PROJECT IMPLEMENTATION REPORT (PIR)

GEF-7 INCLUSIVE CONSERVATION INITIATIVE (ICI)

2022-2023
January 7, 2022 – June 30, 2023

Executing Partners

| Project Information |

Project Title:	Inclusive Conservation Initiative		
Country(ies):	Global	GEF ID:	10404
GEF Agency(ies):	CI, IUCN	Duration In Months:	68 months
Executing Agency(ies):	CI's Center for Communities and Conservation, IUCN Human rights in Conservation Team, Sotz'il, FENAMAD, Observatorio Ciudadano, Fundación Ambiente y Recursos Naturales, ANAPAC, Ujamaa Community Resource Team, IMPACT, Indigenous Peoples' Foundation for Education and Environment, Nepal Federation of Indigenous Nationalities, House of ArikiHouse of Lau-CI-Fiji	Actual Implementation Start Date:	February 15, 2022
GEF Focal Area(s):	Biodiversity	Expected Project Completion Date:	October 31, 2027
GEF Grant Amount:	USD 22,535,780	Expected Financial Closure Date:	April 30, 2028
Expected Co-financing:	USD 90,384,856	Date of Last Steering Committee Meeting:	2nd Interim Steering Committee (ISC) Session-I, and 2nd Session held virtually on 14th June 2023 and 20th June 2023 respectively.
Co-financing Realized as of June 30, 2023:	USD 3,233,202 (CI) USD 2,006,985 (IUCN)	Mid-Term Review-Planned Date:	January 1, 2025
Co-finance from CEO Endorsement:	Jan 7, 2022 to June 30, 2023		
Date of First Disbursement:	TBD	Mid-Term Review-Actual Date:	TBD
Cumulative disbursement as of June 30, 2023:	USD 394,020 (CI) USD 320,182 (IUCN)	Terminal Evaluation-Planned Date:	July 1, 2027
PIR Prepared by:	PMU	Terminal Evaluation-Actual Date:	TBD
IUCN-GEF and CI-GEF Project Manager:	IUCN: Joshua Schneck CI: Free de Koning	IUCN-GEF and CI-GEF Finance Lead:	IUCN: John Karuri CI: Susana Escudero

Minor Amendment Categories	Minor Amendment Justification Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5%. Please select the box that is most applicable for FY22 and include an explanation for the minor amendment request.

Results framework ☐	There has been no change made throughout this reporting fiscal period.
Components and cost ☐	There has been no change made throughout this reporting fiscal period.
Institutional and implementation arrangements ☐	There has been no change made throughout this reporting fiscal period. Both CI and IUCN are following an MOU between CI and IUCN for the co-implementation of ICI.
Financial management ☐	There has been no change made throughout this reporting fiscal period.
Implementation schedule ☐	As described in the ProDoc, year one implementation of ICI has focused on planning grants with subprojects and full implementation of subprojects IMPACT strategies will take place in year2.
Executing Entity ☐	There has been no change made throughout this reporting fiscal period.
Executing Entity Category ☐	There has been no change made throughout this reporting fiscal period.
Minor project objective change ☐	There has been no change made throughout this reporting fiscal period.
Safeguards ☐	There has been no change made throughout this reporting fiscal period. As described in the ProDoc, Subprojects are conducting a secondary screening as part of their planning grants and design of the Impact Strategy.
Risk analysis ☐	There has been no change made throughout this reporting fiscal period.
Increase of GEF project financing up to 5% ☐	There has been no change made throughout this reporting fiscal period.
Co-financing ☐	There has been no change made throughout this reporting fiscal period.
Location of project activity ☐	As noted in the ProDoc, subprojects through their planning grants are refining the geographic scope of their investments in year 1.
Other ☐	There has been no change made throughout this reporting fiscal period.

MINOR AMENDMENT RESPONSE FROM CI and IUCN - GEF
N/A

The CI-GEF Project Agency Project Implementation Report (PIR) is composed of six sections:

    Section I: **Project Implementation Progress Status Summary**: provides a summary of the project as well as the implementation status and rating of the previous and current fiscal years;

Section II: **Project Results Implementation Progress Status and Rating** describes the progress made towards achieving the project objective and outcomes, the implementation rating of the project, as well as recommendations to improve the project performance, when needed;

Section III: **Project Risks Status and Rating** describes the progress made towards managing and mitigating project risks, the project risks mitigation rating reassessment as needed, as well as recommendations to improve the management of project risks;

Section IV: **Project Environmental and Social Safeguards Implementation Status and Rating** describes the progress made towards complying with the Environmental & Social Safeguards and the Plans prepared during the PPG phase, the safeguard plans implementation rating, as well as recommendations to improve the project safeguards;

Section V: **Project Implementation Experiences and Lessons Learned**: describes the experiences learned by the project managers and the lessons learned through the process of implementing the project; and

Section VI: **Project Geocoding:** documents the precise and specific geographic location(s) of activities supported by GEF investments based on information available in project documentation.

SECTION I: PROJECT IMPLEMENTATION PROGRESS STATUS SUMMARY

PROJECT SUMMARY

Lands and territories owned or managed by Indigenous peoples and local communities (IPs and LCs) around the world play a critical role in conserving biodiversity and contributing global environmental benefits. The IPBES Global Assessment highlights a wide range of IPs and LCs, including farmers, fishers, herders, hunters and forest users who hold and manage significant areas of land under diverse tenure regimes. Indigenous peoples own or manage at least 25% of the world's land surface, including approximately 40% of terrestrial protected areas and 37% of ecologically intact landscapes. Forests managed by IPs and LCs are critical for global climate mitigation, as they contain at least 24% of the total carbon stored above-ground in tropical forests. In the marine realm, 12% of the most biodiverse marine areas in the world and 20% of coral reefs are under IP and LC management.

The Inclusive Conservation Initiative (ICI) is designed to enhance IPs' and LCs' efforts to steward lands, waters and natural resources. While other initiatives exist to assist IPs and LCs, they tend to be small and of limited scope. The ICI will help IPs and LCs deliver global environmental benefits by supporting IP and LC leadership and institutions, enhancing IP and LC ability to engage in relevant policy arenas, and building capacity to access the additional volume of resources required for larger-scale biodiversity conservation and natural resource management. ICI responds directly to the recommendations in Child & Cooney (2019), which notes that: "The GEF can generate multiple local and global benefits by helping to strengthen community land and resource tenure, promoting equitable benefits from wild resources, supporting effective community governance, and building local capacity to manage natural resources."

Project Objective and Components

Project Objective:
The objective of this project is to enhance Indigenous Peoples' and Local Communities' (IPs and LCs) efforts to steward land, waters and natural resources to deliver global environmental benefits.

Components:
Component 1: Local IP and LC Action to Deliver Global Environmental Benefits (GEB): Established on-the ground projects led by IP and LC organizations.
Component 2: Global IP and LC Capacity Building: IP&LC capacity strengthened to improve management of lands, territories, waters and natural resources and increase access to public and long-term sustainable financing mechanisms.
Component 3: IP and LC Leadership in International Environmental Policy: Building the pathway from local action to global impact through targeted engagement in international environmental policy and relevant international platforms.
Component 4: ICI Knowledge to Action: Transforming Inclusive Conservation Knowledge and Lessons Learned into demonstration models that expand support and advance field of IP and LC-led conservation.
Component 5: Monitoring and Evaluation

PRIOR PROJECT IMPLEMENTATION STATUS

N/A in year 1

CURRENT PROJECT IMPLEMENTATION STATUS (FY 22- 23)

During the current reporting period, notable implementation progress has been made with regards to the project objective, components, risks and safeguards, and challenges, including those related to COVID-19.

The project aims to enhance the efforts of Indigenous Peoples' and Local Communities' (IPs and LCs) to steward land, waters, and natural resources to deliver global environmental benefits.

The ICI established a global Project Management Unit (PMU) across CI's Center for Communities and Conservation and former IUCN's Global Programme on Governance and Rights now known as Human Rights in Conservation Team, to serve a Global Executing Agency function (the Center and the HRCT also may draw on resources from other parts of their respective organizations). The PMU has been actively engaged with key responsibility for the global project, including oversight of sub-grants to the IPLC Executing Agencies and coordination of cross-cutting global project components. Through the PMU, CI and IUCN are supporting IPLC organizations in building their execution capacity with limited roles in on-the ground project execution.

The GEF-7 Inclusive Conservation Initiative began in early 2022 with the project inception phase, where the PMU successfully engaged over 200 stakeholders from all over the world through virtual workshops. These workshops aimed to connect subprojects with supportive stakeholders, in country GEF Operational Focal Points (OFPs) and provide an inclusive platform for discussions, identification of opportunities, fostering synergies with partner organizations, and advocating for a transformative initiative in global inclusive conservation.

In the initial year of implementation, which coincided with the recovery from COVID-19 in 2022, the focus was on Component 1 and supporting subprojects with planning grants to develop their IMPACT strategies based on their Expressions of Interest during the Project Preparation Grant (PPG) phase. The IMPACT Strategy development involved refining assessments of threats, opportunities, baseline conditions specific to each geographical area and social inclusion plans. The ICI Theory of Change was also tailored to suit the specific context of each subproject, with a particular focus on vulnerabilities exposed by the COVID-19 pandemic and opportunities arising from post-pandemic recovery plans. The PMU and ICI subprojects promoted a philosophy of shared learning and building a community of practice throughout the design of subproject Impact Strategies and embedding indigenous principles and values throughout the ICI.

The 10 sub-project -Impact strategies are currently in the finalization stage, and the process of implementing them on the ground is expected to commence in the first quarter of the fiscal year 2024 (Q1FY24). CI received draft Impact Strategies from the following subprojects including 1. Ewaso Ngiro Basin, 2. Futa Mawiza OCC-Chile, 3. Futa Mawiza FARN-Argentina, 4. Southwest Amazon FENAMAD-Peru, and 5. House of Lau/Ariki from Fiji/Cook Islands. The Impact Kenya is currently at the forefront of signing an agreement with CI and is poised to receive the initial disbursement of their implementation grant. IUCN received draft Impact Strategies from UCRT (Tanzania), ANAPAC (DR Congo), NEFIN (Nepal) and IPF (Thailand). SOTZIL (Meso America project) is projected to submit their draft Impact Strategy in Q1FY24. In case of IUCN, UCRT will be the first sub-project to sign an agreement with IUCN and commence the implementation of the grant on the ground. The PMU is providing specialized targeted technical support on budget development, workplan design, and development of results framework, gender action plan and ESMF to the subprojects via online sessions and on-site visits, while also addressing questions that arise during the development of Impact Strategies. The subprojects commenced their work by engaging stakeholders, building organizational capacity, and designing Impact Strategies. Each subproject undertook a participatory process involving IPs and LCs, government agencies, and partner NGOs to ensure an inclusive process to the development of their IMPACT Strategy and deliver on global environmental benefits. These Impact Strategies prioritize Indigenous rights, including the right to Free, Prior, and Informed Consent (FPIC); effective management of natural and cultural resources in IP and LC territories; and address the drivers of environmental degradation that affect sustainable development. Gender equality, gender responsiveness, and the crucial role of women in driving the paradigm shift are key considerations for achieving subproject objectives.

The implementation of subprojects is progressing with staggered submissions, resulting in staggered approval of implementation grants.

ICI Governance has also been established during the first year of implementation with milestones focused on branding and communication, engagement in international policy forums (UNFCCC, UNCBD, GEF) and the adoption of Rules of Procedure and a Global Grievance Mechanism. Other actions to support IP&LC led governance structures: CI hired a Program Coordinator position partially co-financed with ICI to support the governance process. CI engaged with IIFB and key ICI subproject partners to promote ICI IP&LC-led governance structures at: (1.) CBD SBSTTA24, SBI3 intercessional held in Geneva. Engagement and support of IIFB, 2.) CBD Open-Ended Working Group on the Post-2020 Global Biodiversity Framework Meetings held in Nairobi. Meetings with subprojects on resource mobilization and 3.) Stockholm+50. Support IP&LC discussions on financing.

During the PPG phase of ICI, PMU facilitated the formation of an Indigenous Interim Steering Committee (IISC) composed of Indigenous experts to inform, advise and guide the initiative, including the selection of Indigenous led sub-project geographies, pre-selection of sub-project Indigenous-led initiatives, and made the final decision for nine selected initiatives to be implemented.

The first Interim Steering Committee (ISC) meeting held in Q2FY23 October 26th included decisions on Role and tasks of the interim Steering Committee. Roles of the Interim Steering Committee include: 1.) Make decisions in the interim period that will promote ICI to partners (IP and LC organizations, funding partners, allies), 2.) Communications & branding; global Policy work, 3.) Respond to urgent needs related to ICI – such as CoP15 – presenting on the initiative, 4.) IP&LC-Led Governance: Rules of Procedure, 5.) Initial Strategic directions for Components 2-4 and 6.) Advice the PMU moving forward with the implementation of ICI.

The second Interim Steering Committee (ISC) Meeting convened virtually over zoom on Q4FY23 (14th and 20th June 2023), with the following objectives: a) Progress review on ICI IMPACT Strategies with discussion on Rules of Procedure for the Steering Committee and appointing non-ICI Members, b) Review of Proposal for ICI Global Grievance Mechanism; c) Overview of GEF Assembly; d) In-person Global Steering Committee Meeting and Global Exchange with First Nations in Canada.

In 2022, as ICI began its work, there was a transition period between the IISC and establishment of the ICI Global Steering Committee (GSC) with 1 representative from each of the 10 subprojects. Also, 10 subprojects (composed of 4 women and 7 men) have designed IP&LC led governance structures as part of their subprojects, therefore connecting 10 ICI subprojects governance structures to the global structure. Additional outside members have yet to be finalized.

The IP&LC led governance structure is visible through the Interim Steering Committee establishment and functioning. Subprojects identified their IP representative leaders for the establishment of the Interim Global Steering Committee. Women currently represent 45.5% (5F/6M) of the Global steering committee. External representatives have yet to be appointed. The selected representatives participated in the ICI Interim Steering Committee meetings.

The first in person Global Steering Committee Meeting is planned in conjunction with the GEF Assembly in August 2023, which is Q1FY24.

Significant progress has been made in Components 2-4. For Component 2 which focuses on Global IP and LC Capacity Building, Terms of Reference (TOR) have been developed for the establishment of the ICI Learning Academy (ICLA). A comprehensive mapping exercise of capacity-building areas related to all ICI subprojects has been conducted, and the curricula for the Academy are being designed. Component 3 focuses on Environmental Policy engagements, and the PMU collaborated with ICI partners to ensure their full and effective participation in the UNFCCC COP27 and the CBD COP15 conferences. Component 4, Knowledge to Action, saw progress in establishing branding, website development, and effective communication through social media platforms.

SUMMARY: PROJECT IMPLEMENTATION PROGRESS STATUS

PROJECT PART	PRIOR FY22 IMPLEMENTATION PROGRESS RATING	CURRENT FY23 IMPLEMENTATION PROGRESS RATING[1]	RATING TREND[2]
OBJECTIVE	N/A	S	N/A
COMPONENTS AND OUTCOMES	N/A	S	N/A
ENVIRONMENTAL & SOCIAL SAFEGUARDS	N/A	S	N/A

PROJECT RISK RATING[3]

RISKS	N/A	M	N/A

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[1] *Implementation Progress (IP) Rating*: Highly Satisfactory (**HS**), Satisfactory (**S**), Moderately Satisfactory (**MS**), Moderately Unsatisfactory (**MU**), Unsatisfactory (**U**), and Highly Unsatisfactory (**HU**). For more details about IP rating, please see the Appendix I of this report

[2] *Rating trend*: Improving, Unchanged, or Decreasing

[3] *Risk Rating:* Low (L), Moderate (M), Substantial (S), High (H)

SECTION II: PROJECT RESULTS IMPLEMENTATION PROGRESS STATUS AND RATING

This section describes the progress made since the start of the project towards achieving the project objective and outcomes, the implementation progress rating of the project, as well as recommendations to improve the project performance. This section is composed four parts:

    a. Progress towards Achieving Project Expected Objective: this section measures the likelihood of achieving the objective of the project

    b. Progress towards Achieving Project Expected Outcomes (by project component)

    c. Overall Project Results Progress Rating, and

    d. Recommendations for improvement

a. Progress towards Achieving Project Expected Objective:

This section of the report assesses the progress in achieving the objective of the project.

PROJECT OBJECTIVE:	Enhance Indigenous Peoples' and Local Communities' (IP&LCs) efforts to steward land, waters and natural resources to deliver global environmental benefits.

OBJECTIVE INDICATORS	END OF YEAR INDICATOR STATUS	PROGRESS RATING[4]	COMMENTS/JUSTIFICATION
1. **Terrestrial protected areas created or under improved management for conservation and sustainable use (Hectares) (Target: 4,551,701 ha)**	00 Ha	NS	10 of 10 ICI subprojects are finalizing the design of their full Impact Strategies which will include activities that will contribute to this indicator. Therefore, progress on improved management for conservation and sustainable use will be more tangible in FY24.
1.1. Terrestrial protected areas newly created (ha)			
1.2 Terrestrial protected areas under improved management effectiveness (ha)			
2. **Area of land restored (Hectares) (Target: 14,314 ha)**	00 Ha	NS	10 of 10 ICI subprojects are finalizing the design of their full Impact Strategies which will include activities that will contribute to this indicator. Therefore, progress on areas of land restored will be more tangible in FY24.
2.1. Area of degraded agricultural lands restored (ha)			
2.2. Area of forest and forest land restored (ha)			
2.3. Area of natural grass and shrublands restored (ha)			
2.4. Area of wetlands (including estuaries and mangroves) restored (ha)			
3. **Area of landscapes under improved practices (excluding protected areas) (Hectares) (Target: 2,883,851 ha)**	00 Ha	NS	

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[4] **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved

OBJECTIVE INDICATORS	END OF YEAR INDICATOR STATUS	PROGRESS RATING[4]	COMMENTS/JUSTIFICATION
3.1. Area of landscapes under improved management to benefit biodiversity (qualitative assessment, noncertified) (ha) 3.2. Area of landscapes that meet national or international third-party certification and that incorporates biodiversity considerations (ha) 3.3. Area of landscapes under sustainable land management in production systems (ha) 3.4. Area of High Conservation Value Forest loss avoided (ha)			10 of 10 ICI subprojects are finalizing the design of their full Impact Strategies which will include activities that will directly contribute to this indicator. Therefore, progress on areas of landscapes under improved practices will be more tangible in FY24.
4. Area of marine habitat under improved practices (excluding protected areas) (Hectares) (Target: 165,200 ha) 4.1. Number of fisheries that meet national or international third-party certification that incorporates biodiversity considerations *(Contextual Sub-Indicator)* 4.2. Number of Large Marine Ecosystems with reduced pollution and hypoxia *(Contextual Sub-Indicator)* 4.3. Amount of Marine Litter Avoided *(Contextual Sub-Indicator)*	00 mt	NS	10 of 10 ICI subprojects are finalizing the design of their full Impact Strategies which will include activities that will directly contribute to this indicator. Progress on area of marine habitat under improved practices will be more tangible in FY24.
5. Greenhouse Gas Emissions Mitigated (metric tons of CO2e) (Target: 20,862,368 mt) 5.1. Carbon sequestered or emissions avoided in the sector of Agriculture, Forestry and Other Land Use (MtCO2e) 5.2. Emissions avoided (through interventions in sectors other than the Agriculture, Forestry, and Other Land Use sector) (MtCO2e) 5.3. Energy saved (megajoules) *(Contextual Sub-Indicator)* 5.4. Increase in installed renewable energy capacity per technology (megawatts) *(Contextual Sub-Indicator)*	00 mt	NS	10 of 10 ICI subprojects are finalizing the design of their full Impact Strategies which will include activities that will contribute to this indicator. Therefore, progress on areas of GHG emissions mitigated will be more tangible in FY24.
6. Number of direct [beneficiaries][5] disaggregated by gender as co-benefit of GEF investment (Target: 142,514), (50% m, 50% w)) **Subprojects (Component 1), Target: 141,174 Global (Components 2-4), Target: 1,340 Total Direct Beneficiaries Target: (142,514)**	6,000 (Men – 2,915, Women – 3,085)	IS	As of the date of submission, the cumulative no. of direct beneficiaries reported a total of 6,000 individuals who have been benefited and engaged from the program. Among them, 2,915 (49%) are men, and 3,085 (51%) are women. **Subprojects ICI** ICI Subprojects have reached a total of 5,770 people, with 2,786 being men (48%) and 2,984 being

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[5] In the context of ICI, this GEF common indicator includes IP&LCs as partners and expands the project's perspective beyond conventional characterization of beneficiaries.

OBJECTIVE INDICATORS	END OF YEAR INDICATOR STATUS	PROGRESS RATING[4]	COMMENTS/JUSTIFICATION
			women (52%). The people were engaged through various activities reported during this period by 8 of the 10 ICI subprojects[6]. These activities were part of ICI Planning grants and included stakeholder engagement activities and meetings for impact strategy preparation, as outlined in the ProDoc. **Component-III** The PMU engaged and supported 30 IP and LC leaders (of whom 50% are women and 50% men) to engage in UNFCCC COP27 and the CBD COP15 conferences under the Component 3. **Inception Phase ICI** The reporting from the inception phase reveals that out of the total 200 participants 43% are women.

OBJECTIVE IMPLEMENTATION PROGRESS RATING	JUSTIFICATION
S	The project aims at achieving the objective level targets for area of landscapes and marine habitat under improved practices, area of IPLC terrestrial protected areas created or under improved management for conservation and sustainable use, area of land restored, and metric tons greenhouse gas emissions mitigated, though the implementation of the 10 sub-project impact strategies. The development of the 10 sub-project impact strategies by the IP&LC executing agencies is currently almost finalized. In this process, some delays were experienced. Implementing of these strategies will start in the first quarter of FY24. The PMU has provided significant support on budget development, workplan design, and development of results frameworks, gender action plans and ESMF to the sub-projects. The impact strategy development had strong stakeholder engagement. The strategies prioritize and consider Indigenous rights, gender equality, and gender responsiveness.

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[6] Information of subprojects with ANAPAC and Sotzil pending and will be incorporated and updated in the upcoming PIR.

b. Progress towards Achieving Project Expected Outcomes (by project component).

This part of the report assesses the progress towards achieving the outcomes of the project.

COMPONENT 1	**Component 1:** Local IP&LC Action to Deliver Global Environmental Benefits (GEB): Established on-the ground projects led by IP&LC organizations.

Outcome 1.1:	**OUTCOME 1.1:** Inclusive Conservation Initiative (ICI) Subprojects are led by IP&LC organizations.
Outcome 1.2:	**OUTCOME 1.2:** Project implementation capacity of IP&LC partner organizations in subproject geographies substantially increased.

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[9]	COMMENTS /JUSTIFICATION
Outcome Indicator 1.1.A: Number of direct beneficiaries disaggregated by gender.	**Outcome Indicator Target 1.1.A:** 141,174 direct beneficiaries disaggregated by gender	Total Direct Beneficiaries/No. of People engaged: (Total 5,970, Men-2,900 (49%), Women-3,070 (51%)	IS	**Subprojects ICI** ICI Subprojects have reached a total of 5,770 people, with 2,786 being men (48%) and 2,984 being women (52%). The people were engaged through various activities reported during this period by 8 of the 10 ICI subprojects[7]. These activities were part of ICI Planning grants and included stakeholder engagement activities and meetings for impact strategy preparation, as outlined in the ProDoc. **Inception Phase ICI** The reporting from the inception phase reveals a total of 200 participants (of whom 43% are women). This outcome indicator 1.1.A is under implementation. The subprojects are in the process of preparing their full Impact Strategies. This number of direct beneficiaries will increase once full project execution starts on the ground.

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[7] Information of subprojects with ANAPAC and Sotzil pending and will be incorporated and updated in the upcoming PIR.

Outcome Indicator 1.1.B: Percentage of beneficiaries of ICI subprojects that report increased livelihood benefits to the communities. (Gender disaggregated)	**Outcome Indicator Target 1.1.B:** ≥50 % of beneficiaries of ICI projects report increased livelihood benefits (50% of those beneficiaries will be women).	0%	NS	This outcome indicator 1.1.B has not started as field activities under ICI C1 will begin once Impact Strategies, which have been drafted and are under reviews and will be approved by ICI's Global Indigenous Steering Committee, are completed by the end of FY24Q2.
Outcome Indicator 1.1.C: Percentage of ICI subprojects integrating Gender Responsive strategies.	**Outcome Indicator Target 1.1.C:** 100% of ICI projects integrating Gender Responsive strategies	100% [10 out of 10 subprojects are incorporating gender into their Impact Strategies]	IS	This outcome indicator 1.1.C is under implementation. All subprojects are in the process of preparation of Impact Strategies and ensuring integrating 100% of Gender Responsive strategies in their subprojects. Targeted gender training has been carried out for the 10 subprojects to ensure that 100% are being gender responsive strategies.
Outcome Indicator 1.1.D: Number of subprojects that have secured additional funding through Impact Strategy activities implemented to support economic and financial sustainability	**Outcome Indicator Target 1.1.D:** 10 subprojects have secured additional funding (in addition to GEF allocation)	5 out of 10 subprojects have reported co-finance for their specific project during this period. NEFIN (Nepal): $2,500 SOTZIL(Mesoamerica): $45,000 IMPACT (Kenya): $65,000 UCRT (Tanzania): $20,854 FENAMAD (Peru): $24,954	IS	This outcome indicator 1.1.D is under implementation. Co-finance contributions are expected to increase as project execution evolves.
Outcome Indicator 1.2.A: Number of ICI subproject lead organizations showing improvement against organizational capacity assessment baselines	**Outcome Indicator Target 1.2.A:** At least 10 ICI partner organizations show at least a 20% improvement in organizational capacity assessments.	Organizational baseline capacity assessments completed in 10 out of 10 ICI subprojects.	IS	This outcome indicator 1.2.A is under implementation. Initial organizational capacity assessments have been completed across all the ICI Subprojects so a baseline has been created. Organizational capacities are expected to increase as project execution advances in the next year and capacities will be remeasured.
Outcome Indicator 1.2.B.: Number of ICI subprojects that incorporate youth in project designs.	**Outcome Indicator Target 1.2.B.:** At least two youths (one male, one female) involved in execution team in each subproject.	7 out of 10 ICI subprojects have reported both male and female youth involvement in their execution team during this initial phase. NEFIN- 3 M/ 4F SOTZIL – 1M/3F IMPACT- 7M/ 9F	IS	This outcome indicator 1.2.B is under implementation. All subprojects are expected to increase youth involvement in execution teams during the on the ground execution of their initiatives. The 10 ICI initiatives will be engaged with IUCN's youth strategy implementation during the coming year.

		FARN Argentina: 1M/1F CHILE: 3M/5F UCRT: 3M/ 3F IPF: 12M/ 9F		

COMPONENT 1 IMPLEMENTATION PROGRESS RATING	JUSTIFICATION	RATING TREND
S	Satisfactory progress was made under component 1. A significant amount of people were engaged in the project so far through the stakeholder engagement activities and meetings for impact strategy preparation. All subprojects are integrating gender responsive strategies in their subprojects. To support this, gender training was provided for the 10 subprojects. Specific attention is also being paid to involving youth. This work is in progress. Contributions to the targets of the indicators under this component will increase once implementation of the sub-project impact strategies starts.	N/A

COMPONENT 2	Component 2: Global IP&LC Capacity Building: IP&LC capacity strengthened to improve management of lands, territories, waters and natural resources and increase access to public and long-term sustainable financing mechanisms.

Outcome 2.1:	OUTCOME 2.1: IP&LC capacity substantially strengthened within and beyond ICI subproject geographies.
Outcome 2.2:	OUTCOME 2.2: Cross-regional IP&LC organization partnerships and networks strengthened through ICI Learning Exchanges.
Outcome 2.3:	OUTCOME 2.3: IP&LC organizational capacity increased to formulate sustainable financing strategies.

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[9]	COMMENTS/ JUSTIFICATION
Outcome Indicator 2.1.A: Number and percentage of IP&LC trainees who successfully complete ICI Learning Academy training modules (disaggregated by gender, person-hours of capacity building, affiliation, country, theme).	**Outcome Indicator Target 2.1.A:** At least 400 IP&LC trainees successfully complete ICI Learning Academy training modules. At least 50% are women, and at least 25% are from outside the subproject geographies	0	NS	Training modules have not started yet. TORs and recruitment for Indigenous-led consultancy services and coordination with UNDP for hosting the Learning platform is currently in progress. Trainings related to the 8 capacity building modules (below), and linked to the ICLA, will start in FY24. Currently the 10 ICI subprojects are still designing the full Impact Strategies, through which they will be identifying full capacity building needs, which will then be used to design these 8 modules and carry out trainings.
Outcome *Indicator 2.1.B:* Capacity building modules developed with support of GEF Inclusive Conservation Learning Academy, including sections on gender.	**Outcome Indicator Target 2.1.B.:** At least 8 capacity building modules are developed with support of GEF Inclusive Conservation Learning Academy, including sections on gender	0	NS	This activity is not yet started as the design of capacity building modules will happen in FY24. Capacity building activities were unable to start in year one since a capacity building assessment needed to be built in as stage one. Also, the 10 ICI subprojects are currently still designing the full Impact Strategies, through which they will be identifying full capacity building needs, which will then be used to design these 8 modules.
Outcome Indicator 2.2.A: Number of new cross-	**Outcome Indicator Target 2.2.A:** ≥4 cross-regional	0	IS	This activity is under implementation. The first learning exchange is being organized at time of

regional collaborations documented.	collaborations [At least 4 cross-regional collaborations documented to strengthen collaboration outside subproject geographies and build linkages with the ICI Community of Practice]			reporting and will take place at the GEF Assembly in August 2023. Other regional and global exchanges are scheduled for FY24 and beyond.
Outcome Indicator 2.2.B: Percentage of IP&LC organizations that report greater capacity to advance IP&LC-led conservation after participating at Learning Exchanges (disaggregated by gender, affiliation, country, theme).	**Outcome Indicator Target 2.2.B.:** ≥75% IP&LC organizations reporting greater capacity [At least 75% of IP&LC Organizations report greater capacity to advance IP&LC-led conservation after participating in Learning Exchanges (disaggregated by gender, affiliation, country, theme).]	0	NS	Monitoring of this indicator will begin in FY24 after completion of first learning exchange and beyond. The next regional exchanges are expected to happen in FY24 and FY25 during IUCN's regional conservation forum (Mexico, 2024) and CBD16 (2024).
Outcome Indicator 2.3.A: Number of ICI subproject lead organizations that have a fully developed sustainable financing strategy.	**Outcome Indicator Target 2.3.A:** 10 ICI subproject lead organizations [At least 10 ICI subproject lead organizations have a fully developed sustainable financing strategy]	0	NS	This activity will be linked to the finalization of Impact Strategy developments.
Outcome Indicator 2.3.B: Percentage of ICI subproject lead organizations that report greater capacity in proposal development, fundraising and implementation of sustainable financing strategies.	**Outcome Indicator Target 2.3.B:** ≥60% ICI subproject lead organizations [At least 60% of ICI subproject lead organizations report greater capacity in proposal development, fundraising and implementation of sustainable financing strategies.]	0	NS	Design of capacity building modules will happen in FY24 and will include support and training on resource mobilization. However, the PMU has provided information sessions on an ad hoc basis as some relevant funding opportunities have arisen during the reporting period (for example to consider applying RISE grants challenge funding fielding application questions).

COMPONENT 2 IMPLEMENTATION PROGRESS RATING	JUSTIFICATION	RATING TREND
S	Work under this component will largely start in FY24. Some preparatory activities have taken place, such as identification of capacity building needs in the impact stratgies. The project has also worked on preparing the first learning exchange which will take place in conjunction with the GEF Assembly in Vancouver in August 2023. At the Assembly, the project will also present a side event.	N/A

COMPONENT 3	**Component 3:** IP&LC Leadership in International Environmental Policy: Building the pathway from local action to global impact through targeted engagement in international environmental policy and relevant international platforms.

Outcome 1:	**OUTCOME 3.1:** Strengthened influence of IP&LCs in relevant regional and international decision-making processes.

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[8]	COMMENTS/JUSTIFICATION
Outcome Indicator 3.1.A: Number of IP&LC leaders with greater opportunity to influence international environmental policy with support of ICI (disaggregated by gender, affiliation, IP&LC status, county, convention, and accreditation).	**Outcome Indicator Target 3.1.A.:** ≥400 IP&LC leaders; 50% women. [At least 400 IP&LC leaders, 50% of whom are women, report greater opportunity to influence international environmental policy with support of ICI.]	30 IP&LC leaders (of whom 50% are women) Disaggregation: CBD COP 15: 18 total 10 women and 8 men were supported to attend and/or engage in ICI related events. UNFCCC COP 27: 12 total 5 women and 7 men were supported to attend and /or engage in ICI related events.	IS	The PMU supported ICI leaders to engage in UNFCCC COP27 and the CBD COP15 conferences. Between UNFCCC COP 27 and UNCBD COP 15, ICI held some 20 events and engagements. This collaboration involved engaging with key policy coordination mechanisms through IIFB, IIPFCC, and key ICI IP-Led Initiatives partners through various meetings and sessions, including the CBD Open-Ended Working Group, CBD SBSTTA24, SBI13. However, in some instances, securing visas posed challenges

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[8] 8 **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved

| Outcome Indicator 3.1.B: Number of ICI partners engaging with and providing technical support to national delegations at international policy meetings (disaggregated by gender, affiliation, country, convention). | Outcome Indicator Target 3.1.B.: 40 ICI delegates (of whom 50% are women) engaging with and providing technical support to national delegations at international policy meetings (disaggregated by gender, affiliation, country, convention).] | 30 ICI leaders (of whom 50% are women) providing technical support to their constituencies and actively engaging with national delegations at international policy meetings particularly UNFCCC COP27 and CBD COP15. | IS | This outcome is under implementation. For 2022-2023, the ICI PMU collaborated to create a strategic calendar for the period of July to June 2022, outlining key engagements and project activities, which includes participation in policy process with UNCBD and UNFCCC, UNPFII discussion on Target 3. |

COMPONENT 3 IMPLEMENTATION PROGRESS RATING	JUSTIFICATION	RATING TREND
S	The work under this component has started up. IP&LC leaders were supported by the project to engage in the UNFCCC COP27 and the CBD COP15 conferences. The project team successfully organized a large number of events and engagements at these COPs.	N/A

COMPONENT 4	Component 4: ICI Knowledge to Action: Transforming Inclusive Conservation Knowledge and Lessons Learned into demonstration models that expand support and advance field of IP&LC-led conservation.

Outcome 1:	OUTCOME 4.1: The field of IP&LC-led conservation advanced with improved knowledge management.
Outcome 2:	OUTCOME 4.2: Expanded audience engaged in IP&LC-led conservation.

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[9]	COMMENTS/JUSTIFICATION

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9 9 **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved

Outcome Indicator **4.1.A:** Number of ICI publications, reports, communication materials or tools that advance the global field of knowledge regarding IP&LC-led conservation.	**Outcome Indicator Target 4.1.A.:** ≥7 lessons learned and flagship reports [At least 7 lessons learned, and flagship reports prepared to advance the global field of knowledge regarding IP&LC-led conservation.]	0	IS	To date, efforts have focused on recruitments to prepare to produce future flagship reports. IUCN conducted global processes to hire Indigenous-led teams that will be part of the production process for key ICI knowledge products. The recruitment of writers was extended while an Indigenous-led team to assist with designing knowledge products was on-boarded. While the team continues recruitment for writing consultancies, to expedite the first ICI lessons learned report, IUCN contracted an Indigenous specialist to develop a Phase One report, documenting the process of starting up ICI and the promising practices that can guide other finance mechanisms and collaborative efforts to adapt modalities to increase conservation/climate finance to IPs and LCs. The first draft was completed in May 2023 and has been revised to influence upcoming policy discussions on scaling inclusive biodiversity finance to IPs and LCs. At time of reporting, the draft is under PMU review with copy editing, design, and publishing phases to follow. This first lesson learned report will be launched on August 9th, 2023 for International Indigenous Peoples Day to inform and influence policy fora such as the GEF Assembly.
Outcome Indicator 4.1.B: Number of new multiregional partnerships established to strengthen collaboration outside subproject geographies and build linkages with the ICI Community of Practice.	**Outcome Indicator Target 4.1.B.:** ≥2 new partnerships [At least 2 multiregional partnerships established to strengthen collaboration outside subproject geographies and build linkages among the ICI Community of Practice.]	0	IS	Under the project development phase, ICI is developing a partnership with the UNDP *Learning for Nature* platform to host and amplify the ICI Learning Academy which will start up when field implementation begins. The ICI Global Indigenous Steering Committee guides and approves new collaborations.
Outcome Indicator 4.1.C: Percentage of IP&LC organizations that report greater collaboration after participating in ICI Community of Practice (disaggregated by	**Outcome Indicator Target 4.1.C.:** ≥75% IP&LC organizations [At least 75% of IP&LC Organizations report greater collaboration after participating in Community of Practice (disaggregated	0	NS	A consultancy was advertised to recruit a team to implement a community of practice webinar program that includes this activity, however, to ensure IP-led consultancies are awarded, this work has been revised with a broadened scope of work to bring on Indigenous-led specialists to develop a comprehensive Community of Practice capacity building program designed and to be advertised in the upcoming period. As the Community of Practice is in start-up phase, reporting on collaboration will be available as the ICI Community of Practice becomes operational.

gender, affiliation, country, theme).	by gender, affiliation, country, theme).]			
Outcome Indicator 4.2.A: Number of average monthly visits to ICI Knowledge Platform.	**Outcome Indicator Target 4.2.A.:** ~500 average monthly visits [At least 500 average monthly visits to ICI Knowledge Platform].	To-date, there has been a total of over 6,600 visits to ICI Knowledge platform, which monthly averages at 550 visits per month.	IS	The PMU has used inclusiveconservationinitiative.org to share web stories and blog posts authored by the subprojects for World Wildlife Day (story available here), International Women's Day (story available here), and World Water Day (story available here), among others. PMU also shared ICI activities at UNFCCC COP 27 (materials available here) and CBD COP 15 (materials available here) as well as the ICI launch video produced by IISD (video available here). Website metrics indicate overall steady growth in visits from the January 2022 baseline and spikes in relation to global focus areas on IPs and LCs (such as Indigenous Peoples Day). 6,600 visitors viewed over 12,000 pages over the reporting period.

Outcome Indicator 4.2.B: Number of members of ICI Community of Practice (disaggregated by gender, IP&LC status, county, field of expertise).	Outcome Indicator Target 4.2.B.: ≥500 members (50 participants in bilateral and learning exchanges, 450 participants in virtual events) [At least 500 members of ICI Community of Practice (disaggregated by gender, IP&LC status, county, field of expertise)].	0 Bilateral and learning exchanges 0 Virtual events 1060 Community of Practice members (disaggregation in process at time of reporting)	IS	The global base on which the ICI's Community of Practice will be built is a global audience listserv comprised of 1060 contacts at time of reporting. Work to disaggregate (by gender, IP or LC status, country) the members of the Community is underway at time of reporting. Work to develop targeted working groups to support the Community of Practice bilateral and learning exchanges and virtual events will be developed and rolled out as field implementation begins to share knowledge, exchange promising practices, and build global support for IP and LC led conservation.
Outcome Indicator 4.2.C: Percent increase in project communications index (index to be developed as part of ICI communications strategy including inter alia trends in social media followers, listserv members, website visits)	Outcome Indicator Target 4.2.C.: 10% annual increase in project communications index [10% annual increase in project communications index [At least a 10% annual increase in project communications index].	0	IS	**Reached:** Twitter (8/2/2022-6/27/2023) 294 followers 460 posts 45K impressions 5.7% engagement 18 mentions Instagram 28 posts 288 followers **Knowledge products supported/developed delivered:** 32 total KPs Disaggregation: 1 factsheet in 3 languages 15 blog posts and web stories (each accessible in 4 languages) 4 testimonial videos, 1 IISD video The data reported here is part of initial communications work led and guided by the PMU. In 2022, IUCN developed and implemented an Interim Communications Strategy to govern the first year of the ICI implementation. The strategy covers key messages, communications objectives, target audiences, visual identity, 2022/early 2023 comms calendars, websites, digital marketing and press. Some details about communications activities to-date:

- Through Twitter (@IPsLeadNature) and Instagram (@ipsleadnature) PMU advertised ICI resources and stories and amplified the work carried out by the subprojects.
- IUCN started a weekly Trello board with CI and GEFSEC to provide social media content. Increasing synergized communications amplification was particularly useful during global conferences, which provided live coverage of what would have otherwise often been inaccessible events for those not attending the UNFCCC and CBD COPs.
- In 2023, PMU started planning for the GEF Assembly comms in collaboration with CI and GEFSEC. Comms around the Assembly will include – among other activities – the launch of new publications, the launch of the new ICI website and social media coverage.

At the time of reporting, an Indigenous-led communications firm has been selected to provide a communications strategy and related guidance on what will become ICI's official communications index. The resulting strategy will be approved by the ICI Global Indigenous Steering Committee once subproject impact strategies are in place.

COMPONENT 4 IMPLEMENTATION PROGRESS RATING	JUSTIFICATION	RATING TREND
S	A highlight was the preparation of an ICI Phase One report during this fiscal year, which was done by an Indigenous specialist. The final publication was launched in August which was very timely just before the GEF Assembly. The ICI team has also prepared a side event for the GEF Assembly. An interim communcations strategy was developed during the first year and currently an Indigenous-led communications firm is developing a communications strategy and guidance on ICI's communications index. The project website was successfully launched, and stories, blog posts and materials are shared regularly. Website metrics indicate a number of monthly visits just above the target. The project is also active on social media.	N/A

COMPONENT 5	Component 5: Monitoring and Evaluation

Outcome 1:	OUTCOME 5.1: Monitoring and evaluation conducted to verify effective project execution.

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[10]	COMMENTS/JUSTIFICATION
Outcome Indicator 5.1.A: Number of satisfactory Project progress reports	**Outcome Indicator Target 5.1:** 20 satisfactory quarterly Project progress reports produced	6 ICI quarterly reports submitted to CI and IUCN GEF agencies (Jan 2022 - June 2023)	IS	This outcome indicator 5.1.A is under implementation. The preparation of developing a robust M&E System for adaptive management is in progress and will be fully in place after completion of all subprojects Impact strategies. As part of the reporting tools the PMU developed a draft ICI Indicator Performance Tracking Table to collect data against output and outcome indicators of the project. This tool will also be helpful to track the progress against indicators and measuring the results of the overall project. For revision of Impact Strategies, the PMU developed a Technical Review Tool for Impact Strategies.

COMPONENT 5 IMPLEMENTATION PROGRESS RATING	JUSTIFICATION	RATING TREND

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[10] [10] **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved

S	Monitoring and reporting is on track, with 6 ICI quarterly reports submitted to CI and IUCN GEF agencies to date indicating satisfactory progress.	N/A

c. Overall Project Results Rating

OVERALL PROJECT RESULTS IMPLEMENTATION RATING

OVERALL RATING	JUSTIFICATION	RATING TREND[11]
S	The project successfully established a global Project Management Unit (PMU) at the start of the project. The PMU actively engaged in key responsibilities for the global project, including oversight of sub-grants to the IP&LC Executing Agencies and coordination of cross-cutting global project components. At project inception a large number of stakeholders were engaged through virtual workshops. The sub-projects also implemented extensive stakeholder engagement processes. The ICI Global Steering Committee was established, and the sub-projects have their own governance structures that are connected to the global project governance. The focus of the project so far has been development of the impact strategies. Progress was made in this respect and the development of the 10 sub-project impact strategies by the IP&LC executing agencies is currently almost finalized. However, some delays were experienced in this process. Implementing of these strategies will start in the first quarter of FY24. The PMU has provided significant support on budget development, workplan design, and development of results frameworks, gender action plans and ESMFs to the sub-projects. The project has also made progress with respect to the communication strategy, development of a dedicated website, preparation of communication materials, messaging through social media, and the preparation of a Phase One report that was recently disseminated. The project actively participated in the UNFCCC and CBD COPs.	N/A

d. Recommendations

CORRECTIVE ACTION(S)	RESPONSIBLE PARTY	DEADLINE
Please make sure to track and report disaggregated data for numbers of people participating, benefitting, trained etc.. The development of the impact strategies has experienced some delays. It will be important to swiftly finalize all strategies and start their implementation so that their objectives and impact can be achieved within the timeframe of the project.	PMU	Throughout project implementation

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[11] **Rating trend**: Increasing, Unchanged or Decreasing

SECTION III: PROJECT RISKS STATUS AND RATING

a. Progress towards Implementing the Project Risk Mitigation Plan

This section describes the activities implemented to manage and reduce high, substantial, modest, and low risks of the project. This section has three parts:

       a. Ratings for the progress towards implementing measures to mitigate project risks and a project risks annual reassessment
       b. Recommendations for improving project risks management

Progress towards Implementing the Project Risk Mitigation and Plan Project Risks Annual Reassessment

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[12]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[13]
Risk 1: Natural disaster (potentially intensified by climate change) delays implementation.	Climate risk screening was conducted as part of project safeguards screening. Measures to include adaptive management training, supporting community tools, capacity and information to adapt to change.	Climate risk screening included in Impact Strategy template. The PMU provided targeted support on safeguards via conference calls at the request of subprojects and in person during the second round of site visits in Q3FY23 and Q4FY23.	IS	Work to mitigate this risk is under implementation. Subproject Impact strategies are in progress and will be finalized expected by the end of Q24FY24. – PMU will support each subproject with incorporating a secondary climate risk screening.	S	S	Unchanged

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[12] **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved
[13] **Rating trend**: Increasing, Unchanged or Decreasing

Risk 2: Pandemic prevents travel, meetings and fieldwork, delaying implementation. (Note: while COVID-19 has posed a significant risk to some populations, the risk is rated M with respect to ability to achieve project results over the course of the project timeline; as efforts to control the pandemic and protect people continue to gain ground, challenges to implementation are expected to diminish)	Adaptive management applied to sequencing; apply WHO and national health authority requirements; adhere to CI and IUCN protocols (see below); apply the ICI ESMP, esp. provisions under ESS8. CI and IUCN both have explicitly emphasized the specific threat to IP&LCs posed by the pandemic.	Project following CI and IUCN institutional guidelines for travel during pandemic.	IS	Work to mitigate this risk is under implementation. The project is still following CI and IUCN's institutional guidelines for travel, meeting and field work based on guidance by the security teams of respective institutions and monitoring in-country updates.	M	L	Decreasing

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[12]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[13]
Risk 3: Local-level governments conflict with national level governments	Subproject selection criteria; guidance from knowledgeable advisors; engagement strategy; grievance mechanism	OFPs participated in Global Inception workshop. PMU engaged OFPs to brief on project progress during site visits. Subproject leading engagement with local and national authorities. No grievances reported during this period.	IS	Work to address this risk is under implementation as scheduled. PMU is now following advice from subproject leads on engagement with local and national authorities to minimize the risk.	L	L	Unchanged
Risk 4: Local social tensions Government and civil instability Conflicts between government and IP&LC organizations	Subproject selection criteria; guidance from knowledgeable advisors; engagement strategy; grievance mechanism	PMU has been organizing periodic follow up meetings with subproject leads. PMU has been following advice from GEF secretariat, GEF agencies, and subproject leads on engagement with IP and LC partners.	IS	Work to address this risk is under implementation as scheduled in the project workplan. PMU has been following advice from GEF secretariat, GEF agencies, and subproject leads on engagement with IP and LC partners to mitigate the risk.	M	M	Unchanged
Risk 5: Weak institutional capacity for planning, management and governance in targeted areas	Subproject selection criteria Assessments against GEF Minimum Fiduciary Standard Requirements Training and capacity-building	Organizational and Safeguards capacity assessments conducted during site visits. CI Grants and Contracts team providing targeted support and mini training during site visits to subprojects with CI oversight.	IS	Work to manage this risk is under implementation as scheduled in workplan. The PMU conducted the organization safeguard capacity assessment for each subproject. CI team has also been providing targeted support and targeted mini trainings to subprojects during their visits with CI oversight.	M	L	Decreasing
Risk 6: Fiduciary capacity of	Subproject selection criteria Assessments	Financial due diligence of subproject completed for planning grants.CI Grants	IS	Work to mitigate this risk is under implementation as scheduled in workplan. Subprojects have completed	S	S	Unchanged

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[12]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[13]
subproject recipients is low	against GEF Minimum Fiduciary Standard Requirements Training and capacity-building	and Contracts team providing targeted support and mini training during site visits to subprojects with CI oversight. Planning grants include funding to strengthen organizational capacities and GEF fiduciary requirements. Aim to be completed by Q4FY23.		Financial due diligence. The CI grant and contracts team provided subprojects with targeted mini training and oversight support and IUCN provided support through tailored virtual sessions.			
Risk 7: IP&LC organizations face high stakeholder expectations Lack of transparency Lack of financial sustainability Lack of leadership continuity	Application of FPIC; transparency requirements stipulated in sub-grant agreements; grievance mechanism; targeted training on skills and organizational capacity; design training programs to reach a critical mass of current and potential future leaders	Planning grants funding a specific component on stakeholder engagement and FPIC. CI providing targeted support to sub project teams during site visits.	IS	Work to manage this risk is under implementation as scheduled in the workplan. As CI has been providing targeted support to subprojects during visits and IUCN has been providing support through virtual meetings.	S	S	Unchanged
Risk 8: Local level partners are slow to participate or decline to participate in training and capacity	Impact Strategies for subprojects to include capacity-building strategy ICI support for communications efforts to convey value of participation	Inception phase completed with a broad participation of stakeholders approximately 200+ participants during ICI Inception Workshops. Organizational capacity assessments conducted	IS	Work to minimize this risk is under implementation as scheduled in the workplan. The relationships developed under inception workshops and site visits have helped build awareness and support about ICI activities, which will facilitate future uptake and participation in capacity building activities.	L	L	Unchanged

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[12]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[13]
building activities		during site visits. Results will be helpful to develop targeted capacity building for each subproject. This will be ongoing throughout the life of ICI. Results from Organizational capacity building plans shared with subprojects during site visits. ICI social media channels are actively engaging a broad audience daily. This will be ongoing throughout the life of ICI.					
Risk 9: Coordination of many partners becomes unmanageable	Coordination built into Results Framework Dedicated coordination capacity to be installed in ICI	As the PMU, CI and IUCN agreed to follow a common workplan and template for quarter performance reporting. As the PMU, CI and IUCN developed a joint ICI 5-year project workplan and reporting template. Impact strategies for each subproject includes a Theory of Change, Results Framework, workplan, and budget. Joint coordination using a one project approache between IUCN and CI, as	IS	Work to manage this risk is under implementation as scheduled in the work plan. Together, the PMU recruited and onboarded an ICI M&E Specialist who is supporting joint coordination to advance a unified M&E Framework. Together, the PMU is also recruiting an overall project manager.	L	L	Unchanged

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[12]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[13]
		the PMU, will be ongoing throughout the life of ICI.					
Risk 10: Sustainable financing strategies are limited or require longer timeframe than project. Potential private sector partners or investors do not wish to engage	Targeted capacity building in sustainable finance planning Private sector engagement plans; due diligence screening of potential private sector partners	Sustainable finance strategies related activities scheduled to initiate in FY24.	NS	Work to address this risk is not scheduled in the workplan FY22-23. Monitoring for this specific risk will also begin parallel in Q4.	M	M	Unchanged
Risk 11: Government resistance to IP&LC participation	ICI training for IP&LC participants to include effective engagement of government counterparts	SEPs will include engagement of OFPs and key Government agencies during design of Impact Strategies. PMU engaging GEF OFPs and key Government agencies at international meetings. PMU is organizing a biannual OFP ICI news bulletin to keep GEF OFPs informed and engaged on ICI progress. Engagement between IPs and LCs with governments will be ongoing throughout the life of ICI.	IS	Activities to address this risk are under implementation. As SEPs have been incorporating in the Impact Strategy and engagement between IPs and LCs with governments will be ongoing throughout the life of ICI.	M	M	Unchanged

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[12]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[13]
Risk 12: Limited uptake of ICI knowledge products	Creation of a dynamic knowledge management system; communications strategy	PMU has built a Community of Practice listserv for sharing knowledge products. Work to develop relationships with communications staff and social media managers from across IP and LC organizations, environmental organizations, funding organizations, and other stakeholders to engage them collaboratively and encourage them to share ICI products when they are ready. In addition, coordination between PMU communicators and the GEF secretariat communications team ensures products will be shared to the GEF audience.	IS	Work to manage this risk is under implementation through continued engagement with the SC and continuous update of the ICI Communications and Knowledge Management strategy throughout the life of ICI.	L	L	Unchanged
Risk 13: ICI outreach efforts fail to connect with audience	Evolving and adapting communications strategy and outreach	An Interim Communications Strategy was used during the first year of the life of the project. Starting from the second year of implementation, a new Communications Strategy will be developed and executed by an Indigenous-led and staffed design and strategic communications firm, while periodic	IS	Work to address this risk is under implementation. Implementation of the communication strategy will be throughout the life of ICI.	L	L	Unchanged

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[12]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[13]
		consultations with ICI subproject leads and communicators will continue regularly.					

OVERALL RATING OF PROJECT RISKS	JUSTIFICATION	RISK RATING TREND[14]
M	The implementation of risk mitigation measures is appropriate and the overall risk level remains moderate.	N/A

Recommendations

MITIGATION AND CORRECTIVE ACTION(S)	RESPONSIBLE PARTY	DEADLINE
N/A	N/A	N/A

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[14] **Rating trend**: Increasing, Unchanged or Decreasing

SECTION IV: PROJECT ENVIRONMENTAL AND SOCIAL MANAGEMENT IMPLEMENTATION STATUS AND RATING

This section of the PIR describes the progress made towards complying with the approved ESMF plans, as well as recommendations to improve the implementation of the ESMF plans, when needed. This section is divided into six parts:

a. Progress towards complying with the CI-GEF Project Agency's ESMF

b. Information on Progress, challenges and outcomes on stakeholder engagement

c. Information on the progress towards achieving gender sensitive measures/targets

d. Lessons learned and Knowledge Management products developed and disseminated

e. Overall Project ESMF Implementation Rating

f. Recommendations

a. Progress towards complying with the CI-GEF Project Agency's ESMF

a. Progress towards complying with the CI-GEF Project Agency's ESMF

MINIMUM ESMF INDICATORS	PROJECT TARGET	END OF YEAR STATUS	CUMULATIVE STATUS	PROGRESS RATING[17]	COMMENTS/JUSTIFICATION
ACCOUNTABILITY AND GRIEVANCE MECHANISM 1. Number of conflict and complaint cases reported to	0	0	0	IS	No conflicts or complaint cases reported. As, this indicator is currently being implemented according to the planned schedule. It is currently in progress, and all subprojects' impact strategies from ICI are being incorporated into it.

the project's Accountability and Grievance Mechanism					During the first visit to subprojects, the PMU developed supporting materials specifically for working sessions on accountability and grievance mechanism (AGM). These materials are aimed at providing guidance and assistance in the implementation of effective AGM practices. Additionally, the PMU has created a master questionnaire to conduct assessments of the subprojects' AGM management capacity during site visits. This questionnaire serves as a tool to evaluate and improve the subprojects' ability to manage grievances.

Furthermore, targeted ESMS support is being provided during the development and approval of Impact Strategies. This support aims to ensure that the Impact Strategies align with ESMS best practices and effectively address grievance redress mechanisms.

In parallel, CI is facilitating an online learning module on CI GEF ESMF for subproject partners. Additionally, CI is programming targeted support on safeguards, including AGM, through conference calls upon the request of subprojects. This support will continue during the next round of site visits scheduled for Q3FY23 and Q4FY23. Similarly, IUCN is providing targeted support on grievance redress mechanisms through virtual means, as requested by subprojects.

The inclusion of the AGM deliverable in the Planning Grant underscores its importance for all subprojects within the ICI. This ensures that each subproject is equipped with the necessary tools and processes to address grievances effectively and promote transparency and accountability.

Each ICI subproject is developing their specific AGM as part of their Impact Strategies.

Further the Global ICI AGM was presented to the ICI Steering Committee and received endorsement during its virtual meeting in June 2023. The AGM follows the guidelines set forth in the Environmental and Social Management Framework (ESMF) of the project. |
| 2. Percentage of conflict and complaint cases reported to the project's Accountability and Grievance Mechanism that have been resolved. | N/A | N/A | N/A | IS | |

GENDER MAINSTREAMING GM C1.1: Number and percentage of women/men who are ICI direct beneficiaries	50% of women 50% of Men	200 (86 women (41.8%) and 114 men (58.2%)) 5,770 (2,786 Men (48%) and 2,984 Women (52%)	200 (86 women (41.8%) and 114 men (58.2%)) 5,770 (2,786 Men (48%) and 2,984 Women (52%)	IS	The reporting from the inception phase reveals that out of the total 200 participants, 41.8% were women (86 women) and 58.2% were men (114 men). These figures highlight the gender distribution within the workshop sessions. The requirement to collect sex-disaggregated data and report on gender composition is included in the Planning Grant Deliverables. ICI Subprojects have reached a total of 5,770 people, with 2,786 being men (48%) and 2,984 being women (52%). The people were engaged through various activities reported during this period by 8 of the 10 ICI subprojects. These activities were part of ICI Planning grants and included stakeholder engagement activities and meetings for impact strategy preparation, as outlined in the ProDoc. This indicator is currently being implemented according to the planned schedule. It is currently in progress, and all subprojects' impact strategies from ICI are being incorporated into it. Target under development in Impact Strategies and GAP.
GM C1.2: Proportion of decision-making spaces held by women/men in the ICI, including the 10 subprojects.	50 % of women 50% of Men	45.5% (5F) 54.5% (6M)	45.5% (5F) 54.5% (6M)	IS	The PMU has promoted parity in the steering committee and subprojects have tried to designate women indigenous for the SC representation. Equal participation of women and men in the subproject SC and decision-making spaces including the governance of the ICI subproject is encourage during the review of the impact strategy development. ICI sub project leadership held by women: 12.5% (UCRT Tanzania is the only woman led subproject currently) ICI Global Steering Committee composition: 45.5% (5F/6M)
GM C1.3: Percentage of women/men of ICI subprojects that report increased. livelihood benefits to the communities	50% of women 50% of Men	N/A	N/A	IS	This indicator is currently being implemented according to the planned schedule. It is currently in progress, and all subprojects' impact strategies from ICI are being incorporated into it.
GM C1.4: Percentage of ICI subprojects that have developed a GMP.	100%	100%	100%	IS	The development of a Gender Mainstreaming Plan (GMP) and a Gender Action Plan, as components of the Impact Strategy, is included in the Planning Grant Deliverables and Impact

					Strategy template for all 10 ICI subprojects. Each impact strategy will indicate the number of women and men who benefit directly from the subproject.
GM C1.5: Percentage of benefits and services (e.g., income -generation opportunities, credit, technology, training, etc.) that target to women.	50% of women 50% of Men	0	0	IS	The PMU has created a comprehensive master Questionnaire for conducting gender capacity assessments during site visits to subprojects. The questionnaire is designed to ensure gender skill and knowledge needs are properly assessed in each organization.
GM C1.6: Percentage of additional funding raised in ICPGs that have mainstreamed gender in their project formulation.	100%	5 out of 10 subprojects have reported co-finance/additional fundings for their specific project during this reporting period. (NEFIN (Nepal): $2,500, SOTZIL(Mesoamerica): $45,000, IMPACT (Kenya): $65,000 UCRT (Tanzania): $20,854). FENAMAD (Peru): $24,954	5 out of 10 subprojects have reported co-finance/additional fundings for their specific project during this reporting period. (NEFIN (Nepal): $2,500, SOTZIL(Mesoamerica): $45,000, IMPACT (Kenya): $65,000 UCRT (Tanzania): $20,854). FENAMAD (Peru): $24,954	IS	The PMU anticipated providing further targeted support during the development and approval of Impact Strategies. Additionally, an IUCN gender specialist is providing feedback during the Technical Revisions of the Impact Strategies. Their expertise is being sought to ensure that gender responsiveness and inclusivity are effectively incorporated into the different sections of the impact strategy.

Subprojects are actively incorporating gender balance criteria to promote the participation of IP and LC women in all ICI activities. This will include activities such as learning exchanges, events, fellows' programs, and policy development. The subprojects are committed to actively recruiting diverse candidates and ensuring women's participation. During the first visit to the executing agency (EA), subprojects will receive guidance on the importance of adhering to gender balance criteria during the development of the impact strategy and the need to register women's participation. This aspect is an ongoing process and is being considered across all project components.

Monitoring and reporting activities within the project prioritize the collection and analysis of sex-disaggregated data and gender information. This approach ensures that monitoring and evaluation processes are gender responsive. During the Inception workshop sessions, attendance sheets were utilized to gather data with sex disaggregation. This information allows for a comprehensive understanding of the participants' gender composition. |

GM C2.1: Percentage of improvement in terms of knowledge and skills on gender Issues	At least 25% of improvement in terms of gender knowledge and skills.	0	0	IS	To ensure the integration of gender equality and mainstreaming within the ICI project, it has been mandated that all lead staff involved must complete online training on this subject. IUCN has already conducted a comprehensive search for online training courses on gender and provided a list of five recommended courses to the Project Management Unit (PMU). These courses were recommended during the first visit to the executive agency (EA). Furthermore, targeted gender training has already been conducted, and it will continue to be carried out in the upcoming quarter.
GM C2.2: Number of ICI staff professionals (women and men) involved in the capacity building. strategy have gender expertise	At least 50% staff involved in drafting the capacity building strategy have gender expertise	0	0	IS	
GM C2.3: Percentage of IP&LC organizations in mapping study with expertise in gender and indigenous peoples within and beyond the ICI subproject geographies	Mapping of IPLC organizations documents and shares information on IPLC organizations with expertise in gender and indigenous peoples	0	0	IS	
GM C2.4: Percentage of IP&LC women and men participating in the Inclusive Conservation Learning Exchanges	50% of IPLC who participate in the Inclusive Conservation Learning Exchanges are women	0	0	IS	Learning exchanges will start in Aug 2023

GM C3.1: Number of political/policy dialogues between IP&LC partners that raise gender equality issues.	At least 1/3 political/policy dialogues between IPLC partners have focused on gender issues	0	0	IS	In component 3 the PMU has encouraged and supported the participation of indigenous women in UNFCC COP 27 and CBD COP 15. Gender equality issues raised at both COPs UNFCCC 27 and CBD 15 30 ICI leaders (of whom 50% are women) providing technical support to their constituencies and actively engaging with national delegations at international policy meetings particularly UNFCCC COP27 and CBD COP15.
GM C3.2: Percentage of IP&LC women and men of the ICI who are meaningfully participating in the Rio Conventions and other international conventions and Platforms	50% of IPLC who participate in the Rio Conventions and other international conventions and platforms, including the Policy Coordination Mechanisms, are Women	CBD Total-18 (10F (55%) and 8M (44%)) UNFCCC Total – 12(5F (42%) and 7M (58%))	CBD Total-18 (10F (55%) and 8M (44%)) UNFCCC Total – 12(5F (42%) and 7M (58%))	IS	The PMU engaged and supported 30 IP&LC leaders (of whom 50% are women and 50% men) to engage in UNFCCC COP27 and the CBD COP15 conferences under the Component 3. **CBD COP15:** The participation of IP&LC women and men in the CBD Total-18 (10F (55%) and 8M (44%)) **UNFCCC COP27:** The participation of IP&LC women and men in the UNFCCC Total – 12(5F (42%) and 7M (58%))
GM C4.1: Number of findings of the final and mid-term independent evaluation of ICI that are related to gender equality and women's empowerment	At least 1 section of the final and mid-term independent evaluation is focused on gender equality and women's empowerment	0	0	NS	This indicator is not scheduled yet.

GM C4.2: Number of good practices on gender equality and women's empowerment highlighted in the PIR or APR	At least 1 section of the PIR or APR highlights gender equality good practices	3	3	IS	At least 3 best practices (Gender analysis, Gender Action Plan including funding and responsible person/focal point, and sex and age disaggregated data)
GM C4.3: Percentage of ICI staff who believe that gender has been adequately mainstreamed into the ICI	100% of ICI staff believe that gender has been adequately mainstreamed into the ICI	100%	100%	IS	100% PMU highly committed and mandate d to prioritize gender
GM C4.4: Number of gender -specific communication activities within the ICI Communication program	At least 20% of gender-specific communication activities within the ICI Communication program	30%	30%	IS	3 out of 10 ICI blog posts and web stories are authored by women or have a specific focus on women. The details are mentioned below with their titles and web links: 1. [Indigenous women help nature thrive](#) 2. [International Women's Day 2023: Celebrating Indigenous women leaders in inclusive conservation.](#) 3. [Earth Day 2023: Inclusive conservation invests in Indigenous and local women.](#)
STAKEHOLDER ENGAGEMENT 3. Number of government agencies, civil society organizations, private sector, indigenous peoples, and other stakeholder groups that have been involved in the project implementation phase on an annual basis.	0	12 OFPs for the 12 countries under ICI Inception Phase 27 Indigenous people's organizations directly engaged through the 10 subprojects. 14 CSO that are members of the ICI subprojects as partner organizations.	12 OFPs for the 12 countries under ICI Inception Phase 27 Indigenous people's organizations directly engaged through the 10 subprojects. 14 CSO that are members of the ICI subprojects as partner organizations.	IS	Inception and planning phases have been intensive in engagement with sub project organizations to deliver technical support to enable Impact Strategies development. In parallel, close communication has been maintained with leaders of the organizations for ISC functioning. Government agencies have been engaged through communication with IP&LC Executing agencies from each subproject and the GEF official focal points (OFPs) to keep them updated on project advance. PMU is currently developing a biannual OFP Digest as an informative knowledge product. The first version will be released by July 2023. ICI has completed stakeholder engagement activities for the Planning grants in Q2FY23 and Q3FY23. These grants encompass several deliverables, including Milestone 1, which

| | | 2 International conservation organizations engaged as subproject partners. | 2 International conservation organizations engaged as subproject partners. | | involves stakeholder engagement, consultations, the establishment of subproject governance, and the community process of Free, Prior and Informed Consent (FPIC). Additionally, Stakeholder Mapping for each subproject has been carried out as part of the Impact Strategy development process.

Ongoing stakeholder engagement activities, carried out in a culturally appropriate and gender-sensitive manner, are currently underway to develop and implement the SEPs and Impact Strategies. These activities were included in the Planning Grant Deliverables. The stakeholder engagement activities, funded through the PPG, were finalized by October 31, 2022.

This indicator is currently being implemented according to the planned schedule. It is currently in progress, and all subprojects' impact strategies from ICI are being incorporated into it. The target to be developed with finalization of Impact Strategy of the subprojects. |
|---|---|---|---|---|---|
| 1. Number persons (sex disaggregated) that have been involved in project implementation phase (on an annual basis) | 0 | 5,770 people, with 2,786 being men (48%) and 2,984 being women (52%). | 5,770 people, with 2,786 being men (48%) and 2,984 being women (52%). | IS | ICI Subprojects have reached a total of 5,770 people, with 2,786 being men (48%) and 2,984 being women (52%). The people were engaged through various activities reported during this period by 8 of the 10 ICI subprojects. These activities were part of ICI Planning grants and included stakeholder engagement activities and meetings for impact strategy preparation, as outlined in the ProDoc.

The target to be developed with finalization of Impact Strategy of the subprojects. |
| 2. Number of engagement (e.g., meeting, workshops, consultations) with stakeholders during the project implementation phase (on an annual basis) | 0 | 10 bilateral meetings (4 regional workshops, 4 global sessions and 2 Global workshops) | 10 bilateral meetings (4 regional workshops, 4 global sessions and 2 Global workshops) | IS | PMU held multiple engagement sessions during ICI inception phase (Feb – April 2022), with ICI Subprojects and wider ICI stakeholders, including OFPs.

The target to be developed with finalization of Impact Strategy of the subprojects. |
| **ESS 1: Environmental and Social Impact Assessment** | 0 | 0 | 0 | IS | This indicator is currently being implemented according to the planned schedule. It is currently in progress, and all |

| | | | | | subprojects' impact strategies from ICI are being incorporated into it.

CI is actively facilitating an online learning module on Environmental and Social Management Framework (ESMF) for subproject partners, equipping them with the necessary knowledge and tools for effective safeguard development and implementation. Additionally, CI and IUCN are providing targeted support sessions on safeguards through conference calls, online training and during filed visits.

Significant progress is anticipated in the development of a limited Environmental and Social Impact Assessment (ESIA) during Q4FY23 as the finalization of the draft Subproject Impact Strategies takes place. As part of this process, the PMU has conducted a secondary safeguard screening to ensure compliance and address any necessary revisions in the Impact Strategies.

No significant changes to the anticipated applicable ESS were observed during this period. Emerging capacity building needs are focused on ESS2,5,7,8. The secondary safeguard screenings are available in the revision tool of each project's impact strategy. In some cases, projects will require another screening for specific project components (e.g., subgrants).

The target to be developed with finalization of Impact Strategy of the subprojects. |
|---|---|---|---|---|---|
| **ESS 2: Protection of Natural Habitats and Biodiversity Conservation**
1. Hectares of natural and/or critical natural habitats loss or degraded | 0 | 0 | 0 | IS | Implementation of field activities in the territories have not started yet. Impact Strategies include a secondary safeguard screening to identify if subproject activities will trigger ESS5. ESS 2 will be continuously monitored across project implementation.

Subproject Impact Strategies will include a Biodiversity Management Plan (BMP). These efforts reflect the commitment to effectively address biodiversity, environmental and social considerations throughout the project lifecycle. |

					This indicator will be monitored when field activity in the project territories is initiated. The target to be developed with finalization of Impact Strategy of the subprojects.
ESS 4: Indigenous Peoples 2. Percentage of indigenous/local communities where FPIC have been followed and documented.	0	0	0	IS	100% ICI subprojects conducted FPIC during stakeholder engagement and developed a Social Inclusion Plan as part of their Impact Strategies. All ICI subprojects are developing and integrating an Indigenous Peoples Plan (IPP) in the Social Inclusion Plans of their Impact Strategies.
3. The percentage of communities where project benefit sharing has been agreed upon through the appropriate community governance mechanisms and documented	0	0	0	IS	This indicator is currently being implemented according to the planned schedule. It is currently in progress, and all subprojects' impact strategies from ICI are being incorporated into it. The target to be developed with finalization of Impact Strategy of the subprojects.
ESS 5: Resource Efficiency and Pollution Prevention 1. Number of hectares where pest management is applied.	0	0	0	IS	100% ICI subprojects conducting a secondary safeguard screening as part of their Impact Strategies Implementation of field activities in the territories have not
2. Percentage of pest management area where Integrated Pest Management or Integrated Vector Management is applied.	0	0	0	IS	started yet. Secondary safeguard screening to identify if subproject activities will trigger ESS5. ESS 5 will be continuously monitored across project implementation. This indicator will be monitored when field activity in the
3. Percentage of pesticide applications that comply with the International Code of Conduct on the Distribution and Use of Pesticides	0	0	0	IS	project territories initiates. The target to be developed with finalization of Impact Strategy of the subprojects.
ESS 6: Cultural Heritage 1. Number of physical cultural resources found in the project area	0	0	0	IS	100% ICI subprojects developed a Social Inclusion Plan as part of their Impact Strategies. All ICI subprojects are developing and integrating Cultural Heritage in the Social Inclusion Plans of their Impact Strategies.

					This indicator will be monitored when field activity in the project territories initiates. The target to be developed with finalization of Impact Strategy of the subprojects.
ESS 7: Labour and Working Conditions (ESIA, EMP, IPP, PMP, V-RAP, Process Framework, etc.)	0	0	0	IS	100% of ICI subprojects received financial and technical support to strengthen organizational capacities. Implementation of field activities in the territories have not started yet. ICI Subprojects strengthened their organizational Operations manuals with best practices and policies relevant to ESS7 as part of ICI Planning Grant objectives. This indicator will be monitored when field activity in the project territories initiates. The target to be developed with finalization of Impact Strategy of the subprojects.
ESS 8: Community Health, Safety and Security (ESIA, EMP, IPP, PMP, V-RAP, Process Framework, etc.)	0	0	0	IS	100% ICI subprojects incorporate community, health, safety, and security measures as part of their Impact Strategies. Implementation of field activities in the territories have not started yet. During inception and planning phase special attention has been given to the development of security measures related to pandemics and areas with high levels of hostility and crime, to ensure the safe travel and participation of IPs and LCs in project activities, as part of the Environmental and Social Management Plans (ESMPs). This progress is anticipated in Q4FY23 when finalizing the draft of Subproject Impact Strategies. The project is adhering to CI and IUCN institutional guidelines and travel policies during site visits to subprojects. The subproject-specific Accountability and Redress Mechanism (AGM) will be finalized along with the Impact Strategies of the subprojects. The project is following CI and

					IUCN code of ethics and consulting with subproject partners to design an ICI code of conduct based on IP and LC values and principles. The preamble section on Indigenous Vision, Principles, and Values is included in the Impact strategies template, and the subprojects will define it during the development of their Impact Strategies. The project is following CI and IUCN institutional guidelines and travel policies, and more specific protocols will be defined when global activities start in Year 2. This indicator will be monitored when field activity in the project territories initiates. The target to be developed with finalization of Impact Strategy of the subprojects.
ESS 10: Climate Risk and Related Disasters (ESIA, EMP, IPP, PMP, V-RAP, Process Framework, etc.)	0	0	0	IS	100% ICI subprojects considering climate risk as part of Barriers to Addressing the Environmental Problems and Root Causes of their Impact Strategies. Implementation of field activities in the territories have not started yet. PMU will support each subproject by incorporating a secondary climate risk screening. This indicator will be monitored when field activity in the project territories initiates, The target to be developed with finalization of Impact Strategy of the subprojects.

b. Information on Progress, challenges and outcomes on stakeholder engagement

ICI subprojects completed stakeholder engagement activities in Q2FY23 and Q3FY23 with funding from ICI Planning grants. These grants encompass several deliverables, including Milestone 1, which involved stakeholder engagement, consultations, the establishment of subproject governance, and the community process of Free, Prior and Informed Consent (FPIC). All 10 subprojects across the nine initiatives completed stakeholder mappings and Stakeholder Engagement Plans (SEPs). These foundational deliverables underpinned stakeholder engagement activities, carried out in a culturally appropriate and gender-sensitive manner to facilitate the implementation of the SEPs and Impact Strategies.

Milestone 2 focused on targeted capacity building for GEF compliance, covering areas such as project management, finance and operations, the Impact Strategy Operations Manual, environmental and social safeguard standards (ESS), and monitoring and evaluation. At the time of reporting this suite of capacity building support to subprojects by the PMU is underway.

Lastly, Milestone 3 included the development of the subproject's Impact Strategies. These strategies contain information about project context, project strategy, implementation arrangements, monitoring and evaluation framework, budget and financing plan, compliance with ESMF, and adherence to Indigenous approaches to safeguards and fiduciary requirements. Furthermore, the Technical Revisions of the Impact Strategies have involved a secondary Safeguards Screening conducted by the PMU. The first phase of this process was completed in 2022 with the assistance of CI and IUCN during site visits, and it will continue throughout 2023. Moving forward, the focus of stakeholder engagement activities will shift towards validating the Impact Strategies and transitioning into the implementation of project activities.

c. Information on the progress towards achieving gender sensitive measures/targets

All activities anticipated by the GMP were implemented? Yes/No Why?
The activities indicated in the GMP have been implemented according to the progress of each four project components. The focus has been advancing gender work under component 1. The PMU designed Impact Strategy templates with integrated guidelines for developing Gender Mainstreaming Plans (GMPs) and Gender Action Plans (GAPs). Upon approval by CI and IUCN GEF Agencies, the PMU designed supporting materials for working sessions and assessments on gender during site visit to subprojects. This included a questionnaire to conduct gender capacity assessments that analyzed the extent to which gender considerations are addressed in planned ICI activities. The development of GMPs and GAPs were included in Planning Grant Deliverables as a part of Impact Strategy development for all subprojects. During site visits to IPF and NEFIN, tailored gender technical support was provided based on requests that emerged from the assessment process. For example, IUCN provided support to IPF Thailand in enhancing their knowledge and skills regarding gender safeguards, including relevant indicators, and the integration of gender considerations within integrated vector management. Additionally, IUCN conducted a visit to Indigenous Karen communities in Mae Land Kham village, engaging with community spiritual leaders and the local women's group. During this visit, a rapid gender analysis was conducted to highlight the significance of considering women and men's agricultural roles. IUCN has also followed up with IPF to continue this process. During site visits to each executing agency (EA), subprojects received guidance on the importance of adhering to gender balance criteria during the development of the impact strategy and the need to register women's participation. To ensure the integration of gender equality and mainstreaming within the ICI project, it was mandated that all lead staff involved must complete online training on this subject. IUCN has already conducted a comprehensive search for online training courses on gender and provided a list of five recommended courses to the PMU which was shared with each EA during site visits. Additionally, gender specialists are providing feedback during the Technical Revisions of each Impact Strategy to ensure that gender considerations are effectively incorporated, and the gender responsiveness and inclusivity of Impact Strategies are enhanced. Subprojects are actively incorporating gender balance criteria to promote the participation of IP and LC women in all ICI activities. This includes activities such as learning exchanges, events, fellows' programs, and policy development. The subprojects are committed to actively recruiting diverse candidates and ensuring women's participation.

These priorities extend into ICI's global components. As part of Component 2, there is a specific requirement to design a module on gender and environment within the Inclusive Conservation Learning Academy (ICLA). This module will focus on addressing the intersection of gender and indigenous peoples within the context of the environment. The development of this module aims to enhance understanding and integration of gender and indigenous perspectives in conservation and livelihood assessments. This targeted module will provide valuable insights and knowledge to support more inclusive and sustainable approaches within the ICLA framework.

Under Component 3, in global policy, the PMU supported 30 IP and LC leaders (of whom 15 - 50% - are women) at the CBD COP 15 in Montreal and the UNFCCC COP 27 in Sharm-el-Sheik. Among them, under the Indigenous Women and Girls' Insights -- Stewarding the Earth initiative, IUCN supported three Indigenous women mentors and three mentees to engage. All subprojects are actively advancing the mainstreaming of gender within the ICI project deliverables. This includes various aspects such as capacity assessment tools, capacity building plans, and communication strategies. The subprojects are diligently working to ensure that the information collected regarding capacity for promoting gender mainstreaming is utilized to tailor the contents of the module, making it more suitable for the intended audience. These efforts align with the Scope of Work (SoW) deliverables within Components 2-4 of the project.

Did the project face any challenges to implementing GMP as initially proposed? Please describe the challenges in case there were any
The main challenge to implement the GMP has been in component one where it was necessary to review the guidelines for developing the GMP in the PRODOC and to adjust and simplified them. To overcome this challenge but also to do adaptative management, CI and IUCN worked together with ICI projects to simplify GEF project requirements and customize them to fit the scope and situation of ICI IP and LC-led initiatives. In line with ICI's "learning by doing" approach and to fulfill project compliance, the PMU co-developed an Impact Strategy Template for full project design that included a section for the simplified version of the GMP.

As compared to the original GMP, was any adaptive management applied to promote meaningful participation of women and advance towards other gender sensitive targets?

One adaptative management activity that has been implemented with the objective of promoting women participation and advancing other gender sensitive targets has been to do tailored trainings on gender assessment and Gender Action Plans (GAPs) for each team working in the development of the impact strategy. Following this training the team must do a review of the ToC, logical framework and indicators of the impact strategy to adjust these sections to incorporate gender considerations that promote women's full and active participation.

Did the project team/stakeholders observe any unintended outcomes (positive or negative) related to gender equality, that are difficult to capture in a quantitative way during this period of time? For example, women are more active in decision-making processes in the project, or public servants are more interested and open to advance gender outcomes, men or women are more reluctant to participate in the project activities, or other similar situations.

Monitoring and reporting activities within the project prioritize the collection and analysis of sex-disaggregated data and gender information. This approach ensures that monitoring and evaluation processes are gender responsive. During the Inception workshop sessions, attendance sheets were utilized to gather data with sex disaggregation. This information allows for a comprehensive understanding of the participants' gender composition. The reporting from the inception phase reveals that out of the total 200 participants (of whom 43% are women). These figures highlight the gender distribution within the workshop sessions. The requirement to collect sex-disaggregated data and report on gender composition is included in the Planning Grant Deliverables. This ensures that gender considerations are effectively captured and accounted for in the monitoring and reporting processes.

Considering all the above, what are the recommendations for next FY to continue advancing towards gender sensitive targets?

Some recommendations to advance gender mainstreaming will be the development of capacities of the technical staff of subproject executing agencies on tools and methodologies according the results of the initial gender needs assessment. Once the subprojects start to implement, it will be necessary to provide tailored technical assistance to the gender focal point so the GAP is implemented. In component 2 the inclusion of gender aspects in the training modules will be a priority. In component 3, ensuring women's participation in events, fellow programs and Rio Conventions. The work in component 4 will focus on ensuring that the communication strategy mainstreams gender.

d. Lessons learned and Knowledge Management products[15] developed and disseminated

ESMF Implementation
The Environment and Social Management Plans (ESMP), ESMF (ESS, gender, stakeholder engagement and grievance mechanism) have been integrated into the draft Impact Strategies and their implementation is anticipated to occur during the execution phase. These efforts reflect the commitment to effectively addressing environmental and social considerations throughout the project lifecycle. CI is actively facilitating an online learning module on the CI GEF Environmental and Social Management Framework (ESMF) for subproject partners, equipping them with the necessary knowledge and tools for effective safeguard implementation. Additionally, CI and IUCN have delivered targeted support sessions on safeguards through conference calls and in-person visits to ensure robust safeguard implementation and compliance within the project. Significant progress is anticipated in the development of safeguard instruments during Q1FY24 as the finalization of the draft subproject Impact Strategies takes place. As part of this process, the PMU has conducted a secondary safeguard screening to ensure compliance and address any necessary revisions in the Impact Strategies.

The PMU has developed supporting materials and facilitated working sessions during the initial site visits to the subprojects. These materials, along with a master Questionnaire developed by the PMU, were utilized to conduct the capacity assessments during the visits.

Knowledge Management products developed and disseminated.
Under Component 4 ICI communications has developed the Knowledge Management platform (available in English, Spanish, French and Portuguese) where IUCN posts blogs and news stories periodically that are also disseminated via social media on Twitter and Instagram and shared with communications and knowledge management colleagues at IUCN, CI and GEF. IUCN also produced the ICI Factsheet in English, Spanish and French, providing an overview of the initiative to the public in a concise way. Complemented by Component 3's global policy work, awareness of ICI and its innovations is increasing. Communications materials developed in English are promoted on social media in Spanish and French to increase the reach to additional communities. Where possible, videos produced have also included subtitles in Spanish and French.

Dissemination of communications materials and knowledge products occurs systematically to GEF, CI and IUCN Communications Officers, as well as subproject leads and communications staff. Each product is also disseminated via ICI social media audience of 600+. The GEF Secretariat periodically shares ICI knowledge products to an online audience of 118.1K followers on Twitter.

Inclusive outreach to subprojects

ICI outreach to IP and LC organizations included the development of materials in different languages. For example, Impact Strategy templates and review tools were made available in English, Spanish and French. During the PPG phase, the Expressions of Interest templates were made available in English, Spanish, French and Portuguese languages. Live Interpretation was also provided in English, Spanish, French, and Thai during all meetings with ICI subprojects, including Interim Steering Committee meetings and Inception Phase workshops.

Knowledge Products
A summary of various materials developed to manage learning and coordination (both internal and external- facing communications) were developed over the reporting period:

#	Title/Name
1	FY 22-Q2: Inception Workshop Report
2	FY22 -Q4: Project press release
3	FY22 -Q4: Blog: Protecting the Earth, Indigenous and local solutions lead the way

		blog post Indigenous women help nature thrive (link Indigenous women help nature thrive — Inclusive Conservation Initiative), news In memoriam: ICI mourns the loss of renowned conservationist Gustavo Fonseca (link In memoriam: The Inclusive Conservation Initiative (ICI) mourns the loss of renowned conservationist Gustavo Fonseca — Inclusive Conservation Initiative) and blog post UNGA77: Indigenous-led solutions informed by solidarity, sustainability, and diverse knowledge systems (link UNGA 77: Indigenous-led solutions informed by solidarity, sustainability and diverse knowledge systems — Inclusive Conservation Initiative)	
	4	FY22 -Q4: Video message: Indigenous voices for biodiversity conservation	
	5	FY23-Q1: Impact Strategy Template and Guidelines	
	6	FY23-Q1: Impact Strategy-Planning Grant Budget Template and Guidelines	
	7	FY23-Q1: Operations Manual Guidance	
	8	FY23-Q1: ICI Organizational Capacity Assessment Tool	
	9	FY23-Q1: ICI Site visit Package	
	10	FY23-Q1: calendar of July-Dec 2023 strategic engagements and project activities.	
	11	FY23-Q1: blog posts, media stories to present the subprojects, observe international days of notice and events.	
	12	FY23-Q1: ICI mailing list has 500+ subscribers. ICI website currently varies between 100+ to 400+ monthly visitors.	
	13	FY23-Q1: Interim Communications Strategy to govern the first year of the ICI implementation.	
	14	FY23-Q1: ICI social media accounts for Twitter (@IPsLeadNature) and Instagram (@ipsleadnature).	
	15	FY23-Q2: ICI Branding and Logo co-design journey	
	16	FY23-Q2: ICI updated factsheet (EN)	
	17	FY23-Q2: ICI updated factsheet (SP)	
	18	FY23-Q2: ICI updated factsheet (FR)	
	19	FY23-Q2: ICI is at UNFCCC COP 27 materials	
	20	FY23-Q2: ICI at UNCBD COP15 materials	
	21	FY23-Q2: Highlights of the launch of the GEF-7 Inclusive Conservation Initiative (ICI) at CBD COP 15	
	22	FY23-Q2: Overview of the first year of the Inclusive Conservation Initiative (ICI)	
	23	FY23-Q2: ICI social media posts on Twitter (@IPsLeadNature)	
	24	FY23-Q2: ICI social media posts on Instagram (@ipsleadnature)	
	25	FY23-Q2: Organizational Capacity Assessments of Subprojects	
	26	FY23-Q2: Interim Steering Committee materials and presentations	
	27	FY23-Q2: First draft of Ewaso N'giro River Basin Kenya Impact Strategy	
	28	FY23-Q2: First draft of Southwest Amazon Peru Impact Strategy	
	29	FY23-Q2: Inclusive conservation: a path to protecting 'the heart of the planet'	
	30	FY23 -Q3: World Wildlife Day 2023 Blog	
	31	FY23-Q3: International Women's Day 2023 Blog	
	32	FY23-Q3: World Water Day 2023 Blog	
	33	FY23-Q3: Earth Day 2023 Blog	

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[15] Knowledge Products are those that are both intended to transmit knowledge but at the same time enable action by their audiences. For example, a lessons learned report, compilation of good practices and recommendations, etc.

34	FY23-Q3: ICI social media posts in Twitter (@IPsLeadNature)
35	FY23-Q3: ICI social media posts in Instagram (@ipsleadnature)
36	FY23-Q3: Impact Strategy Revision Tool and Guidelines
37	FY23-Q3: ICI Second Site Visit Package
38	FY23-Q3: First Draft of DRC ANAPAC Impact Strategy
39	FY23-Q3: First Draft of Northern Tanzania UCRT Impact Strategy
40	FY23-Q3: First Draft of Futa Mawiza Argentina Impact Strategy
41	FY23-Q3: First Draft of Futa Mawiza Chile Impact Strategy
42	FY23-Q3: Package Annual Meeting with CI and IUCN GEF Agencies
43	FY23-Q3: ICI Comms Trello board for GEF
44	FY23-Q3: Updated ICI Comms Calendar
45	FY23-Q4: Second Draft of Futa Mawiza Chile Impact Strategy
46	FY23-Q4: International Day for Biological Diversity Blog
47	FY23-Q4: World Oceans Day 2023 Blog
48	FY23-Q4: World Day to Combat Desertification 2023 video
49	FY23-Q4: Second Draft of Ewaso N'giro River Basin Kenya Impact Strategy
50	FY23-Q4: First Draft of House of Ariki and House of Lau Impact Strategy

e. Information on the implementation of the accountability and grievance mechanism

a) How is the project ensuring that all stakeholders are aware of the existing AGM?

ICI Accountability and Grievance Mechanism (AGM) is in place at the global level, and under development at the level of each ICI initiative, to address potential breaches to GEF's policies and procedures as well as legitimate concerns of project-affected people. AGM is being designed to be independent, transparent, and effective, and minimize the risk of retaliation to complainants. Each Indigenous-led initiative's context-specific AGM will be linked to the global ICI AGM and comprise cultural and traditional existing norms from within their respective communities.

At the community and territorial level, ICI will support each sub-project Indigenous-led initiative to ensure there are designated IP- and LC-led governance structures on the ground as part of the enabling conditions for impact strategy implementation. In some cases, this may be an existing structure in the communities, whereas in others, the ICI lead organization may need to form some new arrangement to ensure accountable, transparent, and representative management. Where needed, the ICI will provide targeted capacity building and technical support to ensure that the governance arrangements satisfy the organizational, managerial, administrative, and fiduciary requirements for a successful implementation of a GEF project.

All the stakeholders involved in the project will be aware of Accountability Grievance Mechanism (AGM) via the existing ICI Global AGM, which is in place on a global scale. This platform will ensure that all stakeholders are well-informed about the Accountability Grievance Mechanism (AGM) and will involve a multi-faceted approach that aims to maximize awareness and understanding among various stakeholders involved. The project will be using the ICI knowledge platforms and other regular communication channels that have been established to keep stakeholders informed about updates, changes, and success stories related to the Accountability Grievance Mechanism. This includes Trello Board, Twitter, Instagram, newsletters, email updates, and even dedicated sections on the ICI's new website. By consistently sharing relevant information, the project maintains an ongoing dialogue with stakeholders. To ensure inclusivity and accessibility, the project has also organized open forums,

meetings, and virtual sessions where stakeholders can ask questions, share feedback, and voice any concerns they might have regarding the mechanism. This interactive approach fosters a sense of transparency and collaboration.

Moreover, the project has collaborated with all ten subprojects which are currently in the process of setting up their Accountability and Grievance Mechanisms (AGMs), which will be helpful spread awareness about the Accountability Grievance Mechanism within the communities it serves. These trusted sources play a pivotal role in disseminating accurate information and building confidence in the mechanism. The overarching goal is to ensure that the AGM is well-understood and embraced by all stakeholders, promoting a sense of partnership and accountability. At the subproject level, the Executing Agency will serve as the initial point of contact for the Accountability and Grievance Mechanism. The Executing Agency holds the responsibility of communicating the project's commitments and Environmental and Social Management Framework (ESMF) provisions to the Affected Communities. To ensure transparency, the contact details of the Executing Agency, CI, and IUCN will be accessible to all relevant stakeholders.

The Project Global Accountability Grievance Mechanism (AGM) initiative is making use of CI's EthicsPoint Hotline, providing a platform through which grievances can be lodged to engage with the Grievance Mechanism available at [Grievance Mechanism (conservation.org)](). Through EthicsPoint, CI and/or IUCN with the decision of the GSC will respond within 15 calendar days of receipt, and claims will be filed and included in project monitoring processes. The Pertinent information about the AGM of the ICI which will be published on the new ICI website. Additionally, progress is being made in preparing the AGMs for the 10 subprojects under ICI, aligning them with the Impact Strategy unique to each respective project.

b) What challenges did the project encounter in implementing the AGM?

Currently, no challenges have been observed in implementing the AGM, as all 10 subprojects under the ICI are in the process of completing their individual Accountability and Grievance Mechanisms (AGMs). These AGMs are integral components of each project's Impact Strategy, which is set to be finalized by the first quarter of FY24. The ongoing work involves the integration of impact strategies from all ICI subprojects into these mechanisms.

c) How did the project adapt the mechanism to overcome the challenges identified above?

At present, the project hasn't adapted the mechanism because all 10 subprojects under the ICI are in the process of completing their individual Accountability and Grievance Mechanisms (AGMs). These AGMs are integral components of each project's Impact Strategy, which is set to be finalized by the first quarter of FY24. The ongoing work involves the integration of impact strategies from all ICI subprojects into these mechanisms.

d) Indicate any specific adaptations relating to making the AGM more accessible to disadvantaged groups during this period of implementation? (This may include but is not limited to adding new reporting channels, changing the name of the mechanism to make it more sensitive to cultural context, etc.)

All the 10 ICI subprojects are finalizing their own Accountability and Grievance Mechanism (AGM) as part of each project's Impact Strategy which will be finalized along with the Impact Strategies by Q1FY24. It is currently in progress, and all subprojects' impact strategies from ICI are being incorporated into it.

SUMMARY: PROJECT ESMF IMPLEMENTATION RATING BY TYPE OF PLAN

ESMF PLAN REQUIRED BY THE PROJECT (delete those not applicable)	CURRENT FY23 IMPLEMENTATION RATING	RATING TREND
Accountability and Grievance Mechanism	S	NA- It is the first PIR for the project
Gender Mainstreaming Plan (GMP)	S	NA- It is the first PIR for the project
Stakeholder Engagement Plan (SEP)	S	NA- It is the first PIR for the project
ESS 1: Full/limited ESIA and Environmental & Social Management Plan (ESMP)	S	NA- It is the first PIR for the project
ESS 2: Plan for Natural Habitat Protection and Biodiversity Conservation	S	NA- It is the first PIR for the project
ESS 4: Indigenous Peoples Plan	S	NA- It is the first PIR for the project
ESS 5: Resource Efficiency and Pollution Prevention Plan	S	NA- It is the first PIR for the project
ESS 6: Cultural Heritage Management Plan	S	NA- It is the first PIR for the project
ESS 7: Labour Management Procedures	S	NA- It is the first PIR for the project
ESS 8: Community Health, Safety and Security Management Plan	S	NA- It is the first PIR for the project
ESS 10: Climate and Related Disasters Risk Management Plan	S	NA- It is the first PIR for the project

OVERALL PROJECT ESMF IMPLEMENTATION RATING

RATING	JUSTIFICATION	RATING TREND
S	The project demonstrates having an ICI AGM in place and is providing support and oversight in the process of the partner organizations and sub-projects to have their own AGMs in place. The project is pending to share the AGM information on the ICI new website. On gender, the project is close to achieving parity in beneficiaries, participants and those who are part of decision-making bodies. It will need to continue strengthening women's participation in order to achieve its sex-disaggregated targets. The project has also requested sub-projects to develop their gender analysis and gender action plans and has provided tailored support on gender to partner organizations. Currently, 100 percent of the sub-projects have developed their own GMP. On the SEP, the project has engaged with several stakeholder groups, and it is still pending to establish targets. On ESS 1, ESS 2, ESS 5, ESS6, ESS 7, ESS8 and ESS 10, the project has implemented capacity building activities for partner organizations, and a secondary screening was also advanced for all sub-projects. On ESS4 all organizations have implemented FPIC as part of their stakeholder engagement process during sub-project design. Implementation of sub-projects has not started, so some indicators don't have results during this period.	NA- It is the first PIR for the project

Recommendations

CORRECTIVE ACTION(S)	RESPONSIBLE PARTY	DEADLINE

The project included indicators that measure changes in perceptions or knowledge (for example: Percentage of improvement in terms of knowledge and skills on gender Issues), these indicators will require a baseline to be collected, in order to understand changes. The project team should make sure to collect the needed baseline information for all applicable indicators.	PMU	December 2023
Some of the ESMF targets were not set during PPG phase (for example, for the SEP) because the project needed to have more information on sub-project. As the sub-projects are finalizing their impact strategies, the project needs to set these pending targets.	PMU	June 2024
The project should publish information on the ICI level AGM in the new ICI website, disseminate this AGM with ICI stakeholders, and when ready, post summarized information on the AGMs of the sub-projects on this website.	PMU	June 2024

SECTION V: PROJECT IMPLEMENTATION EXPERIENCES, KNOWLEDGE MANAGEMENT AND LESSONS LEARNED

Lessons Learned

1. In 2022, once ICI started up, the PMU began by establishing a clear roadmap to support the Indigenous leadership in identifying their priorities and the key areas for investment via the development of their full Impact Strategies. From Environmental and Social Management Frameworks (ESMF) to Gender Action Plans (GAPs), to Stakeholder Engagement Plans – not only did ICI IP and LC initiative leads need to fulfil GEF requirements, but also those of CI and IUCN. The PMU approached this challenge in an integrated manner, streamlining these requirements into one simplified template. For example, finding that CI's ESMF aligned with GEF and IUCN requirements and included additional important considerations, CI's framework was applied. Similarly, as IUCN's gender analysis and action planning framework are also comprehensive, this approach was embedded within the template. **This unified approach reduced complexity and broke down potential silos** that could have arisen from separate planning.

2. One lesson learned in relation to the implementation of the GMF is the **need to provide tailored training on gender issues during the elaboration of the GMF for the subprojects**. This training should be done before the delivery of the first impact strategy draft. Even though there was an effort to simplify GEF project requirements related to the GAP and Gender assessment and customize them to fit the scope and situation of ICI IP&LC-led initiatives, the staff and consultant developing the impact strategy still have issue connecting the gender issues in the different components of the impact strategy.

3. CI adapted a tool originally developed by the Critical Ecosystem Partnership Fund (CEPF) on behalf of the PMU to meet the specific needs of the GEF-7 ICI. This tool aims to enhance the organizational capacities of IP&LCs to plan, implement, and evaluate actions for territorial and biodiversity conservation. **The ICI Organizational Capacity Assessment comprises three tools: the Financial Capacity Questionnaire, Organizational Capacity Tracking Tool, and Safeguards Capacity Assessment Tool**. The results will inform the development of capacity building plans for each subproject and are also guiding targeted support for site visits.

4. One important learning and adaptation in KM/communications is that **early communications messages were crafted and published by the PMU to build awareness about ICI's overall programming and objectives**. As projects have begun to refine their strategies in 2023, the PMU shifted communications to emphasize IP and LC project leadership voices, ensuring gender balance, to amplify their goals, perspectives, knowledge, and contributions as the collective voice, championing cultural diversity through unity, on why and how Indigenous-led conservation is essential for global biodiversity targets.

5. Though ICI sought to address obstacles that IPs and LCs face in accessing multilateral funding from the GEF, at times, national sovereignty posed geographical and sociopolitical challenges that were not always surmountable. As a result, in some instances, IP and LC partner organizations in some countries were unable to participate in ICI. In other instances, differences in how governments perceive the self-identification of the Indigeneity of local communities resulted in concessions that undermined Indigenous rights to identity and the recognition of rights enshrined within related global frameworks. These challenges demonstrate that **though ICI is a pilot in helping the GEF explore ways to increase IP and LC access to inclusive conservation finance, more work towards compromise and adaptation will be needed if direct IP and LC financing at scale is to be achieved.**

Interpretation and application of GEF Guidelines

CI and IUCN worked together with ICI projects to simplify GEF project requirements and customize them to fit the scope and situation of ICI IP&LC-led initiatives. In line with ICI's "learning by doing" approach and to fulfill project compliance, the PMU co-developed an IMPACT Strategy Template for full project design. CI and IUCN have been communicating the innovations and lessons learned from this process to the GEF and the donor community at UNFCCC, UNCBD, and GEF strategic engagements. In addition, as CI and IUCN we have been also evaluating grant agreements language, recognition of traditional knowledge and so forth to more effectively address project requirements and deliver funds effectively to subprojects.

The PMU also provided targeted support on environmental and social safeguards during site visits and online to ICI project. The ICI project teams are currently undergoing online training in Environmental and Social Systems (ESS) and GEF prohibited practices in both English and Spanish versions. Information about the course has been shared with all subprojects to encourage participation.

Project Institutional Arrangements

The Inclusive Conservation Initiative is implemented and overseen through a set of institutional arrangements that maximize IP&LC voices, authority and roles while also ensuring programmatic and financial management in accordance with GEF Minimum Fiduciary Standards.

Steering Committee: The Global Steering Committee (GSC) is the expression of IP and LC leadership of the initiative, as such, it will coordinate the global components of the initiative, serve as the "face" of the initiative at a global level, and work with the PMU to ensure that the deliverables of the initiative meet the requirements while ensuring that indigenous values are respected.

The GSC will adhere to the following guiding principles:

- Respect for nature is the basis for everything in the way of life and way how Indigenous Peoples intrinsically relate to nature, and this must be the foundational principle of ICI.
- Respect and promote that a self-determined governance is the foundation of a rights-based approach to inclusive conservation.
- Mutual respect and recognition of the different ways of each member, and proper decorum must always be practiced as expected of leaders.
- Women and men play complementary roles in Indigenous communities. This value applies in caring for Mother Earth. The GSC will abide by and promote this principle.
- Intergenerational transmission of knowledge is key for Indigenous communities. The GSC will enhance and promote this value by ensuring youth participation in ICI.
- Indigenous Peoples relate to each other through mutual respect and reciprocity. In ICI, this will be the way of leaders relating to each other and to the wider ICI family.
- Follow free, prior, and informed consent and a full and effective consensus decision always making process.
- Once decisions are reached, members must abide by these and support its full implementation.
- Always ensure that the rights of IP and LCs are recognized, respected, protected and promoted and not diminished.

Project Management Unit: The project has a global Project Management Unit (PMU) across CI's Center for Communities and Conservation and IUCN's Global Programme on Governance and Rights to serve a Global Executing Agency function (the Center and the Programme also may draw on resources from other parts of their respective organizations).

This PMU has day-to-day responsibility for the global project, including oversight of sub-grants to the IP&LC Executing Agencies and coordination of cross-cutting global project components. Coordination of the cross-cutting components focus on creating and facilitating a platform for the IP&LC Executing Agencies and other IP&LC project partners to engage in global capacity-building, policy, communities of practice and knowledge development and communications. Delivery of cross-cutting component activities is also be undertaken with a range of IP&LC and technical partners who bring skills, experience and expertise in areas such as policy negotiations, financing systems, research on IP&LC-led conservation, capacity-building and communications. CI houses the Project Lead position; IUCN houses the M &E Specialist and both institutions have Technical Leads to support the PMU in additional to any other support that each institution brings to the PMU via co-finance to support the project.

Subproject Executing Agencies (EAs): Subprojects under ICI Component 1 are led by 10 project EAs (also referred to as ICI subproject lead organizations). For the purposes of the ICI, an EA refers to an IP&LC organization partner. The primary role of these IP&LC Executing Agencies will be to manage and deliver results of the Component 1 subprojects. Organizations pre-selected as IP&LC Executing Agencies in the project preparation phase are:

- Sotz'il (Guatemala) – leading the regional consortium of organizations in Guatemala and Panama
- FENAMAD (Peru) – leading the partnership for the Southern Amazon
- El Observatorio Ciudadano (Chile)
- Fundación Ambiente y Recursos Naturales (Argentina)
- ANAPAC (DRC)
- Ujamaa Community Resource Team (Tanzania)
- Indigenous Movement for Peace, Advancement & Conflict Transformation (IMPACT) (Kenya)
- Indigenous Peoples' Foundation for Education and Environment (Thailand) – leading a consortium of organizations in Thailand
- Nepal Federation of Indigenous Nationalities (NEFIN) (Nepal)
- House of Ariki/Lau execution support through CI Fiji (Cooks-Fiji)

IP&LC organizations leading work in each subproject geography have developed partnerships for delivery of project activities in those areas and will further define partnerships and wider stakeholder engagement as part of the development of their Impact Strategies. In some subproject geographies, IP&LC organizations have already formed partnerships or consortia to effectively execute and manage their subprojects in accordance with GEF financial requirements. In such cases, governance arrangements to ensure IP&LC leadership in subproject design and implementation have been established.

In addition to leading the execution of subprojects under Component 1, these organizations play key roles in the design and implementation of Components 2-4 cross-cutting activities, such as on capacity-building, financial mechanisms, global policy engagement, IP&LC communities of practice and communications. They also act as key liaisons between local IP&LC organizations and wider regional and global networks to promote broader engagement and dissemination of results. The EAs will contribute to global governance of the project through their nominated representatives on the SC.

Building ICI's Governance

Through internal governance process across subprojects, representative leaders from the subprojects have been identified for the establishment of a provisional Global Steering Committee. A provisional Global Steering Committee was put together given that projects were still in their strategy phase and they had not finalized all the governance pieces and individual project leadership. The purpose of the provisional GSC was to focus on the elements of communications, initial policy engagement, rules of procedure and grievance mechanism to lay the ground works for the full operationalization of the GCS. Representatives participated in the first Interim Steering Committee (ISC) meeting on October 26,

2023 where discussions focused on ICI brand and communications and engagements at UNFCCC COP27 and UNCBD COP15. CI has also organized strategic engagements with subprojects and ISC members at key international forums such as the UNFCCC COP27 and the CBD COP15.

The second Interim Steering Committee (ISC) was in two parts - Session I convened virtually on 14th June 2023, Q4FY23. The objective of this ISC was to provide an update on ICI IMPACT Strategies and discussion, adoption of ICI Rules of Procedure for the Steering Committee, and discussion of appointment non-ICI Members. Virtual Session II was held on 20th June 2023. The second session focused on Proposal for ICI Global Grievance Mechanism, preparations for the GEF Assembly and the first in-person Global Steering Committee Meeting and Global Exchange with First Nations in Canada. The Full Global Steering Committee will meet in person in Canada in August 2023 in conjunction with the GEF Assembly.

SECTION VI: PROJECT GEOCODING

This section of the PIR documents the precise and specific geographic location(s) of activities supported by GEF investments based on information provided in the Project Document. The following information should be contained in this section:

    a. Geo Location Information of Project Location(s) for the current fiscal year
    b. Project Map and Coordinates from Project Document

Geo Location Information of Project Location(s) for the current fiscal year (January 2022 to June 2023)[16]

Geo Location Information	Location No. 1	Location No. 2	Location No. 3	Location No.4	Location No.5	Location No. 6	Location No. 7	Location No. 8	Location No. 9	Location No.10
	Annapurna Conservation Area "NEFIN-Nepal"	DR Congo ANAPAC/ Dem. Republic of Congo	Ewaso Ng'iro River Basin IMPACT Kenya	Futa Mawiza Biocultural Territory- Argentina FAREN/ Argentina	Futa Mawiza Biocultural Territory – Observatorio Ciudadano /Chile	Lau Seascape Cook Islands Fiji/Cook Island	Northern Tanzania UCRT/Tanzania	Ru K'ux Abya Yala Guatemala, Panama/ Sotzil	Southwest Amazon "FENAMAD /Peru"	Thailand/ IPF Thailand
CLASSIFICATION *Indicate whether the site is new or already existing in the previous PIR or indicate whether the site is included at CEO*	There has been no significant change made in the site.	There has been no significant change made in the site. DR Congo	There has been no significant change made in the site. Ewaso	There has been no significant change made in the site.	There has been no significant change made in the site. Futa	There has been no significant change made in the site. Lau Seascape Cook Islands is included in the	There has been no significant change made in the site.	There has been no significant change made in the site. Ru	There has been no significant change made in the site.	There has been no significant change made in the site. Thailand is included at

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[16] The following given below Geo Location information belongs to the project location of the subprojects. The subprojects are engaged in designing of their impact strategies and are refining their targets, which will be completed by Q2FY24. Upon completion of the subprojects impact strategies the given below activities will start implementation in next fiscal year FY24.

Endorsement/Approval or not. Please add more columns for projects with more than 3 locations.	Annapurna Conservation Area is included at CEO endorsement	is included in the CEO endorsement.	Ng'iro River Basin is included at CEO endorsement.	Futa Mawiza Biocultural Territory-Argentina is included at CEO endorsement	Mawiza Biocultural Territory is included at CEO endorsement.	CEO endorsement.	Northern Tanzania is included in the CEO endorsement.	K'ux Abya Yala is included in the CEO endorsement.	Southwest Amazon is included in the CEO endorsement.	CEO endorsement.
Note: Provide justification if the location is a new site in this line										
GEO NAME ID [17] *Provide the location's Geo Name ID in a numerical format. IDs are available in the GeoNames' geographical database covering all countries and containing millions of placenames with free access at: http://www.geonames.org.*	Mustang 1283022 Manang 1283076 Lamjung 1283150 Myagdi 12095495 Kaski 12095483	Mai-Ndombe 2313191 Sankuru 205828 Mweka 207596 Walikale 204337	Laikipia 189794 Samburu Isiolo 180782 Marsabit 187585	Neuquén 3843123 Patagonia 3841798 Zones Huiliche (Not Available) Lafkenche (Not Available) Pehuence (Not Available)	Curarrehue 3892884 Panguipulli 3877348	Rarotonga 11695425 Pukapuka 11695389 Mangaia 11695127 Atiu 11695126 Takutea 4035532	Makame – Simanjiro cluster, 155204 Lake Natron and west Longido cluster 151891 Lake Eyasi and Yaeda Valley cluster 160049	FUNDALACHUA, 3598119 Alta Verapaz Guatemala 3599773 AK Tenamit Izabal Guatemala, 3595259 Sotzil Chimaltenango Guatemala, 3598572 Tikonel Chimaltenango Guatemala,	Scope of Manu National Park 9179689 Alto Purus National Park 8739674 Bahuaja Sonene National Park 8199362 Amarakaeri Communal Reserve Area 10173010	

[17] The website "http://www.geonames.org" is utilized as the source for Geo Name ID.

LOCATION NAME										
								3598572 FPCI Guna Yala Panama 3701537	Scope of the Madre de Dios Territorial Reserve 3935619 Harakbut Nation Life Territory (Not Available) Territory of Life of the Ese eja (Not Available) Nation Territory of Life of the Yine (Not Available) Nation Life Territory of the Matsiguenka Nation (Not Available)	
LOCATION NAME *Name of the geographic locations in which the activity is taking place. In instance when a GeoNames ID is provided*	Mustang, Manang, Lamjung, Myagdi and Kaski of Gandaki	Mai-Ndombe, Sankuru, Mweka, Walikale	In Kenya, Northern counties of Laikipia, Samburu	Province of Neuquén, Patagonia Argentina	Municipalities of Curarrehue and Panguipulli which are	Rarotonga, Pukapuka, Mangaia and Atiu/Takutea	Makame – Simanjiro cluster, Lake Natron and west	FUNDALACHUA, Alta Verapaz Guatemala, AK Tenamit Izabal	1. Scope of Manu National Park 2. Alto Purus	Name of Location with Google Map Information, Longitude and

above, the name of the said ID should be reflected. Otherwise, the location name provided will be considered as an exact location.	province of Nepal.		Isiolo and Marsabit counties	, Zones Huiliche, Lafkenche and Pehuence	in the La Araucanía and Los Ríos regions, respectively, Chile.		Longido cluster, and Lake Eyasi and Yaeda Valley cluster	Guatemala, Sotzil Chimaltenango Guatemala, Tikonel Chimaltenango Guatemala, FPCI Guna Yala Panama	National Park 3. Bahuaja Sonene National Park 4. Amarakaeri Communal Reserve Area 5. Scope of the Madre de Dios Territorial Reserve 6. Harakbut Nation Life Territory 7. Territory of Life of the Ese eja Nation 8. Territory of Life of the Yine Nation 9. Life Territory of the Matsiguenka Nation	Latitude IPF Thailand, ICI
LATITUDE [18] *Provide locations in Decimal Degrees WGS84 format, a notation expressing geographic coordinates as decimal*	Mustang LAT: 29.1833 Manang	Mai-Ndombe LAT: 2.5911 Sankuru	Laikipia LAT: -0.3333	Neuquén LAT : 38.9516	Curarrehue LAT: 39.3602	Rarotonga LAT: 21.2327 Pukapuka	Makame – Simanjiro cluster, LAT: 4.6333	FUNDALACHUA, LAT: 15.4708	Scope of Manu National Park LAT: 12.0419	Name of Location with Google Map Information, Longitude and

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[18] The website "http://www.geonames.org" is utilized as the primary source for obtaining Latitude. The GPS Coordinates provided in said Decimal Degrees WGS84 format by following the guidelines in the template.

fractions of a degree. Include at least four decimal points.	LAT: 28.6641 Lamjung LAT: 28.2100 Myagdi LAT: 28.546 Kaski LAT: 28.3455	LAT: 4.9666 Mweka LAT: 4.8519 Walikale LAT: 1.4305	Samburu Isiolo LAT: 1.3333 Marsabit LAT: 2.3347	Patagonia LAT : 44.0000 Zones Huiliche LAT : 54.8019 Lafkenche LAT: -38.4192 Pehuence LAT: -38.9433	Panguipulli LAT: 39.6436	LAT: 10.8536 Mangaia LAT: 21.9261 Atiu LAT: 19.9955 Takutea LAT: 19.8127	Lake Natron and west Longido cluster LAT: 2.4166 Lake Eyasi and Yaeda Valley cluster LAT: 3.6666	Alta Verapaz Guatemala LAT: 15.6666 AK Tenamit Izabal Guatemala, LAT: 15.5000 Sotzil Chimaltenango Guatemala, LAT: 14.6611 Tikonel Chimaltenango Guatemala, LAT: 14.6611 FPCI Guna Yala Panama LAT: 9.2500	Alto Purus National Park LAT: 10.4125 Bahuaja Sonene National Park LAT: 13.5091 Amarakaeri Communal Reserve Area LAT: 12.7833 Scope of the Madre de Dios Territorial Reserve LAT: 12.0000 Harakbut Nation Life Territory (Not Available) Territory of Life of the Ese eja (Not Available)	Latitude IPF Thailand, ICI

									Nation Territory of Life of the Yine (Not Available) Nation Life Territory of the Matsiguenka Nation (Not Available)	
LONGITUDE[19] *Provide locations in Decimal Degrees WGS84 format, a notation expressing geographic coordinates as decimal fractions of a degree. Include at least four decimal points.*	Mustang LON : 83.9563 Manang LON : 84.0222 Lamjung LON : 84.3638 Myagdi LON : 83.4633 Kaski LON: 83.9708	Mai-Ndombe LON: 19.3350 Sankuru LON: 23.4500 Mweka LON: 21.5594 Walikale LON: 28.0752	Laikipia LON : 36.7666 Samburu Isiolo LON : 37.1166 Marsabit LON: 37.9908	Neuquén LON: 68.0591 Patagonia LON: 68.0000 Huiliche LON : 68° 18' 68.3029, Lafkenche LON: -63.5989 Pehuence	Curarrehue LON: 71.5875 Panguipulli LON: 72.3327	Rarotonga LON : 159.7725 Pukapuka LON : 165.8475 Mangaia LON : 157.9186 Atiu LON : 158.1094 Takutea LON: 158.2883	Makame – Simanjiro cluster, LON: 36.7333 Lake Natron and west Longido cluster LON: 36.0000 Lake Eyasi and Yaeda Valley cluster LON: 35.0833	FUNDALACHUA, LON: 90.3708 Alta Verapaz Guatemala LON: 90.0000 AK Tenamit Izabal Guatemala, LON: 89.0000 Sotzil Chimaltenango Guatemala,	Scope of Manu National Park LON: 71.7875 Alto Purus National Park LON: 71.6611 Bahuaja Sonene National Park LON: 69.5738 Amarakaeri Communal	[Name of Location with Google Map Information, Longitude and Latitude IPF Thailand, ICI](#)

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[19] The website "http://www.geonames.org" is utilized as the primary source for obtaining Longitude. The GPS Coordinates provided in said Decimal Degrees WGS84 format by following the guidelines in the template.

				LON: -68.2135				LON: 90.8194 Tikonel Chimaltenango Guatemala, LON: 90.8194 FPCI Guna Yala Panama LON: 78.2500	Reserve Area LON: 70.9538 Scope of the Madre de Dios Territorial Reserve LON: 70.2500 Harakbut Nation Life Territory (Not Available) Territory of Life of the Ese eja (Not Available) Nation Territory of Life of the Yine (Not Available) Nation Life Territory of the Matsiguenka Nation (Not Available)	

LOCATION DESCRIPTION *(Optional field)* Text description that qualifies in a sentence or so the location in which an activity is taking place, such as for example "mini-grid energy system" or "park ranger site".	Following are the specific locations in which the ICIP activities will take place: 1. Manang District: a. Nashon village, ward no-1 of Taal rural municipality. b. Thanchok village, ward no-1 and 2 of Chame rural municipality 2. Mustang District: a. Thini village ward no. 5, Gharapjhong rural municipality b. Syang village ward no. 3,	Bio-cultural landscape of non-flooded forests (East of the Country); Bio-cultural landscape of flooded forests (West of the Country); Landscape of dense or clear dry forests, transitional forests, forest-savannah mosaic and wooded savannahs, trees, shrubs or grass (Centre of DRC).	The Ewaso Nyiro Ecosystem -Specially the mid Ewaso Nyiro	Andean Patagonian Forest located in the mountain range area, it is a tour with great presence of lakes and rivers.	The Futa Mawiza ICI will be executed in southern Chile, specifically at the foot of the Villarrica, Quetrupillan and Lanin volcanic range.	The location of these Islands is all in the Cook Islands – Isolated in one case with nearly 700km from the southern to the northern most island, that is Pukapuka and Mangaia.	Makame – Simanjiro cluster – this savanna ecosystem, important to the Maasai pastoralist and Akie hunter-gatherer groups, is characterized by acacia woodlands and open seasonal wetland plains, critical for dispersal of migratory elephant from Tarangire National Park, but also hosting a high density of resident wildlife. - Lake Natron and west Longido cluster -	Fundalachua, Alta Verapaz, Guatemala: Nestled amidst in green mountains, Fundalachua captivates with its tranquil beauty and rich cultural heritage. Ak'Tenamit, which means "New Village' in the Q'eqchi' language, is a development project in Eastern Guatemala on the Dulce River. Sotzil Chimaltenango Guatemala: A picturesque town	Corresponds to the entire madre de dios river basin and tributaries.	

	Gharapjhong rural municipality c. Chimang village ward no.1, Gharapjhong rural municipality 3. Kaski District a. Chomrong village ward no 11, Annapurna rural municipality. 4. Myagdi District a. Annapurna Rural Municipality 5. Lamjund District a. Marsyangdi rural municipality						this area is characterized by a rugged arid landscape, the caustic soda lake, and short grass savanna. Lake Eyasi and Yaeda Valley cluster - the woodlands in this cluster are critical to Hadzabe hunter-gatherers, Datoga pastoralists, and Iraqw agro-pastoralists. The area is of high natural resource value, including a contiguous wildlife corridor to the Ngorongoro	nestled in the highlands, showcasing vibrant Mayan culture, colonial-style houses, and stunning natural landscapes. "Tikonel Chimaltenango, nestled in the heart of Guatemala, captivates with its picturesque landscapes, rich Mayan heritage, and vibrant cultural traditions." FPCI Guna Yala Panama is in the Guna Yala region of Panama.	

ACTIVITY DESCRIPTION *(Optional field)* Text description that qualifies in a sentence or so the activity taking place at the location, for example, "Installing a mini-grid energy system".										Conservation Area.	
ACTIVITY DESCRIPTION *(Optional field) Text description that qualifies in a sentence or so the activity taking place at the location, for example, "Installing a mini-grid energy system".*	Component 1: Awareness raising and capacity building on rights-based advocacy.

Component 2: Sustainable, participatory, just, and responsible natural resource management practices and biodiversity conservation by ensuring an inclusive paradigm in conservation initiatives.

Component 3: | Protection and sustenance of Biodiversity through traditional systems of conservation | Supporting self-strengthening of pastoralist communities into better manage of their territories using their own knowledge systems and in accordance with their own aspirations while also delivering global environmental benefits. | The project consists of strengthening the conservation and governance of the geographic area known as the "Futa Mawiza Biocultural Territory" (large, forested mountain), located in the Andes Mountains, between 37° and 40° south latitude. | Strengthening territorial governance for the development of proposals for new conservation areas with a Mapuche perspective | The activities are honed onto Customary-Environmental(nature), Knowledge, Model and capacity Building issues, (developing biocultural protocols). | Securing Indigenous lands in biodiverse areas through the Tanzanian legal framework

Management of land and natural resources in the secured biodiverse areas is carried out.

Communities benefit from sustainable land and natural resources | Training of leaders of indigenous peoples on governance and leadership, construction of infrastructure, Restoration degraded areas, establishment of permeant of plots of agroforestry, Tourist services.

Establishment of communal nurseries, workshops, networking, meetings, youth activities and trainings, production of forest plants.

Recovery of forest | Territory of Life of the Harakbut Nation: Ancestral Territory Mapping

Territorio de Vida de la Nacion Ese eja: Consolidation Veeduria por Pueblo.

Territory of life of the Yine Nation: Protection, monitoring, and surveillance of PIA Territories.

Territory of Life of the Matsiguenka Nation: Capacity buiding for the Management of the Manu | The project will encompass 86 highland and forest communities of 8 ethnic groups in Thailand, namely, Karen, Hmong, Lisu, Lahu, Iu Mien, Akha, Laweu and Mani. It will cover 5 principal watersheds, 24 sub-watersheds, and 2 broad regions, north and south. |

	IPs and LCs-based/culture and environment friendly enterprises to strengthen their lifeways and economic sustainability.							cover in areas of biocultural importance, production of enterprises and community industry, capacity building activities.	National Park.	
	Component4: Documentation and dissemination of knowledge and practices of IPs related to their lifeways, natural. resources and biodiversity.							Agriculture, agroforestry, sustainable use of wood and firewood, traditional handicrafts, and weavings.		
	Component 5: Support conservation of cultural							Improve management of marine coastal systems, mapping of land, manual on IP&LC rights etc.		

	sites or territories for communiti es to be able to continue them. lifeways and wellbeing. Componen t 6: Monitoring and evaluation s.									

Please provide a justification regarding changes in location during implementation. Justifications should also be provided in the event the geographic   location   of   key project activities cannot be provided at CEO Endorsement/Approval stage.

(Geo Name ID: Location Name)
Justification:

Project Map and Coordinates[20]

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[20] The Subprojects are currently in the process of finalizing their impact strategies, which are expected to be completed by the Q1FY24. The implementation of these impact strategies by the subprojects will take place in fiscal year 2024 (FY24). In FY24, detailed geo-referenced information and an image map depicting the locations of the project interventions will be provided. The map will include appropriate locations marked with geometric shapes and will be available in popular formats such as

Please provide geo-referenced information and an image map of where the project interventions took place. If available, please provide attachments as appropriate such as in the case of locations presented along geometric shapes in popular formats like shapefiles, KML and GeoJSON.

(Geo Name ID: Location Name)
Map:

ICI provides site-based investments in 10 subprojects to prioritize Indigenous and local community organizations to take the lead in carrying out inclusive, culturally appropriate processes for decision-making and strategy development that they have defined, implementing activities within their respective territories, landscapes and/or seascapes. The ICI subprojects will generate a range of governance, tenure, sociocultural and livelihoods benefits. Here are more details of the 10 ICI subprojects[21]:

AMERICAS REGION:
ARGENTINA
Subproject Region: Southern Cone
Indigenous groups: Mapuche
Geographic scope (ha): 454,409
EA: Fundación Ambiente y Recursos Naturales - Argentina

Futa Mawiza Biocultural Territory (Argentina):

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shapefiles, KML, and Geo JSON. This information will be submitted in the next PIR (Project Implementation Report) report. Meanwhile, the information provided below will offer geographical details of the subprojects.

[21] The approximate areas in the geographic scope are the total areas of interest identified by subproject proponents. Areas impacted by ICI-supported activities, as captured in Core Indicators, are smaller subsets within these larger areas of interest.
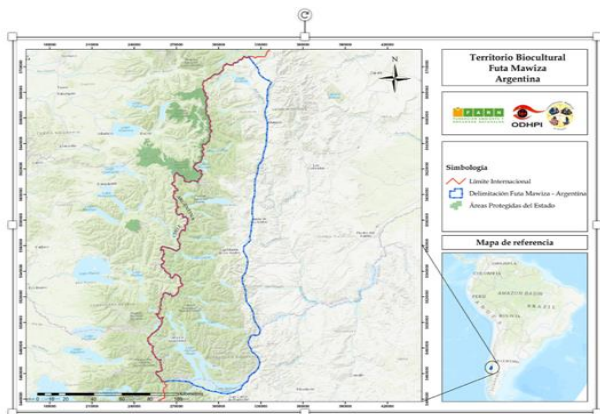
Imagen 1: Mapa del Territorio Biocultural *Futa Mawiza*
Fuente: Elaboración propia

In the Andean Cordillera, in the Futa Mawiza biocultural territory, an alliance of organizations dedicates its work to safeguarding the governance of the, the Futa Mawiza Initiative through a process of self-strengthening based on the Mapuche cosmovision, knowledge and traditional practices, for the full exercise of Indigenous collective rights. Activities include territorial analyses and planning to strengthen the management and governance of the Futa Mawiza biocultural territory, promote the küme felen (collective well-being), conduct national and international advocacy for culturally appropriate recognition and support for the territory, exchange Mapuche knowledge and practices, and strengthen the role of the Mapuche traditional authorities and territorial leaders for better territorial management and governance and the full exercise of Indigenous rights in 10 communities and 2,500 beneficiaries. The project will have a cross-cutting dimension to strengthen the processes of "gender equality" and the "empowerment of Mapuche women" in the economic, political and spiritual spheres as a fundamental part of the process with the communities.

CHILE:

Subproject Region: Southern Cone
Indigenous groups: Mapuche
Geographic scope (ha): 491,792
EA: El Observatorio Ciudadano - Chile
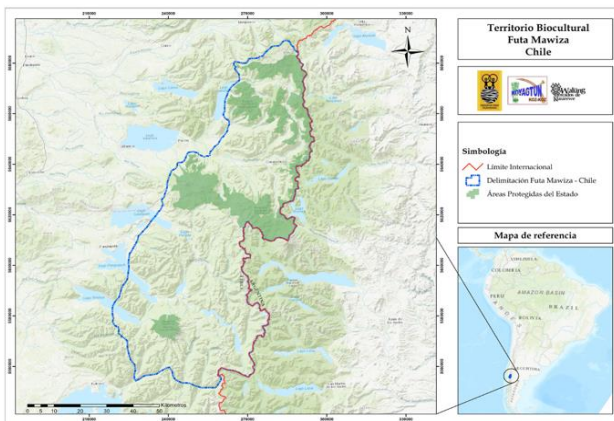**Futa Mawiza Biocultural Territory (Chile):**

Imagen 1: Mapa del Territorio Biocultural *Fvta Mawiza* Chile
Fuente: Elaboración propia

In the Chile side of the Andean Cordillera, in the Futa Mawiza biocultural territory, an alliance of organizations dedicates its work to safeguarding the governance of the territory through a process of self-strengthening based on the Mapuche cosmovision, knowledge and traditional practices, for the full exercise of Indigenous collective rights. The initiative is organized into five components: 1)Territorial planning to strengthen the management and governance of the Futa Mawiza Biocultural Territory, 2) Strengthening of kume mogen (good living) and of the development priorities of each lof or community and of the Territory, 3) Kimeluwün (school of knowledge) for the rescue, exchange and strengthening of knowledge and practices of the Mapuche people, 4) National and international advocacy for the recognition and culturally appropriate support of Indigenous Conservation Territories, such as the Futa Mawiza Biocultural Territory, 5) Communicate, internally and externally, the process of the Futa Mawiza Initiative, to make visible its achievements and contributions to the protection of the territory.
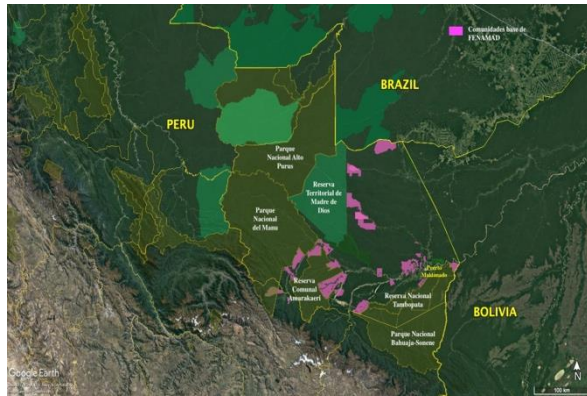
PERU:

Subproject Region: Southwest of the Amazon in Peru
Indigenous groups: Ese Eja Nation, Harakbut Nation, Yine Nation, Matsigenka communities of the Manu National Park
Geographic scope (ha): 7,497,911

EA: FENAMAD-Perú

Southwest Amazon (Peru):

In the Madre de Dios River basin in Peru, an ancestral tropical forest territory home to several Indigenous communities, the Native Federation of Madre de Dios River and tributaries (FENAMAD) - a member of IUCN and the ICCA Consortium - advocates to legitimately represent and defend the collective will of all Indigenous Peoples of Madre de Dios, including those living in isolation and initial contact. Through ICI, FENAMAD aims to improve the management of the territories and the conservation of their biodiversity as well as the recognition of Indigenous Peoples as active partners in the definition of conservation policies, strengthen Indigenous Peoples' resilience in the face of environmental, climate, and health crises, enhance the protection of the communities living in isolation and of women and defenders, and bolster Indigenous Peoples' participation in international conservation and human rights spaces and networks. FENAMAD's actions also include strengthening and empowering women's organizations, which have been generating decisive actions of resistance and defense in the territories.
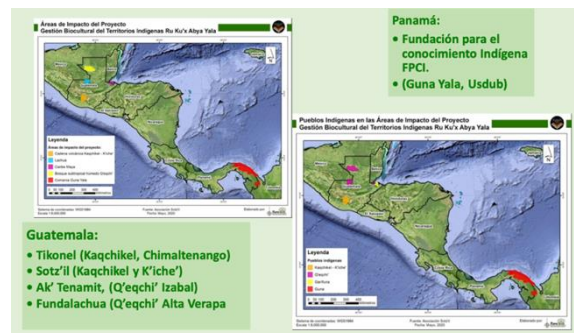
GUATEMALA-PANAMA:

Subproject Region: Mesoamerica – Guatemala, Panama

Indigenous groups: Maya, Kaqchikel, Q'eqchi, k'iche and Garifuna (Guatemala), and Guna (Panamá) Peoples.

Geographic scope (ha): 854,604 ha

EA: Asociación Sotz'il-Guatemala

Indigenous Territories Ru K'ux Abya Yala (Guatemala, Panama):

In Mesoa America, a consortium of Indigenous organizations led by Sotz'il is working to foster the Indigenous use, management, and conservation of natural resources in the regions of the Ru K'ux Abya Yala and to promote the Utz K'aslemal (el buen vivir - living in harmony) as a model of Indigenous life. Working in an area composed by three biocultural territories (Kaqchikel and K'iche' Volcanic Chain, Lachuá and Caribbean Rainforests of Guatemala, and Guna of Panama) and spanning more than 56,000 hectares of land stretching from Guatemala to Panama, through ICI Sotz'il plans to strengthen Indigenous governance systems and rights; promote intergenerational exchanges and gender equality, bolster Indigenous-led natural and cultural resource management in the context of the COVID-19 recovery, and promote Indigenous green economy for the benefit of all.
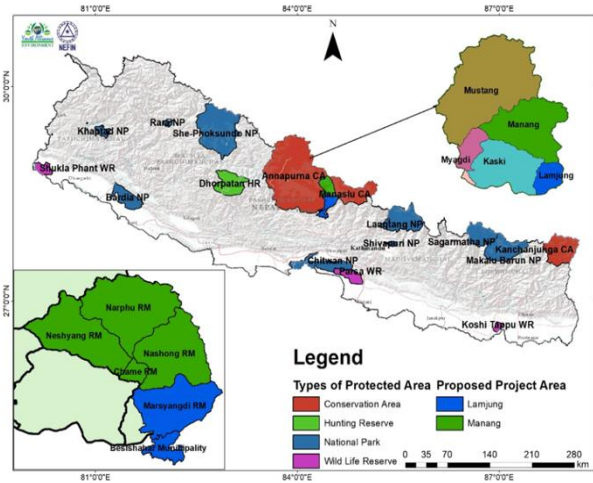
ASIA REGION:

NEPAL:

Subproject Region: Himalayas, Anapurna conservation area

Indigenous groups: Gurung, Magar, Thakali, Manange, Baragungle and Tingaule Thakali Indigenous Peoples

Geographic scope (ha): 787,900

EA: Nepal Federation of Indigenous Nationalities (NEFIN) Nepal

Annapurna Conservation Area (Nepal)

Nepal as a key ecological hotspot where Indigenous Peoples have lived since time immemorial, the Nepal Federation of Indigenous Nationalities (NEFIN) - which unites all the Indigenous groups recognized by the government of Nepal - advocates for the protection of Indigenous rights in the climate change context. In this context, ICI will support NEFIN's work in the Annapurna Conservation Area, a rich biodiversity hub and ancestral Indigenous land spanning five districts. Through the project, NEFIN aims to strengthen IP&LC's governance structures, document and disseminate IP&LC's knowledge and practices on environment conservation to enhance a global understanding on IP&LC's contributions to global environment benefits, preserve cultural sites, and develop IP&LC-based green enterprises and biodiversity financing mechanisms to strengthen IP&LC's financial and economic sustainability and building on local, traditional and Indigenous knowledge in the Annapurna Conservation Area. NEFIN has been mindful of the significant role of elders, knowledge holders, traditional healers (such as Amtsi/ Amchi) customary leaders, shamans, monks, priest, herders and women, they have also opened a women's unit that promotes IP&LC women's roles in leadership, advocacy and provision of opportunities.
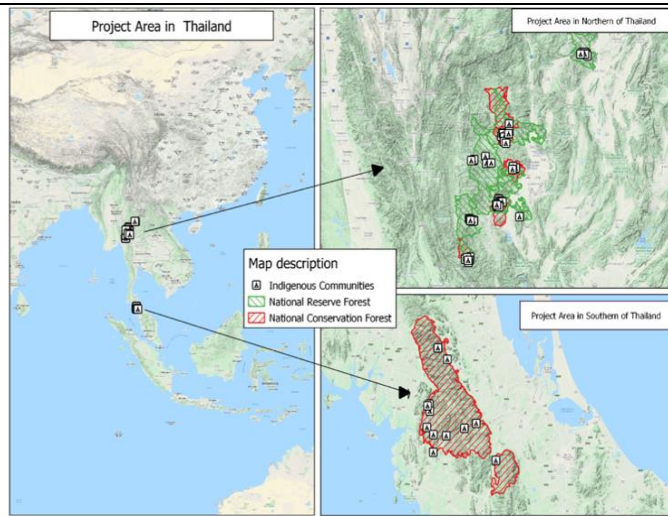
THAILAND:

Subproject Region: Mainland Southeast Asia-Thailand

Indigenous groups: Karen, Hmong, Lisu, Lahu, Iu Mien, Akha, and Mani.

Geographic scope (ha): 139,743

EA: Indigenous Peoples' Foundation for Education and Environment (Thailand)

Thailand (Thailand):

The Thai consortium of organizations convened by the Indigenous Peoples' Foundation for Education and Environment (IPF) works to promote Indigenous Peoples' rights, including education, self-determined development, customary land use, and natural resource management. Through ICI, IPF will work with 77 highland communities of 7 Indigenous Peoples in Thailand in an area encompassing more than 429,000 hectares. The project will support good practices in resource, water, and forest management by highland ethnic peoples, foster understanding and acceptance of rights in resource management in accordance with traditional culture and customs, mitigate the impacts of climate change while preserving biodiversity, and increase community income and food security. It's important to highlight that through the Inclusive Conservation Initiative, IP&LCs will take the lead in identifying local priorities, developing inclusive, culturally appropriate processes for decision-making, strategies, and implementing action. These investments will be critically important to transform conservation actions for the benefit of all and to place climate finance in the hands of nature's best stewards. The project also will promote participation of indigenous women both implementation and decision making of all activities at different levels.
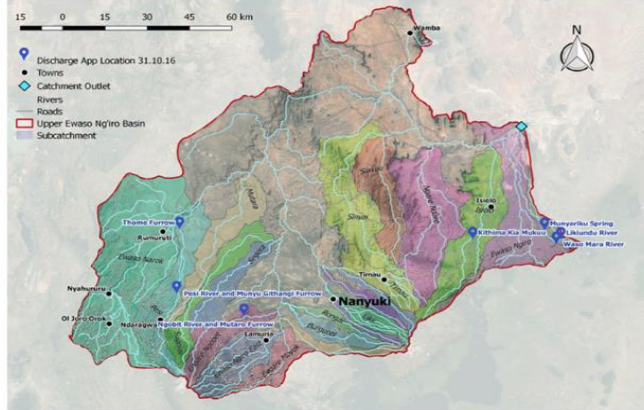
AFRICA REGION:

KENYA
Subproject Region: The Ewaso Ng'iro River Basin- East Africa Drylands
Indigenous groups: Borana; Gabra; Maasai; Rendille; Samburu; Somali; Turkana; Pokot
Geographic scope (ha): 3,468,488
EA: Indigenous Movement for Peace, Advancement & Conflict Transformation (IMPACT) Kenya

Ewaso Ng'iro River Basin (Kenya):

Ewaso Ng'iro River Basin

The Indigenous Movement for Peace Advancement and Conflict Transformation (IMPACT) in the Kenyan rangelands, supports Indigenous Peoples in securing recognition and inclusion. Through ICI, IMPACT will work with Indigenous communities to document and seek recognition for the Upper Ewaso Territory River Basin - an area that supports more than 3.5 million people across ten counties, whose majority are Indigenous pastoralists communities - as a Territory of Life (also known as an ICCA), which is a territory or area conserved by Indigenous Peoples and Local Communities. By doing so, IMPACT aims to restore, preserve and promote traditional governance systems as well as Indigenous knowledge and practices, secure Indigenous rights to land and natural resources, restore sacred sites and totems, and preserve Indigenous languages. It will also document the IP&LC presence in the Upper Ewaso Territory River Basin in Kenya and foster intergenerational and gender dialogues to enable IP&LCs to develop unique leadership systems and institutions that inform ecological functions. The project will be guided by a gender perspective and incorporate activities that promote gender inclusion to address the undervaluing of women in conservation.
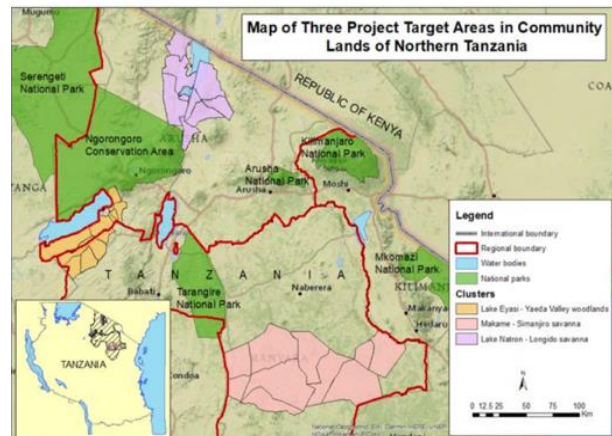
TANZANIA:

Subproject Region: Northern Tanzania- East Africa Drylands rangelands. The project area extends across critical areas of rangeland connectivity south and east of the greater Serengeti – Ngorongoro and makes up the northern and southern most extents of the Tarangire – Manyara ecosystems.
Indigenous groups: Hadzabe, Akie, Maasai, Datoga, and Iraqw
Geographic scope (ha): 940,000
EA: Ujamaa Community Resource Team (Tanzania)

Northern Tanzania (Tanzania)

The Ujamaa Community Resource Team (UCRT) in Tanzania is, an organization that aims at improving the lives of pastoralist, agro-pastoralist, and hunter-gatherer communities in northern Tanzania by empowering them to sustainably manage and benefit from the natural resources on which their livelihoods depend. Working through ICI in Northern Tanzania, a globally significant ecological system of rangelands extending south and east of the greater Serengeti - Ngorongoro that supports a rich diversity of wildlife and people. UCRT's goals are to legally secure communal village lands for Indigenous communities in three key biodiverse landscapes through participatory land use planning and land tenure mechanisms, train and coach village councils and natural resource committees responsible for managing rangeland and forest resources for the areas to be sustainably managed by Indigenous governing structures and develop sustainable natural resource-based income generating activities. The project will address economic power imbalances in the community through village saving and credit groups because without economic power, marginalized groups such as women, will continue to have little to no voice on important aspects such as tenure security, natural resource management, benefits sharing, and governance and leadership.

RÉPUBLIQUE DÉMOCRATIQUE DU CONGO
Subproject Region: Congo basin
Indigenous groups: Bambuti- Babuluko; Batwa; Bacwa
Geographic scope (ha): 661,931

EA : Alliance Nationale d'Appui et de Promotion des Aires et territoires conservés par les Peuples autochtones et communautés locales en République démocratique du Congo (ANAPAC-RDCONGO)

DR Congo (DRC)

View of the Maiko - Tayna - Kahuzi-Biega Landscape (Eastern DRCongo) in Biocultural Landscape of Eastern DRCongo

In Sub-Saharan Africa, a network of communities and grassroots organizations called Alliance Nationale d'Appui et de Promotion des Aires et territoires conservés par les Peuples Autochtones et Communautés locales en République Démocratique du Congo (ANAPAC) is committed to strengthening, enhancing, and securing the areas and territories conserved by Indigenous Peoples and Local Communities in the Democratic Republic of the Congo (DRC). Working in three bio-cultural landscapes - encompassing the non-flooded forests in the East, the flooded forests in the West, and the dry lands of the Eastern DRC - ICI will assist ANAPAC in identifying and documenting the presence of IP&LCs in the three major bio-cultural landscapes of the DRC and in enhancing the capacity building of IP&LC's institutions in natural resource governance and management. The project also aims to strengthen IP&LC resilience to external threats, advocate for their legal recognition in the DRC, and develop local economic activities to support part of the costs of conservation and will ensure that women are adequately represented in the governance bodies and structures of forest governance and management and APAC.

PACIFIC REGION:

FIJI & COOK ISLANDS
Subproject Region: Pacific-Lau Seascape and Cook Islands
Indigenous groups: Lauan, Atiu, Pukapuka
Geographic scope (ha): 483,104
EA: House of Ariki/Lau execution support through CI Fiji

Fiji Lau Seascape and Cook Islands (Fiji, Cook Islands)

Left: The Lau Seascape

Right: Cook Islands

In the Pacific Ocean we find the Bose Vanua o Lau (the formal association of the traditional chiefs of Lau, representing 30 inhabited Islands and their 9,600 inhabitants) in Fiji and the House of Ariki (an association of ten Indigenous chiefs) in the Cook Islands. Through ICI, the two will work together to advance Indigenous Peoples' goals for sustainable resource use and management - including strengthening the management of coastal and offshore Marine Protected Areas (MPAs), and the restoration of degraded and terrestrial protected areas - and strengthen their resilience to climate change through delivery and revitalization of traditional farming skills and knowledge. Specifically, the Vanua o Lau aims to develop the enabling conditions for the management of the Lau Seascape at scale by strengthening traditional governance at community and island level across the Lau Province, while the House of Ariki will work to integrate critical cultural considerations, including identification of traditionally and culturally significant sites, within the design of the Marae Moana Marine Park. The subproject will also strengthen shared cultural traditions and stewardship approaches between Fiji and the Cook Islands, as well as Samoa, grounded in their shared ancestry as descendants of the Lapita people (ancestors of historic cultures in Polynesia, Micronesia, and some coastal areas of Melanesia).

APPENDIX I: PROJECT ANNUAL IMPLEMENTATION PROGRESS RATING

Rating		Overdue (O)	Delayed (D)	Not started on schedule (NS)	Under implementation on schedule (IS)	Completed/Achieved (CA)
Highly Satisfactory (HS)	HS	0%			100%	
Satisfactory (S)	S	20%			80%	
Moderately Satisfactory (MS)	MS	40%			60%	
Moderately Unsatisfactory (MU)	MU	60%			40%	
Unsatisfactory (U)	U	80%			20%	
Highly Unsatisfactory (HU)	HU	100%			0%	

- **Highly Satisfactory**: 100% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project. The project can be presented as an example of "good practice" project,

- **Satisfactory**: 80% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project; except for only 20% that are delayed and/or overdue and need remedial action,

- **Moderately Satisfactory**: 60% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project; while 40% are delayed and/or overdue and need remedial action,

- **Moderately Unsatisfactory**: 40% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project; while 60% are delayed and/or overdue and need remedial action,

- **Unsatisfactory**: only 20% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project; while 80% are delayed and/or overdue and need remedial action, and

- **Highly Unsatisfactory**: 100% of the indicators: a) are overdue, and/or b) delayed in their implementation, according to the original/formally revised Project Annual Workplan for the project.

APPENDIX II: RISK RATINGS

Rating	
Low (L)	L
Moderate (M)	M
Substantial (S)	S
High (H)	H

- **Low Risk (L):** There is a probability of up to 25% that assumptions may fail to hold or materialize, and/or the project may face only modest risks.
- **Moderate Risk (M):** There is a probability of between 26% and 50% that assumptions may fail to hold or materialize, and/or the project may face only modest risks.
- **Substantial Risk (S):** There is a probability of between 51% and 75% that assumptions may fail to hold and/or the project may face substantial risks.
- **High Risk:** There is a probability of greater than 75% that assumptions may fail to hold or materialize, and/or the project may face high risks.

APPENDIX III: PROGRESS TOWARDS ACHIEVING PROJECT EXPECTED OUTPUTS

INDICATORS	PROJECT TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[22]	COMMENTS/JUSTIFICATION
Outcome 1.1 Inclusive Conservation Initiative (ICI) Subprojects are led by IP&LC organizations				
Output Indicator 1.1.1.: Number of IP&LC organizations contracted in ICI Subproject Geographies	**Output Indicator Target 1.1.1.:** 10 lead-contracting organizations	**10 of 10 lead IP&LC organizations implementing ICI Planning Grants.** After receiving endorsement from the CEO, ICI began mobilizing GEF Funding for all 10 IP&LC organizations that are leading subprojects. **ICI Inception Phase:** Inception phase finalized; PMU has reached over 200 stakeholders through organizing a series of meetings. The reporting from the inception phase reveals that out of the total 200 stakeholders (of whom 43% are women). Building on the EOI and subproject selection processes conducted during the PPG phase, the ICI finalized contracting arrangements with ICI subproject lead organizations. The subproject lead organizations who prepared the EOIs were selected based on a robust consultative process, guided by transparent criteria, and overseen by the ICI Interim Steering Committee. **Subprojects ICI** ICI Subprojects have reached a total of 5,770 people, with 2,786 being men (48%) and 2,984 being women (52%). The people were engaged through various activities reported during this period by 8 of the 10 ICI subprojects[23]. These activities were part of ICI Planning grants and included stakeholder engagement activities and meetings for impact strategy preparation, as outlined in the ProDoc. **Financial Due Diligence** CI Completed financial due diligence for all subproject planning grants in Q4FY22. IUCN finalized due diligence for subproject planning grants in Q1FY23. The Scope of Work of "plannings grants"	IS	**This indicator is under implementation.** CI has started Pre-award process for Grant Agreement of ICI Implementation Grants. IUCN is holding internal discussions with its grants, procurement, and legal teams, in preparation for the pre-award process for the ICI Grant Agreements with subprojects for the implementation grants. The signing of the grant agreements with all ICI subprojects will depend on the full approval of each Impact Strategy. Signing will more than likely be staggered.

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[22] **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved
[23] Information of subprojects with ANAPAC and Sotzil pending and will be incorporated and updated in the upcoming PIR.

| | | includes the following common milestones: Stakeholder engagement and FPIC activities (funded with ICI PPG), Targeted Organizational Capacity Building for GEF compliance and Subproject Impact Strategy.

Contracting arrangements for Planning Grants
CI and IUCN, contracting arrangements for Planning Grants oversight completed in Q4FY22. As of Q3FY23, all Planning grants with CI oversight are almost fully executed by subprojects.

CI's Planning Grant milestone 1 (S/E activities with PPG funding) completed by all ICI subprojects with CI oversight. All subprojects are in the process of preparation of Impact Strategies. During Q3FY23. CI received draft Impact Strategies from the following subprojects including 1. Ewaso Ngiro Basin, 2. Futa Mawiza OCC-Chile, 3. Futa Mawiza FARN-Argentina, 4. Southwest Amazon FENAMAD-Peru, and 5. House of Lau/Ariki from Fiji/Cook Islands on Q4FY23. CI has provided targeted support on budget development, workplan design, and development of results framework to FARN-Argentina, OC-Chile, Fiji/Cooks, IMPACT-Kenya, and FENAMAD-Peru.

IUCN's Planning Grant milestone 1 (S/E activities with PPG funding) completed by all ICI subprojects with IUCN oversight. All subprojects are in the process of preparation of their Impact Strategies. During Q3FY23 IUCN received draft Impact Strategies from UCRT (Tanzania), ANAPAC (DR Congo). NEFIN (Nepal) and IPF (Thailand) have both submitted their draft Impact Strategy in Q4FY23. SOTZIL (Meso America project) is projected to submit their draft Impact Strategy in Q1FY24. IUCN has provided targeted support on budget development, workplan design, development of results framework and gender action plan to UCRT (Tanzania), ANAPAC (DRC), IPF (Thailand) and NEFIN (Nepal). Targeted response to general Impact Strategy questions have also been provided to Stozil (Meso America). | | |
| **Output Indicator 1.1.2.:** Number of subproject Impact Strategies | **Output Indicator Target 1.1.2.:** 9 Impact Strategies | **8 of 10 Impact Strategies submitted for review.**

The PMU developed a Guideline and Review tool to revise Impact Strategies. The Template includes three sections: Technical Review, Budget Review and Safeguard Review. Planning grants awarded to all ICI subprojects with PPG balance and Project funding. | IS | This indicator is under implementation because all ICI subprojects will receive final validation of Impact Strategies from the ICI Steering Committee in Q1-Q2FY24. Moreover, |

		In case of CI, stakeholder engagement completed as planned in Q2FY23 by FENAMAD-Peru, IMPACT-Kenya, OCC-Chile, and FARN-Argentina, Cooks/Fiji subprojects. IUCN subprojects also completed stakeholder engagement as planned in Q2FY23 by Sotzil-Meso America, IPF-Thailand, NEFIN- Nepal, UCRT-Tanzania and ANPAC-DRC subprojects. CI has conducted site visits to subprojects in Q3FY23. Visit to Chile held from March 6-11 and visit to Argentina held from March 12-17. Kenya's site visit was carried out in May 5-11. The visits were conducted under an objective to facilitate subprojects in developing impact strategies and providing technical support and meeting with stakeholders. While IUCN conducted virtual quarterly progress review meeting with the subproject includes: Sotzil-Meso America, IPF-Thailand, NEFIN- Nepal, UCRT-Tanzania and ANPAC- DRC subprojects in Q3FY23 and Q4FY23. IUCN is carrying out field visits to UCRT (Tanzania) and ANAPAC (DRC) in Q4FY23 and in Q1FY24 will be visiting NEFIN (Nepal), IPF (Thailand) and Sotzil (Meso America) to support refining and finalization of subprojects Impact Strategies. In case of CI, Review of Ewaso N'giro Basin-Kenya, Futa Mawiza-Chile and Futa Mawiza-Argentina's Impact Strategy completed. While IUCN: First round of review for UCRT (Tanzania) and ANAPAC (DR Congo) completed. These two subprojects are currently working on addressing comments/ reviews received from PMU.		additional stakeholder engagement activities are in progress for refinement and validation of Impact Strategies.
Output Indicator 1.1.3.: Number of ICI subprojects that have implemented activities for enhancing IP&LC rights and governance	**Output Indicator Target 1.1.3.:** 9 subprojects	The 10 subprojects are in progress of identifying priority actions as part of the design of the Impact Strategies, including those related to enable and enhance IPs and LCs rights and governance.	IS	This indicator is under implementation; 10 subprojects are engaged in identifying the priority actions as IMPACT strategies are being finalized and implementation on the ground will start in Q1FY24.
Output Indicator 1.1.4.: Number of ICI subprojects that have implemented activities for improving management of natural and cultural resources	**Output Indicator Target 1.1.4.:** 9 subprojects	The 10 subprojects are in progress of identifying priority actions as part of the full design of their Impact Strategies, including those related to management of natural and cultural resources.	IS	This indicator is under implementation; 10 subprojects are engaged in identifying the priority actions as IMPACT strategies will be finalized and move to implementation early in Q2Fy24.

Output Indicator 1.1.5.: Number of ICI subprojects that have implemented activities for addressing the drivers of environmental degradation	Output Indicator Target 1.1.5.: 9 subprojects	The 10 subprojects are in progress of identifying priority actions as part of the design of the Impact Strategies, including those related to addressing the drivers of environmental degradation.	IS	This indicator is under implementation; 10 subprojects are engaged in identifying the priority actions as IMPACT strategies will be finalized and move to implementation early in Q2Fy24.
Output Indicator 1.1.6.: Number of ICI subprojects that have implemented activities to support economic and financial sustainability	Output Indicator Target 1.1.6.: 9 subprojects	The 10 subprojects are in progress of identifying priority actions as part of the design of the Impact Strategies, including those related to support economic and financial sustainability.	IS	This indicator is under implementation; 10 subprojects are engaged in identifying the priority actions as IMPACT strategies will be finalized and move to implementation early in Q2Fy24.
Output Indicator 1.1.7 Number of ICI subprojects with designated IP&LC-led governance structures	Output Indicator Target 1.1.7: 9 subprojects	**ICI Global Steering Committee established with 1 representative from each of the 10 subprojects. Also, 10 subprojects have designed IP&LC led governance structures as part of their subprojects.** Each of the 10 subprojects have nominated members to be on the Global Steering Committee of ICI; therefore connecting 10 ICI subprojects governance structures to the global structure. The IP&LC led governance structure is visible through the Interim Steering Committee establishment and functioning. Subprojects identified their IP representative leaders for the establishment of the Interim Global Steering Committee. The selected representatives participated in the ICI Interim Steering Committee meetings: **1st Interim Steering Committee (ISC):** First ISC meeting in Q2FY23 October 26th included decisions on Role and tasks of the interim Steering Committee. Roles of the Interim Steering Committee include: • Make decisions in the interim period that will promote ICI to partners (IP and LC organizations, funding partners, allies)	IS	**This indicator is under implementation. 10 ICI subprojects have governance structures in place for their IMPACT strategy.** The 10 Subprojects have developed Indigenous values and principles that guide each of their projects but will also guide the overall ICI. This is reflected in their IMPACT strategies and governance, including a Preamble section on Indigenous Vision, Principles and Values emphasizing IP&LC-led governance structures of the project. ICI Global Steering Committee is in place and has representation from all 10 subprojects.

		• Communications & branding; global policy work. • Respond to urgent needs related to ICI – such as CoP15 – presenting on the initiative. • IP&LC-Led Governance: Rules of Procedure • Initial Strategic directions for Components 2-4. • Advice the PMU moving forward with the implementation of ICI. **2nd Interim Steering Committee (ISC):** The second Interim Steering Committee (ISC) Session one - was convened virtually on 14th June 2023, Q4FY23. The objective of this ISC was to provide an update on ICI IMPACT Strategies and discussion on Rules of Procedure for the Steering Committee and appointing non-ICI Members. The 2nd Interim Steering Committee (ISC), Session two was held on 20th June 2023 – QQ4FY23 virtually over zoom, with the following objectives: a) Review of Proposal for ICI Global Grievance Mechanism; b) Overview of GEF Assembly; c) In-person Global Steering Committee Meeting and Global Exchange with First Nations in Canada. Other actions to support IP&LC led governance structures: CI hired a Program Coordinator position partially co-financed with ICI to support the governance process. CI engaged with IIFB and key ICI subproject partners to promote ICI IP&LC-led governance structures at: • CBD SBSTTA24, SBI3 intercessional held in Geneva. Engagement and support of IIFB. • CBD Open-Ended Working Group on the Post-2020 Global Biodiversity Framework Meetings held in Nairobi. Meetings with subprojects on resource mobilization. • Stockholm+50. Support IP&LC discussions on financing.		The first in person Steering Committee is planned in conjunction with the GEF Assembly in August 2023, which is Q1FY24. .
OUTCOME 1.2: Project implementation capacity of IP&LC partner organizations in subproject geographies substantially increased.				
Output Indicator 1.2.1.: Number of capacity assessments and plans	**Output Indicator Target 1.2.1.:** 9 assessments and plans	**Initial capacity assessments for 10 subprojects completed.** CI and IUCN adapted the CEPF capacity tracking tool content to fit the needs of the GEF-7 ICI, and it will be used to strengthen ICI IP&LC organizational capacities to effectively plan, implement, and evaluate actions for IP&LC led territorial and biodiversity conservation.	IS	This indicator is under implementation. Both CI and IUCN are using the results from the OCAs and specific requests from subprojects to provide targeted

| | | The ICI Organizational Capacity Assessment consists of three tools: Financial Capacity Questionnaire (completed by subprojects during financial due diligence); Organizational Capacity Tracking Tool and Safeguards Capacity Assessment Tool. CI developed a spreadsheet tool in Q1FY23 to analyze the results from the Organizational Capacity Assessments. CI and IUCN compiled the results of the assessments of ICI subprojects during the site visits in Q1FY23. CI consolidated the results from the Organizational Capacity Assessments. The results of the OCA reports will help develop capacity building plans for each subproject in Q3FY23 and Q4FY23. | | support in Q3FY23 and Q4FY23 (Budget, M&E, Gender, ESS).

Developing of gender sensible Capacity Building Plan for each Subproject lead organization will be initiated in Q1FY24. It will also be linked to CBP under Component 2. |
|---|---|---|---|---|
| **Output Indicator 1.2.2.:** Number of people trained in project management and implementation capacities identified in capacity plans | **Output Indicator Target 1.2.2.:** 90 people trained (10 per subproject) | **172 (Men-95 and Women 77) people trained t**o strengthen organizational capacities for GEF project management and implementation.

CI Grants and Contracts Unit provided targeted support during site visits based on the needs identified in OCA reports. CI GEF Agency launched the Spanish version of the ESS and GEF prohibited practices training. IUCN and CI are both using the results from the OCAs and specific requests from subprojects to provide targeted support in Budget, M&E, Gender, ESS. | IS | This indicator is under implementation. CI and IUCN are using the results from the OCAs and specific requests from subprojects to provide targeted support in Q3FY23 and Q4FY23 (Budget, M&E, Gender, ESS). Site visits will be scheduled for FY24. ICI subprojects incorporating capacity building activities into their Impact Strategies CI is collaborating with other initiatives (co-finance) to adapt METT/GEB tracking tool and SAGE governance tool. |
| **OUTCOME 2.1: IP&LC capacity substantially strengthened within and beyond ICI subproject geographies.** | | | | |
| **Output Indicator 2.1.1.:** Number of Curricula designed | **Output Indicator Target 2.1.1.:** 1 Curriculum designed | ICLA Curriculum not designed yet. Preliminary coordination initiated with UNDP to host ICLA. ICLA expected to be established in early 2024. | IS | This indicator is under implementation.

TORs and procurement process underway. Consultants are expected to be onboard by the end of Q4FY23 to start execution in early 2024. |
| **Output Indicator 2.1.2.:** Number of Learning Academies established | **Output Indicator Target 2.1.2.:** 1 Learning Academy established | ICLA not established yet. Preliminary coordination initiated with UNDP to host ICLA. ICLA expected to be established in early 2024. | IS | This indicator is under implementation. TORs and procurement process underway. Consultants are expected to be onboard by the end of Q4FY23 to start establishment of ICLA in early 2024. |

Output Indicator 2.1.3.: Number of organizations supported	Output Indicator Target 2.1.3.: 10 ICI subproject lead organizations, at least 20 additional organizations	27 Indigenous people's organizations are directly engaged through the 10 subprojects. 14 CSO that are members of the ICI subprojects as partner organizations. 10 ICI subproject lead Indigenous organizations supported through ICI Planning Grants. Capacity building for additional organizations is expected to start in early 2024 and will be delivered through ICLA, ICI knowledge platform and Community of Practice.	IS	This indicator is under implementation.
Output Indicator 2.1.4.: Number of evaluations completed	Output Indicator Target 2.1.4.: 1 evaluation	Not initiated yet.	NS	This indicator is not scheduled or planned for the FY22-23.
OUTCOME 2.2: Cross-regional IP&LC organization partnerships and networks strengthened through ICI Learning Exchanges.				
Output Indicator 2.2.1.: Number of stakeholder mapping reports	Output Indicator Target 2.2.1.: 1 mapping report, incorporating subproject/regional information and global scan of additional organizations	Global mapping of additional IP&LCs partners and /networks that can contribute to strengthen collaboration within and beyond subproject geographies has not initiated yet. Deliverable will start in 2024 to conduct the global mapping and support the organization of learning exchanges.	IS	This indicator is under implementation; draft TORs developed, pending validation.
Output Indicator 2.2.2.: Number of learning exchanges	Output Indicator Target 2.2.2.: 8 learning exchanges with gender mainstreaming throughout, and a gender focus in at least one (5 regional, 3 global)	Preparations for the First ICI Global Learning exchange in progress. Exchange will take place before the GEF Assembly in August 2023 - Q1FY24.	IS	This indicator is under implementation. The first learning exchange is being organized at time of reporting and will take place at the GEF Assembly in August 2023. Other regional and global exchanges are scheduled for FY24 and beyond.
OUTCOME 2.3: IP&LC organizational capacity increased to formulate sustainable financing strategies.				
Output Indicator 2.3.1.: Number of Financial Opportunity analyses completed	Output Indicator Target 2.3.1.: 10 Financial Opportunity analyses completed	Sustainable financial capacity building activities not initiated yet	NS	This indicator is not scheduled for FY22-23. Impact Strategies of all ICI Subprojects include a section on financial sustainability.

Output Indicator 2.3.2.: Number of ICI subproject lead organizations trained	Output Indicator Target 2.3.2.: 10 organizations trained.	Sustainable financial capacity building activities not initiated yet	NS	This indicator is not scheduled for FY22-23. Impact Strategies of all ICI Subprojects include a section on sustainable financial capacity building.
OUTCOME 3.1: Strengthened influence of IP&LCs in relevant regional and international decision-making processes.				
Output Indicator 3.1.1.: Number of mechanisms strengthened	**Output Indicator Target 3.1.1.:** 2 mechanisms strengthened (IIFB-IIPFCC)	In progress. ICI partners actively engaged with **IIFB** and **IIPFCC** to ensure their active participation in the UNFCCC COP27 and CBD COP15 conferences.	IS	This indicator is under implementation. CI is advancing engagement with relevant instances within and beyond ICI projects (Pathway to Scale, LCIPP, IIFB, IIPFCC).

Output Indicator 3.1.2.: Number of curricula developed and delivered	Output Indicator Target 3.1.2.: 1 curriculum	This indicator is under implementation. ICI IP&LC partners actively engaged in providing targeted technical support to IIPFCC in UNFCCC and IIFB in CBD.	IS	Output in progress. ICI partners shaping curriculum priorities by utilizing subproject Impact Strategies, Organizational Capacity Assessments (OCAs), and drawing from policy outcomes of the UNCBD COP15 and UNFCCC COP27.

| Output Indicator 3.1.3.: Number of fellows supported | Output Indicator Target 3.1.3.: Target 3.1.3.: 15 fellows (5 per year in years 2-4 of project, at least 50% women) | **Two (2) fellows,** Relmu Ñamku from Argentina and Simón Crisóstomo Loncopán from southern Chile's Trankura community, have been selected in the context of the CI Indigenous Leaders Conservation Fellowship, which offers a 10-month fellowship focusing on research/community projects, community mentoring, and professional development. For this end, CI is establishing synergies and engaging in cost-sharing activities.

CI hired an Indigenous Conservation Leadership Fellow position partially co-financed with ICI to move forward this project activity. Currently, CI is in the process of compiling lessons learned from their co-financed CI Indigenous Fellowship Programs. CI coordinating with:

• The Indigenous Leaders Conservation Fellowship
• The Dedicated Grant Mechanism for Indigenous Peoples and Local Communities Global Project (DGM Global)
• Amazonia Indigenous Women's Fellowship Program

CI provided support in organizing and conducting events at the UNFCCC COP27 and UNCBD, involving the participation of CI fellows. They also organized webinars to facilitate knowledge exchange among various indigenous fellowship programs. CI's Indigenous Fellows from Chile, who are affiliated with ICI Futa Mawiza Chile, actively participated in the UNCBD COP15. Joint events were organized by ICI and DGM during the UNFCCC COP27, bringing together DGM Indigenous fellows and CI Indigenous fellows. | IS | This indicator is under implementation.

CI is advancing Indigenous Fellowship programme. |

Output Indicator 3.1.4.: Number of high-level events organized	**Output Indicator Target 3.1.4.:** 5 events (at least 1 event to include focus on gender issues/topic)	**Over 20 events and engagements** were organized, including the official launch of ICI at UNFCCC COP 27 and UNCBD COP15. Between UNFCCC COP 27 and UNCBD COP 15, ICI held some 20 events and engagements. This collaboration involved engaging with the IIFB and key ICI IP-Led Initiatives partners through various meetings and sessions, including the CBD Open-Ended Working Group, CBD SBSTTA24, SBI13 intercessional, and the GEF Council meeting. Specifically, CI organized and secured ICI events during UNFCCC COP27 at the following venues: (Paris Capacity Building Hub, Indigenous Peoples Pavilion, Nature Zone Pavilion, GEF/GCF Pavilion). Furthermore, CI organized and secured ICI events during UNCBD COP15 at the following venues: (CBD Official side event, Nature Pavilion (2), GEF Pavilion, IP Action Zone). Moreover, CI led the development of a strategic calendar for the period of July to December 2023, outlining key engagements and project activities. The calendar includes significant events such as the UNPFII in April 2023, GEF Council in June 2023, GEF Assembly in August 2023, and UNFCCC COP28 in November 2023.	IS	This output indicator is under implementation. High level events have been conducted during inception and planning phase. CI is starting to organize and secure ICI events for UNFCCC COP28 and GEF Assembly.
OUTCOME 4.1: The field of IP&LC-led conservation advanced with improved knowledge management.				

| Output Indicator 4.1.1.: Number of KM platforms established | Output Indicator Target 4.1.1.: 1 KM platform established | **Knowledge Platform / website under development.**

IUCN put out a global call to for applicants (targeting 25+ IP and LC led organizations, encouraging them to apply) and screened all proposals (5 applications from 3 continents). Award was given to Estudio Relativo for initial visual identity work. A second process for further development of knowledge products was conducted resulting in selection of the proposal from Indigenous-founded and owned company Vincent Design and contracting process is underway and will be finalized by Q4FY23.

IUCN has used inclusiveconservationinitiative.org to share web stories and blog posts authored by the subprojects for World Wildlife Day (story available here), International Women's Day (story available here), World Water Day (story available here). Moreover, UNFCCC COP 27 (materials available here) and CBD COP15 (materials available here) as well as the ICI launch video produced by IISD (video available here)

ICI mailing list has 556 subscribers and is being regularly advertised on social media. Initial engagement through social media has been carried out. | IS | This output indicator is under implementation. |

Output Indicator 4.1.2.: Number of Knowledge products developed	Output Indicator Target 4.1.2.: 7 products (reflecting gender-inclusivity)	**46 knowledge products developed.** In addition, Year One report for ICI is under development. The first draft was completed in Q3 and is currently under review by PMU, to include key lessons learned from the inception phase of the project as well as the impact strategy development process, securing gender inclusivity criteria. **WEBSITE:** In Q3FY23, inclusiveconservationinitiative.org has been used to share blog posts and web stories whose voice has shifted from the PMU to the subprojects. Visits to the ICI website currently vary between 100+ to 400+ monthly visitors. **SOCIAL MEDIA:** In Q3FY23, through Twitter (@IPsLeadNature) and Instagram (@ipsleadnature) IUCN advertised ICI resources and stories and amplified the work carried out by the subprojects. In Q3FY23, IUCN started circulating a weekly ICI social media | Trello board with CI and GEFSEC to provide them with suggested social media content.	IS	This output indicator is under implementation.
Output Indicator 4.1.3.: Number of communities of practice established	Output Indicator Target 4.1.3.: 1 community of practice	Community of Practice not launched yet. ICI mailing list with 556 subscribers will be used as starting point for the CoP conformation, and it is being regularly advertised on social media.	IS	This output indicator is under implementation.
OUTCOME 4.2: Expanded audience engaged in IP&LC-led conservation.				

Output Indicator 4.2.1.: Number of communications strategies developed	Output Indicator Target 4.2.1.: 1 communications strategy (reflecting gender mainstreaming)	**ICI Communication strategy in place.** In 2022, IUCN developed and implemented an Interim Communications Strategy to govern the first year of the ICI implementation. The strategy covers key messages, communications objectives, target audiences, visual identity, 2022/early 2023 comms calendars, websites, digital marketing (Email marketing and social media) and press. The strategy is oriented to expanding the audiences engaged in the field of IP&LC-led conservation, including national government policymakers and agencies, donors and other partners and support the development and growth of an ICI Community of Practice. In Q3FY23, IUCN updated the 2023 communications calendar with bi- monthly thematic focuses.	IS	This output indicator is under implementation.
Output Indicator 4.2.2.: Number of Communications Programs executed	Output Indicator Target 4.2.2.: 1 program	**One ICI social media programme executed as part of the Communications Strategy.** In Q3FY23, Twitter engagement rate has been 7.3% (3.1% increase from Q2) and Instagram engagement rate has been 4.3% (0.4% increase from Q2). Both are considered extremely good rates (the standard industry rate for Twitter in 2023 is 0.08% and engagement rates between 3.5% and 6% are considered high). IUCN regularly posts 1 tweet per day and 1 Instagram post every two weeks + stories. In Q3FY23, IUCN started planning for the GEF Assembly comms in collaboration with CI and GEFSEC. Comms around the Assembly include – among other things – the launch of new publications, the launch of the new ICI website and social media coverage. In Q2FY23, IUCN provided live coverage of UNFCCC COP27 and CBD COP15 events – including the ICI launch - on Twitter (@IPsLeadNature) and Instagram(@ipsleadnature). IUCN created, published on inclusiveconservation.org and disseminated blog posts and web stories focused on the key dates indicated in the strategy (such as Indigenous Peoples Day and UNGA) and on the field visits/engagement with the subprojects.	IS	This output indicator is under implementation.

Output Indicator 4.2.3.: Number of people trained	Output Indicator Target 4.2.3.: 90 people trained (10 per geography)	Formal communications training not conducted yet. IUCN carried supported ICI Indigenous leaders in the development key messaging and in preparing them as speakers for global events This was part of the preparatory work around influencing global policy and strengthening communication. IUCN strongly supported ICI subprojects to produce communications knowledge products (for ex. Blogs, stories) and build their capacities on making videos and crafting key messages.	IS	This output indicator is under implementation. Constant communications engagement with subprojects in place.
OUTCOME 5.1: Monitoring and evaluation conducted to verify effective project execution.				
Output Indicator 5.1.1: Number of Project M&E systems operational	**Output Indicator Target 5.1.1:** One Project M&E system	**ICI Project Workplan and Quarterly report template completed. 5 Quarterly progress reports submitted on time to CI and IUCN GEF agencies.** **M&E System under design and pending consolidation of Impact Strategies from 10 subprojects.** M&E specialist engaged in designing the appropriate systems and processes to execute an effective framework for project management, governance, and coordination for its adaptive management. The PMU developed an Impact Strategy Template for Subprojects. The template includes a streamlined sections to develop safeguard instruments. Subproject planning grants following ICI ESMF. Subprojects are incorporating safeguards into Impact Strategies. The PMU completed first round of site visits to subprojects to provide direct technical support/ training on Impact Strategy development. CI site visits on Q1FY23: Argentina, Chile, Peru, Kenya. CI Fiji conducting direct follow up with Fiji/Cooks. IUCN site visits on Q1FY23: Thailand, Nepal, Guatemala, DRC, Tanzania. The draft ICI Indicator Performance Tracking Table developed to collect the data against output and outcome indicators of the project. This tool will also be helpful to track the progress against indicators and measuring the results of the project.	IS	This indicator is under implementation. ICI's robust M&E System for its adaptive management will fully be in place after completion of Impact strategy of subprojects.