

# GEF - PROJECT IMPLEMENTATION REPORT (PIR)

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**UNEP GEF PIR Fiscal Year 2024**  
Reporting from 1 July 2023 to 30 June 2024

## 1 PROJECT IDENTIFICATION

### 1.1 Project Details

<b>GEF ID:</b> 9926	<b>Umoja WBS:</b> GFL-11267-14AC0003-SB-008831
<b>SMA IPMR ID:</b> 35270	<b>Grant ID:</b> S1-32GFL-000620
<b>Project Short Title:</b> ABS DR Congo	
<b>Project Title:</b> Effective National Implementation of Access and Benefit Sharing (ABS) in accordance with the Nagoya Protocol and Valorization of Botanical Plants (Medicinal, Cosmetic and Neutraceutical) in the Democratic Republic of Congo (DRC)	
<b>Duration months planned:</b>	36
<b>Duration months age:</b>	58
<b>Project Type:</b>	Medium Sized Project (MSP)
<b>Parent Programme if child project:</b>	
<b>Project Scope:</b>	National
<b>Region:</b>	Africa
<b>Countries:</b>	Congo, Democratic Republic of the
<b>GEF Focal Area(s):</b>	Biodiversity
<b>GEF financing amount:</b>	\$ 2,000,000.00
<b>Co-financing amount:</b>	\$ 7,035,000.00
<b>Date of CEO Endorsement/Approval:</b>	2019-03-04
<b>UNEP Project Approval Date:</b>	2019-08-14
<b>Start of Implementation (PCA entering into force):</b>	2019-07-10
<b>Date of Inception Workshop, if available:</b>	2019-07-10
<b>Date of First Disbursement:</b>	2019-11-25
<b>Total disbursement as of 30 June 2024:</b>	\$ 1,485,531.00

<b>Total expenditure as of 30 June:</b>	\$ 1,800,000.00
<b>Midterm undertaken?:</b>	Yes
<b>Actual Mid-Term Date, if taken:</b>	2023-11-30
<b>Expected Mid-Term Date, if not taken:</b>	
<b>Completion Date Planned - Original PCA:</b>	2022-08-30
<b>Completion Date Revised - Current PCA:</b>	2024-12-31
<b>Expected Terminal Evaluation Date:</b>	2025-01-15
<b>Expected Financial Closure Date:</b>	2024-12-31

## 1.2 Project Description

To strengthen national capacities on legislation and regulatory framework for the effective implementation of the Nagoya Protocol on Access to Genetic Resources and the Fair and Equitable Sharing of Benefits Arising from their utilization, contributing to the conservation of biodiversity. Component 1: elaboration of implementing regulations and tools for the ABS related parts of the DRC conservation Law. Component 2: Awareness raising on the Nagoya Protocol/DRC ABS system and capacity development for relevant stakeholders in DRC; component 3: Conservation and valorization of medicinal, nutraceutical and cosmetic plants(Botanicals).

## 1.3 Project Contacts

<b>Division(s) Implementing the project</b>	Ecosystems Division
<b>Name of co-implementing Agency</b>	
<b>Executing Agency (ies)</b>	Ministry of Environment and Sustainable Development,
<b>names of Other Project Partners</b>	NGO Action d'Aide Sanitaire et de Développement aux plus Démunis (AASD), and Law Division
<b>UNEP Portfolio Manager(s)</b>	Johan Robinson
<b>UNEP Task Manager(s)</b>	Andre Toham
<b>UNEP Budget/Finance Officer</b>	Paul Vrontamitis
<b>UNEP Support Assistants</b>	Eric Mugo
<b>Manager/Representative</b>	Bamoninga Benjamin Toirambe
<b>Project Manager</b>	Muyembe Ngasili Henri
<b>Finance Manager</b>	Bolumbu Ikuna Gisèle

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<b>Communications Lead, if relevant</b>
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N/A
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## 2 Overview of Project Status

### 2.1 UNEP PoW & UN

<b>UNEP Current Subprogramme(s):</b>	Thematic: Nature action subprogramme, Foundational: Environmental governance
<b>UNEP previous Subprogramme(s):</b>	Environmental governance foundational sub-programme Nature action sub-programme
<b>PoW Indicator(s):</b>	<ul style="list-style-type: none"> <li>Nature: (i) Number of national or subnational entities that, with UNEP support, adopt integrated approaches to address environmental and social issues and/or tools for valuing, monitoring and sustainably managing biodiversity.</li> </ul>
<b>UNSDCF/UNDAF linkages</b>	<p>The project will be contributing to the attainment of the following UNDAF outcomes, although its implementation is starting at the end of the UNDAF cycle, UN Environment will ensure its consideration of the future cycle; UNDAF Outcome 1: By 2018, sustainable and green economic growth that is equitable, inclusive, climate and disaster resilient and promotes poverty reduction, and employment opportunities particularly for vulnerable groups enhanced.</p> <p>UNDAF Output 1.1: Policies and studies for integrated natural resource management, climate change adaptation/mitigation and poverty-environment nexus developed.</p> <p>UNDAF Output 1.3: Increased domestic and external trade and industry opportunities that are pro-poor and gender responsive</p> <p>UNDAF Output 1.5: Youth, women and other vulnerable groups have access to sustainable employment with a focus on cottage, small and micro enterprises in line with the RGOB's commitment to a green economy.</p> <p>UNDAF Output 1.6: The rural poor and under-employed have access to alternative income generation opportunities.</p>
<b>Link to relevant SDG Goals</b>	<ul style="list-style-type: none"> <li>Goal 2: End hunger, achieve food security and improved nutrition and promote sustainable agriculture</li> <li>Goal 3: Ensure healthy lives and promote well-being for all at all ages</li> <li>Goal 15: Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss</li> </ul>
<b>Link to relevant SDG Targets:</b>	<ul style="list-style-type: none"> <li>2.5 By 2020, maintain the genetic diversity of seeds, cultivated plants and farmed and domesticated animals and their related wild species, including through soundly managed and diversified seed and plant banks at the national, regional and international levels, and promote access to and fair and equitable sharing of benefits arising from the utilization of genetic resources and associated traditional knowledge, as internationally agreed</li> <li>3.b Support the research and development of vaccines and medicines for the communicable and non-communicable diseases that primarily affect developing countries, provide access to affordable essential medicines and vaccines, in accordance with the Doha Declaration on the TRIPS Agreement and Public Health, which affirms the right of developing countries to use to the full the provisions in the Agreement on Trade-Related Aspects of Intellectual Property Rights regarding flexibilities to protect public</li> </ul>

	<p>health, and, in particular, provide access to medicines for all</p> <ul style="list-style-type: none"> <li>15.6 Promote fair and equitable sharing of the benefits arising from the utilization of genetic resources and promote appropriate access to such resources, as internationally agreed</li> </ul>
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## 2.2. GEF Core and Sub Indicators

GEF core or sub indicators targeted by the project as defined at CEO Endorsement/Approval, as well as results

Indicators	Targets - Expected Value			Materialized to date
	Mid-term	End-of-project	Total Target	
11- People benefitting from GEF-financed investments				
11.1- Male	50	2050	50%	653
11.2- Female	50	2050	50%	221

Implementation Status 2023: 5th PIR

## 2.3. Implementation Status and Risks

	PIR#	Rating towards outcomes (section 3.1)	Rating towards outputs (section 3.2)	Risk rating (section 4.2)
FY 2024	5th PIR	S	S	L
FY 2023	4th PIR	S	S	L
FY 2022	3rd PIR	S	S	L
FY 2021	2nd PIR	S	S	L
FY 2020	1st PIR	S	S	L
FY 2019				
FY 2018				
FY 2017				
FY 2016				
FY 2015				

Summary of status

Rating towards outcomes: The rating is S because the project has successfully developed two decrees and one ministerial order to provide rules and procedures on accessing genetic resources and benefit sharing and support of the establishment of a national ABS system and to induce behavioral change in the access and use of genetic resources have been consolidated and finalized during the validation workshops. The project has successfully organized its second project steering committee meeting and successfully conducted its MTR as recommended by the first Project steering committee meeting. The MTR has recommended the extension of the project duration to ensure the fully completion of the project activities.

Rating towards outputs: The rating is S because the project is making progress. The project has successfully developed its national ABS strategy and action plan, ABS communication strategy and an explanatory manual on ABS system for effective ABS implementation and awareness raising of key stakeholders have been finalized.

Overall risk rating: The rating is L because there is no major risk for the project during this reporting period despite some delays in the transfer of funds.

## 2.4 Co Finance

<b>Planned Co-finance:</b>	\$ 6,400,000
<b>Actual to date:</b>	2,650,000
<b>Progress</b>	<p><b>Justify progress in terms of materialization of expected co-finance. State any relevant challenges:</b></p> <p>The institutional instability, economic situation of the country and the attitudes of the government towards the priorities and the preferences did not allow to mobilize much co-financing during this reporting period and some national partners were not responsive to provide co-finance reports, on one hand.</p> <p>In the other hand, the co-financing budget revision as well as a request from responsive partners, including Law Division, to increase their respective cofinancing (fo e.g. by adding their staff time, use of facilities, transport etc. and quantifying it as in kind cofinance to the project) are planned.</p>

## 2.5. Stakeholder

<b>Date of project steering committee meeting</b>	2024-06-14
<b>Stakeholder engagement (will be uploaded to GEF Portal)</b>	The stakeholders of the Congolese ABS sector have already been identified, their roles and functions are also clearly defined because they have always worked with the Administration in charge of genetic resources (MEDD) and continue to work so far informally and in





	<p>close collaboration with the ABS project. Most of them are part of both the National ABS Committee and the Steering Committee of the project. The order of constitution of National ABS committee has been validated and adopted. The order of constitution of project steering committee has been signed by the Minister of Environment and Sustainable Development, and stakeholders are strongly engaged and actively participated in the project steering committee meetings.</p>
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## 2.6. Gender

<b>Does the project have a gender action plan?</b>	No
<b>Gender mainstreaming (will be uploaded to GEF Portal):</b>	The Consultant in charge of gender action plan is already recruited by the project to work out this important document. However, this project's approach to gender recognized the importance of involving both men and women in setting up an ABS regime of the country. Therefore, during the project stakeholder's consultation and validations workshop organized to increase ownership and understanding of ABS principles and validate draft ABS Strategy and ABS communication strategy and action plans, gender considerations were integrated. The workshops and capacity building activities were attended by 874 participants (221 women and 653 men) so far, and representing different stakeholder groups including Indigenous and local communities, private sectors representative legal experts from the ministry of environment and the ministry of industry, NGOs, scientists, traditional knowledge practitioners, traditional communities (chiefs), the judiciary.

## 2.7. ESSM

<b>Moderate/High risk projects (in terms of Environmental and social safeguards)</b>	<b>Was the project classified as moderate/high risk CEO Endorsement/Approval Stage?</b> No <b>If yes, what specific safeguard risks were identified in the SRIF/ESERN?</b>  N/A
<b>New social and/or environmental risks</b>	<b>Have any new social and/or environmental risks been identified during the reporting period?</b> No <b>If yes, describe the new risks or changes?</b>  N/A
<b>Complaints and grievances related to social and/or environmental impacts</b>	<b>Has the project received complaints related to social and/or environmental impacts (actual or potential) during the reporting period?</b> No <b>If yes, please describe the complaint(s) or grievance(s) in detail, including the status, significance, who was involved and what actions were taken?</b>  N/A

<b>Environmental and social safeguards management</b>	The indigenous people and local communities, as holders of traditional knowledge associated to genetic resources are in centre of the Nagoya Protocol on ABS in DR Congo, therefore their interest are considered during consultation and validation workshops as well as their participation in relevant ABS committee to ensure sustainable genetic resources management, protection of traditional knowledge and practices associated with genetic resources.

## 2.8. KM/Learning

<b>Knowledge activities and products</b>	The project is designed to target a wide range of stakeholders both men and women that may either be directly or indirectly involved or impacted by the implementation of the ABS system in the DRC. In that regard during this reporting period, the project has created a platform of key stakeholders to share information and experiences on the status of the implementation of ABS processes in DR Congo. Various types of awareness raising materials (sticker, leaflets, awareness brochures, Comic Picture boxes, factsheet etc.) were produced in accordance with the needs, capacity, and interests of targeted stakeholder groups.
<b>Main learning during the period</b>	At this stage of the implementation of the project and during this reporting period, no main learning to be shared

## 2.9. Stories

<b>Stories to be shared</b>	At this stage of the implementation, no story to be shared
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### 3 Performance

#### 3.1 Rating of progress towards achieving the project outcomes

Project Objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	Progress as of current period (numeric, percentage, or binary entry only)	Summary by the EA of attainment of the indicator & target as of 30 June	Progress rating
Strengthen national capacities including on legislation, regulatory and institutional frameworks, for the effective implementation of the Nagoya Protocol on Access to Genetic Resources and the Fair and equitable Sharing of Benefits arising from their utilization, contributing to the conservation of biodiversity and human wellbeing in the Democratic Republic of Congo	Degree of implementation of the Nagoya Protocol	Legal framework exists but institutional framework to support implementation of the Protocol is weak	Legal, regulatory and institutional pre conditions to support ABS are under development	Legal, regulatory and institutional pre conditions to support ABS are complete, and valorization through value chains underway	50%	All relevant regulatory and institutional frameworks to facilitate ABS operationalization in DR Congo are elaborated and validated by the key stakeholders during consultations meetings. The ABS regulatory texts have been submitted to national authority for approval and signature.	S
1.1: National ABS Strategy to support the implementation of the Nagoya protocol	Existence of a National ABS Committee	The National ABS Committee is not created.	The National ABS Committee is established by order of the Minister of EDD	The National ABS Committee is up and running.	90%	The decree of establishment national ABS committee elaborated, finalized and submitted to the Ministry of Environment and Sustainable Development for signature. Upon signature the of the decree and accreditation of the delegates of the different structures constituting national ABS committee, will be trained on ABS mechanism on access to genetic resources and associated traditional knowledge.	HS

Project Objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	Progress as of current period (numeric, percentage, or binary entry only)	Summary by the EA of attainment of the indicator & target as of 30 June	Progress rating
	Existence of a comprehensive ABS strategy developed through a consultative process involving all relevant stakeholders and submitted for endorsement by the Government involving all relevant	No ABS strategy in place	Comprehensive ABS strategy outlining key steps to implementing the Nagoya Protocol is finalized and validated by all relevant stakeholders	National Strategy is validated and submitted for Government adoption	95%	The National Strategy and action plan elaborated and validated and waiting for government adoption	HS
	Number of implementation regulations on ABS prepared and available and adopted by the Government	Implementing regulations are not in place	Scope, elements and content of ABS regulations are analysed and elaborated	Full fledged implementing regulations on ABS are finalized and ready to be submitted for promulgation by the relevant authorities	100%	The project consolidated three drafts decrees (produced by the ABS GIZ COMIFAC project) for the implementation of the 2014 Law on nature conservation in its part on ABS, and an elaborated draft decree on the nomenclature of benefits sharing resulting from the utilization of genetic resources. The three consolidated decrees and the decree on the nomenclature of benefits have been validated and will be submitted for promulgation.	HS
1.2: Operational national ABS mechanism with clearly identified of role and responsibilities of relevant actors	One or more National Competent Authority (NCA) identified, designated and capacitated with clear functions and responsibilities to support	No Competent National Authority in place while some relevant institutions are		National Competent Authority(ies) in place and operational with clear	100%	The decrees determining the organization and functioning of the national ABS competent authority and the procedures for obtaining PIC and MAT as well as the order organizing the national ABS committee (CONAPA) and provincial ABS	S

Project Objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	Progress as of current period (numeric, percentage, or binary entry only)	Summary by the EA of attainment of the indicator & target as of 30 June	Progress rating
	implementation of the developed	in place there is no designated national competent authority ABS		operating procedures on PIC and MAT procedures.		committee (COPAPA) validated (100% of completion). The decrees have been finalized and submitted to the national authority for signature.	
	Number of expression of interests and applications received through a more streamlined permitting system	On average 10 official request of applications received annually	Applications increase by 25% over baseline	Applications increase by 50% over baseline	70%	The decree organizing the NCA has designated the General Secretary of Environment as NCA of ABS. Meanwhile the Secretary General for ESD continues to act as the National Competent Authority for ABS and continues to issue ABS permits. The number of permits issued has significantly increased above 100% to date. The pilot ABS permitting system is mainly requested by researchers for bio-prospecting purposes. The national ABS website currently under development will support the ABS permitting system.	S
2.1: Relevant stakeholders are aware of the ABS regulatory and institutional framework and of business opportunities in relation related to botanicals	Increased awareness on the national ABS strategy among various stakeholder groups	No awareness and communication strategy in place	Awareness raising and communications strategy for ABS implementation highlighting potential benefits in the botanical sector is elaborated and first	80% of stakeholder groups reached through consultations, campaigns and trainings.	70%	The national communication and awareness raising strategy on ABS was elaborated and validated with the relevant stakeholders. Training modules on ABS mechanism have been developed and validated, training workshops of key stakeholders are undergoing.	MS

Project Objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	Progress as of current period (numeric, percentage, or binary entry only)	Summary by the EA of attainment of the indicator & target as of 30 June	Progress rating
			consultations are launched				
	Number of people trained on various aspects of valorization to develop business opportunities for botanicals	No training on valorization	50 representatives of governmental entities regarding their roles and responsibilities within the national ABS system, 50 traditional healers, 50 women's groups	50 representatives of governmental entities regarding their roles and responsibilities within the national ABS system, 50 traditional healers, 50 women's groups	55 %	The stakeholders mapping and analysis have conducted, the training manual and the explanatory guide on advantage and opportunities of ABS have been developed. One site of valorization has been identified and the training workshop is undergoing to build capacity of representative of key stakeholders' groups.	MS
3.1: Application of in-situ conservation, valorization, production and commercialization of botanicals and aTK in line with the ABS Strategy.	Number of value chains compliant with the national ABS Strategy	None of the existing value chains are	50% value chains compliant	100% value chains compliant	50%	The National ABS Strategy, which integrates the issue of genetic resource is already elaborated and validated by all relevant stakeholders, after what it will be forwarded to the authority for approval.	MS

### 3.2 Rating of progress implementation towards delivery of outputs (Implementation Progress)

Component	Output/Activity	Expected completion date	Implementation status as of previous reporting period (%)	Implementation status as of current reporting period (%)	Progress rating justification, description of challenges faced and explanations for any delay	Progress Rating
1 Elaboration of implementing regulations and tools for the ABS related parts of the DRC conservation law	Output 1.2: A national ABS strategy and action plan is elaborated and submitted for validation	2023-08-31	100	100	The final draft of the National ABS Strategy and action plan has been validated and submitted for approval	S
	Output 1.3: Scope, elements and content of ABS implementing regulations under the Law on the Conservation of Nature are developed in consultation with relevant stakeholders	2021-08-30	100	100	"The regulatory and strategic documents have been updated to define their scope and their content for the implementation of ABS regulations."	S
	Output 1.4: The roles and responsibilities of ABS-relevant authorities and institutions with respect to PIC, MAT, ABS Permit, ABS-CH, monitoring and compliance, etc., are adopted by relevant stakeholders	2024-07-30	80	90	The report on the definition of the roles and responsibilities of ABS actors has been developed, and the definition of the major state structures and institutions responsible for issuing sectoral permits and the procedures for obtaining access and export permits for biological/genetic resources have been identified and validated. Capacity building and awareness raising activities of key stakeholders to increase understanding of their roles and responsibilities are ongoing	S
	Output 1.5: A guidance document is produced that describes the roles of all major ABS-relevant authorities and institutions and the uses and applications of ABS permits and all other relevant permits	2021-12-20	100%	100%	A guidance document describing the roles of key stakeholders in the issuance of ABS permits has been developed and validated.	S
	Output 1.6 Awareness raising activities are conducted targeting key political and institutional decision makers on the proposed ABS System	2021-12-20	100	100	Awareness raising activities on ABS mechanism and regulations targeting key political and institutions and decisions makers conducted and completed	S
	Output 1.7: Draft implementing regulations are provided to decision	2021-12-20	100	100	ABS Strategy and regulations have been	S



Component	Output/Activity	Expected completion date	Implementation status as of previous reporting period (%)	Implementation status as of current reporting period (%)	Progress rating justification, description of challenges faced and explanations for any delay	Progress Rating
	makers for validation				submitted to decision-makers for validation	
	Output 1.8: Publication of relevant documents on the ABS system (laws, decrees, regulations, procedure, etc.) are elaborated and populated through the ABSCH	2024-12-30	20	30	The web site designer has been recruited and the web site is under construction	HU
	Output 1.1. A national ABS committee is established and operational, comprising representatives of all major stakeholder groups relevant for ABS	2024-12-30	80	90	All major ABS stakeholders are identified and take regularly part in the ABS implementation processes and are waiting to participate in the national ABS Committee, once the decrees for its establishment have been signed.	HU
2 Awareness raising on the Nagoya Protocol / DRC ABS system and capacity development for relevant stakeholders in DRC	Output 2.1. A detailed awareness raising/communications strategy on the national ABS framework is elaborated and a campaign is rolled out, including specific materials adapted for different stakeholder groups (IPLC, civil society, researchers, private sector, and government entities with relevance for ABS)	2024-12-30	90	100	National ABS communication strategy document is validated and submitted to the Government approval	S
	Output 2.2 Training conducted for approximately 300 actors including 100 representatives of governmental entities regarding their roles and responsibilities within the national ABS system, 100 traditional healers and 100 women's organizations/groups on various aspects of valorization to develop business opportunities for botanicals	2024-12-30	60	70	Stakeholder mapping and analysis of the roles and responsibilities of the stakeholders as well as the development of the explanatory guide on the commercial opportunities offered by the ABS process have been validated, training for the various stakeholders has been started.	HU
3 Conservation and valorization of medicinal,	Output 3.1 Existing ethno-botanical information on the most important/ promising botanicals in DRC is consolidated through a scoping study	2024-12-30	40	40	The information collected is still partial. The Mi-term review Consultant recommended that this output and all of his activities are cancelled	MS
	"Output 3.2 Key elements of the ABS Strategy for in-situ conservation,	2024-12-30	0	0	Not started, because of depending to	HU

Component	Output/Activity	Expected completion date	Implementation status as of previous reporting period (%)	Implementation status as of current reporting period (%)	Progress rating justification, description of challenges faced and explanations for any delay	Progress Rating
nutraceutical and cosmetic plants (Botanicals)	valorisation, production and commercialisation of botanicals and aTK including corresponding challenges are piloted "				entry into force the ABS strategy implementation	
	Output 3.3 Analysis of means and mechanisms used for in situ conservation	2024-12-31	0	0	This is a new output recommended by the MTR, based on the Theory of Change built for the project.	MU
	Output 3.4 Experimentation with PIC and MAT principles through pilot contract agreements	2024-12-31	0	0	This is a new output recommended by the MTR, based on the Theory of Change built for the project.	MU

The Task Manager will decide on the relevant level of disaggregation (i.e. either at the output or activity level).

## 4 Risks

### 4.1 Table A. Project management Risk

Please refer to the Risk Help Sheet for more details on rating

Risk Factor	EA Rating	TM Rating
1 Management structure - Roles and responsibilities	Low	Low
2 Governance structure - Oversight	Low	Low
3 Implementation schedule	Moderate	Moderate
4 Budget	Moderate	Moderate
5 Financial Management	Substantial	Low
6 Reporting	Low	Low
7 Capacity to deliver	Low	Low

If any of the risk factors is rated a Moderate or higher, please include it in Table B below

### 4.2 Table B. Risk-log

#### Implementation Status (Current PIR)

Insert ALL the risks identified either at CEO endorsement (inc. safeguards screening), previous/current PIRs, and MTRs. Use the last line to propose a suggested consolidated rating.

Risks	Risk affecting: Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current PIR	Δ	Justification
Risk 1: Lengthy legislative process. or slow processes for drafting a bill and adoption and promulgation of texts tend to take significant time	Outcome 1-3	M	L	L	L	L	L		=	The consistency of this project with the DRC national biodiversity implementation objectives as articulated in the 2014 law on nature

Risks	Risk affecting: Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current PIR	Δ	Justification
										conservation in its ABS part has facilitated the drafting and adoption relevant legislation processes regarding ABS.
Risk 2: Willingness among institutions to share knowledge and coordinate their activities on permitting procedures	Outcome 2.1	M	M	L	L	L	L	L	=	The inter-institutions collaboration to share knowledge and coordination is progressing better than expected during this reporting period. representatives of key institutions have regularly attended project information meetings and workshops
Risk 3: High staff turnover in government institutions and agencies and loss of keys staff	Outcome 1-3	H	H	L	L	L	L		=	"Staff recruited by the project in collaboration with the representatives of the line ministry have taken the ownership of the project and have regularly organized meetings and information workshops to inform relevant stakeholders on the project activities and ABS processes."
Implementation schedule	all outcomes and outputs	N/A	N/A	N/A	N/A	M				The project duration has been extended due to delays in implementing the project activities
Budget	All outcomes and outputs	N/A	N/A	N/A	N/A	M				There is likelihood to request a revision of the project budget which will not impact the project results
Low co-financing rate	All outcomes and outputs	N/A	N/A	N/A	N/A	N/A	N/A	M	↑	There is likelihood to request a revision of the project co-financing budget and request to increase co-

Risks	Risk affecting: Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current PIR	Δ	Justification
										finance of responsive partners/Law Division which will not impact the project results
		M	M	L	L	L	L			

### 4.3 Table C. Outstanding Moderate, Significant, and High risks

Additional mitigation measures for the next periods

Risk	Actions decided during the previous reporting instance (PIRt-1, MTR, etc.)	Actions effectively undertaken this reporting period	What	When	By Whom
"Implementation schedule"	MTR and revision of the project implementation plan foreseen	MTR and Workplan revision	MTR	2023-11-30	UNEP and EA
Budget	Project budget revision planned after the MTR report	Budget Revision	Budget revision	2023-12-15	EA
Low cofinancing rate	Low co-financing rate as planned in the project document	Co-financing budget revision and request responsive partners/Law Division to increase co-finance	Co-financing budget revision and increase responsive partners including Law Division to increase the cofinance by addition their time. use of facilities. transport etc. and quantifying as in kind cofinance	August -Dec 2024	EA and UNEP

High Risk (H): There is a probability of greater than 75% that assumptions may fail to hold or materialize, and/or the project may face high risks. Significant Risk (S): There is a probability of between 51% and 75% that assumptions may fail to hold and/or the project may face substantial risks. Moderate Risk (M): There is a probability of

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between 26% and 50% that assumptions may fail to hold or materialize, and/or the project may face only modest risks. Low Risk (L): There is a probability of up to 25% that assumptions may fail to hold or materialize, and/or the project may face only modest risks.

## 5 Amendment - GeoSpatial

### Project Minor Amendments

Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5% as described in Annex 9 of the Project and Program Cycle Policy Guidelines. Please tick each category for which a change occurred in the fiscal year of reporting and provide a description of the change that occurred in the textbox. You may attach supporting document as appropriate

#### 5.1 Table A: Listing of all Minor Amendment (TM)

Minor Amendments	Changes
Results Framework:	No
Components and Cost:	No
Institutional and implementation arrangements:	No
Financial Management:	No
Implementation Schedule:	
Executing Entity:	No
Executing Entity Category:	No
Minor project objective change:	No
Safeguards:	No
Risk analysis:	No
Increase of GEF financing up to 5%:	No
Location of project activity:	No
Other:	No

Minor amendments

#### 5.2 Table B: History of project revisions and/or extensions (TM)

Version	Type	Signed/Approved by UNEP	Entry Into Force (last signature Date)	Agreement Expiry Date	Main changes introduced in this revision

Version	Type	Signed/Approved by UNEP	Entry Into Force (last signature Date)	Agreement Expiry Date	Main changes introduced in this revision
Original Legal Instrument		2019-10-07	2019-10-07	2022-08-30	
Amendment 1	Extension	2022-09-30	2022-10-01	2024-09-30	Extension of the project duration

GEO Location Information:

The Location Name, Latitude and Longitude are required fields insofar as an Agency chooses to enter a project location under the set format. The Geo Name ID is required in instances where the location is not exact, such as in the case of a city, as opposed to the exact site of a physical infrastructure. The Location & Activity Description fields are optional. Project longitude and latitude must follow the Decimal Degrees WGS84 format and Agencies are encouraged to use at least four decimal points for greater accuracy. Users may add as many locations as appropriate. Web mapping applications such as OpenStreetMap or GeoNames use this format. Consider using a conversion tool as needed, such as: <https://coordinates-converter.com> Please see the Geocoding User Guide by clicking here

Location Name	Latitude	Longitude	GEO Name ID	Location Description	Activity Description
Kinshasa	-4.3074	15.2802			Stakeholder consultation meeting. project steering committee meetings.
Luki	-5.56489	13.06472			Ecopreneur ship: Consultation of ecopreneurs and identification of sites for the valorization of genetic resources
Matadi	-5.72888	13.47170			Stakeholders' consultation during the MTR

Please provide any further geo-referenced information and map where the project interventions is taking place as appropriate. \*

[Annex any linked geospatial file]