

# **GEF - PROJECT IMPLEMENTATION REPORT (PIR)**

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# UNEP GEF PIR Fiscal Year 2024 Reporting from 1 July 2023 to 30 June 2024

## **1 PROJECT IDENTIFICATION**

### 1.1 Project Details

<b>GEF ID:</b> 10845	Umoja WBS:SB-022202
SMA IPMR ID:141655	Grant ID:S1-32GFL-000848
Project Short Title:	
GEF-CW.10845.GOLD+ Côte d'Ivoire	
Project Title:	
Global Opportunities for Long-term Development o	of ASGM in Côte d'Ivoire
Duration months planned:	60
Duration months age:	13
Project Type:	Full Sized Project (FSP)
Parent Programme if child project:	10569
Project Scope:	National
Region:	Africa
Countries:	Ivory Coast
GEF Focal Area(s):	Chemicals and Waste
GEF financing amount:	\$ 3,937,500.00
Co-financing amount:	\$ 13,451,000.00
Date of CEO Endorsement/Approval:	2023-01-12
UNEP Project Approval Date:	2023-02-16
Start of Implementation (PCA entering into force)	2023-05-01
Date of Inception Workshop, if available:	2023-04-25
Date of First Disbursement:	2023-05-23
Total disbursement as of 30 June 2024:	\$ 683,000.00
Total expenditure as of 30 June:	\$ 503,468.00

Midterm undertaken?:	No
Actual Mid-Term Date, if taken:	
Expected Mid-Term Date, if not taken:	2025-11-26
Completion Date Planned - Original PCA:	2028-04-30
Completion Date Revised - Current PCA:	2028-04-30
Expected Terminal Evaluation Date:	2029-04-30
Expected Financial Closure Date:	2029-10-30

#### 1.2 Project Description

The negative health and environmental impacts of mercury usage across the world have garnered the attention of and mobilized action amongst a variety of actors and stakeholders, including governments, international bodies, the private sector, civil society, and affected communities. With the artisanal and small-scale gold mining (ASGM) sector serving as the largest contributor of global anthropogenic mercury emissions, emphasis has been placed on identifying scalable and sustainable solutions to reducing and eventually eliminating the use of mercury in the production of artisanal gold. These efforts are complicated by the complex environments in which artisanal mining often takes place and the characteristics of the sector, which is often understood as poverty-driven and operating largely in an informal and unregulated manner.

The Global Environmental Facility's (GEF) planetGOLD programme, which aims to make artisanal and small-scale gold mining safer, cleaner, and more profitable, is a key initiative in driving large-scale, systemic change across the ASGM sector globally. The programme recognizes that tackling the elimination of mercury in ASGM supply chains requires a holistic approach that addresses the root causes of mercury usage and the barriers that often impede miners from transitioning to mercury-free technologies. This includes a focus on several key areas: access to financing and responsible gold markets, support for formalization, awareness raising on the harmful effects of mercury, and increasing access to mercury-free technology and strengthening local capacity for sustainability of solutions.

This effort comes as follow up to previous GEF efforts on ASGM mercury reduction such as the Global Mercury Project, the ongoing planetGOLD programme and several bilateral initiatives. At a global scale, the Programme supports countries' commitments under the Minamata Convention on Mercury entered into force in August 2017. These efforts have contributed to addressing mercury reduction through addressing root causes for more than a decade.

The project structure is built around 4 components that reflect the identified needs in terms of activities, outcomes, and key outputs. The components are:

1. The promotion of formalization in the national ASGM sector through the strengthening, awareness-raising, and support to mining organizations that will facilitate sustainable changes and enhance the organizational capacity of the targeted ASGM communities.

- 2. The provision of adequate financial solutions that can promote a competitive mercury-free gold market in the country, integrated in transparent and traceable international gold supply chains.
- 3. The introduction and adoption of efficient mercury-free gold processing technologies in the ASGM sector.
- 4. The fostering of dissemination and exchange of information initiatives at the national level and the international sphere in line with the planetGOLD programme outreach strategy.

#### 1.3 Project Contacts

Division(s) Implementing the project	Industry and Economy Division
Name of co-implementing Agency	N/A
Executing Agency (ies)	IMPACT Transforming Natural Resource Management / Centre Africain pour la Santé Environnementale
	(CASE)
names of Other Project Partners	
UNEP Portfolio Manager(s)	Kevin Helps
UNEP Task Manager(s)	Grace Halla
UNEP Budget/Finance Officer	Edward Aput
UNEP Support Assistants	Iñaki Rodriguez
Manager/Representative	Joanne Lebert (IMPACT) / Dominique Bally (CASE)
Project Manager	Michel Yao (IMPACT) / Arthur Kouamé (CASE)
Finance Manager	Soulemane Koné (IMPACT) / Benoît Koffi (CASE)
Communications Lead, if relevant	Zuzia Danielski (IMPACT) / Frank Ori Gnahoré (CASE)

## **2 Overview of Project Status**

#### 2.1 UNEP PoW & UN

UNEP Current Subprogramme(s):	Thematic: Chemicals and pollution action subprogramme		
UNEP previous	N/A		
Subprogramme(s):			
PoW Indicator(s):	<ul> <li>Pollution: (i) Number of Governments that, with UNEP support, are developing or implementing policies, strategies, legislation or action plans that promote sound chemicals and waste management and/or the implementation of multilateral environmental agreements and the existing framework on chemicals and waste</li> <li>Pollution: (iii)Number of policy, regulatory, financial and technical measures developed with UNEP support to reduce pollution in air, water, soil and the ocean</li> <li>Pollution: (iv)Reduction in releases of pollutants to the environment achieved with UNEP support</li> <li>Pollution: Change in action by the private sector and civil society on pollution prevention and control as a result of UNEP action</li> </ul>		
	Progress in the chemicals- and pollution-related aspects of the 2030 Agenda on which UNEP focuses its work		
UNSDCF/UNDAF linkages	The planetGOLD Côte d'Ivoire project will support the 2021-2025 UNSDCF in Côte d'Ivoire through a holistic approach to suppor the Goverment of Côte d'Ivoire in their national development strategy, with a particular focus on the extractivies industries, namely the artisanal and small scale gold mining sector.  More precisely, the project will contribute to the following 5 main strategic axis of the current UNSDCF:  - Accelerating the structural transformation of the economy;  - Improving human capital;  - Strengthening the inclusiveness of the development process;  - Reducing vulnerability to climate change and environmental problems;  - Promoting more effective, transparent and participatory governance.  In addition, the project will support effective coordination and knowledge sharing with other UN organizations present in the country through UNEP's West Africa Office, based in Abidjan.		
Link to relevant SDG Goals	<ul> <li>Goal 1: End poverty in all its forms everywhere</li> <li>Goal 3: Ensure healthy lives and promote well-being for all at all ages</li> <li>Goal 5: Achieve gender equality and empower all women and girls</li> <li>Goal 8: Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all</li> <li>Goal 9: Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation</li> </ul>		

	Goal 12: Ensure sustainable consumption and production patterns
	Goal 17: Strengthen the means of implementation and revitalize the Global Partnership for Sustainable Development
Link to relevant SDG Targets:	• 1.4 By 2030, ensure that all men and women, in particular the poor and the vulnerable, have equal rights to economic
	resources, as well as access to basic services, ownership and control over land and other forms of property, inheritance, natural
	resources, appropriate new technology and financial services, including microfinance
	• 3.9 By 2030, substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution
	and contamination
	5.c Adopt and strengthen sound policies and enforceable legislation for the promotion of gender equality and the
	empowerment of all women and girls at all levels
	8.10 Strengthen the capacity of domestic financial institutions to encourage and expand access to banking, insurance and
	financial services for all
	• 9.3 Increase the access of small-scale industrial and other enterprises, in particular in developing countries, to financial services,
	including affordable credit, and their integration into value chains and markets
	• 9.4 By 2030, upgrade infrastructure and retrofit industries to make them sustainable, with increased resource-use efficiency and
	greater adoption of clean and environmentally sound technologies and industrial processes, with all countries taking action in
	accordance with their respective capabilities
	• 12.4 By 2020, achieve the environmentally sound management of chemicals and all wastes throughout their life cycle, in
	accordance with agreed international frameworks, and significantly reduce their release to air, water and soil in order to
	minimize their adverse impacts on human health and the environment
	• 17.4 Assist developing countries in attaining long-term debt sustainability through coordinated policies aimed at fostering debt
	financing, debt relief and debt restructuring, as appropriate, and address the external debt of highly indebted poor countries to
	reduce debt distress
	<ul> <li>17.15 Respect each country's policy space and leadership to establish and implement policies for poverty eradication and</li> </ul>
	sustainable development
	<ul> <li>17.17 Encourage and promote effective public, public-private and civil society partnerships, building on the experience and</li> </ul>
	resourcing strategies of partnerships

#### 2.2. GEF Core and Sub Indicators

GEF core or sub indicators targeted by the project as defined at CEO Endorsement/Approval, as well as results

	Targets - Expected Value			
Indicators	Mid-term	End-of-project	Total Target	Materialized to date

		Targets - Expected \	/alue	
Indicators	Mid-term	End-of-project	Total Target	Materialized to date
9.2- Quantity of mercury reduced	0,46	1,53	1,53	The activities to support this
				indicator have not started yet
11- People benefitting from GEF-financed		4350	4350	545
investments				
11.1- Male		2839	2839	417
11.2- Female		1511	1511	128

Implementation Status 2024: 1st PIR

### 2.3. Implementation Status and Risks

	PIR#	Rating towards outcomes (section 3.1)	Rating towards outputs (section 3.2)	Risk rating (section 4.2)
FY 2024	1st PIR	MS	MS	M
FY 2023				
FY 2022				
FY 2021				
FY 2020				
FY 2019				
FY 2018				
FY 2017				
FY 2016				
FY 2015				

#### Summary of status

Notable project achievements are detailed below per component. The overall expenditure of this project was USD 422,425 during the reporting period, with a forecasted expenditure of USD 946,000.

#### Component 1:

Analysis of the legal and regulatory framework for the formalization of artisanal and small-scale gold mining (EMAPE) was carried out by a legal consultant and shared with stakeholders. Technical meetings with key players such as SODEMI and the Ministries in charge of mines, the environment and health were held to discuss the identification and validation of priority themes for training and capacity-building for artisanal miners in Côte d'Ivoire.

Two scoping missions were organized to confirm the identification and engagement of ASGM organizations in the selected mine sites where the project will implement its field activities.

Scoping field trip 1 (September 17 to 30, 2023): The team visited more than 13 localities where mercury-intense ASGM activities are the most important source of income for the local communities. Furthermore, the mission team was able to discuss the project's intervention with different the stakeholders: prefectural officials, NGOs and industry stakeholders operating in the small gold mining sector. With the support of SODEMI, four (04) vocational training school sites were visited in order to take stock of the installations for their revitalization as part of the project.

The mission visited the localities of Boundiali, Tengrela, Siempurgo (Sogo), Papara, Katiola, Dabakala, Bidiala-Bobosso, Bobosso Tenguelegue, Bouaflé, Yamoussoukro, Djekanou, Abouakakro 2, Toumodi, Dimbokro, Bengassou, Bongouanou, Assiè -Kokoré, Booré-Akpokro.

Scoping field trip 2 (November 12 to 18, 2023): the team visited the localities of N'guessankro (Department of Bongouanou), Petonkaha in the sub-prefecture of Kiemou (Department of Korhogo), the sub-prefectures of Tibéita and Pakouabo (Bouafle) and in Djetranyaokro (Djekanou). This mission was able to meet communities around artisanal gold mining sites where the use of mercury is acute. The team collected information about the mining practices in the localities visited. At the end of this visit, the ASGM sites of Petonkaha, Bouadikro, Agbanssou were able to draw the particular attention of the mission team relating to the intensity of the activity, the use of mercury and the environmental problems occurring in the area.

The second meeting of the PSC Steering Committee, took place in hybrid format (Abdijan and online) on 23rd December 2023 with the objective to validad the sites for the implementation of the activities. These are: Petonkaha (Kiemou, Korhogo department), Bidiala Bobosso (Dabakala department), Agbanssou (Pakouabo, Bouaflé department), Groudji or Abouakakro 2 (Djekanou), Bouadikro (Nguessankro, Bongouanou Department). Two vocational school sites were selected by the Steering Committee for the training and awareness program for both artisanal miners and community stakeholders: Souleymanekaha (Dabakala) and Bozi (Bouaflé).

#### Component 2:

The project has completed a study on Access to Finance in the ASGM sector in Côte d'Ivoire. The study findings were validated during a workshop organized in June 2024 in Abidjan. The study is expected to be published following final edits based on input received during the workshop.

An evaluation exercise was carried out for 4 out of the 5 ASGM beneficary groups that will be supported by the project to improve their practices (e.g. cooperative governance, ESG performance, etc.). A plan for the provision of support to these groups, tailored to their individual circumstances, is now being developed and will be implemented starting in the next year.

#### Component 3:

The project visited the 5 locations where interventions will be made to meet with authorities and other stakeholders, with the objective of presenting the project and securing buy-in from local actors. During the missions, the team members took notes on the mercury-use practices to further develop the best possible strategies to reduce the consumption of mercury among the selected project sites. Following an open call for applications to respond to a ToR, an NGO has been selected to carry out community sensitization on the harms of mercury in the 5 localities. The NGO is currently going through the contracting and onboarding process, and will commence work in the following year.

#### Component 4:

The main activities carried out under this component have been the production of comms materials such as press articules and audio-visual reports and the participation in comms meetings under the planetGOLD global project.

In addition, the comms team participated in a workshop for the exchange and sharing of experiences on the management and professionalization of the ASGM sector in Côte d'Ivoire. This event was organized by the World Bank group in Abidjan, as part of the multi-stakeholder partnership initiative for the Sahel region.

The communications officer and the project coordinator participated in the public conference on Friday November 24, 2023 called CERAP's Fridays on the theme "Illegal gold mining: What to do?". This session allowed the team to interact with other institutional stakeholders and share information on the contribution of the planetGOLD project in assisting the Ivorian government in addressing the concern of illegal mining through the project implementation.

As part of communication and knowledge sharing, a Facebook page and a tweeter account have been created for the planetGOLD project in Côte d'Ivoire. A series of initial recordings in terms of videos, images and interviews was performed in the different locations targeted for the project implementation. These recordings will serve to develop a documentary film in a near future. Articles and communication materials are regularly collected for the update of the country webpage for the purpose of knowledge sharing.

#### 2.4 Co Finance

Planned Co-	\$ 13,451,000
finance:	
Actual to date:	108,543
Progress	Justify progress in terms of materialization of expected co-finance. State any relevant challenges:
	CASE current co-financing amounts to \$55,353, which covers the salaries of human resources devoted to the project and the purchase of equipment
	(office equipment, management tools, desks, etc.) to support the implementation of the project.
	IMPACT co-financing for the reporting period added up to \$53,190 which similarly accounts for the salaries of human resources devoted to the project
	and the purchase of equipment (office equipment, management tools, desks, etc.) to support the implementation of the project.

### 2.5. Stakeholder

Date of project steering	2024-07-05
committee meeting	
Stakeholder engagement (will be	1- Field Mission to present the project and raise awareness among prefectoral authorities, regional directors and administrative
uploaded to GEF Portal)	authorities, community leaders and artisanal gold miners, with the support of the Ministry of Mines, the Ministry of the Environment
	and SODEMI (Société pour le Développement Minier).
	2- Organization of a workshop to present and validate the study report on "Environment and context of access to financing for artisanal
	mining in the gold sector in Côte d'Ivoire", on June 28, 2024. The workshop was chaired by Mr. AKA SAFFO, General Coordinator of
	programs and projects at the Ministry of the Environment, Sustainable Development and Ecological Transition. It was attended by the
	Head UNEP West Africa Office (Mr. Mohamed ATANI), representatives from the Ministry of the Interior and Security, the Ministry in
	charge of mines, the Ministry of Finance and Budget, the Ministry in charge of agriculture, the National Environment Agency, the Ivorian
	Office of Parks and Reserves, SODEMI, the University Félix Houphouët Boigny of Abidjan-Côte d'Ivoire, representatives of artisanal
	miners and civil society organizations and 9 press/media. A total of 40 people took part in the workshop, including 9 women.
	By means of a stakeholder consultation strategy, it was possible to involve several national stakeholders from both the public and private
	sectors. In this way, the planetGOLD Côte d'Ivoire project had enabled the interaction between the following stakeholders:
	The Ministry of the Environment, Sustainable Development and Ecological Transition, represented by the National Chemicals
	Management Program, the Chemical Substances and Waste Department, and the regional environmental departments of the Marahoue,
	Bélier, Hambol, Moronou and Poro regions.

Ministry of Energy, Mines and Petroleum, represented by Sous-Direction de l'Exploitation Minière Artisanale et à Petite Échelle, Sous-Direction de la Réglementation, Directions régionales des mines et de la géologie from the regions of Hambol, des Lacs, du Poro and the Mines Departmental Directorate from the regions of N'Zi and the Société pour le Développement Minier (SODEMI).

The Ministry of Health, Public Hygiene and Universal Health Coverage, represented by the Department of Public Hygiene and Environmental Health.

The Ministry of the Economy and Finance, represented by the General Directorate of Customs.

The Ministry of Industry and Trade, represented by the Foreign Trade Department.

The Ministry of the Interior, through the Direction Générale de l'Administration du Territoire et de la Décentralisation, which is working to involve regional and departmental prefects, sub-prefects and village chiefs in the localities concerned by the project.

Civil society organizations represented in the PSC.

Industrial gold mining companies, such as Compagnie Minière Perseus Mining, located in the Marahoué region

### 2.6. Gender

Does the project have a gender	Yes
action plan?	
Gender mainstreaming (will be	The project has set a target of 30% of women among its targeted beneficiaries. In carrying out some of the projects first field missions, it
uploaded to GEF Portal):	was evident that women are often marginalized in the context of ASGM, and that careful consideration of the project's activities and
	their potential positive or negative impacts on women, men and gender equality is needed.
	As such, the project plans to do the following: 1- Work with partners and communities to promote women's effective participation in the project's activities; 2 – Utilize IMPACT's Gender Impact Assessment Toolkit ahead of carrying out relevant activities (e.g. sensitization efforts on mercury or introduction of new equipment); 3 – Offer training to project staff on how to apply a gender lens to their work and to better understand the roles, contributions, challenges and risks that women in the ASGM sector face. These activities will be carried out, where possible, with the support of the Ministry of Women, Family and Children.
	A gender action plan is currently being developed following a study on gender mainstreaming in the ASGM sector in Côte d'Ivoire.

### 2.7. ESSM

Moderate/High risk projects (in	Was the project classified as moderate/high risk CEO Endorsement/Approval Stage?
terms of Environmental and	No
social safeguards)	If yes, what specific safeguard risks were identified in the SRIF/ESERN?
	N/A
New social and/or	Have any new social and/or environmental risks been identified during the reporting period?
environmental risks	No
	If yes, describe the new risks or changes?
	N/A
Complaints and grievances	Has the project received complaints related to social and/or environmental impacts (actual or potential) during the reporting period?
related to social and/or	No

environmental impacts	If yes, please describe the complaint(s) or grievance(s) in detail, including the status, significance, who was involved and what actions
	were taken?
	N/A
Environmental and social	
safeguards management	The project has adopted the good practice approach to environmental and social safeguards management since its inception and has
	faced relatively low risk.

## 2.8. KM/Learning

Knowledge activities and	<ul> <li>A study on access to finance for the ASGM sector was carried out throughout the reporting period, and presented to</li> </ul>
products	stakeholders during a workshop organized in June 2024. The study draft will incorporate feedback received, and will be finalized for distribution in the coming months.
	<ul> <li>A diagnostic analysis of the strengths and weaknesses of artisanal mining groups or cooperatives was carried out by a consultant with a view to strengthening the management and governance of cooperatives. The study report is available. The study was carried out in the 5 beneficiary localities of Bouadikro (Bongouanou), Abouakakro (Djékanou), Angbanssou (Bouaflé), Bidiala Bobosso (Dabakala) and Pétonkaha (Korhogo).</li> </ul>
	<ul> <li>An analysis of the regulatory and legal framework for the formalization of ASGMs in Côte d'Ivoire was drawn up and validated by the stakeholders;</li> </ul>
	Draft texts to improve the ASGM formalization framework
	An analysis of gender mainstreaming in ASGM has been drawn up.
Main learning during the period	A communication strategy for the dissemination of information was drawn up once the project's activities had been launched, not only to support national and local communities in improving practices linked to small-scale artisanal gold mining, but also to help government entities gain a better understanding of the issues involved in ASGM, with a view to making it their own. The primary objective of this strategy, which is intended to cover the project implementation period and beyond, is to contribute to the implementation of the Minamata Convention, with a view to reducing the risks associated with the use, management and contamination of mercury in the artisanal small-scale gold.
	Specifically, the strategy aims to:

Inform, train and build the capacity of communities on the dangers of using mercury in ASGM

Ensure the sharing of information and experience between the various communities on ASGM at local, national and sub-regional level;

Improve understanding of the issues involved in moving towards new, more health- and environment-friendly mining practices;

Encourage community support for improved practices;

Facilitate access to information on ASGM;

Develop information, communication and education tools to ensure the involvement of national, local and sub-regional communities in ASGM;

Inform indigenous populations about the ASGM concept;

Train local populations and communities to make their involvement in the process of change more effective;

Provide national Civil Society Organisations, the press and all interested stakeholders with clear information on the risks linked to mercury, the opportunities offered by the planetGOLD project, needs and aspirations.

#### 2.9. Stories

Stories to be	Here are the links to some of the stories published in the planetGOLD Côte d'Ivoire country webiste:
shared	
	https://www.planetgold.org/limpact-invisible-du-mercure-pourquoi-lexploitation-miniere-artisanale-petite-echelle-de-lor
	https://www.planetgold.org/un-pas-vers-lavenir-latelier-de-validation-du-cadre-de-formalisation-de-lemape-de-lor-en-cote

## **3 Performance**

## 3.1 Rating of progress towards achieving the project outcomes

		level	Mid-Term Target or Milestones	Project	Progress as of current period(numeric, percentage, or binary entry only)	Summary by the EA of attainment of the indicator & target as of 30 June	Progress rating
ASGM sector in Côte d'Ivoire	Tons of Mercury abated from selected ASGM sites in Côte d'Ivoire	N/A	0.46	1.53	0	Actual reduction of mercury use has not started yet. However, enabling activities have been carried out to allow reductions in coming years	MS
· ·	Quantity of gold produced under planetGOLD Criteria	0	7.2 Kg	34.2	0	Production of gold under planetGOLD Criteria is not expected until 4th year of the Implementation Phase	MS
Outcome 1: Government and other national stakeholders increased their capacity to formalize the ASGM sector							
	Number of prefectures sustainably adopting JA	0	0	1		This activity has not yet begun.	MS
Outcome 1: Government and other	# of ASGM cooperatives created/	0	0	2	0	The needs of the cooperatives have been	MS

Project Objective and Outcomes	Indicator		e Mid-Term	End of Project	Progress as of	Summary by the EA of attainment of the indicator &	Progress
			level Target or Milestones		current period(numeric, percentage, or binary entry only)	target as of 30 June	rating
national stakeholders increased their capacity to formalize the ASGM sector	capacitated (where coop status is already secured) from students graduated from assisted vocational schools					identified and diagnosed.	
Outcome 1: Government and other national stakeholders increased their capacity to formalize the ASGM sector	# of regional vocational schools (chantiers écoles) with which sensitization programme contents was shared	0	1	12	0	Missions to identify priority Vocational Schools for training and raising awareness among artisanal miners were carried out. Technical meetings were held with the key players in this activity.	MS
Outcome 2: Increased access to financing for Côte d'Ivoire mining cooperatives	Number of inventory financing providers confirmed	0	0	1	0	The report on the study on access to finance has been validated and is now available. The report is accompanied by recommendations. On the basis of these recommendations an action plan for developing a credit portfolio involving potential financiers will be developed	MS
Outcome 2: Increased access to financing for Côte d'Ivoire mining cooperatives	Number of financial actors having engaged discussion with early nascent coops for providing access to finance	0	0	1	0	This activity was not carried out.1-Develop an action plan for financial support to artisanal miners 2-Organise institutional meetings to advocate for ASGM funding (government players, financial institutions)	MU
Outcome 3: Miners in Côte d'Ivoire adopted mercury-free processing techniques	ASGM associations adopted mercury-free technologies via the project	69	0	2054	0	This activity has not started. However, the scoping missions carried out have provided the foundations needed for the successful completion of this outcome.	MS
Outcome 3: Miners in Côte d'Ivoire adopted mercury-free processing	# of targeted ASGM associations that have implemented the	0	0	1	0	not started	MS

Project Objective and Outcomes	Indicator	Baseline	Mid-Term	End of	Progress as of	Summary by the EA of attainment of the indicator &	Progress
		level	Target or	Project	current	target as of 30 June	rating
			Milestones	Target	period(numeric,		
					percentage, or		
					binary entry only)		
techniques	planetGOLD environmental and						
	social standard						
Outcome 3: Miners in Côte d'Ivoire	% increase in awareness of	0	30%	50%	15%	During the project's presentation	S
adopted mercury-free processing	mercury risks amongst miners					sessions with local authorities, 110	
techniques						people were sensitized of the dangers of	
						using mercury, including 10 artisanal	
						miners and 21 community leaders.	
Outcome 4: Knowledge and	# of beneficiaries changing their	0	0	2054	545	545 people involved in the ASGM sector	S
information produced through the	practices as a result of improved					have been reached out by the project and	
project leads to better management	awareness					have been encourage to change their	
of the ASGM sector in Côte d'Ivoire						practices	
Outcome 4: Knowledge and	# of beneficiaries accessing	0	0	700	545	545 people took part in all the	S
information produced through the	published/available knowledge					activities organised, including 128	
project leads to better management	generated from components 1,2,					women.	
of the ASGM sector in Côte d'Ivoire	and 3						

## 3.2 Rating of progress implementation towards delivery of outputs (Implementation Progress)

Component	Output/Activity	Expected	Implementation	Implementation	Progress rating justification, description of	Progress
		completion	status as of	status as of	challenges faced and explanations for any delay	Rating
		date	previous	current		
			reporting	reporting		
			period (%)	period (%)		
1 Formalization	Output 1.1: Analysis of formalization framework in Côte d'Ivoire	2025-12-31	0	60	The Mining Code in Côte d'Ivoire is	MU
Optimization	completed and shared with stakeholders				currently being revised by the Ministry	
					of Energy, Mines and Petroleum. This may	
					cause a delay in the adoption of newly	
					proposed regulatory amendments to the	
					ASGM sector drafted by the project team	
1 Formalization	Output 1.2: Support mining vocational training schools (chantiers	2027-12-31	0	25	The identification of the vocation	MU

Component	Output/Activity		status as of previous		Progress rating justification, description of challenges faced and explanations for any delay	Progress Rating
				period (%)		
Optimization	Ecoles) to roll-out programmes in alignment with the government's ASGM formalization strategy				training schools to be supported have been finalized. However, SODEMI as counterpart has not validated the training programme to be delivered in the aforementioned training schools. In addition, the poor conditions of the facilities may require a revamp of the installations before the trainings can be effectively deployed	
1 Formalization Optimization	Output 1.3: Lessons learned from piloting the jurisdictional approach are available to inform government policy related to ASM/LSM	2026-12-31	0	15	The delay in the implementation of this output is caused by a delay in the provision of the trainings needed by the global component of the planetGOLD programme. A specific workplan to update the activities envisioned in this output will be drafted in Q3 2024.	MU
2 Access to Finance	Output 2.1: Opportunities and challenges for ASGM access to finance in Côte d'Ivoire is better understood.	2028-04-30	0		A report on the access to finance in the ASGM sector in Côte d'Ivoire has been produced (Activity 2.1.1 completed). However, the trainings and support to ASGM groups in the selected mine site have not commenced yet	MU
2 Access to Finance	Output 2.2: Support nascent/early-stage cooperatives to improve 'credit profile' for accessing finance	2028-04-30			The support to the ASGM cooperatives is not expected to start until 3rd year of the implementation phase. However, some preliminary support activities to selected ASGM groups will resume in Q3 2024	MU
2 Access to	Output 2.3: Support ASGM cooperatives to engage with international	2028-04-30	0	0	The engagement with international gold	М

Component	Output/Activity	Expected	Implementation	Implementation	Progress rating justification, description of	Progress
		completion	status as of	status as of	challenges faced and explanations for any delay	Rating
		date	previous	current		
			reporting	reporting		
			period (%)	period (%)		
Finance	market actors				mining market actors is not foreseen to	
					start until the 3rd year of the	
					implementation phase. However, early	
					stage discussions with actors such as	
					the LBMA took place in the reporting	
					period, laying the foundations for	
					further actions under this output	
3 Enhancing	3.1 Output: ASGM miners and communities are sensitized on the	2028-04-30	0	15	Sensitization materials on the dangers	MU
Uptake of	health and environmental risks of mercury usage				of mercury are being developed during	
Mercury-free					the current reporting period. Local ASGM	
Technologies					communities in the selected mine sites	
					have been sensitized on public health	
					and environmnetal management issues	
					during the 2 scooping field missions	
					carried out during the reporting period.	
					Further support activities are expected	
					in Q3 and Q4 2024	
3 Enhancing	Output 3.2: ASGM miners and communities are capacitated to use	2028-04-30	0	0	This output is not expected to start	MS
Uptake of	mercury-free technologies				until 3rd year of the implementation	
Mercury-free					phase. However, the 2 scooping field	
Technologies					missions have provided the foundations	
					needed for further work under this	
					output	
4 Knowledge	Output 4.1: Knowledge products and tools developed through the	2028-04-30	0	25	This output experience a substantive	S
sharing,	project are made available nationally to all planetGOLD project				progress in the reporting period. 3 PSC	
communicatio	nstakeholders in Côte d'Ivoire				meetings were organized. In addition, a	
and local					2-days workshop for national media	
capacity					professionals was organize with the	
building					objetive to improve the understanding on	
support					the project's intervention and	

Component	Output/Activity	Expected	Implementation	Implementation	Progress rating justification, description of	Progress
		completion	status as of	status as of	challenges faced and explanations for any delay	Rating
		date	previous	current		
			reporting	reporting		
			period (%)	period (%)		
					challenges related to the ASGM sector in Còte d'Ivoire	
4 Knowledge	Output 4.2: Knowledge products and tools developed through the	2028-04-30	0	25	This output saw a robust progress during	S
sharing,	project are available globally through the planetGOLD programme				the reporting period. The main	
communication					highlights are related to the production	
and local					of visibility tools such as Kakemono,	
capacity					the creation of internet presence. and	
building					the participation of the planetGOLD	
support					Côte d'Ivoire project in the 2024	
					planetGOLD Global Forum and Annual	
					Programme Meeting	

The Task Manager will decide on the relevant level of disaggregation (i.e. either at the output or activity level).

### 4 Risks

#### 4.1 Table A. Project management Risk

Please refer to the Risk Help Sheet for more details on rating

Risk Factor	EA Rating	TM Rating
1 Management structure - Roles and	Substantial	Moderate
responsibilities		
2 Governance structure - Oversight	Substantial	Moderate
3 Implementation schedule	Moderate	Moderate
4 Budget	Moderate	Low
5 Financial Management	Substantial	Moderate
6 Reporting	Substantial	Substantial
7 Capacity to deliver	Substantial	Moderate

If any of the risk factors is rated a Moderate or higher, please include it in Table B below

### 4.2 Table B. Risk-log

#### Implementation Status (Current PIR)

Insert ALL the risks identified either at CEO endorsement (inc. safeguards screening), previous/current PIRs, and MTRs. Use the last line to propose a suggested consolidated rating.

Risks	Risk affecting: Outcome /	CEO	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current	Δ	Justification
	outputs	ED						PIR		
Covid-19 restrictions (movement. large	All	L	L					L	$\downarrow$	There are no Covid-19 related
gatherings. travel. etc.)										restrictions at the moment
Shifting priorities due to Covid-19 pandemic	All	L	L						$\downarrow$	There are no Covid-19 related
										restrictions at the moment

Risks	Risk affecting: Outcome /	CEO	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current∆		Justification
	outputs	ED						PIR		
Political Buy-in	All	М	L					L	$\downarrow$	During the reporting period the
										engagement with government
										officials has proven to positively
										exceed expectations
Staff turnover within government	All	L	L					L	=	No major changes in the perception
ministries/departments										of this risk
Limited functioning of vocational schools	Outcome 1	М	M					М	=	Field missions have confirmed limited
following the project										functioning of vocational schools
Miners do not trust or buy-in to mercury-	Outcome 3	М	L					L	$\downarrow$	Field missions and gatherings with
free technologies										ASGM miners have improved the
										trust to the project and the
										awareness about the negative effects
										of the use of mercury
Lack of prioritization of reducing mercury	Outcome 3	М	L					L	$\downarrow$	Field missions and gatherings with
usage by miners. including when financing is										ASGM miners have improved the
available (or miners simply do not endorse										trust to the project and the
mercury-free processing methods)										awareness about the negative effects
										of the use of mercury
Negative impacts of climate change (e.g.	Outcome 1. 2 and 3	М	M					M	=	Extreme weather events continue to
extreme weather events such as droughts.										occur in Cote d'Ivoire
floods. etc.)										
Risk-aversion of gold refiners	Outcome 2	М	L					L	$\rightarrow$	New ongoing initiatives in the country
										from the World Bank and the London
										Bullion Market association have
										supported the activities related to
										this risk. reducing it.
Supply chain partners (including investors	Outcome 2	М	L					L	=	Côte d'Ivoire has become the most
and financers) are unable to establish										stable an open for business country in
commercial terms (or. competitive										the region. making it more attractive

Risks	Risk affecting: Outcome /	CEO	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current∆		Justification
	outputs	ED						PIR		
commercial terms compared to the informal										for supply chain partners
market)										
Land conflict / Social tension	Outcome 1. 2 and 3	L	L					L	=	No major changes related to this potential risk
Low risk threshold by financial institutions / other potential providers of access to credit	Outcome 2	M	L					L	=	New ongoing initiatives in the country from the World Bank and the London Bullion Market association have supported the activities related to this risk. reducing it.
Efficient and lucrative alternative mercury- free gold processing techniques are not appropriate (or not available) for ASGM	Outcome 3	M	L					L	<b>\</b>	Field missions and research studies have provided the foundations to introduce the most appropriate technologies.
Interference by mercury traders	Outcome 3	M	L					L	<b>\</b>	Government stakeholders have supported the development of activities aimed to reduce the potential interference by mercury traders
The exporter with whom the project is working with identifies more competitive pricing elsewhere / loses motivation	Outcome 2	М	L					L	<b>V</b>	Gold prices remain very high at the international markets. making the production of gold in the country very attractive.
ASGM associations and miners are unable to meet the standards established by planetGOLD	Outcome 2	Н	L					L	<b>\</b>	New ongoing initiatives in the country from the World Bank and the London Bullion Market association have supported the activities related to this risk. reducing it.
		L	L					L	=	Overall project risk remains low

#### 4.3 Table C. Outstanding Moderate, Significant, and High risks

Additional mitigation measures for the next periods

Risk	Actions decided during the	Actions effectively	What	When	By Whom
	previous reporting instance	undertaken this reporting			
	(PIRt-1, MTR, etc.)	period			
Limited functioning of	N/A	Field missions conducted to	Drafting of a strategy to	Draft finalized by Q3 2025	PMU
vocational schools		assess the status of the	update the premises of the		
		premises in the targeted	selected vocational schools		
		vocational schools			
Negative impacts of climate	N/A	Monitoring of events with	Drafting of a climate change	Draft finalized by Q3 2025	PMU
change		Ministry of Environment.	adaptation plan for each		
			targeted site		

High Risk (H): There is a probability of greater than 75% that assumptions may fail to hold or materialize, and/or the project may face high risks. Significant Risk (S): There is a probability of between 51% and 75% that assumptions may fail to hold and/or the project may face substantial risks. Moderate Risk (M): There is a probability of between 26% and 50% that assumptions may fail to hold or materialize, and/or the project may face only modest risks. Low Risk (L): There is a probability of up to 25% that assumptions may fail to hold or materialize, and/or the project may face only modest risks.

## **5 Amendment - GeoSpatial**

#### **Project Minor Amendments**

Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5% as described in Annex 9 of the Project and Program Cycle Policy Guidelines. Please tick each category for which a change occurred in the fiscal year of reporting and provide a description of the change that occurred in the textbox. You may attach supporting document as appropriate

#### 5.1 Table A: Listing of all Minor Amendment (TM)

Minor Amendments	Changes
Results Framework:	No
Components and Cost:	No
Institutional and implementation arrangement	ts: No
Financial Management:	No
Implementation Schedule:	
Executing Entity:	No
Executing Entity Category:	No
Minor project objective change:	No
Safeguards:	No
Risk analysis:	No
Increase of GEF financing up to 5%:	No
Location of project activity:	No
Other:	

Minor amendments

#### 5.2 Table B: History of project revisions and/or extensions (TM)

Version	Туре	Signed/Approved by UNEP	Entry Into Force (last	Agreement Expiry Date	Main changes
			signature Date)		introduced in this
					revision

Version	Туре	Signed/Approved by UNEP	Entry Into Force (last	Agreement Expiry Date	Main changes
			signature Date)		introduced in this
					revision

**GEO Location Information:** 

The Location Name, Latitude and Longitude are required fields insofar as an Agency chooses to enter a project location under the set format. The Geo Name ID is required in instances where the location is not exact, such as in the case of a city, as opposed to the exact site of a physical infrastructure. The Location & Activity Description fields are optional. Project longitude and latitude must follow the Decimal Degrees WGS84 format and Agencies are encouraged to use at least four decimal points for greater accuracy. Users may add as many locations as appropriate. Web mapping applications such as OpenStreetMap or GeoNames use this format. Consider using a conversion tool as needed, such as: https://coordinates-converter.com Please see the Geocoding User Guide by clicking here

Location Name	Latitude	Longitude	GEO Name ID	Location Description	Activity Description
Site Pétonkaha. Kiémou-	9.061680	-5.550080		A village in the north of Côte	All components.
Korhogo. Région du Poro				d'Ivoire	
Site de Bidiala-Bobosso	8.366900	-4.426710		A village in the centre of the	All components.
(Dabakala. Région du				north	
Hambol)					
Site d'Abouakakro 2	6.433620	-5.107390		A village in the centre of	All components.
(Djékanou. Région du Bélier)				Côte d'Ivoire	
Site d'Agbanssou	7.156760	-5.792630		A large camp located in the	All components.
(Pakouabo-Bouaflé. Région				sub-prefecture of pakouabo.	
de la Marahoué)				in the department of	
				Bouafle.	

Please provide any further geo-referenced information and map where the project interventions is taking place as appropriate. \*

[Annex any linked geospatial file]

#### **Additional Supporting Documents:**

Filename	File Uploaded By	File Uploaded At	
10845_CASE_Annex13_Co-finance	CW TM	2024-09-03 14:29:31	<u>Download</u>
Report_PIR1.xlsx			
10845_IMPACT_Annex13_Co-finance	CW TM	2024-09-03 14:24:21	<u>Download</u>
Report_PIR1.xlsx			