

First Biennial Transparency Report (1BTR) and Fifth National Communication to UNFCCC

Review CEO Endorsement and Make a recommendation

Basic project information

GEF ID

10985

Countries

Chile

Project Name

First Biennial Transparency Report (1BTR) and Fifth National Communication to UNFCCC

Agencies

FAO

Date received by PM

4/13/2022

Review completed by PM

5/26/2022

Program Manager

Esteban Bermudez Forn

Focal Area

Climate Change

Project Type

EA

Expedited Enabling Activity req (CEO)

Part 1: Project Information

Focal area elements

Is the enabling activity aligned with the relevant GEF focal area elements as indicated in Table A and as defined by the GEF 7 Programming Directions?

Secretariat comment at CEO Endorsement Request

EBF 5/26/2022

Cleared.

EBF 5/24/2022

Thank you for addressing the previous comment.

We kindly request you fill in the following information for "Part 1. Project Information", which is missing at the moment:

1. Type of project ----- *UNFCCC Biennial Transparency Report/ National Communication (BTR/NC)*
2. Submission date ----- *4/13/2022*
3. Expected implementation start
4. Expected completion date
5. Expected report submission to Convention

EBF 4/19/2022

Yes, with a comment. This project is aligned with the GEF-7 climate change focal area strategy. Enabling Activities are usually marked as Rio Markers CCM2 and CCA1. Please clarify and/or update accordingly.

Agency Response

25 May, 2022

Thank you kindly for the notification. The information has been updated.

20 May, 2022

Noted with thanks, the marker has been updated to CCA1.

Project description summary

Is the project structure/design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?

Secretariat comment at CEO Endorsement Request

EBF 5/24/2022

Cleared.

EBF 4/19/2022

Yes, with a comment. Please use the GEF Project Budget Template (available at: <https://www.thegef.org/documents/gef-project-budget-template>).

Agency Response

20 May, 2022

Noted. The budget template has been updated.

Co-financing

Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified [and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?]

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

Co-financing is not required for EAs, so it has not been confirmed for this project.

Agency Response N/A

GEF Resource Availability

Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines?

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

Yes, Table D is properly filled out.

Agency Response

N/A

**Are they within the resources available from:
The STAR allocation?**

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

The focal area allocation?

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

The LDCF under the principle of equitable access

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

The SCCF (Adaptation or Technology Transfer)?

Secretariat comment at CEO Endorsement Request
EBF 4/19/2022
N/A

Agency Response N/A
Focal area set-aside?

Secretariat comment at CEO Endorsement Request
EBF 4/19/2022

Yes. There are sufficient resources to support this project from the climate change focal area set aside.

Agency Response N/A

Is the financing presented adequate and demonstrate a cost-effective approach to meet the project objectives?

Secretariat comment at CEO Endorsement Request
EBF 4/19/2022

Yes, this project seeks \$517,000 (excluding Agency Fee) from the CCM set-aside, which is consistent the maximum funding as the preparation for a combined BTR/NC, as indicated in the information note (GEF/C.59/Inf.19).

Agency Response N/A

Part 2: Enabling Activity Justification

Background and Context.

Are the achievements of previously implemented enabling activities cited since the country(ies) became a party to the Convention?

Secretariat comment at CEO Endorsement Request
EBF 4/19/2022

Yes. This is well and clearly described.

Agency Response N/A

Goals, Objectives, and Activities.

Is the project framework sufficiently described?

Secretariat comment at CEO Endorsement Request

EBF 5/26/2022

Cleared.

EBF 5/24/2022

1. We invite you to include this response in Section C.
2. We invite you to include this response in Section C.
3. FAO's ESS analysis has been included in the document section. Cleared.

EBF 4/19/2022

Yes, with suggestions. Please address the following comments:

1. Regarding Component 1, please indicate if the 2019 refinement will be employed for the GHG Inventory in addition to the 2006 IPCC Guidelines on greenhouse gas inventories
2. If possible, please provide the following information for the National GHG Inventory in Section C: (i) Methodologies used for each sector and (ii) Greenhouse gases covered by the National GHG Inventory.
3. Information on Environmental and Social Safeguards (ESS) has not been included. The GEF ?Guidelines on the Project and Program Cycle Policy? states the following: ?The ESS Policy requires that, if an ESS assessment is required by Agency policy and procedures, then such assessment is provided at the time of submission of the EA for approval. If an assessment is not required by Agency policy and procedures, the Agency confirms this to the GEF Secretariat at the time of submission of the EA for approval.? Please confirm if an ESS assessment is required by the Agency. If so, please include to the project.

Agency Response

22 May, 2022

1 and 2. Thank you for the notification. We have reviewed to ensure that the text is effectively also included into Section C in the Portal.

20 May, 2022

1. As informed by the Ministry of Environment as executing entity, the country will review the information (methodologies, emission factors, parameters, etc.) and decide whether to use the information included in the refinement for some sources and sinks during project implementation. This has been included into Section C.

2. Noted. i) In the Energy sector the country applies mainly T1. Country specific EF for CO₂ emission of fuel combustion (gas, diesel) are in development. In IPPU the country applies T1, T2 (Mineral industry, Steel and iron production, Refrigeration and air

condition) and T3 (nitric acid production) approach. In Agriculture the country applied T1 and T2 approach (Enteric fermentation and Manure management for bovine; and Manure management for swine). In UTCUTS the country applied T1 and T2 (Forest land) and includes HWP. And in the Waste Sector the country applied T1 and T2 (Solid waste disposal).

ii) the GHG covered will be CO₂, CH₄, N₂O, HFC, PFC, and SF₆. NF₃ will be considered also, but at the time there are no sources considered. The update process will include also black carbon emissions.

This information has been included in the Narrative description of project activities of Section C.

3. Thank you for the comment. The project has been classified as Low Risk following FAO ESS analysis. The Risk Certification has been uploaded into the portal and included as Annex E of the Agency project document.

Stakeholders.

**Does the project include detailed report on stakeholders engaged during the design phase?
Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?**

Secretariat comment at CEO Endorsement Request

EBF 5/24/2022

Cleared.

EBF 4/19/2022

Yes, with suggestions. Please address the following comments:

1. If possible, please provide more detail about the non-governmental stakeholders in the "Stakeholders involvement" sub-section (e.g. local communities, local authorities and NGOs, mass-media, research institutions, private sector and international organizations).

Agency Response

20 May, 2022

Thank you for the comment. A table with non-governmental stakeholders has been included in Section B "Enabling Activity Goals, Objectives and Activities"

Gender equality and women's empowerment.

Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?

Secretariat comment at CEO Endorsement Request

EBF 6/3/2022

Cleared.

EBF 6/1/2022

Please kindly address the following comment on gender and resubmit:

- Gender: Very substantive presentation of gender dimensions. To align with the substantive presentation under the section on Gender dimensions, the Agency is kindly requested to reflect/indicate also in Project Component 3, Expected Outputs 3.2.1 and 3.2.2 the phrase: "reflecting gender perspectives" or "taking gender considerations into account."

EBF 5/24/2022

We welcome the additional information that you have included in Section B.

EBF 4/19/2022

Yes.

Agency Response

2 June, 2022

Thank you, the request is well received and the text has been included into the mentioned outputs.

20 May, 2022

Thank you. We notify that the agency and the country have decided to further improve the description on gender equality in the agency project document.

Monitoring and Evaluation.

Does the project include a budgeted M&E Plan?

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

Yes.

Agency Response N/A

Cost Effectiveness.

Is the project cost effective?

Secretariat comment at CEO Endorsement Request

EBF 5/24/2022

1. Cleared
2. Cleared

EBF 4/19/2022

Yes, with suggestions. Please address the following comments:

1. Section D describes briefly potential synergies other ongoing initiatives. We kindly ask you to provide more detail about how these synergies will be accomplished in order to improve the cost-effectiveness of the project, instead of listing the relevant initiatives.
2. Following on the previous comment, please provide more detail on how this EA project will coordinate with the Fifth Biennial Update Report (BUR5) and the Capacity-building Initiative for Transparency (CBIT) mentioned in Section D.

Agency Response

20 May, 2022

1. Point taken. A more detailed explanation has been included as requested.
2. This point has also been included in the explanation mentioned in the previous response.

Cost Ranges

If there was a deviation in the cost range, was this explained?

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

Part III. Endorsement/Approval by OFP

Country endorsement

Has the project been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF database?

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

Yes, the endorsement letter is signed by the OFP of Chile.

Agency Response N/A

Response to Comments

Are all the comments adequately responded to? (only as applicable)

GEF Secretariat Comment

EBF 4/19/2022

N/A

Agency Response N/A

Other Agencies comments?

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

Council comments

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

STAP Comments

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

Convention Secretariat comments

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

CSOs comments

Secretariat comment at CEO Endorsement Request

EBF 4/19/2022

N/A

Agency Response N/A

GEFSEC DECISION

RECOMMENDATION

Is CEO Endorsement/approval recommended?

Secretariat comment at CEO Endorsement Request

EBF 6/3/2022

The PM recommends the clearance for CEO Approval.

EBF 6/1/2022

Please kindly address the comment on gender and resubmit.

EBF 5/26/2022

The PM recommends the clearance for CEO Approval.

EBF 5/24/2022

Please address the comments above.

EBF 4/19/2022

Please address the comments above.

Review Dates

	Secretariat Comment at CEO Endorsement	Response to Secretariat comments
First Review	4/20/2022	
Additional Review (as necessary)	5/24/2022	
Additional Review (as necessary)	5/26/2022	
Additional Review (as necessary)	6/1/2022	
Additional Review (as necessary)	6/3/2022	

CEO Recommendation

Brief reasoning for CEO Recommendations