



# Oman: Preparation of the First Biennial Transparency Report and the Third National Communication under the United Nations Framework Convention on Climate Change

Review CEO Endorsement and Make a recommendation

## Basic project information

**GEF ID**

11479

**Countries**

Oman

**Project Name**

Oman: Preparation of the First Biennial Transparency Report and the Third National Communication under the United Nations Framework Convention on Climate Change

**Agencies**

UNIDO

**Date received by PM**

10/20/2023

**Review completed by PM**

10/27/2023

**Program Manager**

Toshiyuki Yamasaki

**Focal Area**

Climate Change

**Project Type**

EA

## Expedited Enabling Activity req (CEO)

### Section I - Enabling Activity Summary

#### Funding elements.

**Is the enabling activity aligned with the relevant GEF funding elements as indicated in Table A and as defined by the GEF-8 Programming Directions? Is the General Enabling Activity Information table correctly populated?**

#### Secretariat's Comments

Toshi 1/6/2024:

Thank you. Cleared.

Toshi 12/22/2023:

Please revise the Expected Implementation Start Date again, now, it is too tight considering review period (currently Jan 15/2024).

Toshi 11/3/2023:

- 1) Per Decision 18/CMA.1 paragraph 3, "Parties shall submit their first biennial transparency report and national inventory report, if submitted as a stand-alone report, in accordance with the modalities, procedures and guidelines, at the latest by 31 December 2024". Please adjust the expected report submission to the Convention to 12/31/2024 at the latest.
- 2) Please keep in mind that the indicative costing for a combined BTR and National Communication is \$633,000 plus Agency Fee. We recommend referring to the information note [GEF/C.62/Inf.15](#), which contains more information.

3) Please revise the Expected Implementation Start Date (currently 11/1/2023) to a later more realistic date appropriately to account for the review period.

Project Executing Entity(s): ⓘ	Sultan Qaboos University	Executing Partner Type:	Others
GEF Focal Area (s): ⓘ	Climate Change	Submission Date: ⓘ	10/20/
Expected Implementation Start : ⓘ	11/1/2023	Expected Duration : ⓘ	28 In M
Type of Report(s): ⓘ	UNFCCC Biennial Transparency Report/ National Communication (BTR/NC)	Expected Report Submission to Convention: ⓘ	12/31/

#### A: Funding Elements ⓘ

GEF-8 Program	Trust Fund	GEF Financial (\$)
CCM-EA	GET	578,082
<b>Total Enabling Activity Cost</b>		<b>578,082</b>

#### Agency's Comments

- Thank you. The Expected Implementation Start was changed to 29 February 2024.

1. Thank you. The deadline for submission was adjusted to 31 December 2024.
2. Thank you. The Total Enabling Activity Cost was updated to 633,000 + Agency Fee.
3. Thank you. The Expected Implementation Start was changed to 29 February 2024.

#### Cost Ranges.

**If there was a deviation in the cost range, was this explained?**

#### Secretariat's Comments

Toshi 1/6/2024:

Thank you. Cleared.

Toshi 11/3/2023:

The project has no deviations in the cost range. The costing of the project is actually lower than the indicative costing of a combined BTR/NC contained in the [Information Note GEF/C.62/Inf.15](#) (i.e., \$633k plus Agency Fee). Please also note that the country can request support for the preparation of the first BTR combined with the Fourth National Communication, plus the preparation of the second BTR, if the country deems appropriate.

Agency's Comments -Thank you. The Total Enabling Activity Cost was updated to 633,000 + Agency Fee.

**Enabling activity summary.**

**Is the enabling activity summary clear? Are the components in Table B and as described in the enabling activity request sound, appropriate, and sufficiently clear to achieve the project objectives?**

Secretariat's Comments

Toshi 1/6/2024:

Thank you. Cleared.

Toshi 11/3/2023:

- 1) We take note that one of the outputs (Output) refers to the use of the 2006 IPCC guidelines. Please clarify if the project will also use the 2019 IPCC refinement.
- 2) In line with Decision 9/CMA.1, Parties may submit an adaptation communication as a component of, or in conjunction with, a BTR. Please clarify if the country intends to submit its Adaptation Communication as a component or in conjunction with its BTR1/NC4.
- 3) On Gender, for outputs that mentioned gender-disaggregated data (2.1.1, 2.1.2, 2.1.3, 2.1.4, 3.2.1, 3.3.2), please ensure that gender perspectives are reflected, which goes beyond data disaggregated by sex. Reflecting gender perspectives considers gender-differentiated impacts, roles and responsibilities, contributions of women, men, other genders that are relevant to consider in the specific output(s). The gender analysis to be conducted should inform / provide information on the relevant gender perspectives / gender equality considerations in the project outputs.
- 4) On Stakeholder engagement, the project objective is to strengthen capacity to undertake and prepare national climate change reports. However, it does not provide indicative information on how it intends to consult and engage civil society actors. Please provide some additional details on plans related to project component 2 and 3.

Agency's Comments

1. Thank you. Output 1.1.1 was revised and the use of 2006 IPCC Guidelines, including the 2019 refinement was confirmed. Sections in the document relevant to this point were highlighted in yellow.
2. Thank you. Please note that outcomes 2.1 and 4.1 were revised and the Adaptation Communication as a component was included. Sections in the document relevant to this point were highlighted in yellow.
3. Thank you. Please note that the need to conduct a gender analysis and data on climate change impacts and socially differentiated vulnerabilities, roles and responsibilities is recognized in "eligibility criteria" section. In addition to gender-disaggregated data, the following considerations are included in the proposal 1) analyses on gender differentiated impacts and adaptation needs in outputs of

outcomes 2.1 and 3.2, 2) gender-mainstreaming in institutional arrangements as stated in outcome 3.1, 3) equal participation of women in training activities and workshops (50%), 4) prioritization of gender focal points and associations that promote gender equality and the empowerment of women during consultation workshops. Sections in the document relevant to this point were highlighted in yellow.

4. Thank you. Please note that various activities dedicated to stakeholder engagement were included. For instance, training workshop on GHG gas inventory (output 1.1.2), validation workshop on GHG gas inventory (output 1.1.5), workshop for inclusive consultations (output 2.1.1), validation workshop for the assessment report (output 2.1.4), consultation workshop to identify mechanisms for stakeholder engagement (output 3.1.1), regular stakeholder meetings (output 3.1.2), consultation workshop on capacity-building needs (output 3.3.1) and consultation workshop to identify support on climate action (output 3.3.3). Inputs from stakeholders will also be gathered for instance during output 3.2.1. Sections in the document relevant to this point were highlighted in yellow.

## **Section 2 - Enabling Activity Supporting Information**

### **Eligibility Criteria.**

**Is this enabling activity eligible for GEF funding?**

#### **Secretariat's Comments**

**Toshi 11/3/2023:**

**Yes.**

**Agency's Comments** Thank you.

### **Institutional framework.**

**Are the institutional arrangements for implementation adequately described?**

#### **Secretariat's Comments**

**Toshi 11/3/2023:**

**Yes, institutional arrangements, including the narrative description of the project activities are well elaborated.**

**Agency's Comments** Thank you.

### **Monitoring and Evaluation.**

**Does the project include a budgeted M&E Plan?**

Secretariat's Comments

Toshi 11/3/2023:

**Yes.** The M&E budget for the project is \$16,000.

Agency's Comments Thank you.

**Section 3. Information Tables**

**GEF resource availability.**

**Is the proposed GEF financing in Table F (including the Agency fee) in line with GEF policies and guidelines?**

**Secretariat Comment at PIF/Work Program Inclusion**

Toshi 11/3/2023:

**Yes.**

**Agency Response** Thank you.

**Are they within the resources available from (mark all that apply):**

**STAR allocation?**

Secretariat's Comments

Toshi 11/3/2023:

**N/A.**

Agency's Comments Thank you.

**Focal Area allocation?**

Secretariat's Comments

Toshi 11/3/2023:

**N/A.**

Agency's Comments Thank you.

**LDCF under the principle of equitable access?**

Secretariat's Comments

Toshi 11/3/2023:

**N/A.**

Agency's Comments Thank you.  
**SCCF (Adaptation or Tech Transfer)?**

Secretariat's Comments

Toshi 11/3/2023:

N/A.

Agency's Comments Thank you.

**Focal Area Set Aside?**

Secretariat's Comments

Toshi 11/3/2023:

**Yes.** This is in line with Information Note

GEF/C.62/Inf.15 - <https://www.thegef.org/council-meeting-documents/gef-c-62-inf-15>

Agency's Comments Thank you.

**Rio Markers.**

**Are the Rio Markers for CCM ,CCA, BD and LD presented?**

Secretariat's Comments

Toshi 1/6/2024:

Thank you. Cleared.

Toshi 11/3/2023:

Please correct the Rio Markers to Principal Objective 2 for CC Mitigation, Significant Objective 1 for CC Adaptation, No Contribution 0 for Biodiversity and Desertification, as shown in the screenshot below:

**G. Rio Markers**

Climate Change Mitigation	Climate Change Adaptation	Biodiversity	Desertification
Principal Objective 2	Significant Objective 1	No Contribution 0	No Contribution 0

Agency's Comments

Thank you. Rio Markers were revised accordingly.

**Country endorsement.**

**Has the project been endorsed by the country's GEF Operational Focal Point at the time of the EA submission and has the name and position been checked against the GEF database? Are the endorsed amounts consistent with the amounts included in Portal**

Secretariat's Comments

Toshi 1/6/2024:  
Thank you. Cleared.

Toshi 11/3/2023:

1) On the Letter of Endorsement, the focal area source, in the LoE, is wrong as no STAR allocation funds will be utilized for this EA but rather the CC Set aside. Please reissue a new LoE. Template can be found here: <https://www.thegef.org/projects-operations/templates>

The total financing (from GEFTF) being requested for this project is US\$633,000, inclusive of project preparation grant (PPG), if any, and Agency fees for project cycle management services associated with total GEF Project Financing. The financing requested for the Sultanate of Oman is detailed in the table below.

Source of Funds	GEF Agency	Focal Area Source	Amount (in US\$)				Total
			GEF Project Financing	GEF Project Financing Agency Fee	Project Preparation Grant (PPG)	Project Preparation Grant (PPG) Agency Fee	
GEFTF	UNIDO	CC STAR	578,082	54,918			633,000
(select)	(select)	(select)					0
(select)	(select)	(select)					0
(select)	(select)	(select)					0
<b>Total GEF Resources</b>			<b>578,082</b>	<b>54,918</b>	<b>0</b>	<b>0</b>	<b>633,000</b>

2) If the Agency intends to increase the total GEF contribution to what it is entitled per the [Information Note GEF/C.62/Inf.15](#) as the comment above, then a new letter of endorsement with the total GEF contribution will need to be provided.

#### Agency's Comments

1. Thank you. The Letter of Endorsement was revised accordingly.

2. Thank you. The Letter of Endorsement was revised accordingly and the total GEF contribution was revised.

#### Response to Comments

Are all the comments adequately responded to? (only as applicable)

Gef Secretariat comments

#### Secretariat's Comments

Toshi 11/3/2023:  
N/A.



Agency's Comments Thank you.

**Other Agencies comments**

Secretariat's Comments

Toshi 11/3/2023:

N/A.

Agency's Comments Thank you.

**Council comments**

Secretariat's Comments

Toshi 11/3/2023:

N/A.

Agency's Comments Thank you.

**STAP comments**

Secretariat's Comments

Toshi 11/3/2023:

N/A.

Agency's Comments Thank you.

**Convention Secretariat comments**

Secretariat's Comments

Toshi 11/3/2023:

N/A.

Agency's Comments Thank you.

**CSOs comments**

Secretariat's Comments

Toshi 11/3/2023:

N/A.

Agency's Comments Thank you.

**Project Budget Table.**

**Is the project budget table attached? Are the activities / expenditures reasonably and accurately charged to the three identified sources (Components, M&E and PMC)?**

Secretariat's Comments

Toshi 1/6/2024:

Thank you. Cleared.

Toshi 12/22/2023:

Some of the text in the project budget table is off margins, please correct this (you can ask ITS for support in case it is needed).

		IPCC d of 1 990-2 023)	ate risks and mo nitoring adaptati on actio ns	C and B TR repo rts.	hnical assist ance, and fi nancin g.		to UNFC CC.		cordanc e with U NCCCC g uideline s).			
	Int'l consultant 1 : 1.1.1 Update GHG inventory for the period of 1990- 2023 for the sectors: energy; industrial processes and product use; agriculture; land use, land use change and forestry; and waste, according to the 2006 IPCC Guide lines and its refinement of 2019. 2.1.5. Implement and maintain a monitoring and reporting system for adaptation, ensuring its operationalization and regular updates, consider gender-differentiated impacts and needs.	68.000	19000	0	0	4.000	25.600	116.600	1.778	6.393,00	124.771	Sultan Qaboos University
	Int'l consultant 2: 2.1.1. Conduct inclusive consultations with divers											

Toshi 11/3/2023:

Please include a budget table in Annex B in right format. A template can be found in Guidelines on the Project and Program Cycle Policy: <https://www.thegef.org/projects-operations/policies-guidelines>

**ANNEX B: PROJECT BUDGET TABLE** ⓘ

Attach the project budget table.

Template:

## Appendix A: Indicative Project Budget Template

Expenditure Category	Detailed Description	Component (USDeq.)							Total (USD eq.)	Responsible Entity (Executing Entity receiving funds from the GEF Agency) <sup>80</sup>		
		Component 1		Component 2		Component 3		Sub-Total			M & E	P M C
		Outcome 1.1	Outcome 1.2	Outcome 2.1	Outcome 2.2	Outcome 3.1	Outcome 3.2					
Works	...											
	...											
Goods	Equipment 1										e.g. Ministry of Environment	
	Equipment 2											
	...											
Vehicles						xxx			xx x			
Grants/ Sub-grants	...											

Agency's Comments Thank you. The project budget table in the indicated format was attached and copied in the section. Our team in Headquarters supported us to solve the issue with the margins.

**Environmental and Social Safeguards.**

**If there are screening documents or other ESS documents available, have these been attached? (only as applicable)**

Secretariat's Comments

Toshi 11/3/2023:

Yes.

Agency's Comments Thank you.

**GEFSEC DECISION**

**RECOMMENDATION.**

**Is CEO endorsement/ approval recommended?**

Secretariat's Comments

Toshi 12/22/2023:

- 1) Please write in the "Agency's Comment" space how you addressed to our comments. Also, please highlight in yellow the parts you added or modified.
- 2) Also, please revise the Expected Implementation Start Date again, now, it is too tight considering review period (currently Jan 15/2024). (repeated)
- 3) Some of the text in the project budget table is off margins, please correct this (you can ask ITS for support in case it is needed). (repeated)

Toshi 11/3/2023:  
Please address the comments above.

**REVIEW DATE(S)**

	<b>Secretariat Comment at CEO Endorsement</b>	<b>Response to Secretariat comments</b>
<b>First Review</b>	<b>11/3/2023</b>	<b>12/22/2023</b>
<b>Additional Review (as necessary)</b>	<b>12/22/2023</b>	<b>1/3/2024</b>
<b>Additional Review (as necessary)</b>	<b>1/6/2024</b>	
<b>Additional Review (as necessary)</b>		
<b>Additional Review (as necessary)</b>		