



## UNEP GEF PIR Fiscal Year 2023

1 July 2022 to 30 June 2023

### 1- Identification

#### 1.1 Project details

GEF ID	5882	SMA IPMR ID	29118
Project Short Title	Gabon ABS	Grant ID	S1-32NPL-000006
		Umoja WBS	GFL-11207-14AC0003-SB-005823
Project Title	Gabon - Implementation of national strategy and action plan on access to genetic resources and the fair and equitable sharing of benefits accruing from their utilization		
Project Type	<input checked="" type="checkbox"/> Medium Sized Project (MSP)	Duration months	Planned 36 months
Parent Programme if child project			Age 88.0 months
GEF Focal Area(s)	Biodiversity	Completion Date	Planned - original PCA 31-Mar-20
Project Scope	<input checked="" type="checkbox"/> National		Revised - Current PCA 30-Jun-24
Region	<input checked="" type="checkbox"/> Africa	Date of CEO Endorsement/Approval	14-Jan-16
Countries	Gabon	UNEP Project Approval Date (on Decision Sheet)	21-Jun-16
GEF financing amount	USD 863,200	Start of Implementation (PCA entering into force)	21-Jun-16
Co-financing amount	USD 1,940,000	Date of First Disbursement	21-Jun-16
		Date of Inception Workshop, if available	12-16 June 2017
Total disbursement as of 30 June	USD 172,480	Midterm undertaken?	<input checked="" type="checkbox"/> No
Total expenditure as of 30 June	USD 164,476.46	Actual Mid-term Date, if taken	
		Expected Mid-Term Date, if not taken	
		Expected Terminal Evaluation Date	30-Jun-24
		Expected Financial Closure Date	31-Dec-24

#### 1.2 EA: Project description

To implement the Nagoya Protocol on Access and Benefit sharing through a coordinated and coherent strategy that incorporates awareness raising and capacity development. The specific problem that this project will address is the lack of a functioning national legal, political and institutional framework in Gabon to allow it to implement and meet its obligations as a Party to the Nagoya Protocol. The current regulatory and institutional landscape is not adequate to foster the effective implementation of the Nagoya Protocol, and Gabon is therefore missing out on socio-economic benefits from research on and the use of products derived from bio-genetic resources. Such socio-economic benefits would accrue in an ABS system compliant with the Nagoya Protocol and could be used to bolster biodiversity conservation and management, so as to contribute to a better functioning ABS system.

Component 1: Strengthening capacity of stakeholders

Component 2: Regulatory framework on ABS

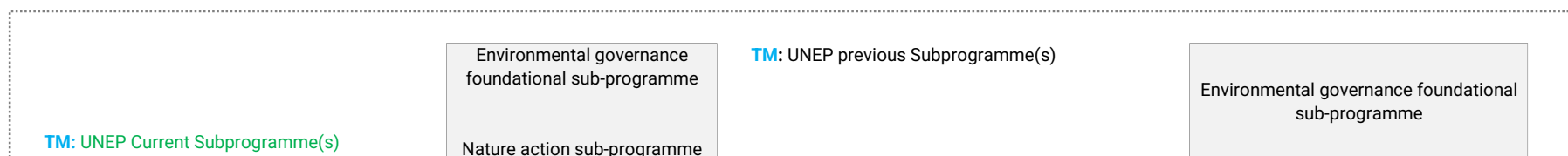
Component 3: Institutional Framework for the Nagoya Protocol.

Executing agency and main government/other partners: Ministry of Forest, Environment & Protection of Natural Resources, of Gabon

### 1.3 Project Contact

Division(s) Implementing the project	Ecosystems Division	Executing Agency(ies)	Ministry of Forest, Environment & Protection of Natural Resources, of Gabon
Name of co-implementing Agency		Names of Other Project Partners	Law Division
TM: UNEP Portfolio Manager(s)	Ersin Esen	EA: Manager/Representative	Stanislas Stephen Mouba
TM: UNEP Task Manager(s)	Andre Toham	EA: Project Manager	Emmanuel BAYANI NGOYI
TM: UNEP Budget/Finance Officer	Paul Vrontamitis	EA: Finance Manager	Romuald KASSA
TM: UNEP Support/Assistant	Eric Mugo	EA: Communications lead, if relevant	

## 2- OVERVIEW OF PROJECT STATUS



TM: PoW  
Indicator(s) Environmental  
governance foundational sub-  
programme

Nature action sub-  
programme

EA: UNSDCF/UNDAF linkages

INDICATORS (ii) Number of international legal agreements or instruments advanced or developed with UNEP support to address emerging or internationally agreed environmental goals)  
Direct Outcome: Nature action: 2.11 Illegal and unsustainable use of biodiversity decreases.  
Unit of Measure: Number of international legal agreements and instruments advanced or developed with UNEP support to address emerging or internationally agreed environmental goals

Indicator (i): Number of national or subnational entities that, with UNEP support, adopt integrated approaches to address environmental and social issues and/or tools for valuing, monitoring and sustainably managing biodiversity.  
Direct Outcome: 2.7 Natural assets are valued, monitored and sustainably managed.  
Unit of Measure (a) Number of national or subnational entities that adopt or adapt economic, regulatory or decision-support tools for valuing, monitoring and sustainably managing biodiversity

The project responds to the Republic of Gabon's UNDAF 2018-2022. The Strategic priority 4: Environmental sustainability and resilience: and its outcome by 2022, Gabon will improve the preservation of biodiversity and the management of its natural resources, particularly forestry, mining, energy and land, in a manner compatible with environmental sustainability.

EA: Link to relevant SDG Goals

Goal 15 and 17

EA: Link to relevant SDG Targets

Indicator 15.6.1: Number of countries that have adopted legislative, administrative and policy frameworks to ensure fair and equitable sharing of benefits. Target 17.14 Enhance policy coherence for sustainable development; 17.14.1 Number of countries with mechanisms in place to enhance policy coherence of sustainable development

2.2. GEF Core or

TM: GEF core or sub indicators targeted by the project as defined at CEO Endorsement/Approval, as well as results

Indicators

Targets - Expected value		
Mid-term	End-of-project	Total Target
N/A	N/A	

Materialised to date
N/A

Implementation Status

2023

6th PIR

2.3 Implementation status & Risk

FY 2023

FY 2022

FY 2021

FY 2020

FY 2019

FY 2018

PIR #	Rating towards outcomes (DO) (section 3.1)	Rating towards outputs (IP) (section 3.2)	Risk rating (section 4.2)
6th PIR	U	U	M
5th PIR	U	U	M
4th PIR	U	U	M
3rd PIR	MU	U	M
2nd PIR	MS	MS	L
1st PIR	S	S	L

EA: Summary of status  
(will be uploaded to GEF Portal)

Rating towards outcomes: The rating is U because the project is not making progress as planned despite all support from UNEP

Rating towards outputs: The rating is U because the project is not making progress.

Overall risk rating: The rating is M because the project is not making progress

2.4 Co-finance

EA: Planned Co-finance

USD 1,940,000.

EA: Actual to date:

Not reported

EA: Justify progress in terms of materialization of expected co-finance. State any relevant challenges.

Not reported

2.5. Stakeholder

**EA:** Date of project steering committee meeting

N/A

**EA:** Stakeholder engagement  
*(will be uploaded to GEF Portal)*

The project has put in place stakeholder consultation and participation of relevant stakeholders' groups including, representative of government agencies, universities, and indigenous people in the project steering committee to provide guidance to the project implementation.

2.6. Gender

**TM:** Does the project have a gender action plan?



No

**EA:** Gender mainstreaming  
*(will be uploaded to GEF Portal)*

During the stakeholder sensitization workshops gender inclusivity was considered, and thus far the country has complied and submitted their participant lists provided for their awareness raising and capacity building workshops. With regard to gender mainstreaming, particular attention was paid to gender equality issues in the conduct of project activities. During the stakeholder sensitization and capacity building workshops gender inclusivity was considered and 35% of the participants were women and their involvement in the decision-making process has been promoted.

2.7. ESSM

**TM:** Was the project classified as moderate/high risk at CEO Endorsement/Approval Stage?



No

**TM:** If yes, what specific safeguard risks were identified in the SRIF/ESERN?

**TM:** Have any new social and/or environmental risks been identified during the reporting period?



No

**TM:** If yes, please describe the new risks, or changes

**TM & EA:** Has the project received complaints related to social and/or environmental impacts (actual or potential ) during the reporting period?



No

**TM & EA:** If yes, please describe the complaint(s) or grievance(s) in detail including the status, significance, who was involved and what actions were taken.

**EA:** Environmental and social safeguards management  
*(will be uploaded to GEF Portal)*

Awareness raising and trainings have been organized for indigenous people on the opportunities of the Nagoya Protocol and the valuation of associated traditional knowledge associated to genetic resources.

Learning

**EA:** Knowledge activities and products  
*(will be uploaded to GEF Portal)*

The project has developed a strategy for communications, education and awareness raising of the public and development of education materials. This includes the development of national ABS Clearing House to inform users and providers of genetic resources.

*Please attach a copy of any products*

2.8. KM/

**EA:** Main learning during the period

Working with the Government'Ministry is a mid and long term undertaking, requiring time for improvement of the Ministry capability to deploy and implement the approved project

2.9. Stories:

**EA:** Stories to be shared  
(section to be shared with communication division/  
GEF communication)

No stories to be shared during this reporting period

### 3. RATING PROJECT PERFORMANCE

#### 3.1 Rating of progress towards achieving the project outcomes (Development Objectives)

Project objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	Progress as of current period (numeric, percentage, or binary entry only)	EA: Summary by the EA of attainment of the indicator & target as of 30 June	TM: Progress rating
<b>Objective</b>							
To implement the Nagoya Protocol on Access and Benefit sharing through a coordinated and coherent strategy that incorporates awareness raising and capacity development	The implementation of the Nagoya Protocol in Gabon is facilitated through relevant legal frameworks and texts, institutionalization of a Competent National Authority and through ABS awareness raising and capacity development	No policy, legislative and administrative framework on ABS or institutional arrangements are in place	Training and capacity development on ABS procedures for stakeholders has begun	Legal frameworks and legislative texts are drafted	0%	EA to fill No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
		Limited capacities to implement the Nagoya Protocol	A Competent National Authority is established	Identified enabling conditions for the implementation of the Nagoya Protocol are met	0%	EA to fill No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
<b>Outcome 1</b>							
Strengthened capacity for the implementation of Nagoya Protocol and its provisions	Relevant public servants capable of developing cross-sectoral legal and administrative ABS measures.	No capacity development activities for ABS. Two awareness raising sessions were held in February and March 2013.	At least four training or awareness raising sessions for different stakeholder groups (both men and women)	At least 250 persons trained, of which at least 100 officials (accounted for by gender)	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
	ILC engaged and knowledgeable about PIC and MAT procedures					No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
<b>Outcome 2</b>							
Regulatory and administrative procedures for ABS are developed, submitted validated and applied	1. A bill incorporating ABS provisions is submitted for adoption	No specific ABS bill or regulation is in place	The bill and the regulations are drafted	The bill and the regulations are ready for adoption and integration into sectoral processes	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
	2. At least three sectoral regulations incorporating ABS provisions are submitted for adoption						
<b>Outcome 3</b>							

The institutional ABS framework is established and operational	1. One regulation for the establishment and procedures of a Competent National Authority (CNA) is prepared	No Competent National Authority (CAN) in place 3 Institutional scenarios for a CNA are elaborated	A CNA is established	Website established and functional Check points are nominated	0%	The country has successfully designated its ABS Competent National Authority	U
	2. One regulation designating control points for ABS is drafted	No regulation on ABS control points available	Check points are identified			No progress due to lack of country responsiveness and institutional instability (High staff	
	3. Website on ABS in Gabon is endorsed by the CBD ABS clearing house						

**Outcome 4**

For joint projects and where applicable ratings should also be discussed with the Task Manager of co-implementing agency.

**3.2 Rating of progress implementation towards delivery of outputs (Implementation Progress)**

Output	Expected completion date	Implementation status as of 30 June 2022 (%) (Towards overall project targets)	Implementation status as of 30 June 2023 (%) (Towards overall project targets)	EA: Progress rating justification, description of challenges faced and explanations for any delay	TM: Progress rating
<b>Under Comp 1</b>					
<b>Strengthening capacity of stakeholders</b>					
Output 1.1.1: Definition of roles and responsibilities of stakeholders in the ABS processes	30-Jun-24	N/A	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
Output 1.1.2: Organization of seminars for communication, education and awareness raising of the public (CEPA) on ABS matters	30-Jun-24	N/A	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
Output 1.1.3: Training on ABS procedures for the main stakeholders of indigenous and local communities (ILC) and staff of the administrations involved (customs, ministries of forests, environment, trade, research)	30-Jun-19	100%	100%	Completed	S
<b>Under Comp 2</b>					
<b>Regulatory framework on ABS</b>					
Output 2.1.1: Elaboration of an ABS law and regulations	30-Jun-24	N/A	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
Output 2.1.2: Development of procedures for granting access to genetic resources and the fair and equitable sharing of benefits (PIC, MAT, manuals)	30-Jun-24	N/A	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over).	U
<b>Under Comp 3</b>					
<b>Institutional Framework for the Nagoya Protocol</b>					
Output 3.1.1: Establishment of a Competent National Authority (ABS-CNA)	30-Jun-24	N/A	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over)	U
Output 3.1.2: Establishment and enhancement of a clearing house and information exchange center for ABS-CNA	30-Jun-24	N/A	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over)	U
Output 3.1.3: Identification and designation of surveillance and check points for monitoring the utilization of genetic resources	30-Jun-24	N/A	0%	No progress due to lack of country responsiveness and institutional instability (High staff turn-over)	U



#### 4 Risk Rating

##### 4.1 Table A. Project management Risk

Please refer to the Risk Help Sheet for more details on rating

Risk Factor	EA's Rating	TM's Rating
1 Management structure - Roles and responsibilities	High: Unstable Management Structure and Unclear responsibilities or overlapping functions which lead to management problems. High Low : Steering Committee and/or other project bodies meet at least once a year and Active membership and participation in decision-making	High: Unstable Management Structure and Unclear responsibilities or overlapping functions which lead to management problems. High likelihood of negative impact on the Low : Steering Committee and/or other project bodies meet at least once a year and Active membership and participation in decision-making processes. SC provides direction/inputs.
2 Governance structure - Oversight	High: Major delays or changes in work plan or method of implementation and No measures taken and no adaptive management. Low : Activities are progressing within planned budget and Balanced budget utilisation including PMC. Low likelihood of potential negative	High: Major delays or changes in work plan or method of implementation and No measures taken and no adaptive management. High likelihood of negative impact on the project Low : Activities are progressing within planned budget and Balanced budget utilisation including PMC. Low likelihood of potential negative impact on the project delivery.
3 Implementation schedule	Low : Funds are correctly managed and transparently accounted for and Audit reports provided regularly and confirm correct use of funds. Low Low : Substantive reports are presented in a timely manner and Reports are complete and accurate with a good analysis of project progress and	Low : Funds are correctly managed and transparently accounted for and Audit reports provided regularly and confirm correct use of funds. Low likelihood of potential negative Low : Substantive reports are presented in a timely manner and Reports are complete and accurate with a good analysis of project progress and implementation issues. Low
4 Budget	High: Capacity is very low at all levels and Inability to address capacity gaps or partners require constant support and technical assistance.	High: Capacity is very low at all levels and Inability to address capacity gaps or partners require constant support and technical assistance. High likelihood of negative impact on
5 Financial Management		
6 Reporting		
7 Capacity to deliver		

If any of the risk factors is rated a Moderate or higher, please include it in Table B below

##### 4.2 Table B. Risk-log

Implementation Status (Current PIR)

0

Insert ALL the risks identified either at CEO endorsement (inc. safeguards screening), previous/current PIRs, and MTRs. Use the last line to propose a suggested consolidated rating.

Risk	Risk affecting:								Variation respect to last rating	
	Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	PIR 6	Δ	Justification
Risk 1 In country capacity is low	All outcomes & outputs	Not Applicable	L	L	M	M	M	M	=	Project activities have been impacted by high national project Staff turn-over, in-country low capacity, and lengthy administrative procedures, which in term may affect the overall project duration.  There is a need to accelerate the overall project execution. UNEP has taken some actions to build capacity of the project team and will work closely with the Ministry/National Project Unit to speed up the project activities execution.
Risk 2 Nagoya Protocol receives low priority and stakeholders fail to engage in the project	All outcomes & outputs	M	M	M	M	M	M	M	=	
Risk 3 High staff turnover in government agencies and loss of important staff with their "corporate knowledge"	All outcomes & outputs	H	H	H	H	M	M	M	=	
Risk 4 Communities may oppose regulations that restrict their activities relevant to ABS	All outcomes & outputs	H	H	H	H	H	H	H	=	
Risk 5 Lack of communication and coordination between participating agencies in-country	All outcomes & outputs	M	M	M	M	M	M	M	=	
Risk 6 Political buy in to NP changes for the worse during the project	All outcomes & outputs	M	M	M	M	M	M	M	=	
Management structure - Roles and responsibilities		Not Applicable	M	M	M	M	M	L	↓	
Implementation schedule		Not Applicable	M	M	M	M	M	M	=	
Capacity to deliver	All outcomes & outputs	Not Applicable	M	M	M	M	M	L	↓	

Consolidated project risk

All outcomes & outputs

M M M M

=

This section focuses on the variation. The overall rating is discussed in section 2.3.

4.3 Table C. Outstanding Moderate, Significant, and High risks

List here only risks from Table A and B above that have a risk rating of M or higher in the current PIR

Risk	Actions decided during the previous reporting instance (PIRt-1, MTR, etc.)	Actions effectively undertaken this reporting period	Additional mitigation measures for the next periods		
			What	When	By whom
Risk 1 In country capacity is low	Due to delays in the project execution, no progress has been made to mitigate all these risks	UNEP is working with the National Project Unit to resume the project activities under the new agreement	Additional capacity building of the national project team is required	Immediately	Recipient country
Risk 2 Nagoya Protocol receives low priority and stakeholders fail to engage in the project					
Risk 3 High staff turnover in government agencies and loss of important staff with their "corporate knowledge".					
Risk 4 Communities may oppose regulations that restrict their activities relevant to ABS					
Risk 5 Lack of communication and coordination between participating agencies in-country					
Management structure - Roles and responsibilities					
Implementation schedule					
Capacity to deliver					

**High Risk (H):** There is a probability of greater than 75% that **assumptions** may fail to hold or materialize, and/or the project may face high risks.  
**Significant Risk (S):** There is a probability of between 51% and 75% that **assumptions** may fail to hold and/or the project may face substantial risks.  
**Moderate Risk (M):** There is a probability of between 26% and 50% that **assumptions** may fail to hold or materialize, and/or the project may face only modest risks.  
**Low Risk (L):** There is a probability of up to 25% that **assumptions** may fail to hold or materialize, and/or the project may face only modest risks.





### Project Minor Amendments

Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5% as described in Annex 9 of the Project and Program Cycle Policy Guidelines. Please tick each category for which a change occurred in the fiscal year of reporting and provide a description of the change that occurred in the textbox. You may attach supporting document as appropriate.

5.1 Table A: Listing of all Minor Amendment (TM)

Minor amendments	Changes
Results framework	No
Components and cost	No
Institutional and implementation arrangements	No
Financial management	No
Implementation schedule	<b>Explain in table B</b>
Executing Entity	No
Executing Entity Category	No
Minor project objective change	No
Safeguards	No
Risk analysis	No
Increase of GEF project financing up to 5%	No
Co-financing	No
Location of project activity	No
Other	No

Minor amendments

5.2 Table B: History of project revisions and/or extensions (TM)

Version	Type	Signed/Approved by UNEP
Original Legal Instrument		
Amendment 1	Revision	
Extension 1	Extension	

Entry Into Force (last signature Date)	Agreement Expiry Date	Main changes introduced in this revision

### GEO Location Information:

The Location Name, Latitude and Longitude are required fields insofar as an Agency chooses to enter a project location under the set format. The Geo Name ID is required in instances where the location is not exact, such as in the case of a city, as opposed to the exact site of a physical infrastructure. The Location & Activity Description fields are optional. Project longitude and latitude must follow the Decimal Degrees WGS84 format and Agencies are encouraged to use at least four decimal points for greater accuracy. Users may add as many locations as appropriate. Web mapping applications such as [OpenStreetMap](https://www.openstreetmap.org/#map=4/21.84/82.79) (<https://www.openstreetmap.org/#map=4/21.84/82.79>) or [GeoNames](http://www.geonames.org/)(<http://www.geonames.org/>) use this format. Consider using a conversion tool as needed, such as: <https://coordinates-converter.com> Please see the [Geocoding User Guide](https://gefportal.worldbank.org/App/assets/general/Geocoding%20User%20Guide.docx) by clicking [here](https://gefportal.worldbank.org/App/assets/general/Geocoding%20User%20Guide.docx)(<https://gefportal.worldbank.org/App/assets/general/Geocoding%20User%20Guide.docx>)

Location Name Required field	Latitude Required field	Longitude Required field	Geo Name ID Required field if the location is not an exact site	Location Description Optional text field	Activity Description Optional text field
Libreville	-0.8037	11.6094			

Please provide any further geo-referenced information and map where the project interventions is taking place as appropriate. \*

[Annex any linked geospatial file]