



# Amplifying the impact of the ?Challenge Programme for Adaptation Innovation? of the Global Environment Facility through learning and knowledge management

Review PIF and Make a recommendation

## Basic project information

**GEF ID**

11303

**Countries**

Global

**Project Name**

Amplifying the impact of the ?Challenge Programme for Adaptation Innovation? of the Global Environment Facility through learning and knowledge management

**Agencies**

UNIDO

**Date received by PM**

4/19/2023

**Review completed by PM**

5/10/2023

**Program Manager**

Jason Spensley

**Focal Area**

Climate Change

**Project Type**

FSP

## **GEF-8 PROJECT IDENTIFICATION FORM (PIF) REVIEW SHEET**

### **1. General Project Information / Eligibility**

a) Does the project meet the criteria for eligibility for GEF funding?

b) Is the General Project Information table correctly populated?

Secretariat's Comments

April 19 2023:

A) Yes

B) Yes

Agency's Comments

### **2. Project Summary**

**Does the project summary concisely describe the problem to be addressed, the project objective and the strategies to deliver the GEBs or adaptation benefits and other key expected results?**

Secretariat's Comments

May 10, 2023:

Cleared

May 9 2023:

On component 1: Please ensure explicit reference to producing communications products, including a brochure and other relevant materials, on innovation and learning being produced for ?technology transfer, innovation, and private sector engagement? (results area 2 of the GEF-8 strategy for climate change adaptation) by the Challenge Program for Adaptation Innovation, in collaboration with the GEF Secretariat.

#### Agency's Comments

UNIDO 10/05/2023

Added reference to producing communications products in line with results area 2 under Component 1, para 40.

### 3 Indicative Project Overview

**3.1 a) Is the project objective presented as a concise statement and clear?**

**b) Are the components, outcomes and outputs sound, appropriate and sufficiently clear to achieve the project objective and the core indicators per the stated Theory of Change?**

#### Secretariat's Comments

April 19 2023:

Yes

#### Agency's Comments

**3.2 Are gender dimensions, knowledge management, and monitoring and evaluation included within the project components and appropriately funded?**

#### Secretariat's Comments

May 10 2023:

Cleared

May 9 2023:

Please strengthen reference to gender considerations in the explanatory text for the outputs of Project Outcome 1.1; the outputs under Project Outcome 3.1; and relevant outputs of outcome 4.1.

#### Agency's Comments

UNIDO 10/05/2023

Strengthened reference to gender consideration on para 42 (project outcome 1.1), para 63 (project outcome 3.1) and para 75 (project outcome 4.1).

**3.3 a) Are the components adequately funded?**

**b) Are the GEF Project Financing and Co-Financing contributions to PMC proportional?**

**c) Is the PMC equal to or below 5% of the total GEF grant for FSPs or 10% for MSPs? If the requested PMC is above the caps, has an exception (e.g. for regional projects) been sufficiently substantiated?**

Secretariat's Comments

April 19 2023:

A) Yes

B) Yes

C) Yes

Agency's Comments

#### **4 Project Outline**

##### **A. Project Rationale**

##### **4.1 SITUATION ANALYSIS**

**a) is the current situation (including global environmental problems, key contextual drivers of environmental degradation, climate vulnerability) clearly and adequately described from a systems perspective?**

**b) Are the key barriers and enablers identified?**

Secretariat's Comments

April 19 2023:

A) Yes

B) Yes

Agency's Comments

#### **4.2 JUSTIFICATION FOR PROJECT**

**a) Is there an indication of why the project approach has been selected over other potential options?**

**b) Does it ensure resilience to future changes in the drivers?**

**c) Is there a description of how the GEF alternative will build on ongoing/previous investments (GEF and non-GEF), lessons and experiences in the country/region?**

**d) are the relevant stakeholders and their roles adequately described?**

Secretariat's Comments

April 19 2023:

A) Yes

B) Yes

C) Yes

D) Yes

Agency's Comments

## **5 B. Project Description**

### **5.1 THEORY OF CHANGE**

**a) Is there a concise theory of change that describes the project logic, including how the project design elements will contribute to the objective, the expected causal pathways, and the key assumptions underlying these?**

**b) Are the key outputs of each component defined (where possible)?**

Secretariat's Comments

April 19 2023:

A) Yes

B) Yes

Agency's Comments

### **5.2 INCREMENTAL/ADDITIONAL COST REASONING**

**Is the incremental/additional cost reasoning properly described as per the Guidelines provided in GEF/C.31/12?**

## Secretariat's Comments

April 19 2023:

Yes

## Agency's Comments

### 5.3 IMPLEMENTATION FRAMEWORK

**a) Is the institutional setting, including potential executing partners, outlined and a rationale provided?**

**b) Comments to proposed agency execution support (if agency expects to request exception).**

**c) Is there a description of potential coordination and cooperation with ongoing GEF-financed projects/programs and other bilateral/multilateral initiatives in the project area**

**d) Are the proposed elements to capture and disseminate knowledge and learning outputs and strategic communication adequately described?**

## Secretariat's Comments

10 May 2023:

Cleared

9 May 2023:

D) Knowledge Management:

- We note this is a Knowledge Management project and proposes to fill an important gap. It aims to gather, discuss, manage, and disseminate the learning and knowledge generated from adaptation innovation projects implemented by GEF through the establishment of a Digital Community of Practice on Investment Funds, MSMEs Incubation & Acceleration and CCA Impacts Metrics. An overall approach to Knowledge Management and Learning has been provided in the Project Description. Proposal includes KM&L deliverables that enable and enhance access to adaptation knowledge and information through communities of practice, sharing lessons through webpages, webinars, white papers, and thematic meetings. We also note there is reference to a Communication Strategy in Component 1. Please ensure to consider and learn from lessons and good practice from IW: Learn and other GEF funded KM knowledge platforms/hubs.
- Within the knowledge management section, please ensure reference to the project involving interactive learning activities such as training, twinning, peer-to-peer and targeted knowledge exchange.

April 19 2023:

A) Yes

B) Yes. The Agency will have a relatively minor self execution role, agreed with the LDC/SCCF manager

C) Yes

D) yes

#### Agency's Comments

UNIDO 10/05/2023

Added reference to other GEF funded KM platforms/hubs on para 87. Added reference to the project involving interactive learning activities on para 28.

**5.4 a) Are the identified core indicators calculated using the methodology included in the corresponding Guidelines (GEF/C.54/11/Rev.01)?**

**b) Are the project's indicative targeted contributions to GEBs (measured through core indicators)/adaptation benefits reasonable and achievable?**

#### Secretariat's Comments

April 19 2023:

Please include expected indicator level for number of private sector businesses engaged (LDCF/SCCF GEF-8 Core Indicator 5).

#### Agency's Comments

UNIDO 10/05/2023

Indicator level added in the dedicated section.

**5.5 NGI Only: Is there a justification of financial structure and use of financial instrument with concessionality levels?**

Secretariat's Comments N/A

#### Agency's Comments

##### **5.6 RISKS**

**a) Are climate risks and other main risks relevant to the project described and addressed within the project concept design?**

**b) Are the key risks that might affect the project preparation and implementation phases identified and adequately rated?**

**c) Are environmental and social risks, impacts and management measures adequately screened and rated at this stage and consistent with requirements set out in SD/PL/03?**

Secretariat's Comments

April 19 2023:

A) Yes

B) Yes

C) Yes

Agency's Comments

**5.7 Qualitative assessment**

**a) Does the project intend to be well integrated, durable, and transformative?**

**b) Is there potential for innovation and scaling-up?**

**c) Will the project contribute to an improved alignment of national policies (policy coherence)?**

Secretariat's Comments

April 19 2023:

A) Yes

B) Yes

C) Yes

Agency's Comments

**6 C. Alignment with GEF-8 Programming Strategies and Country/Regional Priorities**

**6.1 Is the project adequately aligned with focal area and integrated program strategies and objectives, and/or adaptation priorities?**

Secretariat's Comments

April 19 2023:

Yes



Agency's Comments

**6.2 Is the project alignment/coherent with country and regional priorities, policies, strategies and plans (including those related to the MEAs and to relevant sectors)**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**6.3 For projects aiming to generate biodiversity benefits (regardless of what the source of the resources is - i.e. BD, CC or LD), does the project clearly identify which of the 23 targets of the Kunming-Montreal Global Biodiversity Framework the project contributes to and how it contributes to the identified target(s)?**

Secretariat's Comments N/A

Agency's Comments

#### **7 D. Policy Requirements**

**7.1 Is the Policy Requirements section completed?**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**7.2 Is a list of stakeholders consulted during PIF development, including dates of these consultations, provided?**

Secretariat's Comments

10 May 2023:

Cleared

9 May 2023:

On Stakeholder engagement: Please strengthen reference to CSO and IPLC engagement, including as related to the community of practice on MSME incubation and acceleration, as well as impact indicators. We note the project will gather, discuss, manage and

disseminate the learnings and knowledge generated through the implementation of several GEF funded projects supported by the Challenge Programme for Adaptation Innovation, and IPLC and CSO engagement are important stakeholders.

April 19 2023:

Yes

Agency's Comments

UNIDO 10/05/2023

Reference to CSOs and IPLC engagement added on para 36, para 52, para 63, para 73.

## 8 Annexes

**Annex A: Financing Tables**

**8.1 Is the proposed GEF financing (including the Agency fee) in line with GEF policies and guidelines? Are they within the resources available from (mark all that apply):**

**STAR allocation?**

Secretariat's Comments

10 May 2023:

Cleared

9 May 2023:

On PMC: We note an increase in the GEF portion allocated to PMC from 5% to 5.2%. However, there is no justification provided by the Agency for this. Please amend.

Agency's Comments

UNIDO 10/05/2023

PMC was corrected to 5%.

**Focal Area allocation?**

Secretariat's Comments N/A

Agency's Comments

**LDCF under the principle of equitable access?**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**SCCF A (SIDS)?**

Secretariat's Comments N/A

Agency's Comments

**SCCF B (Tech Transfer, Innovation, Private Sector)?**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**Focal Area Set Aside?**

Secretariat's Comments N/A

Agency's Comments

**8.2 Is the PPG requested within the allowable cap (per size of project)? If requested, has an exception (e.g. for regional projects) been sufficiently substantiated?**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**8.3 Are the indicative expected amounts, sources and types of co-financing adequately documented and consistent with the requirements of the Co-Financing Policy and Guidelines?**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**Annex B: Endorsements**

**8.4 Has the project been endorsed by the country?(ies) GEF OFP and has the OFP at the time of PIF submission name and position been checked against the GEF database?**

Secretariat's Comments N/A

Agency's Comments

**Are the OFP endorsement letters uploaded to the GEF Portal (compiled as a single document, if applicable)?**

Secretariat's Comments

10 May 2023:

Cleared

9 May 2023:

Similar to a previous comment for a related project, please clarify (in the review sheet and within a relevant place within the CER document), that when physical meetings will be held they will be held either alongside other broader existing global or regional events such as Conferences of the Parties or others, or in a country in which an existing related GEF project is being implemented with the relevant endorsement of the national OFP.

Agency's Comments

UNIDO 10/05/2023

Under this project, physical meetings will be held either alongside other broader existing global or regional events such as Conferences of the Parties or others, or in a country in which an existing related GEF project is being implemented with the relevant endorsement and participation of the national GEF OFP. This was clarified on para 28.

**Do the letters follow the correct format and are the endorsed amounts consistent with the amounts included in the Portal?**

Secretariat's Comments N/A

Agency's Comments

**8.5 For NGI projects (which may not require LoEs), has the Agency informed the OFP(s) of the project to be submitted?**

Secretariat's Comments N/A

Agency's Comments

**Annex C: Project Location**

**8.6 Is there preliminary georeferenced information and a map of the project's intended location?**

Secretariat's Comments N/A

Agency's Comments

**Annex D: Safeguards Screen and Rating**

**8.7 If there are safeguard screening documents or other ESS documents prepared, have these been uploaded to the GEF Portal?**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**Annex E: Rio Markers**

**8.8 Are the Rio Markers for CCM, CCA, BD and LD correctly selected, if applicable?**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**Annex F: Taxonomy Worksheet**

**8.9 Is the project properly tagged with the appropriate keywords?**

Secretariat's Comments

April 19 2023:

Yes

Agency's Comments

**Annex G: NGI Relevant Annexes**

**8.10 Does the project provide sufficient detail (indicative term sheet) to take a decision on the following selection criteria: co-financing ratios, financial terms and conditions, and financial additionality? If not, please provide comments. Does the project provide a detailed reflow table to assess the project capacity of generating reflows? If not, please provide comments. Is the Partner Agency eligible to administer concessional finance? If not, please provide comments.**

Secretariat's Comments N/A

Agency's Comments

**9 GEFSEC Decision**

**9.1 Is the PIF and PPG (if requested) recommended for technical clearance?**

Secretariat's Comments

10 May 2023:

This PIF is recommended for technical clearance.

April 19 2023:

Almost but not yet.

Agency's Comments

**9.2 Additional Comments to be considered by the Agency at the time of CEO Endorsement/ Approval**

Secretariat's Comments

April 19 2023:

No

Agency's Comments

**Review Dates**

**PIF Review**

**Agency Response**

	<b>PIF Review</b>	<b>Agency Response</b>
<b>First Review</b>	<b>4/19/2023</b>	
<b>Additional Review (as necessary)</b>	<b>5/9/2023</b>	
<b>Additional Review (as necessary)</b>	<b>5/10/2023</b>	
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		