwater noise from shipping</p>	<p>? Membership in the GSP.          ? Participation in capacity building activities, stakeholder dialogues, and analyses of policy options.          ? Project communications and knowledge management.</p>	<p>? International Whaling Commission [letter included]          ? WWF [first conversation had]          ? The International Ocean Noise Coalition (IONC - a partnership of over 150 non-governmental organizations (NGOs) from around the world)          ? Ocean Mammal Institute (OMI)          ? Any further environmental organizations identified by and within the Lead Pilot Countries.</p>
<p>Regional coordination mechanisms</p>	<p>This stakeholder group is interested in sustainable management of marine ecosystems and equitable ocean governance.</p>	<p>? Membership in the GSP.          ? Participation in capacity building activities, stakeholder dialogues, and analyses of policy options.          ? Project communications and knowledge management.</p>	<p>? Joint Programming Initiative Healthy and Productive Seas and Oceans (JPI Oceans)          ? Permanent Commission for the South Pacific (CPPS)          ? The Regional Marine Pollution Emergency Information and Training Centre for the Wider Caribbean (REMPEITC-Caribe)          ? Central American Maritime Transport Commission (COCATRAM)          ? South Asia Cooperative Environment Programme (SACEP)          ? Convention for the Protection and Development of the Marine Environment in the Wider Caribbean Region (Cartagena Convention)          ? Regional Activity Centre for the Protocol Concerning Specially Protected Areas and Wildlife for the Wider Caribbean Region (SPA-WRAC)          ? Benguela Current Convention          ? The Indian Ocean Rim Association          ? Any further regional cooperation mechanisms identified by the Lead Pilot Countries.</p>

Universities and Research Institutes	Universities and research institutes are interested in the development of assessment methodologies and mitigation approaches.	? May contribute directly to the development of the Toolkit. ? Membership in the GSP. ? Participation in (and/or delivery of) capacity building activities, stakeholder dialogues, and analyses of policy options. ? Project communications and knowledge management.	? Leiden University, NL ? University of California at San Diego, Scripps Institution of Oceanography, US ? Maritime Research Centre (MRC), Pune, IN ? University of Strathclyde, UK [letter for their GATERS Project included] ? University of Aberdeen, UK ? University of Plymouth, UK ? University College Cork, IE ? Any further universities and research institutes identified by and within the Lead Pilot Countries.
Resource users, e.g., large fisheries commissions and local resource users (fishers, tourism operators, etc.)	The interests of local resource users is on how mitigation measures may impact the resources they are reliant upon.	? Project communications and knowledge management.	? International Whaling Commission [letter included] ? Local resource users identified by and within the Lead Pilot Countries (national stakeholder coalition building will be an important aspect of the work to be undertaken by Lead Pilot Countries).

Agency Response  
UNDP, 17 April 2023

(1) The Stakeholder Engagement Plan (Annex 7 to the Project Document) has been uploaded as a standalone document.

(2) Additional details have been added on the stakeholder consultations conducted during project development.

The current version of the stakeholder engagement plan includes the following matrix, outlining how different stakeholder groups will be engaged during project implementation:

Stakeholder group	Why included (interests)	Engagement methods
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National Government partners	Primary objective of the project is to strengthen capacities of developing countries. The LPCs and other countries are interested in being more meaningfully engaged in the global issue management of underwater noise from shipping	? Membership in the Global Project Task Force. ? National Task Forces ? Membership in the GSP. ? Participation in capacity building activities, stakeholder dialogues, and analyses of policy options. ? Project communications and knowledge management.
Shipping and ports sector	The interest of this stakeholder group is to be included in policy level discussions and share knowledge of feasibility of mitigation measures.	? Membership in the GSP. ? Participation stakeholder dialogues. ? Project communications and knowledge management.
Technology providers and learned organizations	The interest of this stakeholder group is to be included in policy level discussions and share knowledge of feasibility of mitigation measures, including technological ones.	? Membership in the GSP. ? Participation stakeholder dialogues. ? Project communications and knowledge management.
Environmental organizations	Environmental organizations actively advocate for protection of marine biodiversity and marine ecosystems, and promote for strengthened assessment and mitigation of the risks and impacts of underwater noise from shipping	? Membership in the GSP. ? Participation in capacity building activities, stakeholder dialogues, and analyses of policy options. ? Project communications and knowledge management.
Regional coordination mechanisms	This stakeholder group is interested in sustainable management of marine ecosystems and equitable ocean governance.	? Membership in the GSP. ? Participation in capacity building activities, stakeholder dialogues, and analyses of policy options. ? Project communications and knowledge management.
Universities and Research Institutes	Universities and research institutes are interested in the development of assessment methodologies and mitigation approaches.	? May contribute directly to the development of the Toolkit. ? Membership in the GSP. ? Participation in (and/or delivery of) capacity building activities, stakeholder dialogues, and analyses of policy options. ? Project communications and knowledge management.
Resource users, e.g., large fisheries commissions and local resource users (fishers, tourism operators, etc.)	The interests of local resource users is on the how mitigation measures may impact the resources they are reliant upon.	? Project communications and knowledge management.

#### Gender Equality and Women's Empowerment

**Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the**



**project/program include gender-responsive activities, gender-sensitive indicators and expected results?**

#### Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly.

(1) Please include the Gender Analysis and Gender Action Plan (the entirety of Annex 8) directly in the portal submission so it can be read as a standalone document.

(2) While it is appreciated that the Gender Action Plan provided specifics on how gender equality will be considered in each of the project's components and outputs, please reflect gender perspectives in the section on Project Description/Project Components, in line with good gender mainstreaming practice.

25th of April 2023 (thenshaw)

(1) No, please include the entirety of Annex 8 directly in the CEO ER document (portal).

(2) Addressed.

28th of April 2023 (thenshaw):

(1) Addressed.

#### Agency Response

UNDP, 17 April 2023

(1) The Gender Analysis and Gender Action Plan (Annex 8 to the Project Document) has been uploaded as a standalone document.

(2) Gender mainstreaming considerations are described in the narrative descriptions of Outputs 1.1, 2.1, 3.1, 3.2, 4.1 and 4.2. Output 2.2 is dedicated to gender equality and women's empowerment, through arranging learning exchanges for women professionals in the LPCs and supporting women professional in participating in regional and international conferences.

UNDP, 26 April 2023

The Gender Analysis and Gender Action Plan has been included in the CEO ER portal document.

**Private Sector Engagement**

**If there is a private sector engagement, is there an elaboration of its role as a financier and/or as a stakeholder?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly,

(1) Please elaborate on the Global Strategic Partnership here so the reader can easily identify the private sector engagement activities under this project. The current text does not sufficiently capture them.

25th of April 2023 (thenshaw): Addressed.

Agency Response

UNDP, 17 April 2023

(1) The private sector engagement narrative has been updated with the following entry on expected engagement of private sector companies and associations in the Global Strategic Partnership (GSP).

?Private/industry sector enterprises and associations are expected to share experiences and emerging technologies through GSP roundtable dialogues, technology demonstrations, short presentations, etc. For example, the Joint Research (JoRES) project, a global initiative uniting more than 50 leading industry companies and one of the project?s co-financing partners, is working on technological innovations that are expected to contribute to reduction of underwater noise from shipping. The GATERS project is a public-private partnership investigating, demonstrating and assessing retrofitting solutions regarding specific noise-reduction technologies on gate rudders. Classification societies are working towards improving standards on ship design and architecture to better address noise reduction ? this is an example of the private sector regulating itself. Another example is the current offerings of SGS Soci?t? G?n?rale de Surveillance on sound profiles. Also, shipping lines could present what they are doing in the underwater noise space, e.g., regarding retrofitting and maintenance.?

**Risks to Achieving Project Objectives**

**Has the project elaborated on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved? Were there proposed measures that address these risks at the time of project implementation?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Yes, but please address the following:

(1) Please include a summary of the Covid-19 risk and opportunity analysis in the CEO Endorsement Document.

Reviewer note: The UNDP Checklist is complete and uploaded to the portal.

25th of April 2023 (thenshaw): Addressed. The Covid-19 risk and opportunity analysis is included in Annex 11 of the Agency Project Document.

### Agency Response UNDP, 17 April 2023

(1) A summary of the COVID-19 Risks and Opportunities Analysis (Annex 11 to the Project Document) is included in the Risks section in the CEO ER and Project Document. The following entry has been added to the Knowledge Management section in the CEO ER and Project Document:

?The project communications and knowledge management strategy and action plan will include specific methods and messaging for raising awareness and disseminating information regarding COVID-19 risks.?

#### **Coordination**

**Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?**

#### Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly.

(1) Please confirm that "Twinning Countries" are tangential and no project activities will take place on the ground in these countries. If so, LOEs are required.

(2) The section states that IMO is the Implementing Partner. This is incorrect. UNDP is the Implementing Partner and IMO is the Executing Entity. Please revise accordingly.

(3) Please summarize the composition of the PMU in this section (summary of Annex 6 in the Agency Project Document). Roles and responsibilities will be assessed once the GEF budget table is uploaded to the portal.

(4) The co-financing letters point to additional executing entities. Please describe/clarify these executing entities in the coordination structure, including the role each will play in execution.

25th of April 2023 (thenshaw):

(1) Addressed.

(2) Addressed.

(3) Partly Addressed. Please clarify the roles of the Project Director and the Project Manager. What are the differences. Why are both positions required?

(4) Addressed.

28th of April 2023 (thenshaw):

(3) Addressed.

## Agency Response

UNDP, 17 April 2023

(1) Twinning Countries are not considered direct beneficiaries of the project. Project activities will not take place on the ground in the Twinning Countries. Representatives from the Twinning Countries will be invited to take part in certain (regional) capacity building activities, and will be invited to take part in thematic dialogues and other activities organized under the Global Strategic Partnership (GSP), and are invited to take part in the Global Project Task Force.

(2) UNDP uses the term 'Implementing Partner' for the executing entity. The following was added to the beginning of this section: 'Implementing Partner (i.e., Executing Entity)'.

(3) The following has been added to the section on Project Management ? Execution of the project: 'The PMU will also include a Chief Technical Advisor, responsible for providing overall technical backstopping support to the project, and a Project Assistant, who will assist in the technical execution of the project and assist the Project Manager with administrative support services'.

(4) IMO is the single executing entity for this project. As is customary in the well-established GEF-UNDP-IMO Glo-X projects, Lead Pilot Countries (LPCs) were requested to identify a Lead Agency, a National Focal Point and a National Project Coordinator, and submit their details through the letters. These will be the main interlocutors and project partners in the respective LPCs. The project will provide technical cooperation to the national Lead Agencies, who will work in-country. Lead Agencies will be represented on the Global Project Task Force; will be the main project stakeholders, and will facilitate in-country stakeholder engagement, e.g., help ensure key stakeholders are included in the training events, dialogues and other project activities. For clarity, the Lead Agencies, National Focal Points and National Project Coordinators have been added to the Beneficiaries box in Figure 6, the Project Organization Structure.

UNDP, 26 April 2023

(3) The Project Director and Project Manager functions are mostly funded through IMO co-financing contributions; 5% of the Project Director and 10% of the Project Manager costs are charged to the GEF grant. The Project Director will be a member and chair of the Project Board, supervise compliance with project objectives and other aspects of project execution as specified in the Project Document, and coordinate synergies with other IMO-supported initiatives and facilitate interaction with relevant IMO committees. The Project Manager will manage the overall conduct of the project, responsible for mobilization of project inputs, supervise project team members, as well as contracted consultants and other service providers. The Project Manager will report to the Project Board on progress, risk management and change management.

#### **Consistency with National Priorities**

**Has the project described the alignment of the project with identified national strategies and plans or reports and assessments under the relevant conventions?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Yes

#### **Agency Response**

UNDP, 17 April 2023

(1) A table has been added to the KM section, outlining the timeline for the listed KM and communications activities. And the budget allocated for KM has been added to the narrative in this section.

(2) Reference has been added to the document, confirming that 1% of the project budget is dedicated to IW:LEARN activities.

#### **Knowledge Management**

**Is the proposed Knowledge Management Approach for the project adequately elaborated with a timeline and a set of deliverables?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): No

(1) Please include a table with timeline for implementing listed knowledge management and communication activities/products. Please also clarify the budget allocated to knowledge management and communications products/activities (separately from project M&E) by including a simple budget table in the KM section.

(2) Please include throughout the document, where relevant, that 1% of the project budget will be dedicated to [IW:LEARN](#) activities.

25th of April 2023 (thenshaw):

(1) Partly addressed. Please include in the new budget table a breakdown of how the \$80,000 budget allocation will be spent (akin to the indicative cost column in the M&E table).

(2) Addressed.

28th of April 2023 (thenshaw):

(1) Addressed.

## Agency Response

UNDP, 17 April 2023

(1) A table has been added to the KM section, outlining the timeline for the listed KM and communications activities. And the budget allocated for KM has been added to the narrative in this section.

(2) Reference has been added to the document, confirming that 1% of the project budget is dedicated to IW:LEARN activities.

UNDP, 26 April 2023

(1) The breakdown of knowledge management has been included, by adding a column in the KM table in the CEO ER and Project Document (see below).

<b>Activity</b>	<b>Cost (USD)</b>	<b>Estimated Timing</b>
Develop and implement the GloNoise communications and knowledge management strategy and action plan	17,500	2023 Q3-Q4
Create and maintain project website	7,500	2023 Q3 to 2025 Q2
Development of knowledge products, including experience notes, results notes	12,500	2024 Q1 to 2025 Q2
Dissemination of project progress and results, e.g., as part of IMO Committee meetings	7,500	2023 Q3 to 2025 Q2
Participating in IW:LEARN portfolio learning events	10,000	2024 Q1 to 2025 Q2
Participating in international workshops, e.g., biannual conference on the effects of noise on aquatic life	10,000	2025 Q1-Q2
Organize an online knowledge forum	5,000	2024 Q4

Develop a project sustainability plan	10,000	2024 Q3-2024 Q1
<b>Total:</b>	<b>80,000</b>	

**Environmental and Social Safeguard (ESS)**

**Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Yes, but please address the following:

(1) Please reformat the table(s) in this section. They bleed across the portal margins and will not be fully captured in PDF format. Please contact GEF IT for assistance, if necessary. Please consider a screenshot and paste method.

25th of April 2023 (thenshaw): Addressed.

Agency Response

UNDP, 17 April 2023

(1) The table(s) in this section have been reformatted.

**Monitoring and Evaluation**

**Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly, please address the following:

(1) Please include updated Core Indicator Targets per comment above regarding Core Indicator 5.3 and 7.1.

(2) M&E budgeted plan includes "Supervision Missions" that are meant to be covered by the Agency Fee. Please amend accordingly.

Reviewer Note: Detailed M&E Plan (table) in Section VI of Agency Project Document

25th of April 2023 (thenshaw):

(1) Addressed.

(2) Addressed.

Agency Response  
UNDP, 17 April 2023

(1) The project results framework and monitoring plan have been updated with the addition of Indicator 7.4.

(2) The allocated cost for supervision missions has been removed from the M&E budget. The allocated amount was added to the line item on 'M&E required to report on progress made in reaching GEF core indicators and project results included in the project results framework'.

**Benefits**

**Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Yes

Agency Response

**Annexes**

**Are all the required annexes attached and adequately responded to?**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly.

(1) The Annex E Budget Table must be included in the portal submission. Please upload accordingly. Please consider the screenshot and paste method.

(2) The GEF Budget Table is located in Annex 1 of the Agency Project Document. The table does not contain any figures. Therefore, a review of the budget for this project cannot be carried out at this round of review. Please revise accordingly. All budgets, in portal, documents tab and pro doc need to match.

25th of April 2023 (thenshaw):

(1) Addressed.



(2) The GEF Budget in Annex 1 of the Agency Project Document is not populated. Please revise accordingly. All budgets, in portal, documents tab and pro doc need to match.

28th of April 2023 (thenshaw):

(2) Addressed.

10th of May 2023 (thenshaw): The project positions (Project Director, Project Manager, Chief Technical Advisor and Project Assistant) must be properly itemized/separated out in the Annex E project budget and the total costs of each position clearly reflected. In other words, one line item for each position only.

The following lines should be present in the budget table:

Project Assistant

Component 1	Component 2	Component 3	Component 4	PMC
US\$ 33,156	US\$ 57,996	US\$ 16,632	US\$ 16,632	US\$ 41,364

Chief Technical Advisor

Component 1	Component 2	Component 3	Component 4	PMC
US\$ 121,932	US\$ 203,472	US\$ 40,608	US\$ 40,608	0

Project Director

Component 1	Component 2	Component 3	Component 4	PMC
0	0	0	0	US\$ 35,640

Project Manager

Component 1	Component 2	Component 3	Component 4	PMC
0	0	0	0	US\$ 68,040

15th of May 2023 (thenshaw): Partially Addressed.

**IMO Memo on Budget uploaded to portal.** The memo explains the reasoning for a Project Director, a Project Manager and a Chief Technical Advisor. It also explains IMO's salary structure, itemizes staff positions across the different components and PMC, and describes the cost sharing arrangement between the project and IMO.

The project positions have been itemized/separated out in the Annex E project budget.

The objectives of the GloNoise Partnership, as contained in the project document, read as follows:

*95. The **overall objective** of the GloNoise Partnership project is to establish a truly global partnership to engage and assist developing countries to raise awareness, build capacity, define baselines and promote international policy dialogue on the mitigation of underwater noise from shipping.*

*96. The **specific objective** of the GloNoise Partnership is to create a partnership of Lead Pilot Countries (LPCs) and support them, via engagement with IMO, private sector and global strategic partners including from developed countries, to tackle the major environmental issue of underwater noise pollution from shipping.*

Giving rise to their implementation, the outputs related to Component 3 read:

***Output 3.1.** Dialogue on mitigation of underwater noise from shipping advanced through linkages with regulatory organizations, industry, donor funded regional projects and other developing countries*

***Output 3.2.** A Global Strategic Partnership (GSP) established as a public-private platform for steering the policy agenda and strengthening of the regulatory framework for underwater noise reduction from shipping*

In order to achieve the objectives of the GloNoise Partnership, the **Project Director** role is essential within this project since at IMO, like protocol prescribes at other UN entities, engagement of Member States on the political level lies at Director's level. Hence, with the GloNoise Partnership's mission of engaging countries on the implementation of the IMO underwater noise guidelines and to help drive and sustain action by Member States, the project must count on the services of the Chief, DPP, in the role of Project Director, who will, through chairing the Project Board, and the Global Project Task Force, engage at ministerial level with the Lead Pilot Countries ? whose nominated National Focal Points are all Director-level representatives of the respective national maritime administrations or parent ministries, Twinning Countries and other Global Strategic Partners including from developed countries, regional organizations including for instance COCATRAM, ASEAN, African Union to name but a few, key private sector technology providers and more. Therewith, the Project Director will have overall responsibility for the implementation of the project and for achieving its above-stated overall objective of to promote international policy dialogue on the mitigation of underwater noise from shipping. The alternative scenario, where the Project Director's function would not be included in the project, would risk the relegation of this project to a mere technical level intervention, especially within Lead Pilot Countries and at regional policy forums, lacking the appropriate political level awareness raising capacity for this still relatively new area of international ocean governance legislation, through the implementation of the IMO underwater

noise guidelines, where the inclusion of developing countries at all levels of global policy making is so essential. This scenario would risk leading to a less cost-effective use of the collective investment from the GEF, UNDP and IMO.

The **Project Manager** will be responsible for the overall management of the project, including the mobilization of all project inputs, supervision over project staff ? including the Chief Technical Advisor and Project Assistant, consultants and sub-contractors, make course corrections when needed within Project Board-agreed tolerances to achieve results. Importantly, as the Project Manager role will be executed by IMO?s Head of Projects Implementation, there is a distinct and crucial value in providing oversight, identifying synergies, and direct implementation of lessons learned from across IMO?s Oceans projects portfolio, which include the GEF-UNDP-IMO GloFouling Partnerships and the Norad-FAO-IMO GloLitter Partnerships projects. Through the inclusion of the Project Manager, IMO and UNDP ensure that our collective investment does not operate in isolation but instead benefits directly from the experiences and lessons learned in sister projects, including at the political, country and overall implementation level.

The **CTA** position will be key in achieving the objectives of this global project. The CTA will be responsible for ensuring consistency and quality input from the contracted service providers and consultants, including on the development of the underwater noise mitigation toolkit; coordinating the engagement of Lead Pilot Countries and facilitating their awareness building, learning and implementation of activities; ensuring that project activities under each outcome are executed in a timely manner with high technical standards and implemented following the planned outcomes to achieve defined indicators; overseeing the implementation and monitoring of the progress of implementing project safeguard plans, including the SESP, Stakeholder Engagement Plan and Gender Action Plan to ensure that UNDPs SES are fully met, and the reporting requirements are fulfilled; keeping abreast of emerging issues and trends and related to underwater noise from shipping and advising on implementation of adaptive management measures to reflect these in the project activities; identifying and engaging strategic partners to participate in the Global Stakeholder Partnership (GSP), facilitating the activities of the GSP throughout the project life-cycle; coordinating and facilitating cooperation and synergies with other underwater noise related projects including GEF-funded projects.

**24th of May 2023** (thenshaw):

Please reconfigure the budget as follows:

- The position ?Project Director? (\$35,640) will disappear, but the functions (liaison between IMO and the project) will remain. This amount (\$35,640) will be moved to the PMC portion of the Project Assistant, whose functions are meant to be covered by PMC only. Then, the same amount will be removed from the components that are covering part of the salary of the Project Assistant, so the function (liaison between IMO and the project) can be covered by the components.
- The position ?Project Manager? (\$68,040) will be removed. These functions will be carried out by the Chief Technical Advisor for the same salary (\$294,840). This

amount (\$68,040) will be moved to the PMC portion of the Project Assistant, whose functions are meant to be covered by PMC only. Then, the same amount will be removed from the components that are covering part of the salary of the Project assistant. This amount will be redistributed among the project's activities.

2nd of June 2023 (thenshaw): Addressed.

## Agency Response

UNDP, 17 April 2023

(1) The Annex E Budget Table has been uploaded.

(2) The GEF Budget Table has been inserted and uploaded.

UNDP, 26 April 2023

The Annex 1 has been submitted as a separate file.

UNDP, 15 May 2023

The project positions have been itemized/separated out in the Annex E project budget. The total costs of each position are reflected. The lines highlighted above have been updated.

Component 4 cost in the Annex E excludes M&E cost (Output 4.1) and only includes KM cost (Output 4.2). The total costs of these two outputs are each \$80,000.

After reconsideration of the grade, entitlements and allowances for this position, the contractual services (individual) cost for the Chief Technical Advisor has been reduced to \$294,840. IMO's salary structure is governed by the regulations and guidance set forth by the International Civil Service Commission (ICSC).

The reconsideration of the contractual services (individual) cost resulted in the reallocation of freed up budget towards technical assistance activities, primarily to international and local consultants across Components 1, 2, 3 and 4.

The CTA position will be key in achieving the objectives of this global project. The CTA will be responsible for ensuring consistency and quality input from the contracted service providers and consultants, including on the development of the underwater noise mitigation toolkit; coordinating the engagement of Lead Pilot Countries and facilitating their awareness building, learning and implementation of activities; ensuring that project activities under each outcome are executed in a timely manner with high technical standards and implemented following the planned outcomes to achieve defined indicators; overseeing the implementation and monitoring of the progress of implementing project safeguard plans, including the SESP, Stakeholder Engagement Plan and Gender Action Plan to ensure that UNDPs SES are fully met, and the reporting requirements are fulfilled; keeping abreast of emerging issues and

trends and related to underwater noise from shipping and advising on implementation of adaptive management measures to reflect these in the project activities; identifying and engaging strategic partners to participate in the Global Stakeholder Partnership (GSP), facilitating the activities of the GSP throughout the project life-cycle; coordinating and facilitating cooperation and synergies with other underwater noise related projects including GEF-funded projects.

UNDP, 30 May 2023

The Project Director role has been removed from this project. The functions previously attributed to the Project Director have been brought under Output 3.1 and indicative activity 3.1.1. The necessary budget transfers as indicated have been integrated.

The position of the Project Manager (10% cost-sharing) has been removed from this project. The functions will be undertaken by the newly renamed ?Project Manager/Chief Technical Advisor? for the same salary. The budget previously allocated for these functions has been redistributed over the technical activities across Components 1, 2 and 3, both at global level and in-country level, and added to the lines for International Consultants and Local Consultants.

The Project Assistant will undertake technical assistance to the implementation of Component 1 activities (5%), Component 2 activities (9%), Component 3 activities (16%) and Component 4 activities (2,5% + 2,5%), which comes to a total of US\$ 59,150. The specific amounts are detailed in the budget notes (ProDoc pages 62-66) and the specific tasks are detailed in the ToRs for this role (ProDoc Annex 6, pages 99-100). Under Component 1, the Project Assistant will Lead, design and oversee tender and procurement process of the Global Noise Toolkit; liaise with the contracted consultants and other service providers, and ensure their delivery in line with contractual terms; adjust assignments where required, and assist in the delivery of capacity building, including online webinars. Under Component 2 the Project Assistant will ensure effective implementation of Gender Action Plan, including training, monitoring and evaluation, and regular review of the plan; assist in the design and roll-out of capacity building activities across the Lead Pilot Countries; and oversee the efforts of Lead Pilot Countries in national stakeholder coalition building, and actively engage identified local stakeholders in project activities. Under Component 3 the Project Assistant will maintain daily communication with Global Strategic Partners (GSP), including twinning countries, research institutes, private sector and IGOs and NGOs; actively identify synergies between the fields of expertise of GSP participants, and help design the curriculum of GSP activities throughout the project life-cycle; assist in the arrangement of GSP meetings and other project workshops and events. And under Component 4, the Project Assistant will lead the design of event materials, briefing packets, and press releases; lead the development and dissemination of communications materials and knowledge products with consistent messaging, such as brochures, fact sheets, presentations, and quarterly bulletins; and ensure effective implementation of Gender Action Plan, including training, monitoring and evaluation, and regular review of the plan. With just a two-person team implementing this ambitious project with its large number of rather diverse project activities, it is essential for the successful delivery of this project that the project team is complimentary and able to support one another across project management and technical tasks alike.

#### **Project Results Framework**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly.

(1) Please reformat the Project Results Framework table. It bleeds across the portal margins and will not be fully captured in PDF format. Please contact GEF IT for assistance, if necessary. Please consider a screenshot and paste method.

(2) If Core Indicators are expanded, please ensure the figures are included in the Annex A Project Results Framework.

25th of April 2023 (thenshaw):

(1) Addressed.

(2) Addressed.

### Agency Response

UNDP, 17 April 2023

(1) The Project Results Framework table has been reformatted.

(2) The project results framework has been expanded with the inclusion of Indicator 7.4.

### **GEF Secretariat comments**

### Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly.

LOEs are secured from the six Lead Pilot Countries. However, please address the following:

(1) The LOE for Argentina is not signed by one of the Argentina OFPs. Please secure an LOE accordingly.

25th of April 2023 (thenshaw): Addressed. Please include the revised Argentina LOE as a separate document in the portal and not just included as an annex in the Project Document.

28th of April 2023 (thenshaw): Addressed.

### Agency Response

UNDP, 17 April 2023

(1) An updated LOE for Argentina has been obtained and inserted into the Project Document package.

UNDP, 26 April 2023

The Argentina LOE has been submitted as a separate document in the portal.

**Council comments**

Secretariat Comment at CEO Endorsement Request None

Agency Response

**STAP comments**

Secretariat Comment at CEO Endorsement Request None

Agency Response

**Convention Secretariat comments**

Secretariat Comment at CEO Endorsement Request None

Agency Response

**Other Agencies comments**

Secretariat Comment at CEO Endorsement Request None

Agency Response

**CSOs comments**

Secretariat Comment at CEO Endorsement Request None

Agency Response

**Status of PPG utilization**

Secretariat Comment at CEO Endorsement Request

4th of March 2023 (thenshaw): Partly. Per comments above:

(1) The PPG budget is \$50,000. This Annex C Table indicates the total budget is \$29,000. Please use the \$50,000 figure for the table calculation. Revise accordingly.

(2) "Project Support Costs (8%)" is an ineligible expenditure. Please amend accordingly.

25th of April 2023 (thenshaw):

(1) Addressed.

(2) Addressed.

Agency Response  
UNDP, 17 April 2023

(1) The total PPG budget in Annex C has been corrected to \$50,000.

(2) Project Support Costs are not included in the project budget.

**Project maps and coordinates**

Secretariat Comment at CEO Endorsement Request  
4th of March 2023 (thenshaw): Yes

Reviewer note: This is a global project and specific coordinates are not feasible.

Agency Response

**Does the termsheet in Annex F provide finalized financial terms and conditions? Does the termsheet and financial structure address concerns raised at PIF stage and that were pending to be resolved ahead of CEO endorsement? (For NGI Only)**

Secretariat Comment at CEO Endorsement Request

N/A

Agency Response

**Do the Reflow Table Annex G and the Trustee Excel Sheet for reflows provide accurate reflow expectations of the project submitted? Assumptions for Reflows can be submitted to explain expected reflows. (For NGI Only)**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response

**Did the agency Annex H provided with information to assess the Agency Capacity to generate and manage reflows? (For NGI Only)**

Secretariat Comment at CEO Endorsement Request N/A

Agency Response



## GEFSEC DECISION

## RECOMMENDATION

### Is CEO endorsement recommended? (applies only to projects and child projects)

#### Secretariat Comment at CEO Endorsement Request

10th of March 2023 (thenshaw): No, please address above comments and resubmit. Thank you.

25th of April 2023 (thenshaw): No, please address above comments and resubmit. Thank you.

10th of May 2023 (thenshaw): No, please address above comment and resubmit. Thank you.

24th of May 2023 (thenshaw): No, please address above comment and resubmit. Thank you.

2nd of June 2023 (thenshaw): Yes

#### Review Dates

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
<b>First Review</b>	<b>3/10/2023</b>	
<b>Additional Review (as necessary)</b>	<b>4/25/2023</b>	
<b>Additional Review (as necessary)</b>	<b>5/10/2023</b>	
<b>Additional Review (as necessary)</b>	<b>5/24/2023</b>	
<b>Additional Review (as necessary)</b>	<b>6/2/2023</b>	

#### CEO Recommendation

#### Brief reasoning for CEO Recommendations