



Enabling the Federal Democratic Republic of Ethiopia to prepare its First Biennial Transparency Report (BTR1) and a combined Second Biennial Transparency Report (BTR2) / Fourth National Communication (NC4) to the UNFCCC

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

11483

Countries

Ethiopia

Project Name

Enabling the Federal Democratic Republic of Ethiopia to prepare its First Biennial Transparency Report (BTR1) and a combined Second Biennial Transparency Report (BTR2) / Fourth National Communication (NC4) to the UNFCCC

Agencies

FAO

Date received by PM

11/7/2023

Review completed by PM

9/14/2024

Program Manager

Patricia Marcos Huidobro

Focal Area

Climate Change

Project Type

EA

Expedited Enabling Activity req (CEO)

Section I - Enabling Activity Summary

Funding elements.

Is the enabling activity aligned with the relevant GEF funding elements as indicated in Table A and as defined by the GEF-8 Programming Directions? Is the General Enabling Activity Information table correctly populated?

Secretariat's Comments

9/26/2024 PM:

Cleared.

9/18/2024 PM:

No. Please in the General Enabling Activity Information table add FAP as one of the executing partner given that FAO is providing execution support services for the government.

SECTION 1: ENABLING ACTIVITY SUMMARY 			
Enabling Activity Title: 	Enabling the Federal Democratic Republic of Ethiopia to prepare its First Biennial Transparency Report (BTR1) and a combined Second Biennial Transparency Report (BTR2) / Fourth National Communication (NC4) to the UNFCCC		
Country(ies): 	Ethiopia	GEF Enabling Activity ID: 	11483
GEF Agency(ies): 	FAO	GEF Agency Enabling Activity ID: 	747487
Project Executing Entity(s): 	Ministry of Planning and Development (MOPD)	Executing Partner Type:	Government
GEF Focal Area (s): 	Climate Change	Submission Date: 	11/7/2023

6/3/2024 PM:

- 1) Cleared.
- 2) Cleared.
- 3) Cleared.
- 4) Cleared.

11/15/2023 PM:

No. This project is aligned with the GEF-8 climate change focal area strategy. However, the following information is missing/incorrect in the General Enabling Activity Information table:

1) We understand the project is planning an standalone BTR1 and combined BTR2/NC4. If this is correct, please clarify this and update the terminology across the proposal along these lines "Enabling the Federal Democratic Republic of Ethiopia to prepare its BTR1 and a combined BTR2/NC4 to the UNFCCC". Kindly note that a "combined BTR/NC" consists in just one report which combines the information required under both a BTR and a NC, and takes advantage of the areas in common between a BTR and NC. For further information on the elements of a combined BTR/NC report, please see Table 2 in Information Note <https://www.thegef.org/council-meeting-documents/gef-c-62-inf-15> In this regard, please update the proposal accordingly.

2) In line with comment below in "Type of Report(s)", please add two lines one for UNFCCC BTR and another one for combined Biennial Transparency Report/National Communication (BTR/NC)

3) Likewise under "Expected Report Submission to Convention", please add two rows one for the the expected submission date for the stand alone BTR1 and another one for the combined BTR2/NC4.

4) The expected implementation start date of 12/1/2023 seems too optimistic. Please consider updating it to a later date.

Agency's Comments

1) The proposal has been revised accordingly to integrate the project as standalone BTR1 and combined BTR2/NC4

2) Done.

3) Done

4) Done. Date updated to 1/July/2024

19/09/2024

Done

Under section 1: Enabling summary, FAO has been listed as Executing entity.

Cost Ranges.

If there was a deviation in the cost range, was this explained?

Secretariat's Comments

11/15/2023 PM:

No. The project is within the cost range as per Information Note GEF/C.62/Inf.15

Agency's Comments

Enabling activity summary.

Is the enabling activity summary clear? Are the components in Table B and as described in the enabling activity request sound, appropriate, and sufficiently clear to achieve the project objectives?

Secretariat's Comments

6/3/2024 PM:

1) Cleared.

2) Cleared.

3) Cleared.

4) Cleared.

5) Cleared.

11/15/2023 PM:

No. Please address the following comments:

1) Component 3 in Table B is repeated. Please remove one of them.

2) *Other related activities/regulations*. Please list and briefly describe the most relevant ETF-related activities in the country along with key baseline projects, programs and policies. For instance, it is not clear whether the LT-LEDS has been approved and published. Likewise, what are the NDC key targets? Also, given that the CBIT project is coming to end, there should be more information on the key outcomes from the CBIT project which will inform the development of the BTR1 and combined BTR2/NC4. Please consider providing this info on existing policy/regulation frameworks and baseline project/programs/initiatives in a table format.

3) *Adaptation Communication*. Parties may submit an adaptation communication as a component of, or in conjunction with, a BTR. Please clarify in the proposal whether Ethiopia is expected to submit its Adaptation Communication in conjunction with BTR1 or BTR2/NC4.

4) If possible, for outputs 2.1.1, 2.1.2 and 2.2.1 please specify their reporting periods (i.e. 1990 to 2022?)

5) Please consider adding a comment that the project will be using the common reporting tables and common tabular formats as adopted in decision 5/CMA.

Agency's Comments

1) Done

2) Done. Included a new table with summary elements for each of ETF-related activities implemented in the country, including CBIT.

3) Government wishes to include an adaptation communication along with one of the next report submissions, but with no preference for one or another. It will depend on several internal conditions, so no concrete output has been included in this project. Added a paragraph at the end of the Enabling activity summary.

4) Done.

Output 2.1.1 GHG emissions and removals estimated, and common reporting tables compiled for the time series 1990-2022 for BTR1 and 1990-2024 for BTR2/NC4

Output 2.1.2 National inventory document (NID) prepared for the time series 1990-2022 for BTR1 and 1990-2024 for BTR2/NC4

Output 2.2.1 Progress of mitigation policies and actions assessed and reported using common tabular formats (up to 2022 for BTR1 and up to 2024 for BTR2)

5) Done. See response 4 here above

Section 2 - Enabling Activity Supporting Information

Eligibility Criteria.

Is this enabling activity eligible for GEF funding?

Secretariat's Comments

9/11/2024 PM:

1) Cleared.

2) Cleared.

3) Cleared.

6/3/2024 PM:

1) Cleared.

2) Cleared with comments. A more detailed list of stakeholders is provided in Annex G of the project document. Please consider adding Annex G to the GEF CEO approval document.

3) Cleared with comments. Please consider replacing "gender-sensitive" by "gender-responsive".

11/15/2023 PM:

No. This enabling activity is eligible for GEF funding. However, please address the following comments:

1) Please consider adding information on the number of NCs submitted so far by Ethiopia, their respective approval dates, reporting periods, and key information or updates compared to previous NCs. Also, please provide information on the status of BUR1 and the expected date of submission to the UNFCCC.

2) A stakeholder section is missing. Please consider adding a list of project's stakeholders, including the role each of them is expected to have in the project and their means of engagement. This list shall include private sector, NGOs, academia and financial institutions. Elaborate also on plans for engaging and consulting civil society organization, community groups and interest/advocacy groups, etc., during project implementation.

3) Likewise, gender considerations have been included neither in the components nor as a separate section in the proposal. Please include it and make sure all the activities proposed are gender-responsive, particularly that gender expertise and women's engagement are integrated in Component 1, Output 2.4.1 shall have sex-disaggregated information. Please monitor and report on gender-related results.

Agency's Comments

1) Done with respect to NC submitted. Included in the Enabling activity summary (page 5) and dates specified in the eligibility criteria (comment was already responded to over there).

According to the information received from MoPD, BUR1 is a draft and will be used to produce the BTR1. Specific mention is made in the text to that.

2) **Done. Stakeholder section included. Chapter on institutional arrangement has been revised. Annex G integrated with the information required.**

3) **Done.** Gender consideration have been integrated into project document and outputs

2) Done, Annex G has been added to the GEF CEO approval document.

3) Done, Gender-responsive is used

Institutional framework.

Are the institutional arrangements for implementation adequately described?

Secretariat's Comments

9/11/2024 PM:

1) Cleared.

2) Cleared. Cleared by the GEF via email on September 9, 2024. Execution support services will be provided by FAO for the recruitment of a local consulting firm and the hiring of two international experts and their travels , with the understanding that FAO won't be charging for the provision of these procurement and administrative services.

6/3/2024 PM:

1) Cleared.

2) No. All requests for execution of support services shall be sent to the GEF Sec via email for managerial approval. An email on this regard has been sent to Mariella Salvatore on June 3, 2024.

11/15/2023 PM:

No. Please address the following comments:

1) Overall, this section needs to be strengthened by adding more information on the composition of the SC, including a detailed list of their responsibilities.

2) As per the budget, it seems FAO will be executing some of the project activities for a total value of approximately \$221,550. If this is the case, kindly note that a letter from Ethiopia's OFP asking for this support is required. Also, the GEF Guidelines states (see excerpt below): *?Before obtaining the GEF OFP?s letter of support, the Agency should contact the Secretariat to explore the feasibility of such request?. We would be grateful if you can please send the GEF a formal email, including a more detailed justification on why this execution support is needed and the total estimated budget and concrete activities for the support provided.*

As you know, execution support services is not a common approach at the GEF and it is only approved in exceptional cases. For your information, you can attaching below an excerpt of our guidelines.

In the exceptional cases where a GEF Agency carries out both implementation and execution functions of projects, the GEF Agency must separate its project implementation and execution duties and establish each of the following:

(a) A satisfactory institutional arrangement for the separation of implementation and executing functions in different departments of the GEF Agency; and

(b) Clear lines of responsibility, reporting, monitoring and evaluation and accountability within the GEF Agency between the project implementation and execution functions.

This separation helps ensure the segregation of accountability and financial management roles, in light of the accountability of the GEF Agency to supervise the entity carrying out project/program execution. Segregation of duties is to be maintained in the following areas, at a minimum: settlement processing, procurement processing, risk management/reconciliations and accounting. Related roles and duties of the separate responsible units within the Agency are subject to a regular review by Agency management and action by the Agency is required when discrepancies and exceptions are noted.

Agency's Comments

- 1) Annex I now integrates Section 5 with indicative TORs for the Steering Committee
- 2) The GEF Operational Focal Point in the MoPD provided a justification letter dated 6 May 2024
- 2) Managerial approval received, dated 9 September, 2024

Monitoring and Evaluation.

Does the project include a budgeted M&E Plan?

Secretariat's Comments

6/3/2024 PM:

Cleared.

11/15/2023 PM:

No. Please add a M&E table with all the activities identified and their estimated budget (if any) for each of them. This shall match the M&E activities identified in the budget table.

Agency's Comments Done. Table added at the end of section E)

Section 3. Information Tables

GEF resource availability.

Is the proposed GEF financing in Table F (including the Agency fee) in line with GEF policies and guidelines?

Secretariat Comment at PIF/Work Program Inclusion

11/15/2023 PM:

Yes.

Agency Response

Are they within the resources available from (mark all that apply):

STAR allocation?

Secretariat's Comments

11/15/2023 PM:

N/A.

Agency's Comments

Focal Area allocation?

Secretariat's Comments

11/15/2023 PM:

N/A.

Agency's Comments

LDCF under the principle of equitable access?

Secretariat's Comments

11/15/2023 PM:

N/A.

Agency's Comments
SCCF (Adaptation or Tech Transfer)?

Secretariat's Comments
11/15/2023 PM:

N/A.

Agency's Comments
Focal Area Set Aside?

Secretariat's Comments
11/15/2023 PM:

Yes.

Agency's Comments
Rio Markers.
Are the Rio Markers for CCM ,CCA, BD and LD presented?

Secretariat's Comments
11/15/2023 PM:

Yes.

Agency's Comments
Country endorsement.

Has the project been endorsed by the country's GEF Operational Focal Point at the time of the EA submission and has the name and position been checked against the GEF database? Are the endorsed amounts consistent with the amounts included in Portal

Secretariat's Comments
11/15/2023 PM:

Yes. The project has been endorsed by the country's GEF OFP and the endorsed amounts are consistent with the amounts in the Portal.

Agency's Comments

Response to Comments

Are all the comments adequately responded to? (only as applicable)

Gef Secretariat comments

Secretariat's Comments

11/15/2023 PM:

N/A.

Agency's Comments

Other Agencies comments

Secretariat's Comments

11/15/2023 PM:

N/A.

Agency's Comments

Council comments

Secretariat's Comments

11/15/2023 PM:

N/A.

Agency's Comments

STAP comments

Secretariat's Comments

11/15/2023 PM:

N/A.

Agency's Comments
Convention Secretariat comments

Secretariat's Comments
11/15/2023 PM:

N/A.

Agency's Comments
CSOs comments

Secretariat's Comments
11/15/2023 PM:

N/A.

Agency's Comments
Project Budget Table.

Is the project budget table attached? Are the activities / expenditures reasonably and accurately charged to the three identified sources (Components, M&E and PMC)?

Secretariat's Comments
10/10/2024 PM:

Cleared.

9/26/2024 PM:

Pending. A new budget template (see below) has been attached. This new budget template differs from the GEF template (<https://www.thegef.org/documents/gef-project-budget-template>). Please upload again the GEF budget template uploaded in previous submissions (see below in comment from 9/18/2024), and clarify whether item "Other Operating Costs" of \$4,000 charged to PMC is indeed not an execution service costs/fee for FAO. If it indeed is execution services costs then please remove it from the project budget.

FAO Cost Categories	Unit	No. of units	Unit cost	Component 1	Component 2	Component 3 (M&E)	PMC	Total GEF	MOPD	FAO Support Services	Year 1	Year 2
				Total	Total	Total						
5013 Consultants												
Enhanced Transparency Framework experts (international consultants)	Month	24	6,000	14,400	129,600	0		144,000		144,000	48,000	48,000
Sub-total international Consultants				14,400	129,600			144,000		144,000	48,000	48,000
Project Coordinator	Month	42	1,415				59,430	59,430	59,430		19,810	19,810
Administrative and financial assistant	Month	42	1,250				52,500	52,500	52,500		17,500	17,500
Technical experts:												
institutional processes and gender specialist	Month	24	1,500	3,600	32,400			36,000	36,000		12,000	12,000
greenhouse gas inventory specialists	Month	172	1,500	25,800	232,200			258,000	258,000		86,000	86,000
mitigation specialists	Month	154	1,500	23,100	207,900			231,000	231,000		77,000	77,000
adaptation specialists	Month	96	1,500	14,400	129,600			144,000	144,000		48,000	48,000
financial, technology and capacity support specialists	Month	24	1,500	3,600	32,400			36,000	36,000		12,000	12,000
Sub-total national Consultants				79,500	634,500			711,930	716,930		272,310	272,310
5013 Sub-total consultants				84,900	764,100	0	111,930	960,930	816,930	144,000	320,310	320,310
5650 Contracts												
Reports layout design	Lumpsum	2	7,275	0	14,550	0		14,550	14,550		4,850	4,850
Data collection and analysis service provider	Lumpsum	1	100,000	50,000	50,000	0		100,000		100,000	33,333	33,333
Final evaluation	Lumpsum	1	35,000	0	0	35,000		35,000		35,000		
Terminal report	Lumpsum	1	6,550	0	0	6,550		6,550		6,550		
5650 Sub-total Contracts				50,000	64,550	41,550	0	156,100	14,550	141,550	38,183	38,183
5023 Training												
Workshop inception	Lumpsum	1	5,000	5,000	0	0		5,000	5,000		5,000	
Consultation/advancement workshop	Lumpsum	2	5,000	5,000	5,000	0		10,000	10,000		3,333	3,333
Validation workshops for BTR1 and BTR2/NC4	Lumpsum	2	6,200	0	12,400	0		12,400	12,400		4,133	4,133
Ad-hoc capacity building training sessions on ETF matters (including CTF and CRT tables)	Lumpsum	5	5,000	12,500	12,500			25,000	25,000		8,333	8,333
5023 Sub-total training				22,500	29,900	0	0	52,400	52,400	0	20,800	15,800
5021 Travel												
Domestic travel	Lumpsum	1	27,570	0	27,570	0		27,570	27,570		9,190	9,190
International travel	Lumpsum	8	4,500	0	36,000	0		36,000		36,000	12,000	12,000
5021 Sub-total travel					63,570			63,570	27,570	36,000	21,190	21,190
TOTAL				157,400	922,120	41,550	111,930	1,233,000	911,450	321,550	400,483	395,483

9/18/2024 PM:

No. Please clarify whether item "Other Operating Costs" of \$4,000 charged to PMC is indeed not an execution service costs/fee for FAO. If it indeed is execution services costs then please remove it from the project budget.

Appendix A: Indicative Project Budget Template														
FAO cost category	Expenditure Category	Detailed Description	Component (USDeq)							Sub-Total	M&E (Component 3, outcome 3.1)	PMC	Total (USDeq)	Responsible Entity (Executing Entity receives funds from the GEF Agency(s))
			Component 1		Component 2			Component 3						
			Outcome 2.1	Outcome 2.2	Outcome 2.3	Outcome 2.4	Outcome 2.5	Outcome 3.1	Outcome 3.2					
5650	Contractual Services - Company	Data collection and analysis service provider		20,000	20,000	20,000	20,000	20,000	100,000			100,000	FAO service provider	
		Final evaluation								35,000		35,000	FAO service provider	
		Terminal report									6,550	6,550	FAO service provider	
5013	International Consultants	Enhanced Transparency Framework experts (international consultants)	14,400	25,920	25,920	25,920	25,920	25,920	144,000			144,000	FAO (minor execution)	
5021	Travel	International travel		36,000					36,000			36,000	FAO (minor execution)	
5028	Other Operating Costs	Look ups MOPD									4,000	4,000	PMU	
5650	Sub-contract to executing partner/ entity	Reports layout design		9,950					9,950			9,950	MuPD	
		Project Coordinator									63,000	63,000	MuPD	
		Administrative and financial assistant									52,500	52,500	MuPD	
		Technical experts:												
		institutional processes and gender specialist	3,600	6,480	6,480	6,480	6,480	6,480	36,000			36,000	MuPD	
		greenhouse gas inventory specialists	25,800	185,760					258,000			258,000	MuPD	
		mitigation specialists	23,100		166,320				41,580	231,000		231,000	MuPD	
		adaptation specialists	14,400			77,760			51,840	144,000		144,000	MuPD	
		financial, technology and capacity support specialists	3,600			25,920	6,480		36,000			36,000	MuPD	
		Domestic travel		27,000					27,000			27,000	MuPD	
		Workshop inception	5,000						5,000			5,000	MuPD	
		Consultation/advancement workshop	5,000	5,000					10,000			10,000	MuPD	
		Validation workshops for BTR1 and BTR2/NC4		10,000					10,000			10,000	MuPD	
Ad-hoc capacity building training sessions on ETF matters (including CTF and CRT tables)	12,500	12,500					25,000			25,000	MuPD			
Grand Total			107,400	338,610	218,720	130,160	78,320	198,740	1,071,950	41,050	119,500	1,233,000		

6/3/2024 PM:

1) Cleared.

2) Cleared.

11/15/2023 PM:

No. Please address the following comments:

1) Please use the GEF budget template - <https://www.thegef.org/documents/gef-project-budget-template>

2) Project Coordinator costs shall be charged to PMC. However, if the Project Coordinator is also conducting technical activities, please provide a detailed TOR outlining Project Coordinator's responsibilities, including both technical and administrative tasks.

Agency's Comments

1) Done. Budget updated to the GEF budget template

2) Project coordinator removed of technical functions and related budget moved to PMC

04/10/2024

3) GEF accepted budget template used.

"Other Operating Cost" removed from the new budget attached

Environmental and Social Safeguards.

**If there are screening documents or other ESS documents available, have these been attached?
(only as applicable)**

Secretariat's Comments

11/15/2023 PM:

Yes.

Agency's Comments

**GEFSEC DECISION
RECOMMENDATION.**

Is CEO endorsement/ approval recommended?

Secretariat's Comments

10/10/2024 PM:

Cleared.

9/24/2024 PM:

No. A new budget template has been attached. This new budget template differs from the GEF template (<https://www.thegef.org/documents/gef-project-budget-template>). Please upload again the GEF budget template uploaded in previous submissions, and clarify whether item "Other Operating Costs" of \$4,000 charged to PMC is indeed not an execution service costs/fee for FAO. If it indeed is execution services costs then please remove it from the project budget.

9/18/2024 PM:

No. Please address comments above on the General Information Table and Budget.

9/13/2024 PM:

Cleared. The project is recommended for further processing.

9/11/2024 PM:

Yes. The project is recommended for further processing. Sending the project back to the Agency to: (1) Clean up the CEO Approval document by removing the yellow highlighting in the documents; and (2) Add a sentence under Section D "Institutional Framework" explaining the procurement and administrative execution services to be provided by FAO at no extra cost.

6/3/2024 PM:

No. Please address pending comments above.

11/15/2023 PM:

No. Please address comments above.

REVIEW DATE(S)

**Secretariat Comment at
CEO Endorsement**

**Response to
Secretariat comments**

First Review
Additional Review (as necessary)
Additional Review (as necessary)
Additional Review (as necessary)
Additional Review (as necessary)