

# National action plan in the artisanal and small-scale gold mining sector in the Plurinational State of Bolivia

Review CEO Endorsement and Make a recommendation

## Basic project information

**GEF ID**

10310

**Countries**

Bolivia

**Project Name**

National action plan in the artisanal and small-scale gold mining sector in the Plurinational State of Bolivia

**Agencies**

UNIDO

**Date received by PM**

7/19/2019

**Review completed by PM**

5/12/2020

**Program Manager**

Evelyn Swain

**Focal Area**

Chemicals and Waste

**Project Type**

EA

## **Expedited Enabling Activity req (CEO)**

### **Part 1: Project Information**

#### **Focal area elements**

**Is the enabling activity aligned with the relevant GEF focal area elements as indicated in Table A and as defined by the GEF 7 Programming Directions?**

#### **Secretariat comment at CEO Endorsement Request**

Yes, this is an enabling activity for the Minamata Convention.

## **Agency Response**

### **Project description summary**

**Is the project structure/ design appropriate to achieve the expected outcomes and outputs as in Table B and described in the project document?**

### **Secretariat comment at CEO Endorsement Request**

Please confirm that the tier 2 mercury tool kit will be used.

The implementing agency is listed as an executing partner. A letter with this request and detailed justification must be provided for the GEF to consider.

ES, 11/13/19: The executing arrangements have been adjusted and UNIDO is no longer executing the project. It will be the government and AGC.

## **Agency Response**

The tier 2 mercury tool kit is not applicable in NAP projects.

The involvement of the implementing agency as an executing entity was initially motivated by a specific request from the government. After several discussions with the national counterpart regarding the execution arrangements, the implementing agency has been replaced in its co-execution functions by national executing entities. Kindly find the reference to the mentioned project executing entities in the project document under section B, D, E and Annexes A and B.

### **Co-financing**

**Are the confirmed expected amounts, sources and types of co-financing adequately documented, with supporting evidence and a description on how the breakdown of co-financing was identified [and meets the definition of investment mobilized, and a description of any major changes from PIF, consistent with the requirements of the Co-Financing Policy and Guidelines?]**

### **Secretariat comment at CEO Endorsement Request**

No co-financing is required for this type of EA project.

**Agency Response**

**GEF Resource Availability**

**Is the proposed GEF financing in Table D (including the Agency fee) in line with GEF policies and guidelines?**

**Secretariat comment at CEO Endorsement Request**

**Yes.**

**Agency Response**

**Are they within the resources available from:**

**The STAR allocation?**

**Secretariat comment at CEO Endorsement Request**

**Agency Response**

**The focal area allocation?**

**Secretariat comment at CEO Endorsement Request**

**Yes.**

**Agency Response**

**The LDCF under the principle of equitable access**

**Secretariat comment at CEO Endorsement Request**

**Agency Response**

The SCCF (Adaptation or Technology Transfer)?

**Secretariat comment at CEO Endorsement Request**

**Agency Response**

Focal area set-aside?

**Secretariat comment at CEO Endorsement Request**

**Agency Response**

Is the financing presented adequate and demonstrate a cost-effective approach to meet the project objectives?

**Secretariat comment at CEO Endorsement Request**

Yes.

**Agency Response**

Part 2: Enabling Activity Justification

Background and Context.

Are the achievements of previously implemented enabling activities cited since the country(ies) became a party to the Convention?

**Secretariat comment at CEO Endorsement Request**

This is the first National Action Plan for ASGM.

**Agency Response**

Goals, Objectives, and Activities.

Is the project framework sufficiently described?

**Secretariat comment at CEO Endorsement Request**

Yes.

**Agency Response**

Stakeholders.

Does the project include detailed report on stakeholders engaged during the design phase? Is there an adequate stakeholder engagement plan or equivalent documentation for the implementation phase, with information on Stakeholders who will be engaged, the means of engagement, and dissemination of information?

**Secretariat comment at CEO Endorsement Request**

Yes.

**Agency Response**

Gender equality and women's empowerment.

Has the gender analysis been completed? Did the gender analysis identify any gender differences, gaps or opportunities linked to project/program objectives and activities? If so, does the project/program include gender-responsive activities, gender-sensitive indicators and expected results?

**Secretariat comment at CEO Endorsement Request**

Yes, gender is considered.

**Agency Response**

Monitoring and Evaluation.

Does the project include a budgeted M&E Plan?

**Secretariat comment at CEO Endorsement Request**

Yes.

**Agency Response**

Cost Effectiveness.

Is the project cost effective?

**Secretariat comment at CEO Endorsement Request**

Yes.

**Agency Response**

Cost Ranges

If there was a deviation in the cost range, was this explained?

**Secretariat comment at CEO Endorsement Request**

**Agency Response**

Part III. Endorsement/ Approval by OFP

## **Country endorsement**

**Has the project been endorsed by the country's GEF Operational Focal Point and has the name and position been checked against the GEF database?**

## **Secretariat comment at CEO Endorsement Request**

Yes.

## **Agency Response**

### **Response to Comments**

**Are all the comments adequately responded to? (only as applicable)**

## **GEF Secretariat Comment**

## **Agency Response**

27/03/2020

In response to the GEFSEC latest review, UNIDO has conducted several meetings with the different governmental counterparts and the different stakeholders regarding the execution modalities. It has been decided that the execution will be carried out jointly by the Ministry of Environment and Water, the Ministry of Mining and Metallurgy, the Ministry of Health and Fundación MEDMIN, a national entity that has been working in sustainable mining in Bolivia for over twenty years.

Following additional consultations with the different stakeholders and executing entities, and in order to strengthened implementation efficiency, slight amendments were made to the budget allocation between different outputs as well.

19/06/2020

In response to the GEFSEC latest review, the GEF OFP has provided an amendment clarifying the typos in the Letter of Endorsement (see attachment) and the country has been included in Table D.

**Other Agencies comments?**

**Secretariat comment at CEO Endorsement Request**

**Agency Response**

Council comments

**Secretariat comment at CEO Endorsement Request**

**Agency Response**

STAP Comments

**Secretariat comment at CEO Endorsement Request**

**Agency Response**

Convention Secretariat comments

**Secretariat comment at CEO Endorsement Request**

## **Agency Response**

CSOs comments

## **Secretariat comment at CEO Endorsement Request**

## **Agency Response**

GEFSEC DECISION

## **RECOMMENDATION**

**Is CEO Endorsement/approval recommended?**

## **Secretariat comment at CEO Endorsement Request**

Not at this time.

ES, 1/8/20: Not at this time. The focal area coordinator requests a clear justification from the agency for using an NGO outside of the region to execute this project. Also requests any information regarding if they reached out to local NGO's etc and provide reasons for the Government not directly executing the project.

ES, 5/12/2020: UNIDO has clarified that execution will be carried out jointly by the Ministry of Environment and Water, the Ministry of Mining and Metallurgy, the Ministry of Health and Fundación MEDMIN, a national entity that has been working in sustainable mining in Bolivia for over twenty years.

PPO has the following comments:

1. Source of funds in the LoE should be GEFTF and Focal Area Chemicals and Waste. This seems to be a typo, so please ask the OFP to send an email clarifying both issues, then upload the email in portal.
- 2- Missing Country in table D: Bolivia. Please ask the Agency to amend.

ES, 6/24/20: A new LoE has been provided and table D has been updated. CEO Endorsement is recommended.

**Review Dates**

	<b>Secretariat Comment at CEO Endorsement</b>	<b>Response to Secretariat comments</b>
<b>First Review</b>		
<b>Additional Review (as necessary)</b>		

**CEO Recommendation**

**Brief reasoning for CEO Recommendations**

This project will help the country to develop a National Action Plan to reduce the use and emissions of mercury in the artisanal and small-scale gold mining (ASGM) and processing sector. ASGM is the largest emitter of mercury globally and is included as a priority under the Minamata Convention.

Article 7 of the Convention requires National Action Plans for countries with ASGM sectors and this project will directly respond to that convention obligation.