



Energy Efficiency for the Transition to Carbon Neutral Cities in Colombia

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

10917

Countries

Colombia

Project Name

Energy Efficiency for the Transition to Carbon Neutral Cities in Colombia

Agencies

CAF, IADB

Date received by PM

10/31/2023

Review completed by PM

12/17/2023

Program Manager

Patricia Marcos Huidobro

Focal Area

Climate Change

Project Type

FSP

PIF
CEO Endorsement

Part I ? Project Information

Focal area elements

1. Does the project remain aligned with the relevant GEF focal area elements as presented in PIF (as indicated in table A)?

Secretariat Comment at CEO Endorsement Request
11/14/2023 PM:

Yes.

Agency Response
Agency Response (1st December 2023)

No response required.

Project description summary

2. Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?

Secretariat Comment at CEO Endorsement Request
3/22/2024 PM:

Cleared.

3/7/2024 PM:

No. With many thanks for adding an additional row for Component 2, please note that the financing type for Component 2b shall be "Investment" instead of "Technical Assistance". Please update accordingly.

12/17/2023 PM:

No. The comment hasn't been addressed. Table B still has just one row for Component 2. Please add two rows for Component 2, one with the TA activities and the other one with the investment activities.

11/14/2023 PM:

No. Please address the following comments:

a) Under Component 2, please consider creating two different rows under column "Financing type", one row for the outcomes/outputs that are Technical Assistance and another one for the Investment ones.

Agency Response

Agency Response (13th December 2023)

A new row has been added to the Table in Section B to differentiate between outputs that are "Technical Assistance" from the one that is "Investment". This has also resulted in renumbering of the outputs of Component 2.

Agency Response February 28, 2024

A new row has been added for Component 2 to illustrate that Output 2.1.1 is classified as TA (Component 2.a), while Outputs 2.1.2 and 2.1.3 are classified as INV (Component 2.b)

Agency Response 3/15/2024

Component 2b has been classified as "INV" in the table in Section B **PROJECT DESCRIPTION SUMMARY** of the CEO Endorsement Document of 15 March 2024.

3. If this is a non-grant instrument, has a reflow calendar been presented in Annex D?

Secretariat Comment at CEO Endorsement Request

11/14/2023 PM:

N/A.

Agency Response N/A

Co-financing

4. Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?

Secretariat Comment at CEO Endorsement Request

3/7/2024 PM:

a) Cleared. An updated co-financing letter from the IDB has been uploaded and its final amount is in line with the amounts in the system.

b) Cleared. An updated co-financing letter from Cali has been uploaded.

c) Cleared. Co-financing letter from CAF has been uploaded.

12/17/2023 PM:

a) No. The new letter of co-financing support from IDB does not meet the requirements for a co-financing support letter. The IDB loan summary of \$100 million do not mach the co-financing in the Portal, i.e. \$20 million. CAF co-financing letter is still missing.

b) No. Please include a date for letter of cofinancing support for Cali Municipal Government.

c) No. The letter of cofinancing support for CAF is missing.

d) Cleared.

e) Cleared.

f) Cleared.

11/14/2023 PM:

No. Please address the following comments:

- a) The following co-financing letters are missing: Barranquilla Municipal Government, CAF and IDB.
- b) The co-financing letter for Cali Municipal Government states that a co-financing contribution will be made for two projects executed between 2021 and 2023. It seems there is a misalignment with the proposed dates for this project, which is expected to start only in August 2024. Please clarify and amend accordingly.
- c) Please include a date for letter of co-financing support for Cali Municipal Government.
- d) Under the section **"Describe how any "Investment Mobilized" was identified"** please **explain the main changes in co-financing between the PIF and the CEO Endorsement stage, i.e., co-financing resources have been decreased from approx. \$100 million at PIF to approx. \$64 million at CEO Endorsement.**
- e) **There seems to be some inconsistencies across the proposal on the total co-financing for the project. For instance, the total co-financing is \$64 million as per Section B, but other sections of the proposal mention a total co-financing amount of \$123 million, i.e., last row of "Table 1. Changes from PIF". Please clarify and amend accordingly.**
- f) Please submit the letter of co-financing support for Ministry of Environment and Sustainable Development in English translation.

Agency Response

Agency Response (13th December 2023)

- a) A loan summary from IDB has been included to substantiate loan to Baranquilla and 20 million IDB co-financing. The Baranquilla letter is now included. CAF's letter is in process.
- b) The revised co-financing letter from Cali is in process.
- c) The revised co-financing letter from Cali is in process.
- d) Substantial text has been added to explain the reduction in co-financing in the Section "Describe how any Investment Mobilized was identified?".
- e) The total co-financing for the project is now consistent through-out the project document.
- f) This letter has been included.

Agency Response February 28, 2024

a) A correct letter of co-financing from the IDB has been submitted as part of the CEO Endorsement Package.

b) The letter from the Cali Municipal Government has been revised and update.

c) A letter of co-financing from CAF has been submitted as part of the CEO Endorsement Package.

GEF Resource Availability

5. Is the financing presented in Table D adequate and does the project demonstrate a cost-effective approach to meet the project objectives?

Secretariat Comment at CEO Endorsement Request
11/14/2023 PM:

Yes.

Agency Response
Agency Response (1st December 2023)

No response required.

Project Preparation Grant

6. Is the status and utilization of the PPG reported in Annex C in the document?

Secretariat Comment at CEO Endorsement Request
12/17/2023 PM:

Cleared.

11/18/2023 PM:

No. The status of PPG utilization has been provided. However, the total amount for the PPG differs between Annex E and table F. Please correct and adjust where necessary.

ANNEX C: Status of **Utilization** of Project Preparation Grant (PPG). (Provide detailed funding amount of the PPG activities financing status in the table below:

Project Preparation Activities Implemented	GETF/LDCF/SCCF Amount (\$)		
	Budgeted Amount	Amount Spent To date	Amount Committed
Consultancies and contracts to develop program and/or project options			
Consulting services, outsourcing to elaborate key technical components of CEO Endorsement	106,614.0	85,691.2	20,922.8
Free prior and informed consent and related consultations			
Local stakeholders participations and Consultations	15,000.0	15,000.0	0
Translations of project documents for public consultations	7,000.0	7,000.0	0
Travel expenses	5,000.0	0	5,000.0
Total	133,614.0	107,691.2	25,922.8

If at CEO Endorsement, the PPG activities have not been completed and there is a balance of unspent fund, Agencies can continue to undertake exclusively preparation activities up to one year of CEO Endorsement/approval date. No later than one year from CEO endorsement/approval date. Agencies should report closing of PPG to Trustee in its Quarterly Report.

F. Project Preparation Grant (PPG)

PPG Required

true

PPG Amount (\$) PPG Agency Fee (\$)
137,614 12,386

Agency	Trust Fund	Country	Focal Area	Programming of Funds ⓘ	Amount(\$)	Fee(\$)	Total(\$)
CAF	GET	Colombia	Climate Change	CC STAR Allocation	137,614	12,386	150,000.00
Total Project Costs(\$)					137,614.00	12,386.00	150,000.00

Agency Response

Agency Response (1st December 2023)

The status of PPG utilization has been updated.

Core indicators

7. Are there changes/adjustments made in the core indicator targets indicated in Table E? Do they remain realistic?

Secretariat Comment at CEO Endorsement Request
11/14/2023 PM:

Yes. A comprehensive GHG spreadsheet has been provided.

Agency Response

Agency Response (1st December 2023)

No response required.

Part II ? Project Justification

1. Is there a sufficient elaboration on how the global environmental/adaptation problems, including the root causes and barriers, are going to be addressed?

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

a) Cleared.

b) Cleared.

11/14/2023 PM:

No. Please address the following comments:

a) Please consider elaborating further on barrier #2 "*Lack of incentives for the application of energy efficiency in sustainability criteria in projects, works or activities associated with construction*". We understand the regulation exists, so please clarify on the type of incentives lacking, i.e. financial, etc.

b) Under barrier #4 please try to make the connection with regulation 549 of 2015, and why the lack of evaluation and monitoring mechanism is hindering the implementation of such regulation.

Agency Response

Agency Response (13th December 2023)

a) Barrier No. 2 has been expanded to better explain.

b) Barrier No. 4 has been expanded to better explain.

2. Is there an elaboration on how the baseline scenario or any associated baseline projects were derived?

Secretariat Comment at CEO Endorsement Request

3/7/2024 PM:

b) Cleared.

e) Cleared.

12/17/2023 PM:

a) Cleared.

b) **Noted.** Please update or remove the following sentence accordingly: *the results will provide critical information for streamlining the specific activities to be considered for inclusion in the CEO Endorsement Request during the PPG phase*"

c) Cleared.

d) Cleared.

e) Pending.

11/14/2023 PM:

No. Please consider addressing the following comments:

a) This section is missing the *?Net Zero Carbon Building for All Program?* which is only mentioned in the barriers section.

b) As for project **?Assessment of the Energy Demand Reduction Potential of Public Buildings in Colombia?**, the proposal mentions that *"the results will provide critical information for streamlining the specific activities to be considered for inclusion in the CEO Endorsement Request during the PPG phase"*. Please update this and elaborate further on these results given that the PPG phase has already passed.

c) The Proposal also mentions that "the UPME is advancing a proposal of a roadmap for labelling of buildings, including simulations and goals". The very same information was provided at PIF stage. Has there been any progress/results on this proposal since PIF?

d) As for the "Neto Zero Carbon Building Accelerator by WRI", the proposal states that *"In 2022, the project will publish the recommendations document that synthesizes the transformative actions for net zero carbon buildings in the country, because of the national dialogue with experts"*. Has this already happened? If so, please elaborate further on the recommendations and how the project would be built on them.

e) Overall, there seems to be a lot of initiatives going on in Colombia. To avoid overlap, this section shall be reinforced by identifying main lessons learned from all these existing initiatives, how the proposed project would be built on them and what the proposed value added of the project is.

Agency Response

Agency Response (13th December 2023)

- a) Substantial text has been added to baseline section to address the "Net Zero Carbon Building for All Program" and the "Neto Zero Carbon Building Accelerator by WRI".
- b) In component 2, in which the types of projects to be executed in the pilots of the 3 cities were defined, public buildings were not included, so we do not have elements of judgment to respond to this requirement.
- c) Substantial text has been added to baseline section to address progress with respect to a roadmap for labelling of buildings.
- e) Text has been added at the end of the baseline section to build on lessons learned and establish alliances with ongoing efforts at all levels.

Agency Response February 28, 2024

b). The following sentence has been removed from the "Baseline Scenario" section of the CEO Endorsement Request.

"The results of this project will provide critical information for streamlining the specific activities to be considered for inclusion in the CEO Endorsement Request during the PPG phase?"

e). The following paragraph has been added at the end of the "Baseline Scenario" section to indicate the need for lessons learned from ongoing initiatives to be assessed and systematized to properly articulate opportunities for complementarity between initiatives and avoidance of duplication of efforts.

"There are clearly a substantial number of ongoing initiatives in Colombia that are complementary to the project's goals. In this regard, it will be critical for the project to comprehensively assess and systematize lessons learned from ongoing efforts and build on and complement existing initiatives. The project will generate alliances with unions, associations, cooperation agencies, and local and national governments that are involved to consolidate complementarity between initiatives. This will be ensured by the involvement of key agencies on the Project Steering Committee and Technical Working Group, either as a full-time member or invited to specific meetings, depending on the topic at hand. The project's efforts will strengthen existing capacities in the country and articulate actions between stakeholders to avoid duplication of efforts. It will be essential to convene the different ministries in a joint effort to integrate local governments and commit them to subsidiary rigor in meeting the shared goals of the project. The generation of incentives will be encouraged to generate a change in

the habits of design, construction, and use of buildings, breaking the myth that building sustainably is not economically viable?.

3. Is the proposed alternative scenario as described in PIF/PFD sound and adequate? Is there sufficient clarity on the expected outcomes and components of the project and a description on the project is aiming to achieve them?

Secretariat Comment at PIF/Work Program Inclusion
3/7/2024 PM:

e) Cleared.

12/17/2023 PM:

a) Cleared.

b) Cleared.

c.1) Cleared.

c.2) Cleared.

c.3) Cleared.

c.4) Cleared.

c.5) Cleared.

d) Cleared.

e) No. Table 4 has been moved to Component 4 as suggested. However, please note the table is out of margins. Please amend.

f) Cleared.

g) Cleared.

h) Cleared.

11/14/2023 PM:

No. Please address the following comments:

- a) Please consider specifying the number of projects/pilots to be implemented under Output 2.1.3 "Projects implemented to demonstrate sustainable energy intervention model in public spaces in Barranquilla, Cali, and Pasto and in 11 additional cities in Colombia".
- b) Also, it is not clear the total number of pilots to be implemented. In some sections of the proposal, it is mentioned 14 pilots while in other sections 9 pilots. The Outputs shall also clearly provide this info on total number of pilots.
- c) Overall, please consider to increase the ambition of the project whenever possible. Below are some suggestions for your consideration:
- c.1.) Activity 1.1.1.5 consider replacing "Formulation of Guidelines" by "Adoption of Guidelines"
- c.2.) Activity 1.1.1.6 consider replacing "Design an Incentive Program" by "Create/Implement an Incentive Program"
- c.3) Activity 1.1.2.1 consider replacing "Develop" by "Adopt"
- c.4) Activity 1.1.6.2 consider replacing "Identify opportunities" by "Recommendations"
- c.5) Activity 1.1.6.6 consider replacing "Design innovative financial instruments" by "Adopt/Implement innovative financial instruments"
- d) There seems to be an inconsistency in the explanation under Outcome 2.1. One paragraph states "This component does not directly contribute to GHG reductions..." while another one states "Activities under Output 2.1.2 are the only activities to produce a direct effect...". Please clarify and amend as need be.
- e) Move Table 4 "Projects on energy efficiency buildings and renovations on public spaces" to Component 2. It is currently under Component 3.
- f) Under Component 2 please consider adding a list of the type of renewable energy and energy efficiency technologies expected to be implemented/eligible under the project, i.e., batteries for energy storage, centralized energy systems, PV solar panels, etc.
- g) The proposal has included additional information on how the financial mechanism. However, please consider analyzing other financial mechanisms existing in Colombia and how the proposed mechanism would build on it. Also, a preliminary indication on the type of mechanism envisioned, i.e. loans, guarantees, etc. would be helpful.
- h) Please elaborate on project efforts and/or policy interventions for energy efficiency buildings/public spaces to be built in hazard free chemicals and locally-sourced and sustainable materials, including the removal of mercury lamps (as suggested by GEF Council members).

Agency Response

Agency Response (13th December 2023)

- a) Text has been added to Component 2 to address this.
- b) Text has been added to Component 2 to address this.
- c) The articulation of the activities has been revised to reflect the changes and level of ambition recommended by the GEFSec review.
- d) The text in Component 2 has been adjusted to reflect consistency.
- e) Table 4 has been moved to Component 2.
- f) These have been listed under Component 2.
- g) Text has been added to Component 1 to better explain the financial mechanism.
- h) Text has been added to Component 2 to address this.

Agency Response February 28, 2024

- e) The margins in Table 4 have been adjusted.

4. Is there further elaboration on how the project is aligned with focal area/impact program strategies?

Secretariat Comment at CEO Endorsement Request
12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. As correctly input in Section A "Focal Area/Non-Focal Area Elements" the project is aligned with both objectives CCM-1-1 and CCM-1-3 of the GEF Climate Change Mitigation Strategy. However, this section only mentions alignment with objective CCM-1-3. Please amend.

Agency Response

Agency Response (13th December 2023)

The corresponding text has been amended to reflect alignment with both CCM-1-1 and CCM-1-3 of the GEF Climate Change Mitigation Strategy.

5. Is the incremental reasoning, contribution from the baseline, and co-financing clearly elaborated?

Secretariat Comment at CEO Endorsement Request

11/14/2023 PM:

Yes.

Agency Response

Agency Response (13th December 2023)

No response required.

6. Is there further and better elaboration on the project's expected contribution to global environmental benefits or adaptation benefits?

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

Cleared.

11/14/2023 PM:

Yes, with suggestions. Please consider elaborating further on how the project will also contribute to improve the climate adaptation and resilience of the buildings and public spaces targeted.

Agency Response

Agency Response (13th December 2023)

The following text has been added to the 'Benefits' section of the CEO Endorsement Request to reflect the project's contribution to climate adaptation.

Additionally, as the project considers different stages of building life cycle and public spaces, the sustainable criteria proposed for the interventions and policies enhances the ability of these urban environments to adapt to and withstand the challenges posed by climate change. It includes topics such as bioclimatic designs, passive construction techniques, and Nature-Based Solutions. The project also includes the elaboration of Climate Micro-Zoning Studies, Climate-Responsive Construction Manuals and action plans that incorporate materials resilient to climate impacts and integrating designs that consider local climate challenges. Additionally, the project plays a crucial role in fostering community resilience by promoting

awareness and education on climate-related risks. It encourages community involvement in the development of sustainable practices, empowering residents to actively contribute to climate resilience efforts.

7. Is there further and better elaboration to show that the project is innovative and sustainable including the potential for scaling up?

Secretariat Comment at CEO Endorsement Request
12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. Please consider adding an explanation on how the financial mechanism proposed under Component 1 would foster the sustainability of the project.

Agency Response

Agency Response (13th December 2023)

Text has been added to the sustainability section of the CEO Endorsement Request to address this.

Project Map and Coordinates

Is there an accurate and confirmed geo-referenced information where the project intervention will take place?

Secretariat Comment at CEO Endorsement Request
3/7/2024 PM:

Cleared.

12/17/2023 PM:

No. The map is still missing from the CEO Endorsement. Annex E is the project budget.

11/14/2023 PM:

No. The project map is missing.

Agency Response

Agency Response (13th December 2023)

Maps are included in Annex E and Profiles of Project Pilots.

Agency Response February 28, 2024

The map has been included on GEF Portal.

Please also note that the Profiles of Pilot Projects submitted as a separate file (Annex J) also contain maps of the specific project intervention areas.

Child Project

If this is a child project, is there an adequate reflection of how it contributes to the overall program impact?

Secretariat Comment at CEO Endorsement Request

11/14/2023 PM:

N/A.

Agency Response N/A

Stakeholders

Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

a) Cleared.

b) Cleared.

11/14/2023 PM:

No. This section shall be further strengthened by adding the following information:

- a) Stakeholders consulted at PPG stage (if any) and dates for the consultation.
- b) Some information in this section is provided in Spanish. Please amend by translating to English.

Agency Response

Agency Response (13th December 2023)

In the 'Stakeholders' section of the CEO Endorsement Request, there is the subsection 'Summary of Stakeholder Consultations Conducted During the PPG', which describes stakeholders consulted including key dates consultations and meetings were held to discuss project design.

Gender Equality and Women's Empowerment

Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

Cleared.

11/18/2023 PM:

No. It is noted that a detailed gender analysis and a gender action plan were presented in Section 3 - Gender Equality and Women's Empowerment. However, it is GEF's requirement to mainstream gender perspectives throughout the project - in particular, in the project description and project components, outputs. This was not done in this draft. Please ensure that relevant gender perspectives are presented in the project description and project components and outputs. Please ensure that the Gender Action Plan is resourced, monitored and reported on. Some text are in still in Spanish. For consistency, please translate the remaining Spanish text in English.

Agency Response

Agency Response (13th December 2023)

Please note there are gender-specific indicators and detailed description of the gender mainstreaming approaches to be implemented by the project across all project outputs in the Gender Action Plan, the compliance of which will require quantitative gender mainstreaming and monitoring across all components and across all outputs to be able to comply with the Gender Action Plan. Nevertheless, new text has been added to the description of the project components to reflect the gender mainstreaming approach.

Private Sector Engagement

If there is a private sector engagement, is there an elaboration of its role as a financier and/or as a stakeholder?

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. Same comment as above, most of the information has been provided in Spanish.

Agency Response

Agency Response (13th December 2023)

The information is now in English.

Risks to Achieving Project Objectives

Has the project elaborated on indicated risks, including climate change, potential social and environmental risks that might prevent the project objectives from being achieved? Were there proposed measures that address these risks at the time of project implementation?

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. Same comment as above, most of the information has been provided in Spanish.

Agency Response

Agency Response (13th December 2023)

The information is now in English.

Coordination

Is the institutional arrangement for project implementation fully described? Is there an elaboration on possible coordination with relevant GEF-financed projects and other bilateral/multilateral initiatives in the project area?

Secretariat Comment at CEO Endorsement Request

3/22/2024 PM:

Cleared. The sentence below has been removed and the document now refers only to CCCS as the sole Executing Agency of the project.

3/7/2024 PM:

b) No. From the explanation below and on the CEO Endorsement document, we understand the Ministry of Environment and Sustainable Development will be executing the entire Component 1. If this correct, then the project has two executing agencies: the Ministry of Environment and Sustainable Development and CCCS. In this case, please note the Ministry of Environment and Sustainable Development shall be added in "Part I: Project Information" as executing agency of the project. Likewise, the "Institutional Arrangement" section and the budget shall be updated accordingly.

12/17/2023 PM:

a) Cleared.

b) Noted, but please note there are still some inconsistencies in the CEO Endorsement which states "The Ministry of Environment and Sustainable Development shall have overall responsibility for the project on behalf of the Government of Colombia and GEF Focal Point. Additionally, the Ministry of Environment and Sustainable Development shall lead the implementation and delivery of activities and outputs under Component 1, in addition to chairing the Project Steering Committee" As per this last sentence, it seems the Ministry will be responsible for the execution of Component 1. Please clarify this and amend accordingly.

c) Pending.

11/14/2023 PM:

No. Please address the following comments:

- a) Most of the information has been provided in Spanish. Please translate to English.
- b) As per this section and the budget table, it seems the project will be executed in its entirety by the CCCS. However, in the info section at the beginning of the CEO Endorsement, the Ministry of Environment and Sustainable Development has been identified as the executing agency of the project. Please clarify this inconsistency and amend accordingly. Also, if there has been a change in the executing agency since PIF stage, please indicate that in Table 1 "Changes from PIF".
- c) Please specify the division of tasks between CAF and the IDB. Which outputs/outcomes will be leading each institution?

Agency Response

Agency Response (13th December 2023)

The information is now in English. The role of the Ministry of Environment and Sustainable Development has been clarified and change reflected in Table 1 "Changes from PIF".

Agency Response February 28, 2024

b). For purposes of consistency, the following sentence has been removed from the "Institutional arrangements" section:

"The Ministry of Environment and Sustainable Development shall have overall responsibility for the project on behalf of the Government of Colombia and GEF Focal Point. Additionally, the Ministry of Environment and Sustainable Development shall lead the implementation and delivery of activities and outputs under Component 1, in addition to chairing the Project Steering Committee".

c). A new table has been added to the 'Institutional Arrangements' section to illustrate GEF Agency Responsibilities at the Output Level.

Agency Response 3/15/2024

The CEO Endorsement Request document consistently reflects through-out that only CCCS is Executing Agency, i.e. in Part I, stakeholders section, the Institutional Arrangements section, and in the budget.

Consistency with National Priorities

Has the project described the alignment of the project with identified national strategies and plans or reports and assessments under the relevant conventions?

Secretariat Comment at CEO Endorsement Request
12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. Same comment as in previous sections, most of the information has been provided in Spanish.

Agency Response
Agency Response (13th December 2023)

The information is now in English.

Knowledge Management

Is the proposed 'Knowledge Management Approach' for the project adequately elaborated with a timeline and a set of deliverables?

Secretariat Comment at CEO Endorsement Request
3/22/2024 PM:

Cleared.

3/7/2024 PM:

No. In addition to listing the actions, further details shall be provided on how the project on who the project plans to share info with stakeholders, which specific tools for project dissemination will be using, etc.

12/17/2023 PM:

No. Kindly note the comments included below haven't been incorporated in the CEO Endorsement. The KM strategy shall indicate how the proposed project would learn from other relevant projects and program by for instance including them in the Steering Committee of the Project, holding periodic workshops, etc. The four point identified below shall be addressed.

11/14/2023 PM:

No. Same comment as in previous sections, most of the information has been provided in Spanish. Overall, the knowledge management section shall be strengthened by including:

- a) Plans to learn from relevant projects, programs, initiatives& evaluations
- b) Proposed tools and methods for knowledge exchange, learning& collaboration
- c) Proposed knowledge outputs to be produced and shared with stakeholders
- d) Plans for strategic communications

Agency Response

Agency Response (13th December 2023)

The information is now in English. The KM recommendations listed above in a to d have been incorporated into the KM section of the CEO Endorsement Request.

Agency Response February 28, 2024

The KM section has been revised and the following sentence has also been added:

The project's overall Knowledge Management intervention strategy shall include, among others, the following:

- a) *Plans to learn from relevant projects, programs, initiatives & evaluations*
- b) *Tools and methods for knowledge exchange, learning & collaboration*
- c) *Proposed knowledge outputs to be produced and shared with stakeholders*

- d) *Plans for strategic communications*

Agency Response 3/15/2024

The following paragraph has been added to the KM section of the CEO Endorsement Request document to shed further light on the project's KM approach.

The project will support the development and dissemination of a suite of tailored and gender-sensitive knowledge products. In this regard, the project's anticipated knowledge production and communication requirements will be mapped, and stakeholders will be consulted on the identification of key target audiences, successful past and current communication initiatives and channels, messaging that meet the differentiated needs of women and men, experiences with best formats for information delivery to target audiences in the construction sector, and information on needs and priorities for transition towards sustainable construction. Targeted communication and knowledge management products (e.g., project newsletter, media releases, social media posts, written and audio-visual awareness products tailored to different audiences) will be produced and disseminated through-out the project cycle. Some other key knowledge delivery tools to be employed by the project will include sustainable construction exhibition, webpage, podcasts, social media, webinars, results-sharing events and networking to promote a community of practice on sustainable construction, and web-based tools such as openly accessible knowledge base software.

Environmental and Social Safeguard (ESS)

Are environmental and social risks, impacts and management measures adequately documented at this stage and consistent with requirements set out in SD/PL/03?

Secretariat Comment at CEO Endorsement Request
12/17/2023 PM:

Cleared.

11/18/2023 PM:

No. We note that the project overall ESS risk is classified as moderate, and CAF attached Annex I. CAF/GEF Socio-Environmental Safeguards Framework and Annex M. Indigenous Peoples Framework Plan. Annex I state that the project will be required to conduct an

environmental and social impact assessment (ESIA) (page 7) and environmental and social management framework (page 10). Annex M says a Plan of Participation of Indigenous Peoples will be developed. However, it is not clear the timeline and budget of these plan. Please make the timeline and budget for these plans as a part of the project activity plan.

Agency Response

Agency Response (13th December 2023)

Please note these are budget under Gender & Safeguard Monitoring under budget line ?Monitoring of ESS & Indigenous Framework Plan?. To monitor ESS, an environmental and social impact assessment (ESIA) and environmental and social management framework (ESMF) must be developed first. The primary monitoring tool for ESS is the ESMF. The budget for the budget line has been increased and the timeline for ESS and Gender Monitoring, as well as the monitoring of the Plan of Participation of Indigenous Peoples is permanent, i.e., throughout the entire project cycle, and is also evaluated in the Mid-Term Evaluation and the Terminal Evaluation. These activities, therefore, must be included in the project?s annual planning.

Monitoring and Evaluation

Does the project include a budgeted M&E Plan that monitors and measures results with indicators and targets?

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. Same comment as in previous sections, most of the information has been provided in Spanish.

Agency Response

Agency Response (13th December 2023)

The information is now in English.

Benefits

Are the socioeconomic benefits at the national and local levels sufficiently described resulting from the project? Is there an elaboration on how these benefits translate in supporting the achievement of GEBs or adaptation benefits?

Secretariat Comment at CEO Endorsement Request
12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. Same comment as in previous sections, most of the information has been provided in Spanish.

Agency Response
Agency Response (13th December 2023)

The information is now in English with adaptation benefits added.

Annexes

Are all the required annexes attached and adequately responded to?

Secretariat Comment at CEO Endorsement Request
4/13/2024:

Cleared.

4/10/2024:

No. Comment below on the proportionality of the costs for the national coordinator has been addressed. However, please note the budget table in the Annex is missing the column "Expenditure Category". This column is provided in the excel sheet version of the budget, but it is missing in the CEO Endorsement request document. Please update the table in the Annex.

3/22/2024 PM:

No. Annex A is now within the margins. However, please note the second comment below has not been properly address. If possible, please note the costs of the national coordinator shall be covered not only by the GEF but also by the co-financing portion of the PMC. Kindly clarify if this is the case for this project and/or amend accordingly.

3/7/2024 PM:

No. Annex A "Project Results Framework" is off margins. Please amend. Also, on the budget kindly note the cost of the national project coordinator is being charged across components and PMC. Per the GEF Guidelines, the costs associated with the project execution shall be covered by both the GEF portion and the co-financing portion of the PMC.

12/17/2023 PM:

a) Cleared.

b) Pending.

c) Cleared.

d) Pending.

11/14/2023 PM:

No. Please address the following comments:

a) Annexes A and B are in Spanish. Please translate.

b) Annex E is referred to in Annex D but actually Annex E include the budget but not the map. The project's map is missing in Annex D.

c) Annex E "Project Budget Table" if off margins. Please amend.

d) A national Project Coordinator is being charged across components and PMC. Per Guidelines, the costs associated with the project's execution must be covered by both the GEF portion and the co-financing portion allocated to PMC.

Agency Response

Agency Response (13th December 2023)

- a) The information is now in English.
- b) Please note Annex now appears as ?Calendar of Expected Reflows (if non-grant instrument is used)?. Annex E contains maps of the project areas, as well as in the Profiles of the project Pilots.
- c) The "Project Budget Table" is Annex H. This might have been an issue with the sequencing when uploading to the GEF Portal.

Agency Response February 28, 2024

- b). Annex D contains 4 generic maps, one for each project intervention area, with specific maps per project are included in Annex J.
- d). The budget has been adjusted accordingly to remove charges from technical components for the salary of the National Coordinator.

Agency Response 15/03/2024

The margins of the Project Results Framework have been amended to align with the margins of the text.

In the budget, the salary of the National Project Coordinator is charged exclusively from PMC, and not across components.

Agency Response 04/08/2024

Please see note in the project?s budget about any budget requirements for the Project Coordinator that exceeds GEF funds will be covered by PMC Co-financing resources.

Other Operating Costs	Office Utilities	5,000	10,000	30,000	5,000	50,000			50,000	CCCS
	Annual Audit							50,000	50,000	CCCS
	Project supervision and performance monitoring (site visits)			8,000	20,000	28,000	50,000		78,000	CCCS
	Insurance	5,000	5,000	2,500	2,500	15,000			15,000	CCCS
	Activity 3.1.1.1 Development of mid-term evaluation through an external consultancy to monitor progress of the project.						30,000		30,000	CCCS
	Activity 3.1.1.2 Development of final evaluation through an external consultancy to assess the accomplishment of the objectives and ToC.						35,000		35,000	CAF
Grand Total		1,162,000	5,438,271	112,500	494,500	6,587,271	350,000	377,864	7,935,135	

(1): Please note any budget requirements for the Project Coordinator that exceeds GEF funds will be covered by PMC Co-financing resources.

Agency Response 04/12/2024

The budget table in the GEF Portal has been corrected.

Project Results Framework

Secretariat Comment at CEO Endorsement Request
12/17/2023 PM:

Cleared.

11/14/2023 PM:

Yes, with suggestions. Please for Indicators 1 and 2 make the connection with the GEF Core Indicators, by indicating that Indicator 1 correspond to GEF Core Indicator 6 and Indicator 2 with GEF Core Indicator 11. Also, the results framework (Annex A) is in Spanish. Please submit in official GEF language in English.

Agency Response

Agency Response (13th December 2023)

The information is now in English.

GEF Secretariat comments

Secretariat Comment at CEO Endorsement Request
11/14/2023 PM:

N/A.

Agency ResponseN/A

Council comments

Secretariat Comment at CEO Endorsement Request
12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. Please address the following comments:

a) As highlighted by Council members, it doesn't come clear from the proposal whether the project would focus on existing or new buildings, or both. Please update the proposal to make sure this is well-reflected across it.

Agency Response

Agency Response (13th December 2023)

a) The project's activities of Component 1 will be applicable to both existing and new buildings, while the pilots of Component 2 shall be applicable to new buildings only. This has been added to the Project's Intervention Logic and to the text in components 1 and 2.

STAP comments

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

Cleared.

11/14/2023 PM:

No. Please provide a more elaborate response to the following comments and reflect your responses also in the body of the proposal:

a) There is an opportunity to consider Nature-Based Solutions approach in the urban park redesign for decarbonization through corridor development. This shall be also reflected in the body of the proposal.

b) Previous comments also applies to the use of digital technologies.

c) STAP made a comment with regard to the financial mechanism proposed under the project. In addition to the diagram and the phases identified for this financial mechanism, please consider elaborating further on it based on previous experiences in Colombia. Same as above, please reflect this also in the document.

d) In the response to STAP comments, the proposal clarifies the 4 types of interventions for the pilots. Please include this in the proposal:

- New sustainable social housing pilots.
- Improvement of existing social housing.
- Intervention in public space projects.

- Energy and/or environmental rehabilitation of existing buildings.

Agency Response

Agency Response (13th December 2023)

a) The design criteria proposed for both the development of the buildings and the public space incorporate solutions based on nature, which are called "Passive Measures", where criteria such as bioclimatic design of exterior spaces (Criterion B5) are considered. These measures will be prioritized from the design process because they do not consume energy and efficiently resolve the relationship of the project with the conditions of the natural environment, for thermal, lighting, and acoustic comfort solutions in addition to the resulting energy efficiency.

b) For the efficient, safe and sustainable operation of buildings, automated control technologies are implemented for active systems, generating the possibility of remote and programmed control of building functions such as accessibility, thermal, acoustic and lighting comfort indicators, environmental health conditions such as air quality, communications inside the building and between the building and the exterior, control of energy, water and communications consumption and demand, allowing the automation of functional operations and, for example, the prepaid acquisition of services such as energy and water

c) Substantial text has been added to Component 1 to further explain the finance mechanism.

d) Component 2 incorporates sustainability and energy efficiency processes that will result in the reduction of emissions in the Building and Public Space pilots, through the 3 phases of the construction process: Planning, Execution or Implementation and Operation or Functioning. For the pilots 4 types of interventions were identified as follows:

- ? New pilots of sustainable social housing. (Cali)
- ? Improvement of existing social housing. (Cali and Barranquilla)
- ? Construction of public space with energy efficiency standards and use of renewable energy (Cali, Barranquilla, and Pasto)
- ? Energy and environmental performance monitoring pilot (Cali)

Convention Secretariat comments

Secretariat Comment at CEO Endorsement Request

11/14/2023 PM:

N/A.

Agency Response N/A

Other Agencies comments

Secretariat Comment at CEO Endorsement Request

11/14/2023 PM:

N/A.

Agency ResponseN/A

CSOs comments

Secretariat Comment at CEO Endorsement Request

11/14/2023 PM:

N/A.

Agency ResponseN/A

Status of PPG utilization

Secretariat Comment at CEO Endorsement Request

12/17/2023 PM:

Cleared.

11/18/2023 PM:

No. The status of PPG utilization has been provided. However, the total amount for the PPG differs between Annex E and table F. Please correct and adjust where necessary.

F. Project Preparation Grant (PPG)

PPG Required

true

PPG Amount (\$) PPG Agency Fee (\$)

137,614

12,386

Agency	Trust Fund	Country	Focal Area	Programming of Funds ⓘ	Amount(\$)	Fee(\$)	Total(\$)
CAF	GET	Colombia	Climate Change	CC STAR Allocation	137,614	12,386	150,000.00
Total Project Costs(\$)					137,614.00	12,386.00	150,000.00

ANNEX C: Status of **Utilization** of Project Preparation Grant (PPG). (Provide detailed funding amount of the PPG activities financing status in the table below:

<i>Project Preparation Activities Implemented</i>	<i>GETF/LDCF/SCCF Amount (\$)</i>		
	<i>Budgeted Amount</i>	<i>Amount Spent To date</i>	<i>Amount Committed</i>
Consultancies and contracts to develop program and/or project options			
Consulting services, outsourcing to elaborate key technical components of CEO Endorsement	106,614.0	85,691.2	20,922.8
Free prior and informed consent and related consultations			
Local stakeholders participations and Consultations	15,000.0	15,000.0	0
Translations of project documents for public consultations	7,000.0	7,000.0	0
Travel expenses	5,000.0	0	5,000.0
Total	133,614.0	107,691.2	25,922.8

If at CEO Endorsement, the PPG activities have not been completed and there is a balance of unspent fund, Agencies can continue to undertake exclusively preparation activities up to one year of CEO Endorsement/approval date. No later than one year from CEO endorsement/approval date. Agencies should report closing of PPG to Trustee in its Quarterly Report.

Agency Response

Agency Response (1st December 2023)

The status of PPG utilization has been updated.

Project maps and coordinates

Secretariat Comment at CEO Endorsement Request

3/7/2024 PM:

Cleared.

12/17/2023 PM:

Pending.

11/14/2023 PM:

No. As per previous comment, the project map is missing.

Agency Response

Agency Response (13th December 2023)

Maps are included in Annex E and Profiles of Project Pilots.

Agency Response February 28, 2024

The project map has been included on GEF Portal in the Annex D. Annex D contains 4 generic maps, one for each project intervention area, with specific maps per project are included in Annex J.

Does the termsheet in Annex F provide finalized financial terms and conditions? Does the termsheet and financial structure address concerns raised at PIF stage and that were pending to be resolved ahead of CEO endorsement? (For NGI Only)

Secretariat Comment at CEO Endorsement Request
11/14/2023 PM:

N/A.

Agency Response

N/A

Do the Reflow Table Annex G and the Trustee Excel Sheet for reflows provide accurate reflow expectations of the project submitted? Assumptions for Reflows can be submitted to explain expected reflows. (For NGI Only)

Secretariat Comment at CEO Endorsement Request
11/14/2023 PM:

N/A.

Agency ResponseN/A

Did the agency Annex H provided with information to assess the Agency Capacity to generate and manage reflows? (For NGI Only)

Secretariat Comment at CEO Endorsement Request
11/14/2023 PM:

N/A.

Agency ResponseN/A

GEFSEC DECISION

RECOMMENDATION

Is CEO endorsement recommended? (applies only to projects and child projects)

Secretariat Comment at CEO Endorsement Request
4/13/2024:

Cleared.

4/10/2024:

No. Please address comment on the mission column in the budget table.

3/22/2024 PM:

No. Please address comment pending on the costs for the project coordinator.

3/7/2024 PM:

No. Please address pending comments highlighted in blue.

12/17/2023 PM:

No. Please address pending comments highlighted in blue.

11/14/2023 PM:

No. Please address previous comments. Kindly highlight the new edits in another color so the GEF can easily track them after this first round of comments.

Review Dates

**Secretariat Comment at
CEO Endorsement**

**Response to
Secretariat comments**

First Review
Additional Review (as necessary)
Additional Review (as necessary)
Additional Review (as necessary)
Additional Review (as necessary)

CEO Recommendation

Brief reasoning for CEO Recommendations