

1- Identification

1.1 Project details

GEF ID	9697	Umoja No:	SB-007342
Project Title	Global Knowledge Management and Exchange of Child Project Results Through Networking and Outreach Activities for the GEF GOLD Program		
Duration months	Planned: 60 Extension: -	GEF financing amount	USD 8,000,000
Division(s) Implementing the project	UNEP Economy Division, GEF Chemicals and Waste, Chemicals and Health Branch	Co-financing amount	USD 17,767,604
Name of co-implementing Agency		Date of CEO Endorsement	7-Aug-18
Executing Agency(ies)	Natural Resources Defense Council (NRDC)	Start of Implementation	5-Nov-18
Names of Other Project Partners	UNIDO, UNEP Chemicals Branch; Artisanal Gold Council; Arrowhead Films	Date of first disbursement	21-Nov-18
Project Type	FSP	Total disbursement as of 30 June	USD 4,593,226
Project Scope	Global	Total expenditure as of 30 June	USD 3,723,119
Region (delete as appropriate)	-	Expected Mid-Term Date	30-Jun-22
Countries	Burkina Faso, Columbia, Guyana, Indonesia, Kenya, Mongolia, Peru, Philippines	Completion Date	Planned: 30-Sep-23 Revised: -
Programme of Work	PoW 5: Chemicals, waste and air quality	Expected Terminal Evaluation Date	30-Mar-24
GEF Focal Area(s)	Chemicals and Waste	Expected Financial Closure Date	30-Sep-24
EA: UNSDCF/UNDAF linkages	N/A (global project)		
EA: Link to relevant SDG target(s) & indicator(s)	SDG3.9: By 2030, substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination; SDG 12.4: By 2020, achieve the environmentally sound management of chemicals and all wastes throughout their life cycle, in accordance with agreed international frameworks, and significantly reduce their release to air, water and soil in order to minimize their adverse impacts on human health and the environment. Also SDG 17.7: Promote the development, transfer, dissemination and diffusion of environmentally sound technologies to developing countries on favourable terms, including on concessional and preferential terms, as mutually agreed; SDG 17.17 Encourage and promote effective public, public-private and civil society partnerships, building on the experience and resourcing strategies of partnerships		

1.2 Project description

The planetGOLD programme works in partnership with governments, the private sector, and communities in eight countries to reduce mercury use and to significantly improve the production practices and work environment of artisanal and small-scale miners, thereby improving the lives of more than 100 million people who rely on this

industry directly or indirectly for their livelihoods. The programme as a whole includes work on formalization, access to finance and formal markets and transfer of mercury free technology. The global project supports and coordinates these efforts. Executed by the leaders of the Global Mercury Partnership (NRDC, UNEP and UNIDO), the planetGOLD global project has the following components: (1) outreach to the finance community and facilitating engagement of formal markets; (2) knowledge management; and (3) communications.

1.3 History of project revisions (TM)

Version	Date	Main changes introduced in this revision
Rev0 (CEO)	07.Aug.18	
Rev1 (Agreement EA)	30.Oct.18	Project Cooperation Agreement with NRDC
Rev2 (Agreement UN)	30.Apr.19	UN to UN Contribution Agreement with UNIDO

2- OVERVIEW OF PROJECT STATUS

2.1 UNEP PoW

UNEP Subprogramme(s)

Subprogramme 5: Chemicals and Pollution Action

Specify the relevant Expected Accomplishment(s) & Indicator(s)

PoW Outcomes: 3A and 3C
PoW Outcomes Indicators: (i)(iii)(iv)(v)
Direct outcomes to which project contributes: 3.1, 3.2, 3.5, 3.9, 3.12, 3.13, 3.14

TM: Progress towards delivering the stated PoW

Since this is a global project, there are no specific country activities. However, a number of private sector and NGO partners are involved in the implementation and are expected to adopt the recommendations and tools created. Over the last 12 months, the project continued to support country projects in development of tools for financial access, facilitated communication and knowledge exchange, and increased positive global communication related to development in the ASGM sector

2.2. GEF Core Indicators

GEF Core Indicators

Increase in phase-out, disposal and reduction of releases of POPs, ODS, mercury and other chemicals of global concern

This is a global project so the reduction target is based on a replication factor from the child projects

Indicative expected Results

123 metric tons of mercury

TM: GEF core indicators targeted by the

Indicators	Expected value at	
	Mid-term	End-of-project
No specific indicators for the global project		

Implementation Status

2022



	PIR #	Rating towards outcomes (section 3.1)	Rating towards outputs (section 3.2)	Risk rating (section 3.3)
FY 2022	3rd	S	HS	L
FY 2021	2nd	S	HS	L
FY 2020	1st	MS	HS	L
FY 2019				

2.3 Implementation status & Risk

FY 2018
 FY 2017
 FY 2016
 FY 2015

EA: Summary of status
 (will be uploaded to GEF Portal)

During this third reporting period, the global project has continued to make progress on its components: (1) improving access to formal finance and formal markets for ASGM, (2) supporting knowledge exchange and (3) increasing communications that emphasize the positive development potential of the ASGM sector. Component 1: The project built on research from previous years to formulate financial assessment and management tools that address risk concerns, including an innovative "technical report" product meant to mimic a common investment evaluation instrument used for conventional LSM. To support access to formal markets, the project further disseminated and socialized the planetGOLD criteria, including developing new reporting tools; helped to disseminate information about the first completed supply chain pilot in Colombia; and worked to further define FPIC procedures under the criteria. Component 2: The project promoted knowledge exchange through targeted technical webinars as well as the virtual Global Forum. The project continued to populate and use the planetGOLD website as the main tool to share information across the ASGM community. The Annual Progress Report and Annual Program Meeting allowed for exchange of information about progress across the programme. Component 3: The communications component has been very robust, producing videos, original content for the website, posting on social media and supporting online events, as well as leading the community of practice among communications specialists in the country projects, including trainings. Risks to project implementation remain low; however, the country projects are only just emerging from COVID-19 restrictions in the latter part of the reporting period, which may delay the compilation of some programme results. In response, the global project is ramping up support of the country projects through supporting due diligence reporting, collecting country-specific data on estimated mercury reductions, amassing new photo, video and other assets to tell miner stories, and developing investment "packages" for selected sites that can be used as a platform to engage investors. A memo listing outputs and activities and associated deliverables for the reporting period can be found at this link: https://nrdc1-my.sharepoint.com/:w/g/personal/skeane_nrdc_org/EWVp1adpVJZlqNMSLMzFpFMBMyMUnvil6T078Mjo9wC2nA?e=2Vlbvm

2.4 Co-finance

EA:Planned Co-finance

17,767,604 (note: total using corrected exchange rates: \$18,381,598)

EA: Actual to date:

\$ 12,899,651

EA: Justify progress in terms of materialization of expected co-finance. State any relevant challenges.

The project continues to make progress in materialization of the expected co-finance. About 70 percent of the original amount of committed co-finance has been realized. While we no longer expect any cofinance from AMDC or CIRDI, as these organizations are no longer active in this space, this loss has been offset by higher than expected co-finance from other partners.

2.5. Stakeholder

EA: Stakeholder engagement
(will be uploaded to GEF Portal)

The planetGOLD Programme Advisory Group (PAG) consisting of representatives from academia, finance, gold buyers, governments and NGOs continues to be active. Three PAG meetings were held during this reporting period to provide updates and solicit advice from these stakeholders. Meeting agendas consisted of 3-4 country projects reporting on progress and one or two PAG members presenting on ASGM related activities. In addition, the project has continued to conduct outreach to the international financial community, through major conferences, including PDAC (the largest global mining investment conference), where we had a live booth in June 2022. The project continues to reach out to the broader ASGM community of practice as well as downstream buyers through hosting virtual events, as well as presenting at webinars hosted by others (see Component 2 in Performance tab for details). We have also directly engaged with OECD, World Bank, World Gold Council, and other international bodies to align their initiatives with planetGOLD. Due to lingering COVID concerns, our in-person Global Forum was held virtually in April 2022 and organized as a three-day event which presented learning opportunities for 579 external participants (in addition to 81 speakers) from around the globe on a range of ASGM-related topics.

2.6. Gender

EA: Gender mainstreaming
(will be uploaded to GEF Portal)

The project promotes the dissemination of information about, and voices of, female miners. In particular, the planetGOLD website resource library contains many reference materials on women in ASM, from which we have developed a curated collection of recommended readings. We also promoted social media participation in an event on International Women's Day to support female miners; and have featured a number of blogs and news items related to women in ASGM on the website. We also facilitated a second meeting of the gender specialists across the planetGOLD program to exchange ideas. We conscientiously recruited female speakers during virtual events; for our Global Forum, 42 out of 81 speakers invited to participate identify as female (52%). Further the Global Forum featured two special sessions (one for Africa, one for Asia) on experiences assessing gender impacts of ASGM, as well as one session on increasing access to finance for women miners. The current chair of the PAG, Miranda Werstiuk, identifies as female.

2.7. ESSM

EA: Environmental and social safeguards management
(will be uploaded to GEF Portal)

To establish and develop a common set of environmental and social safeguards among planetGOLD beneficiary ASGM operations, in Year 1, vetted and finalized the "planetGOLD Criteria for Environmentally and Socially Responsible Operations" and created a practical guide to the implementation process. During the current reporting period, to further support implementation of the planetGOLD Criteria, the project created a new planetGOLD criteria verification form, which complements the design of the CRAFT report. We also prepared for and scheduled a programme-wide knowledge exchange event featuring a practical pilot on the implementation of the CRAFT report and planetGOLD verification report, led by planetGOLD Colombia and an ARM representative (the event was held in July 2022, therefore more details to be reported in the next PIR). Finally, the project hired a consultant, Resolve, who specializes in Free, Prior and Informed Consent, to develop recommended best practices for ASGM engagement with Indigenous Communities, which is part of the planetGOLD criteria. The work is expected to be completed at the end of Q3 2022.

2.8. KM

EA: Knowledge activities and products
(will be uploaded to GEF Portal)

The project continued to refine our most important tool for knowledge management and dissemination, the planetgold.org website. The team continually adds fresh content that summarizes key ASGM issues and provides access to a growing body of information through Resource Library, now with over 500 (and growing) relevant publications from the global literature and ASGM projects around the world. The site now has (1) eight curated collections on key ASGM topics, which together highlight around 50 of the most relevant resources in the library; (2) four reading rooms specific to the Global Forum sessions; (3) an evolving collection of due diligence materials and guidance; and (4) 29 new contributions to the Voices page (blogs). New reports and other materials from the country projects are highlighted on each country page. The website site also now includes information regarding Phase 2 of the planetGOLD programme (with country-specific pages to come). The project also compiled key programme results in the 2020-2021 Annual Progress Report. The project also commissioned the following new knowledge resources: (1) writing guide on creating a technical report to support evaluations of ASGM investment, (2) a training course aimed at helping financiers better understand the ASGM sector (3) synthesis report on best practices for the use of cyanide in ASGM and (4) report on technologies to support supply chain due diligence and tracking. The project held technical webinars on: (1) new and emerging mercury-free technologies, that can contribute to assisting ASGM throughout the world to transition to mercury-free mining and (2) best management practices in the use of cyanide. Finally, we held our flagship knowledge sharing event, the three-day virtual Global Forum, which presented learning opportunities for 660 participants (speakers and attendees) worldwide on a range of ASGM-related topics.

EA: Stories to be shared
(section to be shared with communication division/
GEF communication)

Notable stories and original content published on the programme website, YouTube, social media, and online photo story platform include:

- A 9-minute video featuring interviews with miners from each planetGOLD country: <https://youtu.be/9kWhTKTE7sk>
- 3 additional “Voices from the Field” interviews with miners in Mongolia, Peru, and Burkina Faso: https://youtu.be/naMp_9biDD8
- A summary article reviewing planetGOLD’s 2022 Global Forum on ASGM: <https://www.planetgold.org/exchanging-knowledge-and-spurring-action-more-responsible-gold>
- A social media video recapping planetGOLD’s participation in the Cannes Film Festival side event on ethical gold: <https://youtu.be/Nvg4rKE5Ni8>
- A photo story profiling the Philippines project site Paracale and efforts to promote formalization and responsible mining: <https://www.planetgold.org/visualizing-future-formalized-artisanal-and-small-scale-gold-mining>
- A photo story on the Indonesia project’s awareness raising campaign for children of miners about mercury in North Gorontalo: <https://www.planetgold.org/storytelling-empowers-indonesian-students-stamp-out-mercury-use>
- A blog article and video about the Colombia project training mining communities in mercury-free technologies: <https://www.planetgold.org/comunidades-mineras-del-bajo-cauca-se-capacitaron-y-dijeron-colombia-libre-de-mercurio>
- A blog article about a female shaft owner in Burkina Faso helping the project team promote access to finance for ASGM: <https://www.planetgold.org/sluipe-box-owner-shaft-owner>
- A blog article summarizing the findings of the Peru project’s research on financial inclusion for ASGM: <https://www.planetgold.org/study-reveals-peruvian-artisanal-miners-need-usd-344-million-financing-increase-their-production>
- A blog article reviewing progress of the Mongolia team’s training program: <https://www.planetgold.org/planetgold-mongolia-project-commenced-its-trainings-program-better-mining-practices-artisanal>
- A blog article on gender inclusion in Kenya: <https://www.planetgold.org/promoting-opportunities-kenyan-women-mining>
- A video interview with a woman miner in Guyana on her interest in mercury free technology and finance: <https://youtu.be/z9NnXoFs6p0>



To Step 2

3. RATING PROJECT PERFORMANCE

3.1 Rating of progress towards achieving the project outcomes

Project objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	EA: Summary by the EA of attainment of the indicator & target as of 30 June	TM: Progress Rating
Objective						
Financial resources for the ASGM sector are secured to transition to mercury-free mining techniques	Amount of money made available by investors or financial institutions for transition to mercury free techniques, resulting from both country level and global level activities	Sector is severely undercapitalized with miners unable to access funding; Lack of capital is a major barrier for the ability to adopt mercury free technology.	50% of the targeted amount intended to the accessed through financial mechanisms in country-level and global-level projects is deployed (50% are women)	100% of the targeted amount intended to the accessed through financial mechanisms in country-level and global level projects is deployed	All countries have been engaged in discussions with national level financial institutions to develop mechanisms for ASGM to access financing. As of end of Q1 2022 three of the country projects (Peru, Colombia, Indonesia) have reported signing agreements with local financial entities for this purpose, and one (Indonesia) reported initial flow of small loans. Further, the Burkina project reported working with their Ministry of Women on a special entrepreneur fund for women miners. Mongolia and Philippines reported working on social enterprise models to attract investment. At the international level, outreach to global mining investors revealed that such investors want to see the development of specific investment projects to consider, before engaging with the ASGM sector. In response, the global project developed new tool, the "technical report" template tailored for ASGM, that mimics standard technical investment documents mandated for large scale miners. This tool will be coupled with the business plan template, and the financial assessment calculators, to assemble "investment packages" for selected operations in the planetGOLD countries. During this reporting period, the global project initiated work with five of the country projects to collect the data needed to complete the technical reports for selected sites.	S

	# miners/communities/associations accessing credit in country level projects (including gender indicators) on transitioning to mercury-free mining techniques	see above	50% of the miners/communities/associations targeted by the country projects are assisted At least 50% of miners accessing finance are women	100% of the miners/communities/associations targeted by the country projects are assisted; At least 50% of miners accessing finance are women	As mentioned above, as of the 2022 Q1 reporting period, in three of the planetGOLD countries, agreements with local financial institutions have been developed that will service the targeted mining areas, including products that focus on women, and Burkina also reported in developing a women-miner-focused government fund. As the mechanisms are just being rolled out, it is not yet possible to count the percent of miners targeted that are assisted or their gender, but these data should be available once loans begin to flow during the next period.	MS
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Outcome 1

Financial service providers and investors who are new to the GOLD Programme access guidance on financial schemes to engage ASGM sector.	#of new investors/service providers create access to finance in ASGM sector through the GEF GOLD global programme	Lack of investors is one of the main barriers to the development of non-mercury ASGM operations	3 new investors/providers	10 new investors/providers	At the country level, three country projects so far have signed agreements with entities to provide financial services, as described above. At the global level, the global project has conducted outreach through finance conferences and had discussions with dozens of finance specialists to educate and identify potentially interested investors (and downstream buyers who may pre-finance). One barrier that investors have identified is lack of clearly investible projects, so we have increased our focus on working with the country projects to develop investment packages for selected ASGM projects. We have also created and piloted a short training program to educate financiers about ASGM, so they are more familiar with the sector and its opportunities.	MS
	#SRI funds that change their investment guidance to include consideration of the ASGM sector	see above	2 SRI funds change their principles to encourage some investment in the ASGM sector	8 SRI funds change their principles to encourage some investment in the ASGM sector	The project has continued outreach to SRI fund managers and other investors to increase their knowledge on ASGM as an investment opportunity. However, to date, the identification of SRI champions has been elusive. We plan to hire an expert consultant during the next reporting period to make more concentrated efforts in this regard.	MS

Outcome 2

<p>Project-participating countries and the wider ASGM community increasingly share, access and apply knowledge on global experiences to assist the development and implementation in formalisation, access to finance and technology transfer</p>	<p># of users who download educational materials and information</p>	<p>Information is currently widely scattered, often not available electronically, and not yet synthesized in a manner useful to Parties developing a NAP</p>	<p>100 visitors by country who download and report using information</p>	<p>200 visitors by country who download and report using information</p>	<p>As of June 30, 2022, web analytics reported 88,254 user sessions (Philippines: 16.5K; Peru: 8.2K; Colombia: 6.9K; Burkina Faso 3.9K; Kenya: 3.7K; Ecuador: 1.8K; Guyana 1.7K). The web page most visited was: COVID-19 Awareness Raising Materials for Miners & Local Communities. Total PDF downloads: 9,110. Most popular PDF download: planetGOLD Colombia's: Como se que tengo coronavirus? This was also the most popular download for Colombia, Peru, and Philippines. Top downloads for: Burkina Faso: Améliorer la formalisation, l'accès au financement et la traçabilité de l'or artisanal; Ecuador: TSA Study on the ASGM Sector in Ecuador; Guyana: El Dorado Gold: Working with COVID; Indonesia: El Dorado Gold: Accountability and Grievance Mechs; Kenya: Manual for Training ASGM; Mongolia: pG Mongolia Report: Gender Mapping in ASGM in Mongolia.</p>	<p>S</p>
	<p># of users reporting application of the knowledge gained, through surveys</p>	<p>see above</p>			<p>The project widely disseminated a survey inviting planetgold.org web users to share their experiences using the web site, which received 37 responses. When asked how useful they find the information on planetgold.org on a scale of 1-5, with 1 being "Not very useful" and 5 being "Very useful," 56.8% of respondents marked 5; 29.7% marked 4, and 13.5% marked 3. When asked how easy it is to use the web site on a scale of 1-5, with 1 being "Very Difficult" and 5 being "Very Easy," 45.9% of respondents marked 5; 29.7% marked 4; 21.6% marked 3; and 2.7% marked 2. A majority of respondents said the parts of the web site they most commonly visit are the Knowledge Area pages (62.2%) and the Resource library (54.1%). When asked what they would like to see more of, a majority of respondents said "more country-specific resources" (62.2%) and "more resources and</p>	<p>S</p>

Outcome 3

Support among the governments, stakeholders, mining communities or financial sector and international media for reduced mercury use in ASGM is increased	# of government agencies and financial sector institutions in GEF GOLD countries that issue more balanced public policy statements on ASGM	ASGM is misunderstood in many countries. Many people have not heard of ASGM.	Government agencies or financial institutions in 3 GEF GOLD countries issue new policy statements	Government agencies or financial institutions in 7 GEF GOLD countries issue new policy statements	The planetGOLD country projects have engaged in a significant amount of education, training, and awareness raising at national and local levels of government and with financial institutions, building on earlier efforts to deepen their appreciation for the benefits of a well-governed ASGM sector. Projects are also assisting governments with the development of policy statements and/or guidance on good ASGM practices, including work on: Indonesia's Guideline for Development of Regional Action Plans for Mercury Reduction and Elimination (RAD-PPM); Peru's National Multisectoral Policy for Small-Scale Mining and Artisanal Mining; Ecuador's proposal for legal recognition of Jancheras (women miners); Mongolia's ASM Regulation #151 related to permitting and land permissions, rehabilitation and traceable supply chains; and on policy amendments to the Philippines' People's Small-Scale Mining Act. This progress indicates movement of governments to adopt a more positive, practical stance on ASGM, and a willingness to improve its governance.	S
	# of media publishing balanced media stories in international press		One balanced media story in international press	Three balanced media stories in international press	The project has created a Press Kit for the programme, maintained a running media list and made initial contact with a handful of reporters. As COVID related restrictions have eased during this reporting year and country projects have progressed in setting up mercury-free gold production that journalists can visit and report on, the global project has held discussions with country project staff to identify newsworthy stories that can be pitched to international media, and has begun drafting two pitches to send to targeted journalists. The project has also been developing an interactive 360 degree tour of a site in Guyana, which we aim to include in a story pitch about that country project. As reported below, the project has also engaged in a range of communication channels to create interest and	
Outcome 4						
Project is evaluated according to GEF rules						

For joint projects and where applicable ratings should also be discussed with the Task Manager of co-implementing agency.

3.2 Rating of progress implementation towards delivery of outputs

Output	Expected completion date	Implementation status as of 30 June 2021 (%)	Implementation status as of 30 June 2022 (%)	EA: Progress rating justification, description of challenges faced and explanations for any delay	
1.1. Sensitisation of potential financial institutions and investors to invest in the ASGM sector.	60 months	36% of budget for 1.1 and 1.3 expended	51% of budget for 1.1 and 1.3 expended	The global project financial advisor consultant team continued to conduct ongoing outreach to sensitize investors to the ASGM sector. The team attended the Impact Investing World Forum, the Roundup (Western Canada's main mining sector conference) and PDAC 2022, which took place in person this year, enhancing education and having substantive interactions with 46 new investors and other supply chain stakeholders, who were added to the contact database for future follow up. The investment toolkit was enhanced by adding the guide for creating a technical report to support ASGM investment as well as a training course for financial managers to understand ASGM, which was test piloted with economic geology students at the University of Guyana. Early in the reporting period, a Financial Access network meeting was held with country project financial focal points; however due to changes in personnel at the financial advisor consultant, meetings were suspended and will resume in the next reporting year. To support due diligence (which is key for both investors and downstream buyers), the team completed a detailed report on data management technologies (including blockchain) to support responsible supply chains. In response to a request from a PAG member, a small research study was commissioned to describe practical barriers to development of responsible ASM supply chains, which will be finalized Q3 2022. The team made an updated version of the planetGOLD criteria supplementary guide and the criteria document, clarifying language in response to comments from users and creating a report template for planetGOLD criteria (similar to CRAFT). We commissioned research on	HS
1.2 Unlock capital flows to the ASGM sector through financing specific opportunities for improvement.	60 months	postponed	postponed	postponed	

<p>1.3 Socially responsible investment (SRI) Community members sensitized to remove barriers in their charters and/or other governing rules that are or may have been preventing them</p>	<p>60 months</p>	<p>36% of budget for 1.1 and 1.3 expended</p>	<p>51% of budget for 1.1 and 1.3 expended</p>	<p>The project has continued outreach to SRI funds, including in-depth meetings with two investment funds (Impact Unicorn Group and Bunker Group Gold & Silver, Singapore) to discuss models for supporting responsible ASGM supply chains. However to date, we have not identified SRI champions for ASGM. The team will hire an expert consultant to ramp up these efforts, and will also attend SOCAP 2022 (the major North American meeting of SRI investors), where we have submitted a proposal to hold a panel session on ASGM to raise awareness.</p>	<p>MS</p>
<p>Under Comp 2</p>					
<p>2.1 Knowledge from global experience, as well as from GEF-GOLD programme experiences in key ASGM topics (formalisation, financing, market access and technology transfer), is collated and synthesized.</p>	<p>60 months</p>	<p>~34% of budget for component 2 expended</p>	<p>~50% of budget for component 2 expended</p>	<p>The project continues to research, collect and curate literature on ASGM topics such as formalization, technology solutions, access to finance, gender, supply chain and awareness raising. We currently have over 500 resources in the knowledge repository on the website and have developed curated collections on the four thematic areas of the programme as well as on gender, ASGM, biodiversity and supply chain. This year we created new knowledge products: (1) training guide technical report to support ASGM investment, (2) ASGM Training Course (3) Supply Chain report, and (4) Best Management Practices for Cyanide Use. Challenges include collecting documents and other knowledge products from country projects such as training materials, despite repeated requests.</p>	<p>HS</p>

<p>2.2 Information is disseminated and shared among relevant stakeholders.</p>	<p>60 months</p>	<p>~34% of budget for component 2 expended</p>	<p>~50% of budget for component 2 expended</p>	<p>The planetGOLD website continues to serve as a resource hub and platform for country projects updates, experiences, reports, etc. This year, we worked toward improving the website organization for easier user experience by 1) adding country project navigation bars to allow users to easily find up-to-date news and other information about country projects, 2) creating the planetGOLD Glossary 3) initiating work on an automated chatbot to answer frequently asked questions and 4) redesigning and separating news and events pages to facilitate access to the growing amount of content. The web team also provided training sessions for country project web editors so that they can easily add their own material to the website. Throughout the year we updated the site with new programme/news events, blogs, etc, including amplifying information from global ASGM stakeholders and partners. Information was disseminated via the help of external global partners (Delve, ARM), social media accounts, the planetGOLD listserv, announced during events, shared via email and/or during meetings to PAG members, project managers and project experts. In addition to the website, knowledge was shared through technical webinars on: (1) new and emerging mercury-free technologies, that can contribute to assisting ASGM throughout the world to transition to mercury-free mining and (2) best management practices in the use of cyanide. Finally, we held our flagship knowledge sharing event, the three-day virtual Global Forum which presented learning opportunities for 660 participants (speakers and attendees) worldwide, with translation in English, French and Spanish, on a range of ASGM-related topics. The event was rated with a score of 9/10 by those participating in a post-event survey.</p>	<p>HS</p>
<p>2.3 Results among country-level projects of the programme collected and analysed to provide program-level results</p>	<p>60 months</p>	<p>~34% of budget for component 2 expended</p>	<p>~50% of budget for component 2 expended</p>	<p>Project managers shared and reported on activities and project results throughout the year on bi-monthly project manager calls, the Annual Programme Meeting, required quarterly updates and presentations during various project events and PAG meetings. Country projects continue to share key project reports on the website and contribute to Voices (blog site). However, we have faced some challenges collecting certain types of products from country projects such as training materials, and documentation of their data collection methods. Nevertheless, using available country project information, the global team assembled the 2020-2021 Annual Progress Report to highlight important country project accomplishments and results. There have been some challenges in collecting data that can be aggregated across the program. First, the projects do not all share a common set of core indicators that are formulated the same way, and thus it has been difficult to aggregate quantitative results. Second, it has been difficult to design a reporting form that collects both quantitative and qualitative data, and encourages managers to take ownership of the sharing opportunity, but does not overburden project managers. We are now engaging with KM staff from other GEF funded projects to share ideas and challenges on how to improve this practice for future years.</p>	<p>HS</p>

Under Comp 3

3.1 Global branding of GEF GOLD programme identity established	6 months	100% budget spent down, branding completed	100% budget spent down, branding completed	This activity was completed in year 1.	
3.2 Proactive PR/media strategy executed, targeting general public, financial institutions and government agencies, to create more balance perception of impacts of ASGM.	30 months	~55% of budget for 3.2 and 3.3 expended	~67% of budget for 3.2 and 3.3 expended	<p>The project trained country project communications focal points on the use of a shared Google Drive, which has assembled more than 26 GB of photo and video assets to use in communications products promoting more positive perceptions of ASGM. Media tools and assets created and/or published by the project include: 1 9-minute "Voices from the Field" video featuring testimonials from miners in each program country, 5 additional video vignettes of miner perspectives on pursuing formalization, access to finance, and mercury-free mining technologies, and additional original video content published on YouTube garnering 204,673 views, 2,043,869 impressions, and 1,596 new subscribers; presentation materials for a side event at the 2021 Cannes Film Festival spotlighting ethical gold plus a social media video promoting the event; 1 Press Kit disseminated to all programme participants to facilitate outreach with media; original content created by the global project on its social media accounts, garnering a total of 153,000 impressions and a total of 930 new followers across LinkedIn, Twitter and Facebook during the reporting period; 3 email newsletters and 6 additional email announcements disseminated to the programme email list, with 868 subscribers as of 6/30/22; 36 blog articles on the website and social media; 1 draft 360-degree interactive tour of Guyana project's mercury-free demonstration site, to be finalized in the next reporting period; and 1 draft Visibility and Communications Plan for a potential awareness raising partnership with Argor Heraeus.</p>	HS

3.3 Communication efforts by all GEF GOLD projects are supported and reinforced.	60 months	~55% of budget for 3.2 and 3.3 expended	~67% of budget for 3.2 and 3.3 expended	The global project drafted and disseminated 1 programme Messaging Guide to all planetGOLD participants, and created 1 Communications Toolkit for disseminating to new country teams joining the programme in phase 2. The project convened 4 quarterly calls with all project communications officers to exchange updates, lessons learned, and to identify potential story leads, while also: giving all communications officers access to a Canva online design tool to facilitate their creation of more than 135 branded graphic designs for their project outreach; maintaining an online Basecamp project for regular information sharing among the communications officers; and a WhatsApp group. The project provided country projects and partners with text editing, video editing, and/or online publication support for 17 blog articles and 19 videos , publishing and sharing this content on the programme website, LinkedIn, Twitter, Facebook, YouTube, email newsletter, and via partner listservs. On its Exposure photo story account the project provided publication support for the creation of 2 photo stories : on miners in the historic gold town of Paracale, Philippines, pursuing formalization and more responsible mining methods, and on mercury awareness raising campaigns using storytelling for children in Indonesia. The communications team also supported the publication and dissemination of 1 new report on cyanide in ASGM, 1 new report on supply chain technology options for planetGOLD projects, and the 2020-2021 planetGOLD Annual Progress Report in English, French and Spanish. To support outreach to the investment community, the project printed 10 sets of brochures , 1 investment case document highlighting project investment potential, 500 branded pens , and provided other material support for an exhibit at the PDAC 2022 conference in Toronto.	HS
Under Comp 4					
Under Comp 5					

The Task Manager will decide on the relevant level of disaggregation (i.e. either at the output or activity level).



Table A. Risk-log
Implementation Status

PIR 3

Risk	Risk affecting:	Risk Rating						riation respect to last rati	
	Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	PIR 6	Justification
Change in the political and economic situation during the life time of the Programme impacts the implementation	May affect all outcomes and outputs, which all rely on inputs from country project implementation	L	L	M	M				
Failure to identify an appropriate investment model, and assurance of post-intervention sustainability	May affect Outcome 1, Output 1.1	L	L	L	L				
Lack of coordination between various ASGM initiatives on the ground	May affect Outcome 2, Outputs 2.2, 2.3	L	L	L	L				
Armed conflict, armed groups and/or tax-seeking behavior impeding the Programme's planned interventions	May affect all outcomes and outputs, which all rely on inputs from country project implementation	M	L	L	L				
Prevailing cultural norms and practices (negative views on outsiders, resistance to change), prevent project activities	May affect Outcome 3, Output 3.2	L	L	L	L				

Language barrier communication/low education in the mining communities when the training workshops take place	May affect primarily Outcomes 2 and 3, Outputs 2.2 and 3.2.	L	L	L	L				
Consolidated project risk		L		L	L				This section focuses on the variation. The overall rating is discussed in section 2.3.

Table B. Outstanding medium & high risks

List here only risks from Table A above that have a risk rating of **M or worse** in the **current PIR**

Risk	Actions decided during the previous reporting instance (PIRt-1, MTR, etc.)	Actions effectively undertaken this reporting period	Additional mitigation measures for the next periods		
			What	When	By
Change in the political and economic situation during the life time of the Programme impacts the implementation	Continue to focus on creating and sharing knowledge within COVID constraints.	The project continued to share knowledge, through successful virtual events (Global Forum, virtual investor meetings, regular internal program meetings, participation in ASGM community events like the OECD Responsible Minerals forum); worked with experts to create new synthesis reports through desktop research and interviews; and continued with active online communications.	While the project successfully overcame challenges during the last reporting period, the lag in activities that has occurred due to COVID requires continued attention and vigilance. As COVID restrictions are eased, the project will accelerate knowledge management and communications to reflect more in-field work, including in person meetings where possible.	ongoing	global and country project managers; communications coordinators; knowledge management

High Risk (H): There is a probability of greater than 75% that **assumptions** may fail to hold or materialize, and/or the project may face high risks.

Significant Risk (S): There is a probability of between 51% and 75% that **assumptions** may fail to hold and/or the project may face substantial risks.

Medium Risk (M): There is a probability of between 26% and 50% that **assumptions** may fail to hold or materialize, and/or the project may face only modest risks.
Low Risk (L): There is a probability of up to 25% that **assumptions** may fail to hold or materialize, and/or the project may face only modest risks.



To Step 4

Project Minor Amendments

Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or project financing up to 5% as described in Annex 9 of the Project and Program Cycle Policy Guidelines.

Minor amendments	Changes	Minor amendments
Results framework		
Components and cost		
Institutional and implementation arrangements		
Financial management		
Implementation schedule		
Executing Entity		
Executing Entity Category		
Minor project objective change		
Safeguards		
Risk analysis		
Increase of GEF project financing up to 5%		
Co-financing		
Location of project activity		
Other		

GEO Location Information:

The Location Name, Latitude and Longitude are required fields insofar as an Agency chooses to enter a project location under the following conditions: in instances where the location is not exact, such as in the case of a city, as opposed to the exact site of a physical infrastructure, the Location Name, Latitude and Longitude fields are optional. Project longitude and latitude must follow the Decimal Degrees WGS84 format and Agencies are encouraged to provide greater accuracy. Users may add as many locations as appropriate. Web mapping applications such as OpenStreetMap or GeoNAMES can be used for location conversion tool as needed, such as: <https://coordinates-converter.com> Please see the Geocoding User Guide by clicking here

Location Name Required field	Latitude Required field	Longitude Required field	Geo Name ID Required field if the location is not an exact site
Monglia	46	105	2029969
Philippines	13.40882	122.56155	1694008
Indonesia	-5	120	1643084
Peru	-10	-75.25	3932488
Colombia	4	-73.25	3686110
Guyana	5	-59	3378535
Kenya	1	38	192950
Burkina Faso	12.5	-1.66667	2361809

Please provide any further geo-referenced information and map where the project interventions is taking place

[Annex any linked geospatial file]