

GEF-8 REQUEST FOR Climate Change enabling activity

Proposal for Funding Under the GET
Processing Type: Expedite

TABLE OF CONTENTS

SECTION 1: ENABLING ACTIVITY SUMMARY	3
A. Funding Elements	4
B. Enabling Activity Summary	5
ENABLING ACTIVITY COMPONENTS	9
SECTION 2: ENABLING ACTIVITY SUPPORTING INFORMATION.....	12
C. Eligibility Criteria	12
D. Institutional Framework	12
E. Monitoring and Evaluation Plan	14
SECTION 3: INFORMATION TABLES.....	17
F. GEF Financing Resources Requested by Agency, Country and Programming of Funds.....	17
G. Rio Markers.....	17
H. Record of Endorsement of GEF Operational Focal Point(s) on Behalf of the Government(s):	17
ANNEX A: RESPONSES TO STAKEHOLDER COMMENTS	17
ANNEX B: PROJECT BUDGET TABLE	17
ANNEX C: ENVIRONMENTAL AND SOCIAL SAFEGUARDS.....	37

SECTION 1: ENABLING ACTIVITY SUMMARY

Enabling Activity Title

The First Biennial Transparency Report (1BTR) and a combined Ninth National Communication and Second Biennial Transparency Report (9NC/2BTR) of the Republic of Kazakhstan to UNFCCC

Country(ies)	GEF Enabling Activity ID
Kazakhstan	11519
GEF Agency(ies):	GEF Agency Enabling Activity ID
UNDP	6708
Submission Date	Expected Implementation Start
3/18/2024	9/1/2024
Project Executing Entity(s):	Executing Partner Type
Ministry of Ecology and Natural Resources of the Republic of Kazakhstan UNDP	Government GEF Agency
GEF Focal Area (s)	Expected Duration (In Months)
Climate Change	48
Type of Report(s)	Expected Report Submission to Convention
UNFCCC Biennial Transparency Report (BTR)	12/31/2024
UNFCCC Biennial Transparency Report/ National Communication (BTR/NC)	12/31/2026

A. Funding Elements

GEF-8 Program	Trust Fund	GEF Financing (\$)
CCM-EA	GET	1,233,000.00
Total Enabling Activity Cost		1,233,000.00

Does the enabling activity deviate from typical cost ranges? Yes No

If yes, please describe

B. Enabling Activity Summary

Enabling Activity Objective

The project aims to support the Government of Kazakhstan with the enabling activities necessary to prepare its First Biennial Transparency Report (BTR1) as a standalone report and the Ninth National Communication and the Second Biennial Transparency Report (NC9/BTR2) as a combined report, to be submitted to the United Nations Framework Convention on Climate Change (UNFCCC) in 2024 and 2026 respectively, in accordance to its reporting obligations under the UNFCCC and the Paris Agreement and in line with the Modalities, Procedures and Guidelines (MPGs) for the enhanced transparency framework for action and support referred to in Article 13 of the Paris Agreement (Decision 18/CMA.1) and the guidance on operationalizing the MPGs as per Decision 5/CMA.3.

Enabling Activity Summary

This project is prepared in line with the GEF8 Climate Change Focal Area Strategy and Associated Programming/Pillar II: Foster enabling conditions to mainstream mitigation concerns into sustainable development strategies/ Objective 2.2 Support relevant Convention obligations and enabling activities. The Article 13 of the Paris Agreement establishes an Enhanced Transparency Framework (ETF) for action and support designed to build trust and confidence that all countries are contributing their share to the global effort. The new transparency system under the Paris Agreement builds on and enhances the existing systems under the Convention. National Communications (NCs), Biennial Update Reports (BURs) and the International Consultation and Analysis (ICA) have formed part of the experience drawn upon and contributed to improvements of capacity constraints and continuous building of capacity and expertise in advance of the ETF. The CMA decided that Parties shall submit their first Biennial Transparency Report (BTR) and National Inventory Report (NIR), if submitted as a stand-alone report, in accordance with the adopted modalities, procedures and guidelines, at the latest by 31 December 2024 and that LDCs and SIDS may submit this information at their discretion. The Modalities, Procedures, and Guidelines (MPGs) were adopted in the Katowice Climate Package through decision 18/CMA.1 sets the rules for the implementation of the Enhanced Transparency Framework (ETF) under the Paris Agreement. At the COP26, the 'Paris Agreement rulebook'/MPGs has been finalized. This set of rules lays out how countries are held accountable for delivering on their climate action promises and self-set targets under their Nationally Determined Contributions (NDCs) and enable the operationalization of the enhanced transparency framework. (Decision 5/CMA.3: Guidance for operationalizing the modalities, procedures and guidelines for the enhanced transparency framework referred to in Article 13 of the Paris Agreement.).

The preparation of the BTR1 and BTR2/NC9 with the financial assistance of the GEF will serve to further strengthen institutional and technical capacities of Kazakhstan for implementing the Convention, Paris Agreement and reporting thereon to the UNFCCC. The project will provide the platform for furthering the development and implementation of the MRV systems for tracking emissions, mitigation, adaptation, and support within the Enhanced Transparency Framework of the PA.

The project objectives will be achieved through the outcomes and outputs as defined in the Project Framework table below. The BTR1 and BTR2/NC9 will be compiled according to the guidelines, requirements and formats set by the MPGs. Their final formats will follow the outline for Biennial Transparency Report and apply common reporting tables and tabular formats presented in Decision 5/CMA.3. Supplemental chapters on research and systemic observation and on education, training, and public awareness as part of the BTR2/NC9 will be compiled in accordance with applicable guidelines of Decision 17/CP.8.

Kazakhstan aims to prepare and submit its Adaptation Communication as a component of the BTR1 and of BTR2/NC9 in line with Article 7 of the PA and Decision 9/CMA.1. The climate change impacts, and adaptation chapter will follow guidance on BTR outline presented in Annex IV of Dec 5/CMA.3 and MPG requirements as per section IV of Decision 18/CMA.1.

The BTR1 is expected to be finalized and submitted to the UNFCCC by 31 December 2024. and the combined BTR2/NC9 is expected to be finalized and submitted to the UNFCCC by 31 December 2026.

Stakeholders' involvement

Stakeholder involvement and consultation processes are critical to the success of the project. An effective engagement of key stakeholders has been done during project preparation and is envisaged during implementation, monitoring and evaluation to enhance ownership of the NC and BTR processes and makes these reports more responsive to national needs. The project proposal intends to strengthen stakeholder's participation to collectively participate in addressing climate change issues and challenges related to the identification and prioritization of activity data, mitigation and adaptation options and indicators as well as support and capacity building. The stakeholders of the project are expected to come from a wide range of backgrounds, including line ministries and agencies, local communities, local authorities and non-governmental organizations (NGOs), media, research institutions, private sector and international organizations, with particular emphasis on related sectors.

The proposed project approach and activities have been shaped through an ongoing dialogue with stakeholders. MENR, the project's implementing partner, has addressed its needs concerning the preparation of 1BTR, 2BTR/9NC, and the project has integrated the outcomes of these discussions. In the context of the national climate action dialogue, which includes consultations during NDC updates and the follow-up processes for the 2060 Carbon Neutrality Strategy, other government ministries, academic institutions, and civil society organizations have also been consulted. The table below provides an overview of relevant stakeholders, along with their current responsibilities and proposed roles in the project. This list will be further expanded during the project's inception phase.

<i>Stakeholder</i>	<i>Role in the project</i>	<i>Means of Engagement</i>
Governmental Institutions		
Ministry of Environment and Natural Resources (MENR)	Implementing agency, overall coordination, cross-sectoral cooperation and access to information.	Chairing the Project Board (PB)
JSC Kazhydromet	Provides climate data and advisory to the project, participates in capacity development, training and communication activities.	Involvement in the project working groups, capacity development and events
JSC Zhasyl Damu (ZD)	Provides data and expertise to the project, including the development of emission scenarios. Receives capacity development support and participates in strengthening the National GHG Inventory System and improving methodology.	Involvement in the project working groups, capacity development and events
JSC International Green Technologies and Investment Projects Center (IGTIPC)	IGTIPC will receive capacity development support and participate in communication activities.	Involvement in the project working groups, capacity development and events
RSE CEC Information and analytical center of environmental protection (IACEP)	Provides data and expertise to the project. IACEP will participate in the project components, through training, sectoral analyses, knowledge management and awareness raising.	Involvement in the project working groups, capacity development and events
Ministry of National Economy (MNE)	Participates in training and communication activities; provides inputs on development	Involvement in the project working groups and events

	planning and opportunities for mitigation and adaptation mainstreaming.	
Other line ministries and committees	Participate in the project components, through training, sectoral analyses, knowledge management and awareness raising.	Involvement in the project working groups and events
National Statistics Bureau (NSB)	Involved in data collection, receives support in collection of gender-disaggregated statistics and climate-related loss and damage data.	Involvement in the project working groups, capacity development and events
Academia		
Academia (research and educational institutions) - Nazarbayev University (NU), Green Campus of NU; International Science Complex Astana, Al-Farabi Kazakh National University, Kazakhstan-German University, Astana Medical University, Agrarian University, Astana IT University	Involved in knowledge management and awareness raising activities. Form an important consultative group for policy analysis. National networks of researchers and practitioners will be supported by the project.	Involvement in the project working groups, capacity development and events
Private sector		
Private Sector - Atameken, including Rural women association, KEA, AGMP, EPA, Kazenergy, Kazaqua, EcoJer, Zhasyl Orman, RES association Qazaq Green	Engagement in project stakeholder consultations and capacity development activities. Potential provision of loss and damage data. Knowledge products on climate risks, adaptation measures, and decarbonization options will be disseminated to the private sector.	Involvement in the project working groups, capacity development and events
CSOs		
Civil Society - Ecom NGO, KBCSD, Kazwater, Kazwaste, ACBK, AEOK, NGO Climate Change Coordination Center, PF Avalon, GreenKaz	Consultative group for policy advice will contribute to project training and the dissemination of project results throughout the country. Will receive capacity development support.	Involvement in the project working groups, capacity development and events
Others		
Donor Community	The project will liaise with the donor community on a regular basis throughout implementation to build synergies.	Via donor coordination structures and platforms

- A preliminary Stakeholder engagement plan envisages the following meetings:
- Inception workshop to discuss conceptual framework and design for each report/area of work; and to highlight any prevailing challenges to data acquisition and sharing, monitoring assessment and reporting.
- Stakeholder consultations workshops to engage stakeholders and ensure their continuous involvement in the reporting process.

- Capacity building workshops on the thematic areas of the ETF, BTRs and NCs.
- Individual meetings of thematic working groups with sector representatives.
- Group discussions to solicit ideas, create synergies and opportunities for networking, knowledge sharing and joint actions.
- Validation workshops to discuss results and validate accuracy of the analyses and assure quality of the results.
- Final dissemination workshop to discuss findings, raise awareness and reinforce collaboration and networking.

The stakeholder engagement plan will be consolidated and implemented in conjunction with the Gender Action Plan thus ensuring that gender equality considerations are firmly integrated throughout project interactions with stakeholders.

Gender mainstreaming:

The project will include gender-responsive measures to address gender gaps or promote gender equality and women's empowerment. The project will contribute to improving women's participation and decision making. The gender analysis has been completed and gender action plan provided. The project results framework includes gender-responsive indicators, with a specific focus on ensuring the inclusion of women in all working groups, networks, and structures, as well as on facilitating dialogue to promote female participation in decision-making processes.

Furthermore, the project will assist the office of the national gender focal point within the Ministry of Ecology and Natural Resources of Kazakhstan [] in acquiring the essential tools and expertise required for the office's full operation in Kazakhstan. Specifically, the project will help establish expert capacity by organizing various training sessions to enhance the skills of local personnel. The office will also collaborate with UN Volunteers to develop a gender work plan and ensure its implementation, working alongside gender focal points.

National reporting processes can be a meaningful entry point for training, awareness-raising, and capacity-building efforts to ensure women's equal engagement in and benefit from climate change action. Preparation of reports can also influence other, ongoing climate change planning and policymaking processes.

Previous NC/BR projects addressed Gender mainstreaming into national reporting process in the following ways:

- Elaboration of the Gender Action Plan.
- Facilitated nomination of Gender Focal Point under UNFCCC in the Ministry of Ecology and Natural Resources.
- Integration of gender statistics to the climate reporting (8NC).

This project will apply the "GENDER RESPONSIVE NATIONAL COMMUNICATIONS TOOLKIT" developed by the Global Support Program (GSP) through UNDP and in collaboration with UNEP and the GEF and its updated version upon its availability. In line with the GEF SEC's policy on gender equality and Guidance to advance gender equality in GEF projects and programs, the project will elaborate the Gender analysis and Gender action plan at the design stage.

An initial stocktaking and gender analysis across all areas – and inclusion of stakeholders who understand gender issues in relation to their sectors – will be conducted to assess and understand where deeper analysis and action is required. The areas where data and information on gender and climate change is not available will be identified with priorities and steps to fill gaps.

The update of the national circumstances under each component will disaggregate relevant data by sex with the objective to better understand how the social and economic differences between men and women affects the capability of dealing with mitigating and adapting to climate change. Seeing that women are being acknowledged as agents of change who make important contributions to climate change adaptation and mitigation, a gender perspective will be incorporated in the climate change impacts and adaptation and NDC progress assessment and preparation of mitigation actions.

For all analysis included in the project, gender-disaggregated data from national statistical agency and international approved sources for the following topics: education level, employment by economy sectors and other sectors identified as GHG emitters, gender pay gap (general and by sectors), leadership, economic empowerment, and health among others will be included to summarize status of women and men nationally and by sector.

The Project will provide capacity-building in relation to NC/BTR purpose and content, gender issues in environment and their role in the NC/BTR processes if necessary.

ENABLING ACTIVITY COMPONENTS

Component 1. Enhanced national inventory reporting of GHG emissions and removals by sinks

GEF Enabling Activity Financing (\$): 322,720.00

Outcome:

Outcome 1.1.

The national GHG updated to include the years 2022 and 2024.

Output:

Output 1.1.1: GHG inventory for 2022 (1BTR) and 2024 (2BTR/9NC) updated and reported for Energy, Industrial Processes and Product Use (IPPU), Agriculture, Forestry and Other Land Use (AFOLU) and Waste, using 2006 IPCC guidelines, and its 2019 refinement to the extent possible.

Component 2. Information necessary to track progress made in the implementation of the NDC and knowledge management

GEF Enabling Activity Financing (\$): 406,237.00

Outcome:

Outcome 2.1

Information necessary to track progress made in the implementation of the NDC, including information on mitigation policies and measures, collected and knowledge management improved.

Output:

Output 2.1.1: Information improved to track the progress made in the implementation of the NDC, including gender sensitive knowledge management measures suggested for the NDC implementation.

Output 2.1.2: Knowledge management for the NDC implementation improved including gender sensitive communication activities and analysis of gender disaggregated information.

Component 3. Climate change impacts and adaptation

GEF Enabling Activity Financing (\$): 273,431.00

Outcome:

Outcome 3.1

Information on climate change impacts and adaptation updated

Output:

Output 3.1.1: Vulnerability and adaptation chapter for 1BTR and 9NC/2BTR developed with gender analysis and submitted for national review and submitted for national review.

Publication and submission of combined 1BTR/ and 2BTR/NC9 reports to the UNFCCC

GEF Enabling Activity Financing (\$): 98,122.00

Outcome:

Outcome 4.1

1BTR/ and 2BTR/NC9 reports to the UNFCCC prepared and submitted in accordance with the MPGs and methodological guidance contained in decisions 18/CMA.1 and 5/CMA.3

Output:

Output 4.1.1: A standalone 1BTR report and a combined 2BTR/NC9 report adopted in a transparent and participatory process and submitted to the UNFCCC.

M&E

GEF Enabling Activity Financing (\$): 20,400.00

Outcome:

Outcome 5.1 Project regularly monitored, inception workshop organized, lessons learned compiled and disseminated

Output:

Output 5.1.1. Monitoring and evaluation products delivered in line with the M&E plan:

- Inception workshop organized, and Inception Report compiled.
- Project annual financial and progress reports and Annual Status Surveys prepared.
- End of project report including lessons learnt and recommendations for next BTR/NC work compiled

Component Balances

Project Components	GEF Enabling Activity Financing (\$)
Component 1. Enhanced national inventory reporting of GHG emissions and removals by sinks	322,720.00
Component 2. Information necessary to track progress made in the implementation of the NDC and knowledge management	406,237.00
Component 3. Climate change impacts and adaptation	273,431.00
Publication and submission of combined 1BTR/ and 2BTR/NC9 reports to the UNFCCC	98,122.00
M&E	20,400.00
Subtotal	1,120,910.00
Project Management Cost	112,090.00
Total Enabling Activity Cost	1,233,000.00

Please provide justification

SECTION 2: ENABLING ACTIVITY SUPPORTING INFORMATION

C. Eligibility Criteria

Please provide eligibility information for this enabling activity.

Republic of Kazakhstan ratified the United Nations Framework Convention on Climate Change (UNFCCC) in 1995, Kyoto Protocol in 2009 and the Paris Agreement in 2016. As Party to the Convention, the Republic of Kazakhstan has the obligation to implement decisions of the Conference of the Parties (COP) regarding the implementation of policies and measures to adapt to, and mitigate climate change, thereby contributing to the attainment of the objective of the Convention.

So far, Kazakhstan has prepared and submitted 8 National Communications (NCs): the Initial National Communication (1NC) in 1998; the Second National Communication (2NC) in 2009; the Third & Sixth National Communication (3-6NC) in 2013; the Seventh National Communication (7NC) in 2017, and the Eighth National Communication (8NC) in 2022 with a stand-alone annual NIR as mandated by Articles 4 and 12 of the Convention.

Kazakhstan has also prepared and submitted 5 Biennial Reports (BRs): the First Biennial Report (1BR), with a stand-alone NIR (National Inventory Report) in 2014; the Second Biennial Report (2BR), with a stand-alone NIR in 2015; in 2017, Kazakhstan developed its Third Biennial Report (3BR) combined with 7NC; a standalone 4BR was submitted to the UNFCCC in 2021, and 5BR was combined with NC8 and submitted in 2022.

The preparation process of NC and BR reports has led to the following achievements:

- Enhancement of the National Monitoring, Reporting and Verification system.
- Capacities built in the country to elaborate and update the NDC.
- Capacities supported among national institutions in the development of greenhouse gas inventories as well as the reporting system, enabling the functioning of the domestic Emission Trading System.
- Climate risks and vulnerabilities assessed for Kazakhstan in 8NC formed a basis for national adaptation planning.

Kazakhstan submitted its Intended Nationally Determined Contribution (INDC) in 2015 to conform with decisions 1/CP.19 and 1/CP.20 of the COP, and submitted its revised NDC 19 April 2023 with the aim 15% unconditional and 25% conditional target. Kazakhstan also has a net zero target of reaching “carbon neutrality” by 2060.

This EA project will build on findings and recommendations from previous NC and BR work, as well as recommendations resulting from the ICA (International Consultation and Analysis) process for BR, the UNFCCC QA (Quality Assurance) exercise on the GHG inventory and the review conducted on the latter under the Global Support Program.

The project builds on the existing human and institutional capacities in Kazakhstan. It benefits from recent knowledge and methodologies. Although previous NCs and BURs covered sectors indicated by the IPCC, the proposed project aims to gain a deeper understanding of the driving forces behind GHG emissions in key categories. This understanding, as well as the creation of GHG emissions and removals, projection scenarios for 1BTR and 2BTR/9NC will inform the development of suitable mitigation policies. The project also intends to extend the coverage of the GHG inventory up to 2024 and improve existing time-series data for key sectors from previous National Communication.

D. Institutional Framework

Describe the institutional arrangements for implementation of the enabling activity.

The project will be implemented by the UNDP National Implementation Modality (support to NIM) in accordance with the Standard Basic Support Agreement between UNDP and the Government of Kazakhstan and the Country Programme Document. The support to NIM modality was communicated with and approved by the GEF Secretariat.

The Executing Entity, the Ministry of Ecology and Natural Resources, is responsible for executing this project. Specific tasks include:

- Chairing of the Project Board and coordination of participation of other ministries, state agencies and other stakeholders in project implementation.
- Project planning, coordination, management, monitoring, evaluation and reporting.
- Management of project risks as included in the UNDP project document and new risks that may emerge during project implementation.
- Financial management, including overseeing financial expenditures against project budgets.
- Approving and signing the multiyear workplans.
- Approving and signing the quarterly combined delivery report - funding authorization and certificate of expenditures.

The Project Implementation Unit will be the executing and operational unit that will coordinate and implement the project activities for the preparation of the 1BTR and 9NC/2BTR.

UNDP will be the entity to process the payments and provide the execution support services to the project based on the request of Ministry of Ecology and Natural Resources of the Republic of Kazakhstan (a footnote clarifying UNDP as a Responsible party in the budget has been added to the GEF portal, under the GEF budget section).

Responsible Parties are entitled to manage the funds that they will receive as per the responsible party engagement rules.

The Ministry of Ecology and Natural Resources as the Executing Entity will keep ultimate responsibility and accountability for the use of GEF resources and the successful achievement of project outputs, in alignment with the approved annual work plans. Related reference is also available in the Project Document under Governance and Management Arrangements section.

The Government will provide support to the project through the use of equipment and premises for conference and meetings.

Day-to-day management of the project will be assured by the project manager, who will be responsible for setting up the project team, while the national focal point will monitor and verify the project results.

The Project Steering Committee (PSC), also called the Project Board, will be the highest policy-level body, which will provide support and guidance to the implementation of the project and ensure that the project findings are disseminated to, and validated by, all relevant stakeholders in Republic of Kazakhstan. To ensure UNDP's ultimate accountability, PSC decisions should be made in accordance with standards that shall ensure management for development results, best value for money, fairness, integrity, transparency, and effective international competition.

The two main (mandatory) roles of the PSC are as follows:

(1) High-level oversight of the execution of the project by the Executing Entity (as explained in the "Provide Oversight" section of the UNDP Programme and Operations Policies and Procedures). This is the primary function of the project board and includes annual (and as-needed) assessments of any major risks to the project, and decisions/agreements on any management actions or remedial measures to address them effectively. The PSC reviews evidence of project performance based on monitoring, evaluation and reporting,

including progress reports, evaluations, risk logs and the combined delivery report. The PSC is responsible for taking corrective action as needed to ensure the project achieves the desired results.

(2) Approval of strategic project execution decisions of the Executing Entity with a view to assess and manage risks, monitor and ensure the overall achievement of projected results and impacts and ensure long term sustainability of project execution decisions of the Executing Entity (as explained in the “Manage Change” section of the UNDP POPP).

UNDP is accountable to the GEF for the implementation of this project. This includes overseeing project execution undertaken by the Executing Entity to ensure that the project is being carried out in accordance with UNDP and GEF policies and procedures and the standards and provisions outlined in the Delegation of Authority (DOA) letter for this project. The UNDP GEF Executive Coordinator, in consultation with UNDP Bureaus and the Executing Entity, retains the right to revoke the project DOA, suspend or cancel this GEF project. UNDP is responsible for the Project Assurance function in the project governance structure and presents to the PSC and attends PSC meetings as a non-voting member.

A firewall will be maintained between the delivery of project oversight and quality assurance performed by UNDP and charged to the GEF Fee and any support to project execution performed by UNDP (as requested by and agreed to by both the Implementing Partner and GEF) and may be charged to the GEF project management costs (only if approved by GEF).

The UNDP Resident Representative (RR) assumes full responsibility and accountability for oversight and quality assurance of this Project and ensures its timely implementation in compliance with the GEF-specific requirements and UNDP’s Programme and Operations Policies and Procedures (POPP), its Financial Regulations and Rules and Internal Control Framework. A representative of the UNDP Country Office will assume the assurance role and will present assurance findings to the Project Board, and therefore attends Project Board meetings as a non-voting member.

First line of defense:

Person providing oversight of execution support (COS) cannot report to UNDP staff providing project assurance or providing programmatic oversight support to the RR.

The second line of defense:

Regional Bureau oversees RR and function of UNDP compliance in project assurance.

Regional Technical Advisor (RTA) oversees functions of technical oversight and GEF compliance in project assurance. Principal Technical Advisor (PTA) oversees RTA function.

The institutional structure of the project will be based on the existing institutional arrangements. Preparation processes of the 1BTR and 9NC/2BTR will be closely coordinated by the UNFCCC National Focal Point in Republic of Kazakhstan.

The following thematic working groups will be formed to assist with the preparation of various components of the NC and BTR: (i) National Greenhouse Gas Inventory, (ii) Tracking the progress of NDC implementation and achievement, (iii) Climate Change Impacts and Adaptation, (iv) Support needed and received and other information. Each thematic working group will comprise of a number of experts drawing both from public and private sectors, communities, and NGOs, as appropriate.

E. Monitoring and Evaluation Plan

Describe the budgeted M&E plan.

The project monitoring and evaluation will be carried out according to UNDP and GEF programming policies and procedures.

Inception Workshop and Report: A project Inception Workshop will be held within 2 months from the First disbursement date, with the aim to:

- a. Familiarize key stakeholders with the detailed project strategy and discuss any changes that may have taken place in the overall context since the project idea was initially conceptualized that may influence its strategy and implementation.
- b. Discuss the roles and responsibilities of the project team, including reporting lines, stakeholder engagement strategies and conflict resolution mechanisms.
- c. Review the results framework and monitoring plan.
- d. Discuss reporting, monitoring and evaluation roles and responsibilities and finalize the M&E budget, if applicable; identify national/regional institutes to be involved in project-level M&E; discuss the role of the GEF OFP and other stakeholders in project-level M&E.
- e. Update and review responsibilities for monitoring project strategies, including the risk log; SESP report, Social and Environmental Management Framework (where relevant) and other safeguard requirements; project grievance mechanisms; gender strategy; knowledge management strategy, and other relevant management strategies.
- f. Review financial reporting procedures and budget monitoring and other mandatory requirements and agree on the arrangements for the annual audit.
- g. Plan and schedule Project Board meetings and finalize the first-year annual work plan. Finalize the TOR of the Project Board.
- h. Formally launch the Project.

The Project Manager will prepare the inception report no later than one month after the Inception Workshop. The Inception Report will be prepared in one of the official UN languages, duly signed by designated persons, cleared by the UNDP Country Office and the UNDP Climate Hub Regional Technical Adviser, and will be approved by the Project Board.

Annual progress: Status Survey Questionnaires to indicate progress and identify bottlenecks as well as technical support needs will be carried out once a year, in line with GEF and UNFCCC reporting requirements for NCs and BTRs.

Lessons learned and knowledge generation: Results from the project will be disseminated within and beyond the project intervention area through existing information sharing networks and forums. The project will identify and participate, as relevant and appropriate, in scientific, policy-based and/or any other networks, which may be of benefit to the project. The project will identify, analyze and share lessons learned that might be beneficial to the design and implementation of similar projects and disseminate these lessons widely. There will be continuous information exchange between this project and other projects of similar focus in the same country, region and globally.

End of Project: During the last three months, the project team will prepare the End of Project Report. This comprehensive report will summarize the results achieved (objectives, outcomes, outputs), lessons learned, problems met and areas where results may not have been achieved. It will also lay out recommendations for any further steps that may need to be taken to ensure sustainability and replicability of the project's results. The End of Project Report shall be discussed with the Project Board during an end-of-project review meeting to discuss lessons learned and opportunities for scaling up.

Monitoring and Evaluation Plan and Budget:		
GEF M&E Requirements	Indicative costs (US\$)	Time frame
Inception Workshop	8,500	Within 2 months from the date First Disbursement
Annual workshops to discuss project progress and results	11,900	Annually
Monitoring of the Gender Action Plan	None	Continuously ^[1]
Monitoring of indicators in project results framework	None	Annually
Supervision missions^[2]	None	Annually
End of project report	None	3 months before operational closure
TOTAL indicative COST	20,400	

^[1] Monitoring and Evaluation section in UNDP Project document (pages 24-32) contains M&E Plan with all outlined Indicators (including Gender related indicators) to be monitored and evaluated.

Gender Action plan (Annex 10 of the UNDP project document) includes the following M&E aspect: “Integrating Gender into M&E Processes: Gender considerations should be integrated into monitoring and evaluation (M&E) processes, evaluating the qualitative and quantitative benefits for women”.

Monitoring of the Gender Action Plan is budgeted through the following budget lines for personnel/consultants:

- Gender expert (\$160 x 50 days) (Local consultants – in the GEF budget)
- 2 UN Volunteers, working with the UNFCCC national focal point on gender issues (\$883.25 x 24 months) (Staff costs – in the GEF budget)

^[2] The costs of UNDP Country Office and UNDP-GEF Unit’s participation and time are charged to the GEF Agency Fee.

SECTION 3: INFORMATION TABLES

F. GEF Financing Resources Requested by Agency, Country and Programming of Funds

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Programming of Funds	GEF Enabling Activity Financing (\$)	Agency Fee (\$)	Total (\$)
UNDP	GET	Kazakhstan	Climate Change	CC Set-Aside	1,233,000.00	117,135.00	1,350,135.00
Total GEF Resources					1,233,000.00	117,135.00	1,350,135.00

Sources of Funds for Country Star Allocation

GEF Agency	Trust Fund	Country/ Regional/ Global	Focal Area	Sources of Funds	Total(\$)
Total GEF Resources					0.00

G. Rio Markers

Climate Change Mitigation	Climate Change Adaptation	Biodiversity	Desertification
Principal Objective 2	Significant Objective 1	No Contribution 0	No Contribution 0

H. Record of Endorsement of GEF Operational Focal Point(s) on Behalf of the Government(s):

Please attach the *Operational Focal Point endorsement letter(s)* with this template.

Name	Position	Ministry	Date (MM/DD/YYYY)
Saule Sabiyeva	Director of the Climate Policy Department	Ministry of Ecology and Natural Resources	5/16/2024

ANNEX A: RESPONSES TO STAKEHOLDER COMMENTS

Describe how the enabling activity has addressed comments from stakeholders, including Council Members, Convention Secretariats, and STAP (if applicable).

ANNEX B: PROJECT BUDGET TABLE

Attach the project budget table.

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1] (Executing Entity receiving funds from the GEF Agency)[2]
		Component 1	Component 2		Component 3	Component 4	Sub-Total	M&E	PMC		
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1					
Equipment	Total: \$7,167.24 The costs for IT equipment for the technical tasks of the staff and consultants engaged in GHG inventory for both 1BTR and 2BTR/9NC are included in Output 1.1.1.	7,167					7,167			7,167	UNDP
Equipment	Total: \$1,000 \$250/ year *4 Included in PMC. This covers communication costs for project staff connectivity, including office phones and internet, excluding mobile costs. The project may use UNDP equipment at a reduced or zero cost in project offices						-		1,000	1,000	UNDP
Equipment	Total: \$1,332 Costs for equipment used by the Technical Coordinator and Project Manager (NB4 /NPSA 9) and the Project Administrative and Financial Assistant (NB2 /NPSA 5) for PMU administration After project closure, all equipment will be transferred to the national partner.						-		1,332	1,332	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]
		Component 1	Component 2		Component 3	Component 4	Sub-Total	M&E	PMC		(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1					
Contractual Services – Companies	<p>Total: \$291,212.60</p> <p>Output 1.1.1 = 22,599.00 Output 2.1.1 = 77,000.00</p> <p>Output 2.1.2 = 58,800 Output 3.1.1 = 118,813 Output 4.1.1 = 14,000.00</p> <p>Cost of contractual services for companies involved in different project activities including (not limited) to: organize expert support for the project, procure experts, develop policy papers, provide support for project knowledge management, create and conduct capacity-building initiatives, engage stakeholders, and collect and analyze national statistics (and beyond) data. Technical thematic experts include:</p> <p>1. Education Expert</p> <p>2. Extreme Weather and Hydro Events Expert</p> <p>3. Water Resources</p>	22,599	77,000	58,800	118,613	14,000	291,212			291,212	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]
		Component 1	Component 2		Component 3	Component 4	Sub-Total	M&E	PMC		(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1					
	4. Caspian Sea Climate Expert 5. Bioclimatic Index Expert 6. Drought Expert 7. Biodiversity Expert 8. Climate Finance Expert 9. Forestry Expert 10. Economic Impacts of Climate Change Expert 11. Technology Transfer Expert 12. Industry Decarbonization Expert 13. Energy Transition Expert										
Contractual Services - Individ	Total: \$296,488 Output 1.1.1 = \$74,122 Output 2.1.1 = \$74,122 Output 3.1.1 = \$74,122 Output 4.1.1 = \$74,122 The costs include: • 85% of the Technical Coordinator and Project Manager (NB4 /NPSA 9) for 48 months (\$37,740/year *4 years *85% = \$128,316) • 68% of the Project Administrative	74,122	74,122		74,122	74,122	296,488			296,488	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]		
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]	
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1							
	<p>and Financial Assistant (NB2 /NPSA 5) for 48 months (\$15,900/year *4 years *68% = \$43,248)</p> <ul style="list-style-type: none"> • 100% of the National Technical Advisor of the project (NB4 - NPSA 8) for 42 months (\$2,498/month * 42 months = \$104,916) • Support from the PR Specialist (\$5,001/year *4 years = \$20,004) • The staff will be employed under NPSA contracts in accordance with the UNDP-approved payment scale for Kazakhstan 												
Contractual Services - Individ	<p>Total: \$42,996 Included in PMC. 15% of the costs for the Technical Coordinator and Project Manager NB4/ NPSA 9 for 48 months (\$37,740/year *4 years *15% = \$22,644) as well as 32% of the costs for Project Administrative and Financial Assistant NB2/ NPSA5 for 48 months (\$15,900/year *4 years *32% = \$20,352). Staff will be employed on a full-time basis under an NPSA contract in accordance with the UNDP-</p>										42,996	42,996	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	approved payment scale for Kazakhstan											
International Consultants	<p>Total: \$140,000 contributing to Outcomes 1.1, 2.1 and 3.1. The allocation includes international consultants hired to assist with the GHG inventory update. Seven consultants will be engaged, each for 25 days at \$800 per day, resulting in a total cost of \$140,000</p>	100,000	20,000		20,000			140,000			140,000	UNDP
Other Operating Costs	<p>Total: \$39,740 Direct Project Costs (DPCs), as stipulated in the Letter of Agreement (Annex 13), pertain to the expenses incurred by UNDP in providing administrative services related to human resources, procurement, finance, and other functions for the project. These costs will be calculated based on the UNDP Universal Price List or the actual corresponding service cost, adhering to the GEF rules on DPCs. The figures provided here are estimates, and</p>							-		39,740	39,740	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	detailed DPCs will be outlined during the annual project operational planning process, being incorporated into the yearly budgets. DPCs can only be utilized for operational costs per transaction and are not a flat fee											
Local Consultants	<p>Total: \$176,000</p> <p>Output 1.1.1 = \$70,500</p> <p>Output 2.1.1 = \$78,000</p> <p>Output 3.1.1 = \$25,000</p> <p>Output 5.1.1 = \$2,500</p> <p>The project will procure 16 national experts:</p> <ol style="list-style-type: none"> Expert on GHG Projections in Energy Sector - \$200/ day for 80 days - \$16,000 Expert on GHG Projections in Industry Sector - \$200/ day for 80 days - \$16,000 Expert on GHG Projections in Agriculture, and LULUCF Sector - \$200/ day for 80 days - \$16,000 Expert on GHG Projections in Waste Sector - \$200/ day for 80 days - \$16,000 National GHG Inventory Expert on Energy Sector - \$200/ day for 80 days - 	70,500	78,000		25,000		173,500	2,500		176,000	UNDP	

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	\$16,000 6. National GHG Inventory Expert on Industry Sector - \$200/ day for 80 days - \$16,000 7. National GHG Inventory Expert on Agriculture Sector - \$200/ day for 80 days - \$16,000 8. National GHG Inventory Expert on LULUCF Sector - \$200/ day for 77.5 days - \$15,500 9. NDC Mitigation Tracking Expert - \$150/ day for 30 days - \$4,500 10. NDC Adaptation Tracking Expert - \$150/ day for 30 days - \$4,500 11. Report Preparation Expert (consolidation of reports) - \$175/ day for 40 days - \$7,000 12. Inception Report Expert - \$125/ day for 20 days - \$2,500 13. Agriculture Expert - \$160/ day for 50 days - \$8,000 14. Gender Expert - \$160/ day for 50 days - \$8,000 15. Advisor on Climate Damage \$160/ day for 50 days - \$8,000 16. Snow Cover Extension Dynamics Expert \$150/ day for 40 days - \$6,000											

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
Trainings, Workshops, Meetings	<p>Total: \$48,421 Output 1.1.1 = \$12,000 for 8 workshops *\$1,500 each Output 2.1.1 = \$14,425 for 5 workshops * \$2,884.84 each Output 3.1.1 = \$10,496 for 4 workshops *\$2,625 each Output 5.1.1 = \$11,500 or 4 workshops in total: 1 Inception Workshop* \$4,000 and 3 yearly M&E workshops including meetings of the Project Steering Committee 3*\$2,500 At least 23 workshops on technical and political contexts will be carried out during the project implementation . Each of the project outcomes will be widely discussed with experts, NGOs, the government, stakeholders, etc</p>	12,000	14,425		10,496		36,921	11,500		48,421	UNDP	
Office Supplies	<p>Total \$200 \$50/year *4. Included in PMC. Project staf will procure office supplies and stationery on a yearly or quarterly basis for PMU work and workshops, as required</p>						-	200		200	UNDP	

Expenditure Category	Detailed Description	Component (US\$eq.)								Total (US\$eq.)	Responsible Entity[1]
		Component 1	Component 2		Component 3	Component 4	Sub-Total	M&E	PMC		(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1					
Travel	Total: \$81,400 Output 1.1.1 = 28,000.00 Output 2.1.1 = 24,000.00 Output 3.1.1 = 18,000.00 Output 4.1.1 = 5,000.00 Output 5.1.1 = 6,400.00 Budget for travel expenses for the project team and experts.	28,000	24,000		18,000	5,000	75,000	6,400		81,400	UNDP
Staff Costs	Total: \$42,390 2 volunteers *24 months *\$883.125/month Costs for UN Volunteers for 2 years for gender issues, working with the UNFCCC national focal point on gender issues			42,390			42,390			42,390	UNDP
Other Operating Costs	Total: \$37,832 Output 1.1.1 = \$8,332 Output 2.1.1 = \$7,000 Output 2.1.2 = \$10,500 Output 3.1.1 = \$7,000 Output 4.1.1 = \$5,000 The costs of producing and distributing visual materials, including electronic and printed publications, promotional materials, and audiovisual products	8,332	7,000	10,500	7,000	5,000	37,832			37,832	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1] (Executing Entity receiving funds from the GEF Agency)[2]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E			PMC
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
Other Operating Costs	Total \$12,953 Cost of audit and regular spot checks, conducted in accordance with UNDP Financial Regulations and Rules and applicable audit policies on UNDP projects.							-		12,953	12,953	UNDP
Other Operating Costs	Total: \$13,869 Included in PMC. The project will rent an office together with other UNDP projects in order to maximize resource savings as possible							-		13,869	13,869	UNDP
Grand Total		322,720	294,547	111,690	273,431	98,122	1,100,510	20,400	112,090	1,233,000		

[1] UNDP is reflected in many budget lines as a Responsible party. The reason is that UNDP will be the entity to process the payments and provide the execution support services to the project based on the request of Ministry of Ecology and Natural Resources of the Republic of Kazakhstan. Responsible Parties are entitled to manage the funds that they will receive as per the responsible party engagement rules

[2] In exceptional cases where GEF Agency receives funds for execution, Terms of Reference for specific activities are reviewed by GEF Secretariat

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1] (Executing Entity receiving funds from the GEF Agency)[2]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E			PMC
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
Equipment	Total: \$7,167.24 The costs for IT equipment for the technical tasks of the staff and consultants engaged in GHG inventory for both 1BTR and	7,167						7,167			7,167	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2		Component 3	Component 4	Sub-Total	M&E	PMC		(Executing Entity receiving funds from the GEF Agency)[2]	
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	2BTR/9NC are included in Output 1.1.1.											
Equipment	Total: \$1,000 \$250/ year *4 Included in PMC. This covers communication costs for project staff connectivity, including office phones and internet, excluding mobile costs. The project may use UNDP equipment at a reduced or zero cost in project offices							-		1,000	1,000	UNDP
Equipment	Total: \$1,332 Costs for equipment used by the Technical Coordinator and Project Manager (NB4 /NPSA 9) and the Project Administrative and Financial Assistant (NB2 /NPSA 5) for PMU administration After project closure, all equipment will be transferred to the national partner.							-		1,332	1,332	UNDP
Contractual Services – Companies	Total: \$291,212.60 Output 1.1.1 = 22,599.00 Output 2.1.1 = 77,000.00 Output 2.1.2 = 58,800 Output 3.1.1 = 118,813 Output 4.1.1 = 14,000.00 Cost of contractual services for	22,599	77,000	58,800	118,613	14,000	291,212				291,212	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	<p>companies involved in different project activities including (not limited) to: organize expert support for the project, procure experts, develop policy papers, provide support for project knowledge management, create and conduct capacity-building initiatives, engage stakeholders, and collect and analyze national statistics (and beyond) data. Technical thematic experts include:</p> <ol style="list-style-type: none"> 1. Education Expert 2. Extreme Weather and Hydro Events Expert 3. Water Resources 4. Caspian Sea Climate Expert 5. Bioclimatic Index Expert 6. Drought Expert 7. Biodiversity Expert 8. Climate Finance Expert 9. Forestry Expert 10. Economic Impacts of Climate Change Expert 11. Technology Transfer Expert 12. Industry Decarbonization Expert 											

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2		Component 3	Component 4	Sub-Total	M&E	PMC		(Executing Entity receiving funds from the GEF Agency)[2]	
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	13. Energy Transition Expert											
Contractual Services - Individ	<p>Total: \$296,488</p> <p>Output 1.1.1 = \$74,122 Output 2.1.1 = \$74,122 Output 3.1.1 = \$74,122 Output 4.1.1 = \$74,122</p> <p>The costs include:</p> <ul style="list-style-type: none"> • 85% of the Technical Coordinator and Project Manager (NB4 /NPSA 9) for 48 months (\$37,740/year *4 years *85% = \$128,316) • 68% of the Project Administrative and Financial Assistant (NB2 /NPSA 5) for 48 months (\$15,900/year *4 years *68% = \$43,248) • 100% of the National Technical Advisor of the project (NB4 - NPSA 8) for 42 months (\$2,498/month * 42 months = \$104,916) • Support from the PR Specialist (\$5,001/year *4 years = \$20,004) • The staff will be employed under NPSA contracts in accordance with the UNDP-approved 	74,122	74,122		74,122	74,122	296,488			296,488	UNDP	

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	payment scale for Kazakhstan											
Contractual Services - Individual	Total: \$42,996 Included in PMC. 15% of the costs for the Technical Coordinator and Project Manager NB4/ NPSA 9 for 48 months (\$37,740/year *4 years *15% = \$22,644) as well as 32% of the costs for Project Administrative and Financial Assistant NB2/ NPSA5 for 48 months (\$15,900/year *4 years *32% = \$20,352). Staff will be employed on a full-time basis under an NPSA contract in accordance with the UNDP-approved payment scale for Kazakhstan									42,996	42,996	UNDP
International Consultants	Total: \$140,000 contributing to Outcomes 1.1, 2.1 and 3.1. The allocation includes international consultants hired to assist with the GHG	100,000	20,000		20,000			140,000			140,000	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	inventory update. Seven consultants will be engaged, each for 25 days at \$800 per day, resulting in a total cost of \$140,000											
Other Operating Costs	<p>Total: \$39,740 Direct Project Costs (DPCs), as stipulated in the Letter of Agreement (Annex 13), pertain to the expenses incurred by UNDP in providing administrative services related to human resources, procurement, finance, and other functions for the project. These costs will be calculated based on the UNDP Universal Price List or the actual corresponding service cost, adhering to the GEF rules on DPCs. The figures provided here are estimates, and detailed DPCs will be outlined during the annual project operational planning process, being incorporated into the yearly budgets. DPCs can only be utilized for operational costs per transaction and are not a flat fee</p>						-		39,740	39,740	UNDP	

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
Local Consultants	<p>Total: \$176,000</p> <p>Output 1.1.1 = \$70,500</p> <p>Output 2.1.1 = \$78,000</p> <p>Output 3.1.1 = \$25,000</p> <p>Output 5.1.1 = \$2,500</p> <p>The project will procure 16 national experts:</p> <ol style="list-style-type: none"> 1. Expert on GHG Projections in Energy Sector - \$200/ day for 80 days - \$16,000 2. Expert on GHG Projections in Industry Sector - \$200/ day for 80 days - \$16,000 3. Expert on GHG Projections in Agriculture, and LULUCF Sector - \$200/ day for 80 days - \$16,000 4. Expert on GHG Projections in Waste Sector - \$200/ day for 80 days - \$16,000 5. National GHG Inventory Expert on Energy Sector - \$200/ day for 80 days - \$16,000 6. National GHG Inventory Expert on Industry Sector - \$200/ day for 80 days - \$16,000 7. National GHG Inventory Expert on Agriculture Sector - \$200/ day for 80 days - \$16,000 8. National GHG Inventory Expert on LULUCF 	70,500	78,000		25,000		173,500	2,500		176,000	UNDP	

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	Sector - \$200/ day for 77.5 days - \$15,500 9. NDC Mitigation Tracking Expert - \$150/ day for 30 days - \$4,500 10. NDC Adaptation Tracking Expert - \$150/ day for 30 days - \$4,500 11. Report Preparation Expert (consolidation of reports) - \$175/ day for 40 days - \$7,000 12. Inception Report Expert - \$125/ day for 20 days - \$2,500 13. Agriculture Expert - \$160/ day for 50 days - \$8,000 14. Gender Expert - \$160/ day for 50 days - \$8,000 15. Advisor on Climate Damage \$160/ day for 50 days - \$8,000 16. Snow Cover Extension Dynamics Expert \$150/ day for 40 days - \$6,000											
Trainings, Workshops, Meetings	Total: \$48,421 Output 1.1.1 = \$12,000 for 8 workshops *\$1,500 each Output 2.1.1 = \$14,425 for 5 workshops * \$2,884.84 each Output 3.1.1 = \$10,496 for 4 workshops *\$2,625 each Output 5.1.1 = \$11,500 or 4 workshops in total: 1 Inception	12,000	14,425		10,496		36,921	11,500		48,421	UNDP	

Expenditure Category	Detailed Description	Component (US\$eq.)								Total (US\$eq.)	Responsible Entity[1]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E		PMC	(Executing Entity receiving funds from the GEF Agency)[2]
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	Workshop* \$4,000 and 3 yearly M&E workshops including meetings of the Project Steering Committee 3*\$2,500 At least 23 workshops on technical and political contexts will be carried out during the project implementation . Each of the project outcomes will be widely discussed with experts, NGOs, the government, stakeholders, etc											
Office Supplies	Total \$200 \$50/year *4. Included in PMC. Project staf will procure office supplies and stationery on a yearly or quarterly basis for PMU work and workshops, as required							-		200	200	UNDP
Travel	Total: \$81,400 Output 1.1.1 = 28,000.00 Output 2.1.1 = 24,000.00 Output 3.1.1 = 18,000.00 Output 4.1.1 = 5,000.00 Output 5.1.1 = 6,400.00 Budget for travel expenses for the project team and experts.	28,000	24,000		18,000	5,000	75,000	6,400		81,400	81,400	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1] (Executing Entity receiving funds from the GEF Agency)[2]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E			PMC
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
Staff Costs	Total: \$42,390 2 volunteers *24 months *\$883.125/month Costs for UN Volunteers for 2 years for gender issues, working with the UNFCCC national focal point on gender issues			42,390				42,390			42,390	UNDP
Other Operating Costs	Total: \$37,832 Output 1.1.1 = \$8,332 Output 2.1.1 = \$7,000 Output 2.1.2 = \$10,500 Output 3.1.1 = \$7,000 Output 4.1.1 = \$5,000 The costs of producing and distributing visual materials, including electronic and printed publications, promotional materials, and audiovisual products	8,332	7,000	10,500	7,000	5,000		37,832			37,832	UNDP
Other Operating Costs	Total \$12,953 Cost of audit and regular spot checks, conducted in accordance with UNDP Financial Regulations and Rules and applicable audit policies on UNDP projects.							-		12,953	12,953	UNDP
Other Operating Costs	Total: \$13,869 Included in PMC. The project will rent an office together with other UNDP projects in order to maximize							-		13,869	13,869	UNDP

Expenditure Category	Detailed Description	Component (USDeq.)								Total (USDeq.)	Responsible Entity[1] (Executing Entity receiving funds from the GEF Agency)[2]	
		Component 1	Component 2			Component 3	Component 4	Sub-Total	M&E			PMC
		Sub-component 1.1	Sub-component 2.1	Sub-component 2.2	Sub-component 3.1	Sub-component 4.1						
	resource savings as possible											
Grand Total		322,720	294,547	111,690	273,431	98,122	1,100,510	20,400	112,090	1,233,000		

ANNEX C: ENVIRONMENTAL AND SOCIAL SAFEGUARDS

Attached any screening documents or other ESS related documents (if applicable). ESS screening is not required for EAs but should be included if its available.

Check this box is ESS screening is not required per Agency's regulations

Title

6708 Kaz_SESP_Enviro and social safeguards