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WB Appraisal - CEO Endorsement (CEO) entry - Full sized Project Child – GEF - 7

## Forest Conservation and Sustainability in the Heart of the Colombian Amazon (AF2)

### Part I: Project Information

#### Name of Parent Program

[Amazon Sustainable Landscapes Program - Phase II](#)

#### GEF ID

10300

#### Project Type

FSP

#### Type of Trust Fund

GET

#### CBIT/NGI

CBIT

NGI

#### Project Title

Forest Conservation and Sustainability in the Heart of the Colombian Amazon (AF2)

#### Countries

Colombia

**Agency(ies)**

World Bank

**Other Executing Partner(s):**

Patrimonio Natural-Fondo para la Biodiversidad y Áreas Naturales Protegidas

**Executing Partner Type**

CSO

**GEF Focal Area**

Multi Focal Area

**Taxonomy**

Focal Areas, Biodiversity, Financial and Accounting, Conservation Trust Funds, Conservation Finance, Protected Areas and Landscapes, Community Based Natural Resource Mngt, Productive Landscapes, Terrestrial Protected Areas, Biomes, Tropical Rain Forests, Mainstreaming, Agriculture and agrobiodiversity, Forest, Forest and Landscape Restoration, Amazon, Land Degradation, Sustainable Land Management, Sustainable Forest, Income Generating Activities, Sustainable Agriculture, Community-Based Natural Resource Management, Influencing models, Strengthen institutional capacity and decision-making, Deploy innovative financial instruments, Convene multi-stakeholder alliances, Demonstrate innovative approach, Stakeholders, Local Communities, Type of Engagement, Consultation, Partnership, Participation, Information Dissemination, Communications, Education, Awareness Raising, Behavior change, Indigenous Peoples, Private Sector, Individuals/Entrepreneurs, SMEs, Beneficiaries, Civil Society, Non-Governmental Organization, Academia, Community Based Organization, Gender Equality, Gender Mainstreaming, Women groups, Sex-disaggregated indicators, Capacity, Knowledge and Research, Innovation, Knowledge Exchange, Learning, Theory of change, Indicators to measure change, Adaptive management, Capacity Development, Targeted Research, Knowledge Generation

**Rio Markers****Climate Change Mitigation**

Climate Change Mitigation 1

**Climate Change Adaptation**

Climate Change Adaptation 0

**Submission Date**

7/3/2019

**Expected Implementation Start**

4/3/2021

**Expected Completion Date**

12/31/2024

**Duration**

48In Months

**Agency Fee(\$)**

1,653,028.00

## A. FOCAL/NON-FOCAL AREA ELEMENTS

Objectives/Programs	Focal Area Outcomes	Trust Fund	GEF Amount(\$)	Co-Fin Amount(\$)
IP SFM Amazon	Promoting effective coordination for sustainable forest management	GET	18,366,972.00	122,810,659.00
<b>Total Project Cost(\$)</b>			<b>18,366,972.00</b>	<b>122,810,659.00</b>



**B. Project description summary****Project Objective**

To improve governance and promote sustainable land use activities in order to reduce deforestation and conserve biodiversity in the Project area.

<b>Project Component</b>	<b>Component Type</b>	<b>Expected Outcomes</b>	<b>Expected Outputs</b>	<b>Trust Fund</b>	<b>GEF Project Financing(\$)</b>	<b>Confirmed Co-Financing(\$)</b>
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1. Protected Area Connectivity	Investment	1.1. Strengthened Protected Area management (including indigenous territories, RAMSAR sites and national and subnational protected areas)	* National and subnational PA management plans (inc. Ramsar sites) designed and/or implemented *Conservation agreements signed with indigenous and farmer communities inside PAs, underway	GET	4,563,366.00	32,101,199.00
		1.2. Strengthened long term financing of the Amazon PA system	* Critical restoration activities in selected PAs and buffer zones, implemented  *Indigenous people cultural environmental plans designed and/or implemented  * Coordination, vigilance, and control mechanisms for the management of conservation areas with indigenous communities, strengthened  * Capitalization of PA system (Herencia Colombia) fund increased			

2.Sustainable forest and water management and use	Investment	<p>2.1. Increased areas of forests brought under SFM practices</p> <p>2.2. Increased capacity for and participation in sustainable value chains;</p> <p>2.3. Increased area restored or at least undergoing restoration and/or regeneration</p>	<p>* Sustainable forestry use plans implemented in target areas (including community management practices and in compliance with conservation and non-deforestation agreements)</p> <p>* Productive value chains for forestry and aquatic goods and services, implemented</p> <p>* Ecological restoration in prioritized corridors in place</p> <p>* Community management for sustainable use of fauna and flora, operational</p>	GET	8,997,302.00	39,851,713.00
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3. Governance, Policies and Incentives	Investment	3.1. Improved sector planning with environmental considerations  3.2. Strengthened governance for integrated landscape management and connectivity	* Sector agreements, policies and regulations promoting SLWM planning and management practices strengthened (infrastructure, transport, mining/energy sectors);  * Environmental considerations incorporated in selected regional planning and land management instruments;  * Methodology for establishing SLM practices within agreed agricultural frontier in selected land plots designed and tested, in selected land plots;  *Technical environmental roundtable consolidated for shared knowledge dissemination and concerted action	GET	1,307,867.00	38,795,949.00
4. Knowledge management, monitoring and cooperation	Investment	4.1. Strengthened implementation capacity to conduct and monitor project	* Capacity building programs designed/implemente	GET	2,623,819.00	6,111,731.00

activities at  
subnational, national  
and regional scales

d to ensure  
satisfactory project  
implementation

4.2. Increased  
knowledge sharing  
among project  
stakeholders

\* Carbon and Forest  
Monitoring (SMBByC)  
system strengthened  
to adequately  
measure  
deforestation, carbon  
and GHG emissions

\* Knowledge  
exchange events  
designed for and  
attended by key  
stakeholders to  
promote learning and  
ensure adequate  
collaboration and  
alignment with related  
programs

\* Studies for  
determined relevant  
topics developed and  
disseminated in order  
to support project  
implementation while  
expanding a  
community of practice

\* Communication  
campaigns, alliances  
and products  
developed for wide  
dissemination of  
project results

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**Sub Total (\$)**

**17,492,354.00**

**116,860,592.00**

**Project Management Cost (PMC)**

	GET	874,618.00	5,950,067.00
	<b>Sub Total(\$)</b>	<b>874,618.00</b>	<b>5,950,067.00</b>
	<b>Total Project Cost(\$)</b>	<b>18,366,972.00</b>	<b>122,810,659.00</b>

## C. Sources of Co-financing for the Project by name and by type

Sources of Co-financing	Name of Co-financier	Type of Co-financing	Investment Mobilized	Amount(\$)
Recipient Country Government	CORPOAMAZONIA (Regional Environmental authorities)	In-kind	Recurrent expenditures	4,275,454.00
Recipient Country Government	CDA(Regional Environmental authorities)	In-kind	Recurrent expenditures	2,995,373.00
Recipient Country Government	National Parks	In-kind	Recurrent expenditures	1,836,573.00
Others	SINCHI	In-kind	Recurrent expenditures	11,143,987.00
Recipient Country Government	IDEAM	In-kind	Recurrent expenditures	1,413,111.00
Donor Agency	European Union (MASCAPAZ Project to Herencia Colombia Fund)	Grant	Investment mobilized	5,508,000.00
Civil Society Organization	Gordon and Betty Moore Foundation (for Herencia Colombia Fund)	Grant	Investment mobilized	5,000,000.00
GEF Agency	World Bank (Multipurpose Cadaster Project BEIS financing)	Grant	Investment mobilized	30,500,000.00
Donor Agency	NICFI- KFW-GIZ-UK (Defra) (jointly donating to REM Vision Amazonia Program)	Grant	Investment mobilized	56,000,000.00
Civil Society Organization	Patrimonio Natural	In-kind	Recurrent expenditures	754,828.00
Civil Society Organization	Andes Amazon Fund	Grant	Investment mobilized	1,000,000.00

Civil Society Organization	Conservation and Sustainable Development Foundation	Grant	Investment mobilized	1,500,000.00
Civil Society Organization	Fundacion Tropenbos	In-kind	Recurrent expenditures	233,333.00
Civil Society Organization	WWF	Grant	Investment mobilized	650,000.00
			<b>Total Co-Financing(\$)</b>	<b>122,810,659.00</b>

### Describe how any "Investment Mobilized" was identified

Investment mobilized has been identified mostly with bilateral sources and NGOs that have ongoing and potential interventions in the region and with whom collaboration has been established since the GEF5 and GEF6 projects. The REDD Early Movers (REM) Vision Amazonia project financed by NICFI- KFW-GIZ-UK (Defra) will be an important co-financier. AF2 project preparation, the identification of priority areas and activities has been discussed taking into consideration the complementarity of these programs. A second phase of REM is under negotiation with the three donor countries and it is expected that by the time the proposed project becomes effective, this additional funds can potentially become cofinancing. Additionally, funds have been secured from Moore Foundation and the European Union to add to the project's effort to capitalize the Herencia Colombia transition fund designed for the financial sustainability of the Protected Area system. Funds from various NGOs will complement actions in protected and productive landscapes. Finally, US\$30.5 million in co-financing is being mobilized by the Multipurpose Cadaster Project, implemented by the World Bank and which establishes a multipurpose cadaster in municipalities with protected areas and other environmentally significant, strategic areas affected by high deforestation rates, many of which coincide with the project's areas of intervention and will support efforts in the project's component 3. As done since in 2015, the GEF will provide incremental value to this project across a range of interventions to increase the areas under effective management and conservation, restoration and sustainable forestry practices; all of which promote sustainable and ecologically connected landscapes. GEF has been pioneer in the region via multiple interventions and methodologies that have been scaled up and financed by others like the participatory land use process leading to the conservation, non-deforestation and restoration agreements. The GEF funds have and will help leverage the intra governmental and sectoral agreements needed and change the dynamics of deforestation in this area. GEF funds have also supported an implementation arrangement that involves a nongovernmental organization which works with a collaborative network of public agencies, research institutions and civil society organization under clear objectives and common goals. This arrangement has required additional efforts in capacity building and coordination but this capacity will remain in the region and beyond the project's duration. Even with the economic crisis product of the COVID19, governments, NGOs and the donor community has committed substantial and significant cofinancing for the project. As indicated above, project activities will be supported directly and indirectly with cofinancing from multiple agencies. The proposed GEF investment is expected to leverage and find synergies with additional resources from other partners over overlapping periods. Finally, even though the question posed is about investment mobilized, it is important to acknowledge the in-kind contribution to the project by governmental institutions. Despite the economic impact due to the COVID19, commitment to the project and region remains and will support core institutional structures and staffing of the executing agencies.



## D. Trust Fund Resources Requested by Agency(ies), Country(ies), Focal Area and the Programming of Funds

Agency	Trust Fund	Country	Focal Area	Programming of Funds	Amount(\$)	Fee(\$)
World Bank	GET	Colombia	Biodiversity	BD STAR Allocation	9,043,250	813,893
World Bank	GET	Colombia	Climate Change	CC STAR Allocation	2,712,975	244,168
World Bank	GET	Colombia	Land Degradation	LD STAR Allocation	904,325	81,389
World Bank	GET	Colombia	Multi Focal Area	IP SFM Amazon Set-Aside	5,706,422	513,578
<b>Total Grant Resources(\$)</b>					<b>18,366,972.00</b>	<b>1,653,028.00</b>

**E. Non Grant Instrument**

NON-GRANT INSTRUMENT at CEO Endorsement

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Includes Non grant instruments? **No**

Includes reflow to GEF? **No**

**F. Project Preparation Grant (PPG)**PPG Required **PPG Amount (\$)**

183,486

**PPG Agency Fee (\$)**

16,514

<b>Agency</b>	<b>Trust Fund</b>	<b>Country</b>	<b>Focal Area</b>	<b>Programming of Funds</b>	<b>Amount(\$)</b>	<b>Fee(\$)</b>
World Bank	GET	Colombia	Biodiversity	BD STAR Allocation	131,062	11,795
World Bank	GET	Colombia	Climate Change	CC STAR Allocation	39,318	3,539
World Bank	GET	Colombia	Land Degradation	LD STAR Allocation	13,106	1,180
<b>Total Project Costs(\$)</b>					<b>183,486.00</b>	<b>16,514.00</b>

Please provide justification

**Not applicable**

## Core Indicators

### Indicator 1 Terrestrial protected areas created or under improved management for conservation and sustainable use

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
0.00	7,244,359.00	0.00	0.00

### Indicator 1.1 Terrestrial Protected Areas Newly created






Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)
0.00	0.00	0.00	0.00






Name of the Protected Area	WDPA ID	IUCN Category	Total Ha (Expected at PIF)	Total Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)
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### Indicator 1.2 Terrestrial Protected Areas Under improved Management effectiveness

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)
0.00	7,244,359.00	0.00	0.00

Name of the Protected Area	WDPA ID	IUCN Category	Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Total Ha (Achieved at MTR)	Total Ha (Achieved at TE)	METT score (Baseline at CEO Endorsement)	METT score (Achieved at MTR)	METT score (Achieved at TE)
Cuenca Alto Mocoa Forest Protection Reserve		Protected area with sustainable use of natural resources		70,946.00			41.00		
Picachos National Park		National Park		287,935.00			40.00		
Alto Fragua Indi Wasi National Park		National Park		76,050.00			53.00		

Bajo Guayabero – conservation district Regional Area	Protected area with sustainable use of natural resources	69,953.00	3.00	
Cuenca Alto San Juan Regional Park	National Park	61,745.00	7.00	
La Paya National Park	National Park	442,440.00	61.00	
Nukak National Reserve	National Park	889,421.00	40.00	
Orito Ingi Ande Flora Sanctuary	Wilderness Area	10,233.00	55.00	

Páramo Miraflores – Picachos Regional Park	Protected area with sustainable use of natural resources	106,554.00	18.00	
Serranía de Chiribiquete National Park	National Park	4,268,095.00	70.00	
Serranía de Churumbelos Auka Wasi National Park	National Park	97,819.00	50.00	
Serranía de la Lindosa Forest Protection Reserve	National Park	28,224.00	29.00	
Sierra de la Macarena National Park	National Park	620,583.00	42.00	

Tinigua National Park	Strict Nature Reserve	214,361.00	36.00	
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## Indicator 3 Area of land restored

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
0.00	4025.00	0.00	0.00

## Indicator 3.1 Area of degraded agricultural land restored

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
	3,376.00		

## Indicator 3.2 Area of Forest and Forest Land restored

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)



649.00

**Indicator 3.3 Area of natural grass and shrublands restored**

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
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**Indicator 3.4 Area of wetlands (incl. estuaries, mangroves) restored**

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
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**Indicator 4 Area of landscapes under improved practices (hectares; excluding protected areas)**

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
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0.00	862961.00	0.00	0.00
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**Indicator 4.1 Area of landscapes under improved management to benefit biodiversity (hectares, qualitative assessment, non-certified)**

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
	704,719.00		

**Indicator 4.2 Area of landscapes that meets national or international third party certification that incorporates biodiversity considerations (hectares)**

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

Type/Name of Third Party Certification

**Indicator 4.3 Area of landscapes under sustainable land management in production systems**

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)
	158,242.00		

**Indicator 4.4 Area of High Conservation Value Forest (HCVF) loss avoided**

Ha (Expected at PIF)	Ha (Expected at CEO Endorsement)	Ha (Achieved at MTR)	Ha (Achieved at TE)

**Documents (Please upload document(s) that justifies the HCVF)**

Title	Submitted

**Indicator 6 Greenhouse Gas Emissions Mitigated**

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO <sub>2</sub> e (direct)	0	5380000	0	0
Expected metric tons of CO <sub>2</sub> e (indirect)	0	0	0	0

## Indicator 6.1 Carbon Sequestered or Emissions Avoided in the AFOLU (Agriculture, Forestry and Other Land Use) sector

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO <sub>2</sub> e (direct)		5,380,000		
Expected metric tons of CO <sub>2</sub> e (indirect)				
Anticipated start year of accounting		2021		
Duration of accounting		20		

## Indicator 6.2 Emissions Avoided Outside AFOLU (Agriculture, Forestry and Other Land Use) Sector

Total Target Benefit	(At PIF)	(At CEO Endorsement)	(Achieved at MTR)	(Achieved at TE)
Expected metric tons of CO <sub>2</sub> e (direct)				
Expected metric tons of CO <sub>2</sub> e (indirect)				
Anticipated start year of accounting				
Duration of accounting				

## Indicator 6.3 Energy Saved (Use this sub-indicator in addition to the sub-indicator 6.2 if applicable)

Total Target Benefit	Energy (MJ) (At PIF)	Energy (MJ) (At CEO Endorsement)	Energy (MJ) (Achieved at MTR)	Energy (MJ) (Achieved at TE)
Target Energy Saved (MJ)				

## Indicator 6.4 Increase in Installed Renewable Energy Capacity per Technology (Use this sub-indicator in addition to the sub-indicator 6.2 if applicable)

Technology	Capacity (MW) (Expected at PIF)	Capacity (MW) (Expected at CEO Endorsement)	Capacity (MW) (Achieved at MTR)	Capacity (MW) (Achieved at TE)
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## Indicator 11 Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment

	Number (Expected at PIF)	Number (Expected at CEO Endorsement)	Number (Achieved at MTR)	Number (Achieved at TE)
Female		4,632		
Male		5,662		
Total	0	10294	0	0

Provide additional explanation on targets, other methodologies used, and other focal area specifics (i.e., Aichi targets in BD) including justification where core indicator targets are not provided

CI 1: The area mentioned above (7, 244,359 ha) corresponds to the sum of 14 project areas including National Parks and regional PAs: Chiribiquete (DNNSCH), Alto Eragua Indiguasi (DNNAEIM), Churumbelos (DNNSCHAW), Orto Indiguasi (SEPMOIA), Baya Nukak, La Macarena, Tinigua, Bicacbo

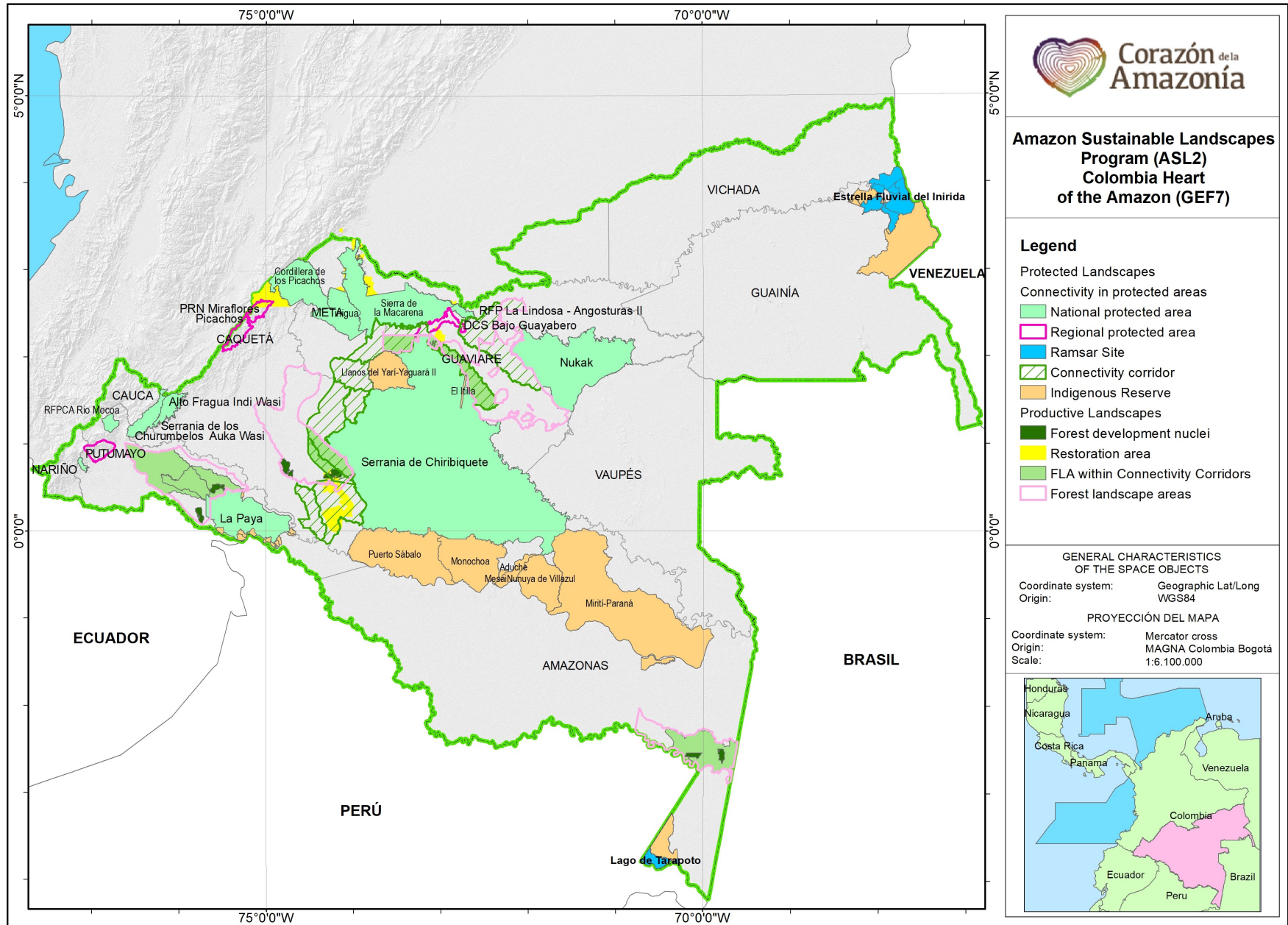
(FINNOCN), Alto Paya Indígena (FINNAPIN), Chulumbelios (FINNOCNAN), Orito Indígena (OFFMOCIA), Faya, Nukak, La Macarena, Timigua, Picachos, Rio Mocoa, Rio San Juan, Miraflores-Picachos, La Lindosa-Angosturas II, and Distrito de Conservación de Suelos Bajo Guayabero. No new protected areas will be created or expanded with the AF. The project will continue improving management effectiveness in prioritized national and regional PAs considered strategic for their connectivity with other PAs, increased deforestation risks and the pristine conservation status that requires protection. Collection of individual METT scores will allow tracking of individual PA progress and provide the needed information to track this indicator. For the specific context of the project and considering that the 14 focalized PAs have different baseline levels of management effectiveness, particular targets have been defined for each. This specificity for the targets acknowledges the fact that the project's PAs have distinct degrees of consolidation, which depend inter alia on governance aspects and institutional capacity of their management authority. Also, that the expected METT score improvements are greater at lower effectiveness levels than at relatively higher levels, i.e. they have a decreasing marginal rate. Therefore, the following procedure was agreed with the executing agency and government partners: 1. PA were divided into three groups according to their baseline METT score: a. Group 1: 50 points or more b. Group 2: 30-49 points c. Group 3: 0-29 points 2. An expected (target) annual score was calculated for each PA. 3. The Project team will undertake METT measurements to check performance with respect to set targets, and report in the core indicator for the areas of those PAs whose effectiveness has improved within target 4. Percentual changes in area and scores will also be calculated for each group. Table 1 presents the baseline scores and annual targets estimated for each PA as well as their corresponding group. All areas will increase their scores with the higher relative changes in PA that start in Group 3 (red). It has been estimated that Group 1 will increase in the number of PA from five to eleven by the end of the project, while Group 3 will start with four and end with no PA by project completion, reflecting overall and individual improvements in management effectiveness. Reports from the PIU will provide further information and reasoning about the improvements accomplished and reflected in the METT. Table 1: Target METT scores for the 14 Protected Areas is uploaded as a separate annex in the GEF portal (Colombia ASL2 GEF Core Indicator 1.2 supplement Tables 1 -2.docx) Table 2 evidences the percentual change of the total area per Group. According to the baseline score Group 1 represent 67.6% of the total area and will gradually increase until representing 95.2% of the total area by project completion. This implies that more than 95% of the total area of the focalized PA will end with METT scores of 50 or more. The area under Group 2 will decrease as it moves up to Group 1, while Group 3 will end with zero PA with METT scores under 30 points. Table 2: Area targets per group is uploaded as a separate annex (Colombia ASL2 GEF Core Indicator 1.2 supplement Tables 1 -2.docx) CI 3. Passive and active restoration activities will be supported in 4,025 hectares within PAs and in key corridors for connectivity and productive landscapes (forest reserve zones) in the vicinity of PAs, following established restoration protocols. Of this target, 649 ha will occur within protected areas and the remaining in degraded agricultural land. CI 4: The area mentioned above (862,961 ha) corresponds to the areas under sustainable land management in production systems. The indicator measures, in hectares, the land area for which new and/or improved sustainable landscape management practices have been introduced in productive landscapes. Includes the areas subject of timber and non-timber forest plans in the key forest landscape areas (Guaviare, Yari-Caguan, Mecaya-Sencella and Tarapaca). Involvement of farmers will be done through the non-deforestation agreements. CI 6: The indicator tracks measurement of the total GHG emissions avoided during the Project (5,380,000 metric tons of CO<sub>2</sub> eq). Tracking will be done using the ExAct tool. Reference period for the calculation of the target is 2004-2018, with annual average deforestation -0,04%. CI 11: The calculation of beneficiaries is based on number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment. This indicator captures the number of individual people who receive targeted support (monetary and non-monetary) from the GEF project and/or who use the specific resources that the project maintains or enhances. It includes farmers, indigenous people and members of organizations and public agencies that will directly benefit from the project including those signing conservation, non-deforestation and restoration agreements.





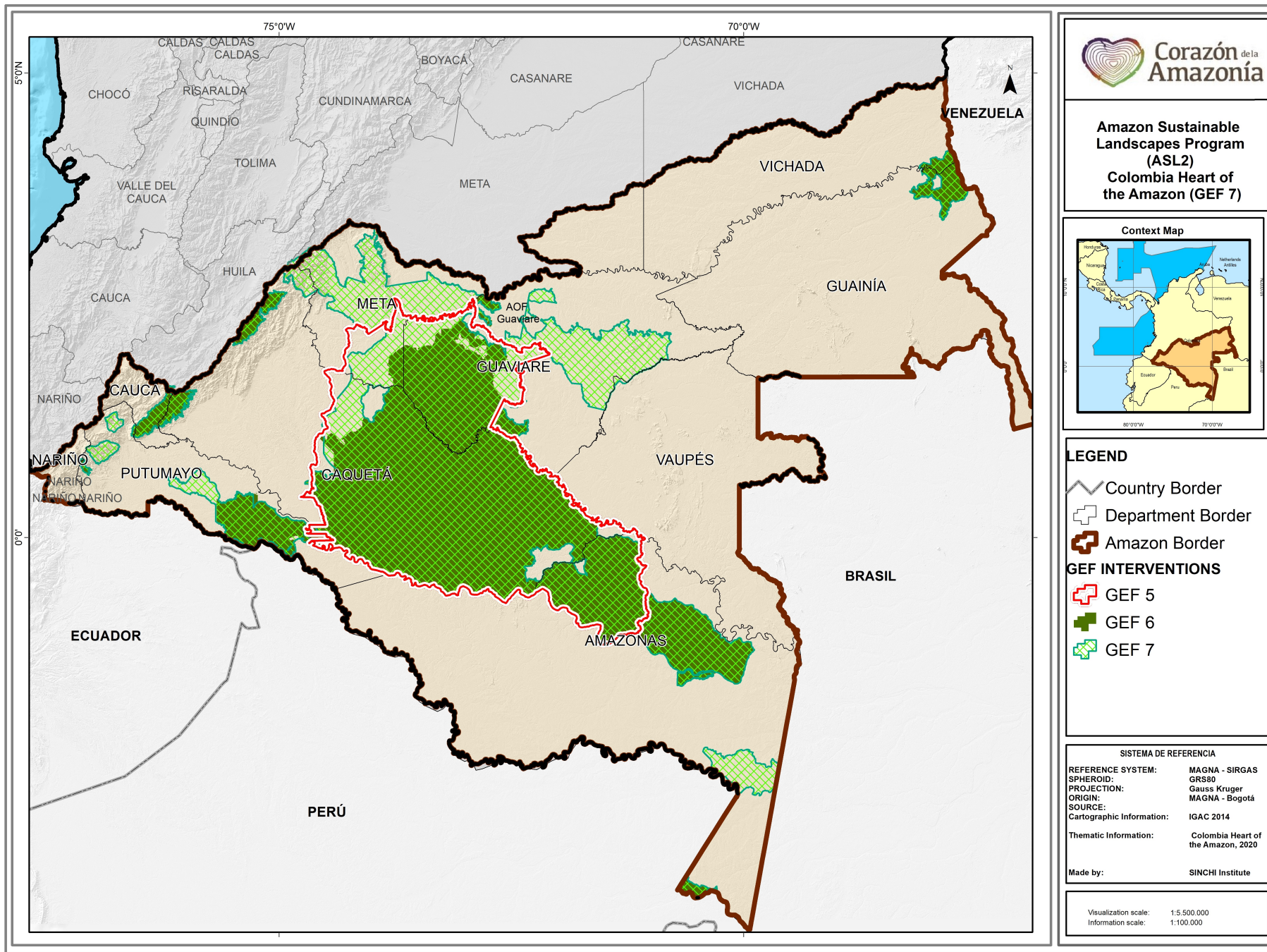






The following Map compares the various areas of intervention with GEF5 and GEF6 funds and the proposed second additional financing with GEF7.







## 2. Stakeholders

### Please provide the Stakeholder Engagement Plan or equivalent assessment.

The Stakeholder Engagement Plan (SEP) submitted as part of the appraisal package (separate document included), builds on the lessons learned and the longstanding relationships built with local stakeholders over the course of the first two phases of the project under GEF5 and GEF6, respectively, and are based on relationships and trust over years of work with key stakeholders. The main objectives of this Plan are: (i) establish a systematic approach for stakeholder participation, promoting the identification, generation and maintenance of a constructive relationship with key stakeholders; (ii) ensure stakeholder opinions are taken into account in project design and environmental and social performance; (iii) promote an inclusive and effective participation, throughout the project cycle, of the affected parties concerning issues that could impact them and provide the necessary means for such participation; (iv) ensure that adequate information on environmental and social risks and impacts is disclosed to interested parties in an accessible, timely, understandable and appropriate way; (v) provide inclusive mechanisms for the parties involved in the project to raise problems and complaints, and respond to those claims and their management. This SEP will be applied in conjunction with the project's safeguard instruments (cleared under WB policies) and the Gender Plan of Action (GPA).

The SEP identifies the following key categories of stakeholders affected by the project: (i) local community beneficiaries in the project intervention area, including farmers and indigenous people from 30 indigenous reservations; (ii) project partners to conduct collaborative actions under the coordination of the executing agency, including Natural Heritage Fund (FPN), the Ministry of Environment and Sustainable Development (MADS), the Amazon Institute for Scientific Research (SINCHI), the Institute of Hydrology, Meteorology and Environmental Studies (IDEAM), the Natural National Parks Unit (PNN), the Corporation for Sustainable Development for the North and East of the Amazon (CDA), and the Corporation for Sustainable Development of the South of the Amazon (CORPOAMAZONIA). The main project beneficiaries, namely indigenous groups and farmers, are considered vulnerable and disadvantaged groups. In addition, the plan identifies a broad range of interested parties: (i) other public entities, ranging from subnational territorial entities (departments and municipalities) to various ministries and environmental agencies; (ii) NGOs and international cooperation agencies, including USAID, UNDP, WWF, UICN, GAIA Foundation, Conservation and Sustainable Development Foundation (FCDS), Amazon Conservation Team, Omacha Foundation, Amazon Network of Georeferenced Socio-Environmental Information (RAISG), among others; (iii) initiatives and programs developed in the region including REDD+ Early Movers project, Sustainable Amazon Project for Peace, Conservation and Governance Program in the Amazonian Piedmont, Implementation of Development Plans with a Territorial Approach, National Integral Program of Substitution of crops for illegal use, among others, and (iv) armed actors and illegal organizations (cartels of illegal economies, FARC dissents and other Organized Armed Groups. GAO)[1].

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[1] They are actors to take into account, as they exercise strong armed territorial control in many of the territories where the activities proposed by the project are carried out.

In addition, provide a summary on how stakeholders will be consulted in project execution, the means and timing of engagement, how information will be disseminated, and an explanation of any resource requirements throughout the project/program cycle to ensure proper and meaningful stakeholder engagement.

The engagement strategy recognizes the need to tailor the engagement approach to the specific needs and characteristics of each stakeholder group, and formulates a strategy for their involvement at each stage of the project, including specific needs of most vulnerable populations, such as women, children, youth and elderly beneficiaries, which have been addressed in the Gender Plan of Action. A detailed Annex has been prepared as part of the project documents, characterizing each beneficiary population and outlining preferred consultation and engagement mechanisms on a case-by-case basis. Engagement will not be done through strict formulas, and flexibility will be necessary. For instance, the COVID19 pandemic, with the restrictions imposed, and the social and cultural impact, is demanding adaptation in the implementation of the current project, the preparation and socialization of the new additional financing (explained in detail in the safeguard instruments)[1] and future implementation. The consultation and socialization of the project presented an important challenge, given the current conditions of the Pandemic by COVID 19. The consultation was designed in three stages: first, virtually from May to July

2020, with the stakeholders with whom the project has been working and with some new ones already identified that have access to virtual technologies; second, continue posting virtual messages and communication pieces to reach broader audiences that have access to technologies and while the travel restrictions are in place; finally, when the pandemic situation is manageable, local communities will be contacted in face to face workshop to consult the project including the safeguard instruments and action plans with the indigenous communities. It has been set for the project that in the case of indigenous communities no activity will take place until the third stage is completed. . The consultation processes was done in accordance with the Technical Note “Public Consultations and Stakeholder Engagement in WB-supported operations when there are constraints on conducting public meetings” (March 20, 2020), prepared by the WBG to respond to this global situation and it has been properly documented and included in the appraisal package.

Broadly, engagement will differentiate between the following stakeholder categories:

- **Affected parties (beneficiary families).** Engagement of affected parties (rural and indigenous beneficiary communities) will take place primarily through community assemblies. These are deliberative, transparent, democratic and participatory spaces where the whole community makes contributions to project planning, implementation and monitoring, as well as to the established Grievance Redress Mechanism[2]. In addition, participatory agreements will be signed by beneficiaries in order to either restore or undertake conservation and sustainable forestry management activities. In the case of indigenous communities, this process will be directed by the Action Plan within the Framework of the Indigenous Peoples Policy of the World Bank, developed in the earlier phases of the project to lay out a comprehensive engagement strategy with this stakeholder group that effectively recognizes the rights of indigenous peoples. The national government and partner entities have a longstanding relationship with project beneficiaries. That previous relationship has generated trust, spaces for dialogue and agreement, and identification and prioritization of needs and activities from which the GEF-CA project will base AF2 activities. For cases related to new areas of intervention, the project will build on existing participatory spaces and such strategies will be arranged during the consultation process as well as project implementation. On the side of the farmers’ families, their participation is also considered (as has been done in the two previous projects) through the mechanism of community assemblies. Due to the heterogeneity of the territories where the project operates, the level and character of these community assemblies varies (including *Juntas de Accion Comunal*, *núcleos veredales*, Ramsar councils and assemblies for productive associations).
- **Interested stakeholders.** For other interested stakeholders, a participatory process building on lessons learned from GEF5 and GEF6 will be implemented. There is a broad call for these parties based on multiple invitations to actively participate in work meetings and events in the territory, with the aim of reaching joint agreements. With some government entities, such as MADR, ANT, ART, PNIS, Mintransporte, Invias, Governors, Municipalities, private companies and Chambers of Commerce, a process of inclusion of sustainable development consideration into sectoral agreements will be followed. With the illegal armed actors that make a presence in the area, a policy of no dialogue is proposed; however, the PIU will constantly monitor related risks that could potentially be generated in project areas.

The following Table describes main project stakeholders, as well as their roles with regards to the Project:



Stakeholders	Mandate and relevant roles in the project
Project Implementation Unit (PIU) – Fondo Patrimonio Natural, Natural Heritage Fund	Fondo Patrimonio Natural (PNF), which has an established Project Implementation Unit (PIU), will continue to be the grant recipient. Implementation arrangements have proven successful so far. As executing agency, PNF will continue to administer project funds, supervise compliance with safeguard policies and carry out procurement and financial management (FM), as well as provide oversight of all project activities.
Ministry of Environment, and Sustainable Development (MADS), National Parks Unit (PNN), research institutes SINCHI and IDEAM, and regional development corporations -Corporaciones de Desarrollo Sostenible (CDS)	Co-executing agencies, “partner entities” that have implemented activities under GEF5 and GEF6. For the proposed project, the Inter-institutional Agreement with these institutions will be amended to reflect the execution of specific activities according to their technical area of expertise[1]. An updated Sub-grant Agreement with SINCHI will also be prepared and signed.
Executive Committee	Comprised of official delegates from partner institutions, this consulting body provides strategic leadership and technical supervision of the Project.
Advisory Council	Chaired by MADS, provides guidance for implementation as well as coordination with sectoral public policies and other donor initiatives.
Local governing councils, farmer associations, community action boards and indigenous organizations	<p>Authorities representatives Key beneficiaries of the project.</p> <p>One of the strengths of GEF5 and GEF6 implementation has been that the relationship and participation with the indigenous communities was undertaken directly with the traditional and political authorities of each of the indigenous reservations, without overlooking the fundamental role of second and third level organizations such as the Associations of Indigenous Authorities (AATIS) or the Organization of the Indigenous People of the Colombian Amazon (OPIAC).</p> <p>In the case of farmer communities, the participatory process will be carried out through productive associations or community-based organizations such as Community Action Boards.</p>
Communities (including indigenous communities)	Communities (from several indigenous <i>resguardos</i> as well as farmer communities in and around PA and SFM areas) will be the main beneficiaries of this Project. The PIU and Executive Committee active involvement in a participatory selection and implementation process since GEF5 and GEF6 has generated a longstanding interaction with these communities. The SEP includes a detailed census of each population and establishes specific measures for participation and activity implementation. Depending on the territorial particularities of each of the project activities, the relationship with these organizations will be developed, always opting for the most participatory, representative and transparent communication channels.
CSO	Several NGOs are project partners on the ground, while others support the dissemination of project results and alignment with regional and national sustainable development strategies.
Other agencies and development donors	The Stakeholder Engagement Plan has been developed during project preparation and provides detailed mapping with regards to key government agencies at a national and local level.

[1] This AF2 is expected to become effective in March 2021 by which time the team expects that the situation will have improved, procedures and protocols for managing COVID-19 risks will be well developed, and the implementation partners will have gained the required experience to implement the necessary protocols and procedures even if the pandemic continues.



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[1] The socialization process faced two high order situations that significantly affect the originally planned timelines of consultation. On the one hand, the increase in the risks associated with security conflict due to the escalation in the region of confrontations, threats and other acts that have been directed to the beneficiary communities, community leaders and project partner's institutions. On the other hand, the current public health crisis caused by the COVID-19, restricted access to the beneficiary communities, and the Government, complying with the Constitutional right of the indigenous communities to proper prior consultation, impeded consultations to be carried out virtually. In consequence, and allowing to continue with project preparation and submission for endorsement, project partners and WB management have agreed that virtual consultation was held with those communities and institutions allowed to, but the face to face socialization process will be done once conditions allowed for it (potentially between the months of August - October of this year). The project grant agreement will establish a condition of disbursement, meaning that project disbursements to these beneficiaries will not be possible until the socialization has been carried out and documented following the WB safeguard procedures.

[2] The existing project Grievance Redress Mechanism (GRM) has been evaluated as satisfactory and will continue to be used in the new proposed project. The Project Implementation Unit (PIU) and the Task Team will report on the status of stakeholder participation on a semi-annual basis.

**Select what role civil society will play in the project:**

**Consulted only;** Yes

**Member of Advisory Body; Contractor;** Yes

**Co-financier;** Yes

**Member of project steering committee or equivalent decision-making body;**

**Executor or co-executor;**

**Other (Please explain)**

### 3. Gender Equality and Women's Empowerment

#### Provide the gender analysis or equivalent socio-economic assessment.

The objective of the Gender Plan of Action (GPA) (complete document attached separately as part of the package) and the gender approach designed for the Project, is to: consider, address and contribute to the reduction of the gaps between men and women, boys and girls, adults, elders, and young people, as well as avoid any action that will increase the gaps. The project will develop activities to strengthen women's voices and leadership in project areas. The GPA is a cross sectoral instrument that will be implemented during the preparation, implementation and supervision of the project, ensuring that all the objectives, components and activities will be delivered following a gender approach, such as gender-sensitive budgets, indicators, and the support of dialogues where the ancestral and distinctive knowledge women hold are taken into consideration.

Even though to date an updated systematic complete analysis of gender-related issues in the Amazon does not exist[1], the project will build on the experience from the current one, plus the experience of the partner institutions that for decades have been present in the area fulfilling their missionary objectives, executing various initiatives and conducting research in the Colombian Amazon. According to the study developed by Schmink and García in 2015, [2] women represent half of the population in the Amazon region, and their knowledge, work and commitment are essential for securing the sustainable management of Amazonian forest resources. The project has contributed to what the study also highlights and is that gender relations have been changing across the Amazon as part of the greater socioeconomic and ecological transformations underway throughout the basin. As women have found greater visibility for their productive activities, interests and capabilities, they have developed ways to contribute more effectively to the livelihoods of their families and communities and the sustainable management of their forests. In the process, they have contributed to their own empowerment by securing greater access to critical resources, and their improved levels of self-confidence have helped them to negotiate their interests in different arenas[3]. The project also follows the premise that the region's traditional knowledge holds key clues towards achieving conservation and sustainable development in the Amazon and it is the women and elders who possess and teach most of this knowledge.

The GPA proposes the following measures for the project's components: (i) under Component 1, the project will continue promoting gender equality in the management of PAs, and empower women's indigenous organizations as well as recognize women's central role in safeguarding traditional knowledge related to biodiversity, food safety and family; (ii) under Component 2, the project will support capacity building of female producer organizations in agricultural value chains, sustainable land and forest management practices and restoration activities, as well as support their entrepreneurial skills, and (iii) under Component 3, the project will ensure the adoption of the gender approach by partner institutions and aim to increase women's participation in policy decision-making as well as formulation of management plans, supporting a balanced involvement in natural resources management. Finally, under Component 4 the project will support generation of gender-sensitive information, promote dialogue valuing gender-sensitive knowledge and practices, support and strengthen the work of women's organizations in the areas of influence, and support regional knowledge exchange experiences between ASL countries with balanced gender representation.

During project implementation, monitoring of the GPA will be carried out in a participatory manner and the PIU will apply perception surveys to project beneficiaries, where one of the central axes will be fulfillment of gender considerations. The partner entities in charge of executing and monitoring the actions related to the gender approach and the GPA shall report results in this regard in the semi-annual reports provided to the PIU. Regarding resource allocation,

within each of the project components and within the Annual Operational Plans, specific resources will be allocated for the activities mentioned in this GPA. In addition, within the terms of reference of possible hires, the gender approach will be incorporated.

[1] A study is being commissioned by the ASL Coordination grant. The study will conclude with proposals for how to address the gender gaps in the Amazon and recommendations to improve gender sensitive conservation and sustainable development interventions. These recommendations and guidelines are expected to enrich the approaches conducted by the ASL Program and its national projects, including this proposed one.

[2] Schmink M and García MAG. 2015. *Under the canopy: Gender and Forests in Amazonia*. Occasional Paper 121. Bogor, Indonesia: CIFOR.

[3 ] A recent note about this accomplishment (to be scaled up with the proposed project): <https://elpais.com/economia/2020-05-21/conoce-a-las-amazonas-que-defienden-la-amazonia-colombiana.html> and <https://blogs.worldbank.org/latinamerica/guardian-amazon>

**Does the project expect to include any gender-responsive measures to address gender gaps or promote gender equality and women empowerment?**

Yes

**Closing gender gaps in access to and control over natural resources;**

**Improving women's participation and decision making** Yes

**Generating socio-economic benefits or services or women** Yes

**Does the project's results framework or logical framework include gender-sensitive indicators?**

Yes

#### 4. Private sector engagement

##### Elaborate on the private sector's engagement in the project, if any.

The transformation of selected productive landscapes toward a low-carbon development pattern will be achieved by promoting multisectoral/multistakeholder dialogue involving large and small private producer associations with involvement in the target areas. Engagement of the private sector will contribute to the sustainability of land-use planning that is mutually beneficial to the private sector actors and the local communities. The private sector will be a key ally in the promotion of productive chains for the Amazon forest considering sectoral agreements established under the NDCs. The project will benefit from agreements supported by the Tropical Forest Alliance, with dairy, timber and meat production sectors establishing productive chains using sustainability criteria. As part of the Stakeholder Engagement Plan, a complete listing of producer associations, cooperatives and associations is provided for each project area where these activities are expected to take place. Partnerships with universities and research centers will also be promoted to bring technology and innovation to the project activities.

The strengthening of productive value chains supported by component 2, will involve various stakeholders with specific roles: (i) investors, providing capital and knowledge on business development, entrepreneurship and marketing; (ii) SINCHI, offering scientific knowledge of the Amazon and its socio-economic dynamics; (iii) regional environmental authorities (CDS) aligning project activities with the Green Business regional program and linking with potential buyers, as well as providing knowledge and experience in natural resource management and sustainable use, and (iv) producer associations/community forestry organizations providing support and control to individual farmers and ensuring compliance with the agreed terms of the business models. The Project will build on previous experience that executing organizations have established under GEF5 and GEF6, with enterprises in the food and cosmetic sector (Natura Cosmetics, Botanique and Naissant) to develop innovative and differentiated products using natural Amazon ingredients. For example, in Guaviare an associative value chain for the açai palm, *Euterpe oleracea*, was established between a community organization (Asoprocegua) and the private Enterprise Bioguaviare. Bioguaviare supports commercialization in these sectors (see the graph below), and includes 164 farmers gathering products in four processing and storage centers, within an area of 16,491 hectares of which 8,611 remain as conserved forest. The Project will scale up this experience, promoting the incorporation of additional producer associations with legal access and capacity to promote sustainable use of timber and non-timber forest products.

#### 5. Environmental and Social Safeguard (ESS) Risks

Provide information on the identified environmental and social risks and potential impacts associated with the project/program based on your organization's ESS systems and procedures

Overall Project/Program Risk Classification\*

PIF                                      CEO Endorsement/Approval    MTR                                      TE

Medium/Moderate

#### Measures to address identified risks and impacts

Elaborate on the types and risk classifications/ratings of any identified environmental and social risks and impacts (considering the GEF ESS Minimum Standards) and any measures undertaken as well as planned management measures to address these risks during implementation.

The Environment and Social risk was reduced to Moderate after revision of ratings for the current project, review of guidance from the SORT recalibration exercise and an analysis that activities to be supported under this second AF are similar to those carried out under GEF5 and GEF6, presenting low environmental risk and moderate social risk in their implementation. This is essentially an environmental conservation project, having already achieved, under the parent project and first AF, significant and measurable forest conservation results. These positive results will be scaled up under AF2, which includes a greater focus on landscape connectivity and habitat restoration, having expanded the target area to include conserved and productive areas that are crucial to landscape connectivity. AF2 will also strengthen and expand successful conservation agreements established with producers under previous projects, building on behavioral changes and participatory, culturally appropriate mechanisms favoring a forest conservation and sustainable development approach. Activities to be supported under this second AF are similar to those carried out under GEF5 and GEF6, presenting low environmental risk and moderate social risk in their implementation. To date, the project has had successful stakeholder engagement programs and no direct or indirect conflict.

#### Supporting Documents

Upload available ESS supporting documents.

Title	Module	Submitted
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PIDISDS Colombia GEF7 P171227	CEO Endorsement ESS	
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**ANNEX A: PROJECT RESULTS FRAMEWORK (either copy and paste here the framework from the Agency document, or provide reference to the page in the project document where the framework could be found).**

Annex A: Project Results Framework is in the Section VIII of the Project Paper (VIII. RESULTS FRAMEWORK AND MONITORING)

**ANNEX B: RESPONSES TO PROJECT REVIEWS (from GEF Secretariat and GEF Agencies, and Responses to Comments from Council at work program inclusion and the Convention Secretariat and STAP at PIF).**

Annex B is uploaded in the GEF portal as a separate document (annex) to the GEF datasheet CEO endorsement request

**ANNEX C: Status of Utilization of Project Preparation Grant (PPG). (Provide detailed funding amount of the PPG activities financing status in the table below:**

The COVID19 pandemic and travel restrictions limited the utilization of the PPG funds as it was mostly geared towards the consultation process. As indicated in the stakeholder engagement plan section, the process has been divided in stages and the remaining funds have been allocated mostly for the coming consultation phases.

PPG Grant Approved at PIF: <b>183,486</b>		or PPG Grant Requested at Endorsement/Approval Stage:	
<i>Project Preparation Activities Implemented</i>	<i>GETF/LDCF/SCCF Amount (\$)</i>		
	<i>Budgeted Amount</i>	<i>Amount Spent To date</i>	<i>Amount Committed</i>
Consultancy fees	US\$33,104	US\$4,478	US\$12,806
Operational Expenses (workshops)	US\$141,645	US\$39,816	US\$4,997
Management fee (5%)	US\$8,737	US\$7,025	US\$1,712
<b>Total</b>	<b>US\$183,486</b>	<b>US\$42,026</b>	<b>US\$6,985</b>

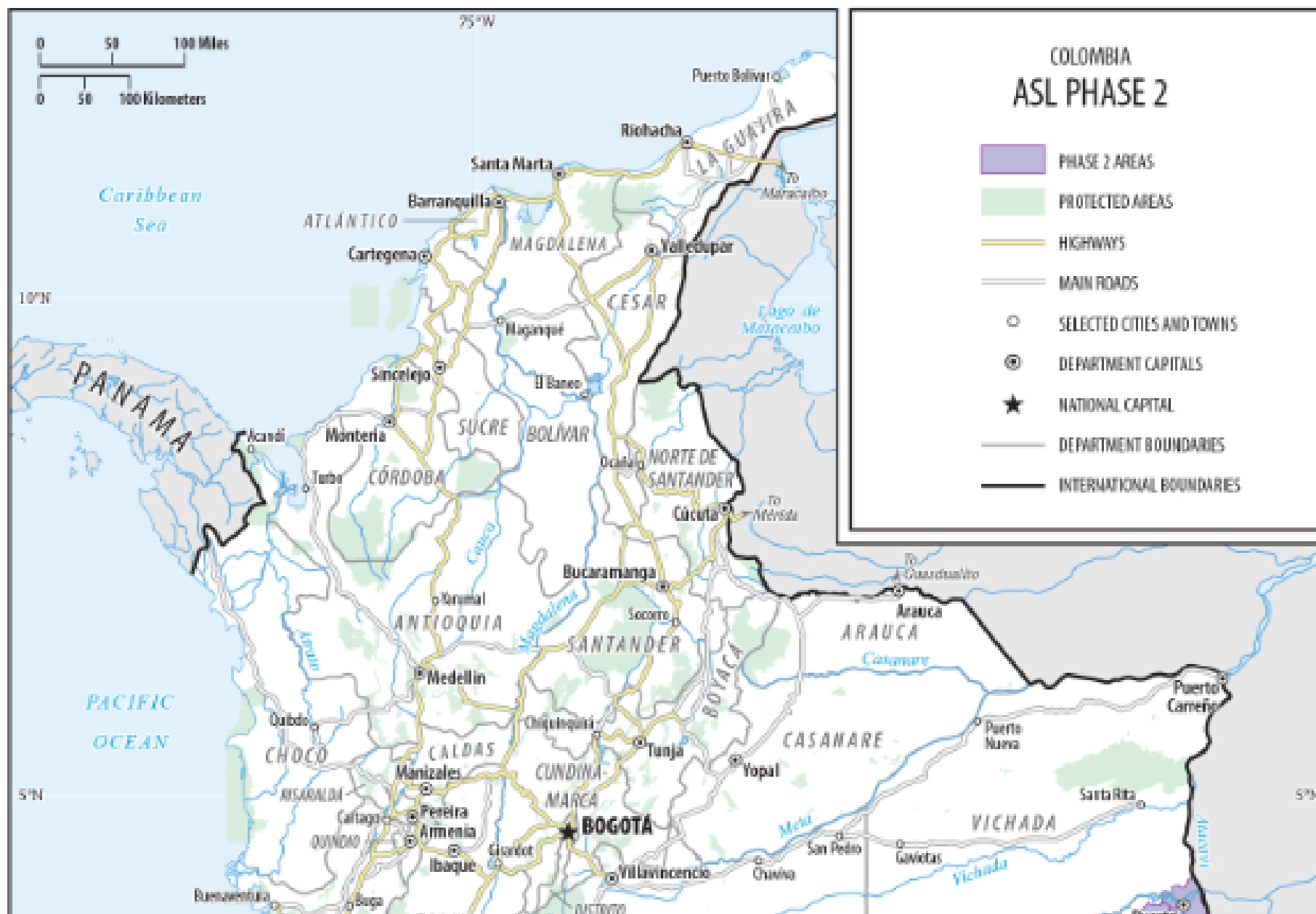
**ANNEX D: CALENDAR OF EXPECTED REFLOWS (if non-grant instrument is used)**

**Provide a calendar of expected reflows to the GEF/LDCF/SCCF/CBIT Trust Funds or to your Agency (and/or revolving fund that will be set up)**

N/A

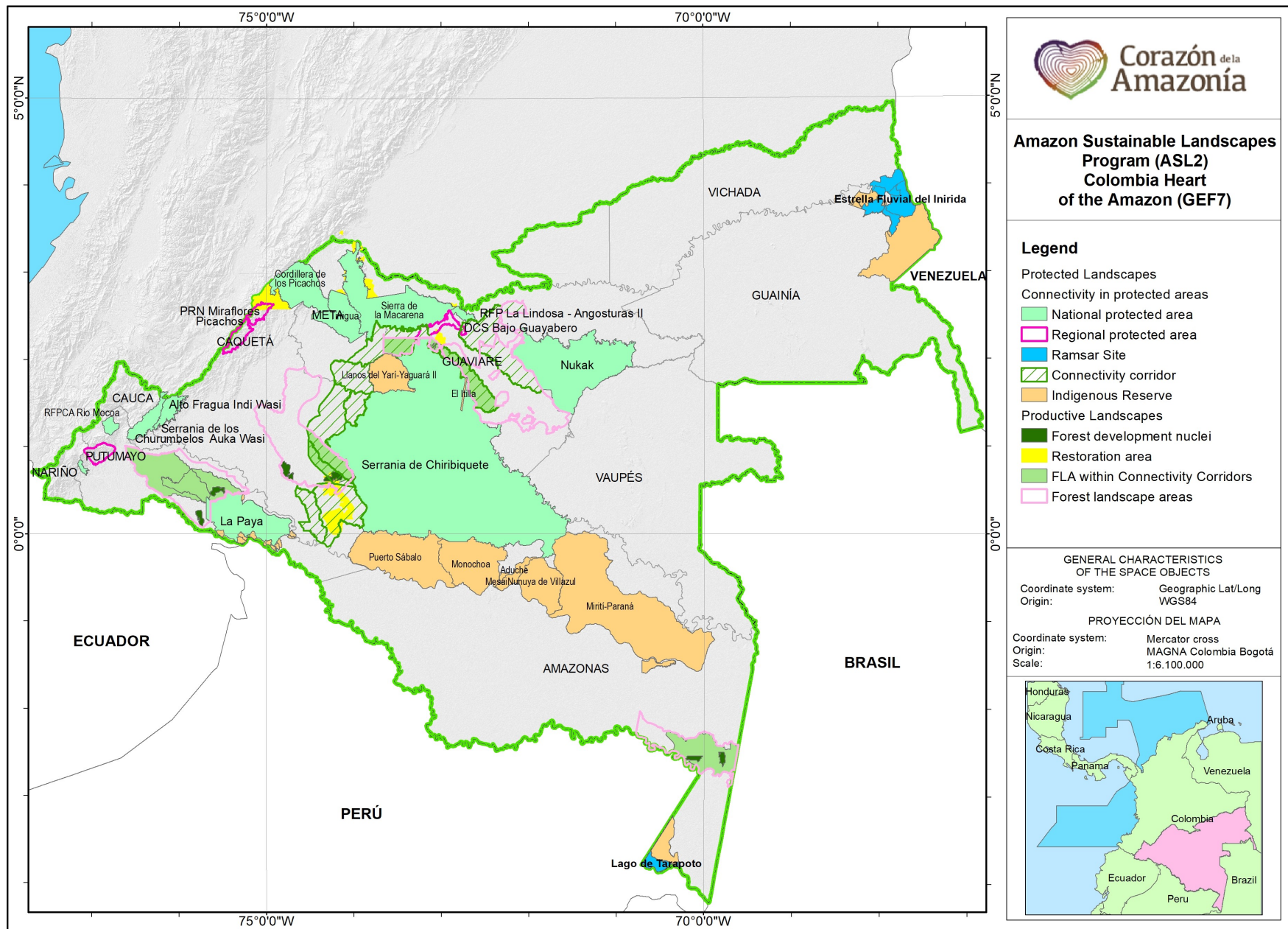
## ANNEX E: Project Map(s) and Coordinates

Please attach the geographical location of the project area, if possible.

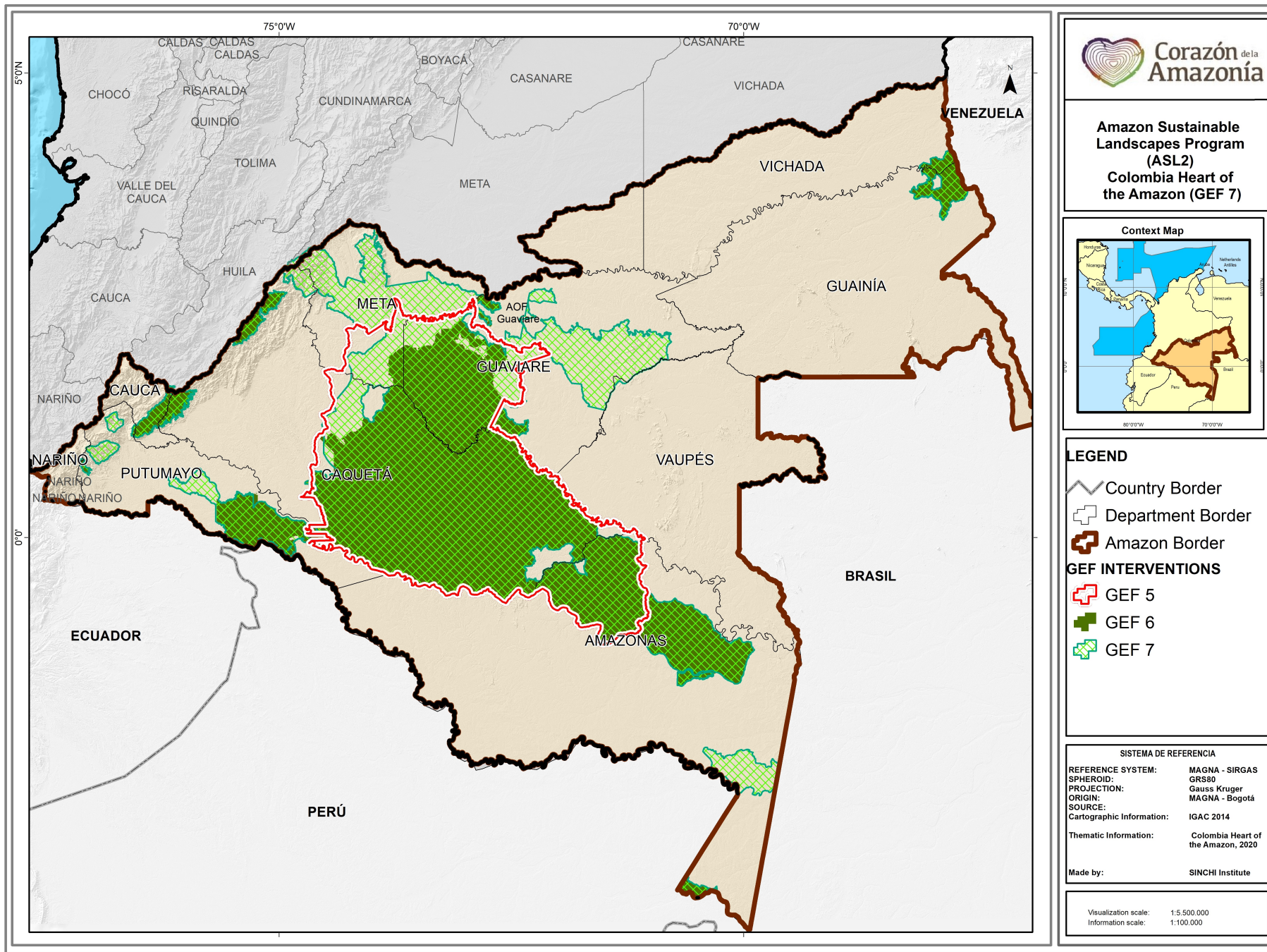












## ANNEX F: Project Budget Table

Please attach a project budget table.

Annex F is uploaded in the GEF portal as an excel file

Appendix A: Indicative Project Budget Template		Component (USD eq.)								Total (USD eq.)	Responsible Entity <small>[Executing Entity receiving funds from the GEF Agency][1]</small>
Expenditure Category	Detailed Description	Component 1 Protected Area Connectivity	Component 2 Sustainable forest and water management and use	Component 3 Governance, Policies and Incentives	Component 4 Knowledge management, monitoring and cooperation	Sub-Total	M&E	PMC			
Works	Civil works	51,729	456,096	-	-	507,825	-	-	507,825	Patrimonio Natural	
Goods	Field equipment (camera traps, binoculars), office equipment (including computers, printers) for partner executing institutions	305,347	54,448	-	6,667	366,462	-	-	366,462	Patrimonio Natural	
	Goods for community led activities (community monitoring, community led tourism and sustainable livelihoods)	17,800	-	-	-	17,800	-	-	17,800	Patrimonio Natural	
	Equipment and machinery for community transformation centers for forestry management (timber and NTFP)	-	1,155,333	-	-	1,155,333	-	-	1,155,333	Patrimonio Natural	
	Goods provided to signatories of the conservation and restoration agreements (plants, seeds, tools, posts, organic agriculture and food security kits)	404,184	2,409,407	-	-	2,813,591	-	-	2,813,591	Patrimonio Natural	
	Acquisition of images from remote sensors to monitor program interventions	-	-	-	95,000	95,000	-	-	95,000	Patrimonio Natural	
Vehicles		-	-	-	-	-	-	-	-	-	
Grants/ Sub-grants	Subprojects: Subsidiary agreements with producer organizations	-	451,967	-	-	451,967	-	-	451,967	Patrimonio Natural	
Revolving funds/ Seed funds / Equity	Capitalization Herencia Colombia Transiti on Fund	2,000,000	-	-	-	2,000,000	-	-	2,000,000	Patrimonio Natural	
Sub-contract to executing partner/ entity	Sub-grants SI NCHI Institute	-	2,168,008	396,667	-	2,564,675	-	-	2,564,675	SINCHI	
Contractual Services – Individual	Technicians providing extension services, technical focal points, coordination consultant team, specialists	508,857	1,180,433	706,267	1,205,446	3,601,003	344,448	-	3,945,451	Patrimonio Natural	
Contractual Services – Company	Feasibility studies, auditing, project evaluation	-	194,608	133,333	-	327,942	140,693	-	468,635	Patrimonio Natural	
International Consultants		-	-	-	-	-	-	-	-	-	
Local Consultants	Community leaders involved in extension services, community monitoring, participatory research	703,088	648,733	-	-	1,351,822	-	-	1,351,822	Patrimonio Natural	
Salary and benefits / Staff costs	Administrative, fiduciary, legal and coordination staff	-	-	-	-	-	-	304,072	304,072	Patrimonio Natural	
Trainings, Workshops, Meetings	Training, meetings and consultation workshops with beneficiaries in areas of intervention (accommodation, travel, food, etc)	523,061	212,892	44,000	43,556	823,509	57,876	-	881,385	Patrimonio Natural	
	Regional and national Knowledge exchange as part of ASL program	-	-	-	686,077	686,077	-	-	686,077	Patrimonio Natural	
Travel	Travel from coordination team	2,000	11,842	-	44,056	57,898	-	-	57,898	Patrimonio Natural	
Office Supplies	Office supplies	3,333	4,767	-	-	8,100	-	-	8,100	Patrimonio Natural	
	Operational costs (office, administrative expenses)	-	-	-	-	-	-	570,546	570,546	Patrimonio Natural	
Other Operating Costs	Communication materials (posters, publications, banners, website)	43,967	48,767	27,600	-	120,333	-	-	120,333	Patrimonio Natural	
<b>Grand Total</b>		<b>4,563,367</b>	<b>8,997,303</b>	<b>1,307,867</b>	<b>2,080,801</b>	<b>16,949,337</b>	<b>543,017</b>	<b>874,618</b>	<b>18,366,973</b>	-	

[1] In exceptional cases where GEF Agency receives funds for execution, Terms of Reference for specific activities are reviewed by GEF Secretariat

