

GEF-FUNDED ENABLING ACTIVITY PROJECT

Development of National Action Plan for Artisanal and Small Scale Gold Mining in the Co-operative Republic of Guyana

GEF ID 10153

Project Operational Completion Report

Reporting period: project start June 2019 – project operational completion February 2023

Prepared for UN Environment Programme

and Basel Convention Regional Centre for Training and Technology Transfer for the Caribbean (BCRC-Caribbean);

Ministry of Natural Resources of Guyana

SIGNATURES	
Name of Project Manager (Executing Agency): Jewel Batchasingh	Signature  Date: 28 / 03 / 2024
Name of Task Manager: Ines Benabdallah	Signature Date: ____ / April / 2024
Copied to the Following:	
Name of Fund Management Officer: Anuradha Shenoy	Date of Copy Sent: ____ / April / 2024
Name of Portfolio Manager: Kevin Helps	Date of Copy Sent: ____ / April / 2024
Name of Head of Branch (or head of unit accountable within UNEP for the project): Jacqueline Alvarez	Date of Copy Sent: ____ / April / 2024

Project Information Table

Identification Table			
Project Title		Development of National Action Plan for Artisanal and Small Scale Gold Mining in the Co-operative Republic of Guyana	
Duration months	<i>Planned</i>	24	
	<i>Extension(s)</i>	1	
Division(s) Implementing the project		UNEP, Economy Division, Chemicals and Health Branch, GEF Chemicals and Waste Unit	
Name of Co-implementing Agency		N/A	
Executing Agency(ies)		Basel Convention Regional Centre for Training and Technology Transfer for the Caribbean (BCRC-Caribbean);	
Names of Other Project Partners		Ministry of Natural Resources of Guyana	
Project Type		Enabling Activity	
Project Scope		National Action Plan	
Region		Caribbean	
Countries		Guyana	
Programme of Work		5(a)	
GEF Focal Area(s)		Chemicals and Waste	
UNSDCF / UNDAF linkages		The project is consistent with the UN Cooperation Framework and national priorities, with linkages to other small scale mining projects implemented by UNDP.	
Link to relevant SDG target(s) and SDG indicator(s)		<p>1.2: By 2030, reduce at least by half the proportion of men, women and children of all ages living in poverty in all its dimensions according to national definitions.</p> <p>3.9: By 2030, substantially reduce the number of deaths and illnesses from hazardous chemicals and air, water and soil pollution and contamination.</p> <p>8.3: Promote development-oriented policies that support productive activities, decent job creation, entrepreneurship, creativity and innovation, and encourage the formalization and growth of micro-, small- and medium-sized enterprises, including through access to financial services.</p> <p>8.4: Improve progressively, through 2030, global resource efficiency in consumption and production and endeavour to decouple economic growth from environmental degradation, in accordance with the 10-Year Framework of Programmes on Sustainable Consumption and Production, with developed countries taking the lead.</p> <p>5c: Adopt and strengthen sound policies and enforceable legislation for the promotion of gender equality and the empowerment of all women and girls at all levels.</p> <p>6.3: By 2030, improve water quality by reducing pollution, eliminating dumping and minimizing release of hazardous chemicals and materials, halving the proportion of untreated wastewater and substantially increasing recycling and safe reuse globally.</p> <p>12.4.1: number of parties to international multilateral environmental agreements on hazardous waste, and other</p>	

	chemicals that meet their commitments and obligations in transmitting information as required by each relevant agreement.	
GEF financing amount	\$500,000	
Co-financing amount	0	
Date of CEO Endorsement	21/05/2019	
Start of Implementation	28/06/2019	
Date of first disbursement	01/08/2019	
Total disbursement as of 31 Jan 2024	\$ 413,250	
Total expenditure as of 31 Jan 2024	\$ 470,416.8	
Expected Mid-Term Review Date	N/A	
Completion Date	<i>Planned</i>	31/12/2021
	<i>Revised</i>	28/02/2023
Expected Terminal Evaluation Date	01/02/2024	
Expected Financial Closure Date	1/03/2024	

Name of previous phase/preceding project	N/A
Anticipated future phase/future related project	N/A

Geo-referenced Maps

N/A

Abbreviations and Technical Terms

Abbreviation /Technical Term	Definition
ASGM	Artisanal and Small-Scale Gold Mining
BAT	Best Available Techniques
BCRC-Caribbean	Basel Convention Regional Centre for Training and Technology Transfer for the Caribbean
EA	Executing Agency
GEF	Global Environment Facility
GEF SEC	Global Environment Facility Secretariat
GEF TF	Global Environment facility Trust Fund
GENCAPD	Guyana Environmental Capacity Development Mining Project
GGMC	Guyana Geology and Mines Commission
GMP	Global Mercury Partnership
IA	Implementing Agency
M&E	Monitoring and Evaluation
MEAs	Multilateral Environmental Agreements
MIA	Minamata Initial Assessment
MNR	Ministry of Natural Resources
NA	Non applicable
NAP	National Action Plan
NCM	National Coordination Mechanism
NGOs	Non-governmental Organizations
NIP	National Implementation Plan
OFP	Operational Focal Point
PMC	Project Management Cost
PoW	Programme of Work
PPG	Project Preparation Grant
PSC	Project Steering Committee
SAG	Stakeholder Advisory Group
SAICM	Strategic Approach to International Chemicals Management
SDGs	Sustainable Development Goals
TR	Terminal Review
ToR	Terms of Reference
UN	United Nations
UNDAF	United Nations Development Assistance Framework
UNDP	United Nations Development Programme
UNEP	United Nations Environment Programme

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1. Project Description and Implementation Arrangements

The goal of this project was for Guyana to take the first step to reduce, and where feasible eliminate, the use of mercury and mercury compounds, and the emissions and releases to the environment of mercury from ASGM gold mining and processing through the development of a National Action Plan (NAP) in accordance with Article 7 and Annex C of the Minamata Convention.

The project objective was to assist Guyana in the development of its NAP, raise national awareness on the Minamata Convention and build initial national capacity for the early implementation of the NAP and the Minamata Convention.

The guidance on the preparation of NAPs by parties addressing the issue of artisanal and small-scale gold mining that is more than insignificant was followed. This guidance was developed with the intention of addressing ASGM in a holistic manner and includes a review of legal, educational, economic, regulatory and enforcement frameworks, and provides guidance on developing budgets and workplans and identifying potential sources of funding and partners.

The project was executed by the Basel Convention Regional Centre for Training and Technology Transfer for the Caribbean (BCRC-Caribbean), co-executed by the Ministry of Natural Resources of Guyana and implemented by UNEP. As Implementing Agency, UNEP was responsible for the overall project supervision, overseeing the project progress through the monitoring and evaluation of project activities and progress reports, including on technical issues.

The BCRC-Caribbean managed and was responsible for the project and its activities on a day-to-day basis. It established the necessary managerial and technical teams to execute the project and provided regular administrative, progress and financial reports to UNEP.

The Ministry of Natural Resources of Guyana acted as the co-executing agency and provided oversight of the national project activities.

The project had one extension and one budget revision, with the expected outputs delivered on time.

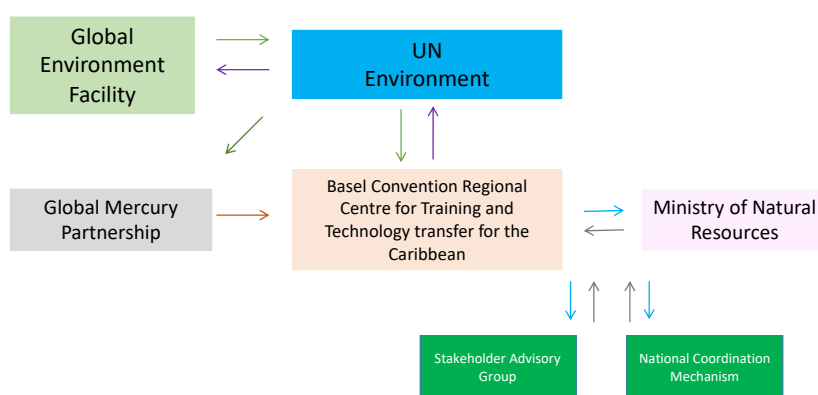


Figure 1. Project Implementation Structure

2. Executing Agency Performance and Capacity

The project was executed efficiently, with consistent and accurate quarterly expenditure and six-month progress reporting submitted on time. Communication between Project Manager and UNEP was reported to be good by both executing and implementing agencies. All project deliverables were completed and submitted to UNEP, with a high-quality NAP endorsed by the Government and submitted to the Minamata Secretariat in 2022.

Executing Agency's performance was very good considering the impact of the COVID-19 pandemic, which affected the data collection work. Workplan was adapted to the changes of field sites and project meetings were held on-line. Extensive experience of EA in the region contributed significantly to the successful completion of the project.

3. Summary of Results Achieved (Tables)

Table 1: Achievement of Outcome(s)

Project objective and Outcomes	Description of indicator	Baseline level	Mid-term target	End-of-project target	End of Project Progress Rating
Objective To assist Guyana in the development of its National Action Plan for the Artisanal and Small-Scale Gold Mining (ASGM) sector, raise national awareness on the Minamata Convention and build initial national capacity for the early implementation of the National Action Plan and the Minamata Convention	Completion of outcomes	N/A	N/A	NAP completed and validated	Satisfactory
Outcome 1: <i>Global Technical Support for NAP development</i>	Trainings conducted	N/A	N/A	Relevant national stakeholders trained	Satisfactory
Outcome 2: <i>National Action Plan development</i>	Draft NAP Complete Draft Validated NAP Endorsed	N/A	N/A	Draft NAP Complete NAP endorsed by Government	Highly Satisfactory
Outcome 3: <i>Monitoring and Evaluation</i>	Number of interim reports submitted. Final report submitted. Final expenditure report submitted.	N/A	All interim reports to date submitted	Final reports submitted	Satisfactory

Table 2: Delivery of Output(s)

Outputs	Expected completion date	End of Project Implementation status (%)	Comments if variance. Describe any problems in delivering outputs	End of Project Progress Rating
Output 1.1: Initial training and guidance provided to relevant stakeholders in Guyana to develop and implement a NAP as per Annex C of the Minamata Convention.	August 2021			
Activity 1.1.1 Enhance the existing roster of experts; collection and development of tools and methodologies for NAP development;	August 2021	100%		Satisfactory
Activity 1.1.2 Quality check of the NAP project products including e.g. national overview of the ASGM sector, draft of the NAP document and the final quality check by an independent consultant;	August 2021	100%		Satisfactory
Activity 1.1.3 Technical support and capacity building on key elements of the NAP as needed, including e.g. baseline inventories of mercury use in ASGM;	October 2021	100%		Satisfactory
Activity 1.1.4 Knowledge management and information exchange through the UNEP Global Mercury Partnership website and or Partners websites and tools;	August 2021	100%		Satisfactory
Activity 1.1.5 Final regional workshop to identify lessons learned and opportunities for future cooperation in the NAP implementation.	August 2021	100%		Satisfactory
Output 2.1: Draft NAP developed as per Annex C of the Minamata Convention	August 2021			
Activity 2.1.1 National Inception workshop to (i) develop ToRs for the National Coordination Mechanism and Stakeholder Advisory Group; (ii)	October 2019	100%		Highly Satisfactory

Outputs	Expected completion date	End of Project Implementation status (%)	Comments if variance. Describe any problems in delivering outputs	End of Project Progress Rating
agree on the budget allocation and workplan for the project; and finally (iii) develop an awareness raising strategy on mercury use in ASGM and its environmental and health impacts to be implemented throughout the whole project (iv) develop a gender strategy to be implemented throughout the project; (v) develop a capacity building plan for a more effective participation of key stakeholders in the development of the NAP.				
Activity 2.1.2 Development of the national overview of the ASGM sector according to the NAP guidance.	June 2021	100%		Highly Satisfactory
Activity 2.1.3 Development of draft NAP	August 2021	100%		Highly Satisfactory
Activity 2.1.4. Organize national consultations and trainings to finalize the NAP, raise awareness, build capacity for early implementation and agree on a roadmap for NAP endorsement and submission to the Minamata Secretariat.	August 2022	100%		Satisfactory
Activity 2.1.5. Submit the endorsed NAP to the Minamata Secretariat.	August 2022	100%		Satisfactory
Output 3.1: Status of project implementation and probity of use of funds accessed on a regular basis and communicated to the GEF.	October 2021			
3.1.1 EA develops and submit technical and financial reports quarterly to UNEP using UNEP's templates.	October 2021	100%		Satisfactory
3.1.2 UNEP communicates project progress to the GEF yearly during the PIR using GEF's template.	October 2021	100%		Satisfactory
3.1.3 Develop and submit terminal report and final statement of accounts to UNEP at project end.	December 2022	100%		Satisfactory
3.1.4 Submit final financial audit to UNEP.	December 2022	100%		Satisfactory
Output 3.2: Independent terminal review developed and made publicly available.				

Outputs	Expected completion date	End of Project Implementation status (%)	Comments if variance. Describe any problems in delivering outputs	End of Project Progress Rating
Activity 3.2.1. Independent consultant carries out the terminal review upon the request of the UNEP Task Manager and make it publicly available in the UNEP website.	At the end of project implementation	In progress		N/A

4. Implementation Challenges and Adaptive Management

Challenge Encountered	Action Taken
COVID-19 restrictions	Meetings took place on-line instead of in-person to comply with travel restrictions at the time and continue work to the extent possible. Fieldwork strategy revised as consultants were not able to visit all mining sites initially planned.
Travel difficulties because of country size	Cost and travel time were challenging given the size of Guyana and the difficult terrain at project locations. Training sessions took place virtually when travel was not possible and additional training materials were developed to share with mining communities.

5. Project Costs and Financing

Table 2: Project Total Funding¹ and Expenditures

Funding by source (Life of project)	Planned funding	Secured funding	Expended
<i>All figures as USD</i>			
GEF Grant	\$500,000	\$500,000	\$499,742.4
<i>Sub-total: Project Funding</i>			
Staffing (Total throughout the project)	Planned posts	Filled posts	-
<i>All figures as Full Time Equivalents</i>			
GEF grant-funded staff post costs	\$45,360	\$45,360	\$45,360
Co-finance funded staff post costs			

Table 3: Expenditure by Component, Outcome or Output (depending on financial system capabilities)

Component/sub-component/output	Estimated cost at design	Actual Expenditure	Expenditure ratio (actual/planned)
<i>All figures as USD</i>			
Component 1 / Outcome 1	\$50,000	\$50,000	1
Component 2 / Outcome 2	\$379,546	\$379,682.4	1
PMC	\$45,360	\$45,360	1
M&E	\$25,000	\$24,750	0.99
Total	\$500,000	\$499,742.4	0.99

¹ "Enabling Activities: The Guidance has been clarified to confirm that *co-financing is not required for EAs*, that PPGs are not available for EAs, and that M&E budgets are not required as these costs do not apply to EAs. " pg.33, GUIDELINES ON THE PROJECT AND PROGRAM CYCLE POLICY (GEF/C.59/Inf.03) July 2020

6. Stakeholder Engagement and Capacity Development

The national stakeholders on the ASGM sector were well represented in the very comprehensive National Working Group (NWG) on the Minamata Convention, which was already active in Guyana. The NWG played a central role during the project execution showing a high level of engagement. It included Government representatives, academic institutions, UN system representatives, as well as NGO's and mining associations.

The NWG participated in regular project meetings to provide progress updates, ensure constant communication among stakeholders and validate the National Action Plan.

7. Awareness Raising Activities

In partnership with the Ministry of Natural Resources, the EA developed carousels and animated videos, as well as infographics in English, Portuguese and Spanish, targeted to mining communities to build initial national capacity for the implementation of the National Action Plan.

Awareness raising products covered the following topics:

- General information such as general safety practices
- Risk, prevention and elimination of mercury
- Mercury risk prevention
- Worst mining practices
- Practices to avoid in ASGM
- Mercury regulation and policies in Guyana
- Minamata Convention and the Caribbean
- Mercury: its effects, and the National Action Plan

Development of these products was important to address the limited information and training among mining communities. Availability of awareness products in Portuguese and Spanish was important to ensure they also reached workers from other nationalities operating in Guyana.

8. Sustainability and the Scaling Up of Positive Results

This NAP builds up from the previous projects in Guyana, namely the Minamata Initial Assessment (MIA) project GEFID 6939 implemented by UNDP.

Sustainability is likely given other ongoing projects programmes addressing mercury use in artisanal and small-scale gold mining like the planetGOLD GEFID 9713 A *GEF GOLD/ Supply Chain Approach to Eliminating Mercury in Guyana's ASGM Sector: El Dorado Gold Jewellery Made in Guyana*, implemented by Conservation International; and chemicals management the Implementing Sustainable Low and Non-Chemical Development in Small Island Developing States (ISLANDS) GEFID 10279 *Caribbean Child project* implemented by UNEP; as well as the previous extensive work carried out by the EA in the Caribbean region.

The NAP benefitted from significant support and engagement from the Government as mining is one of the most important economic activities in the country.

9. Incorporation of Human Rights and Gender Equality (GEF Portal Question)

Human rights and Gender Equality are incorporated in the NAPs with specific strategic objectives and recommended interventions. The NAP makes provision for reducing the risk of mercury exposure for women and children, dissemination of information targeted to women, as well as a focus on reducing financial barriers to access the ASGM sector and increasing capacity building.

Gender Equality will also be monitored in NAP activities by means of a specific Gender marker based on the one used by UNEP.

10. Environmental, Social and Economic Safeguards (GEF Portal Question)

There were no negative environmental impacts identified in the project document given the aim is to reduce mercury use and risk of exposure in the ASGM sector and related emissions and releases by introducing more efficient and environmentally safer techniques. There were also no environmental impacts reported during project execution.

11. Knowledge Management (GEF Portal Question)

The Global Mercury Partnership provided technical expertise and tools to facilitate the development of the NAP. Regional information exchange activities also included a webinar presentation on the Guyana NAP.

The EA also shared awareness raising products through their website (<https://www.bcrc-caribbean.org/our-projects/guyana-nap-awareness-raising-material/>)

The final validated and endorsed NAP is available on the Minamata Convention website (https://minamataconvention.org/sites/default/files/documents/national_action_plan/Guyana-ASGM-NAP-2021-EN.pdf)

12. Lessons Learned (GEF Portal Question – Main Findings)

Ensure consultants are well briefed before taking part in field visits and, given the size of the territory, enough time is allocated to each site in order to carry out data collection activities.

General elections and changes in Government officials are commonplace during projects and must be considered when planning duration of projects and scheduling activities, as work may have to be paused during this time.

Adaptative management was crucial in resolving the issues caused by the COVID 19 pandemic. In particular modifying the meeting format from in-person to on-line, as well as the number and schedule of field visits.

EA extensive experience and engagement in the region is fundamental to the successful execution of the project, as is the very strong Government engagement and continued support

of activities. These factors contributed to the validation, endorsement, and submission of the NAP.

13. Recommendations

Recommended action by Project Team: Take country geographical conditions into account when planning project activities. Executing projects in countries with a significant size should prepare or modify their workplan to allow for sufficient travel time, in order to ensure appropriate data collection and training activities. Ensure consultants travelling to field sites are thoroughly briefed before undertaking any field work.

Recommended action by Branch: Consider delays caused by General elections in the project execution plan to minimize number of extension requests.

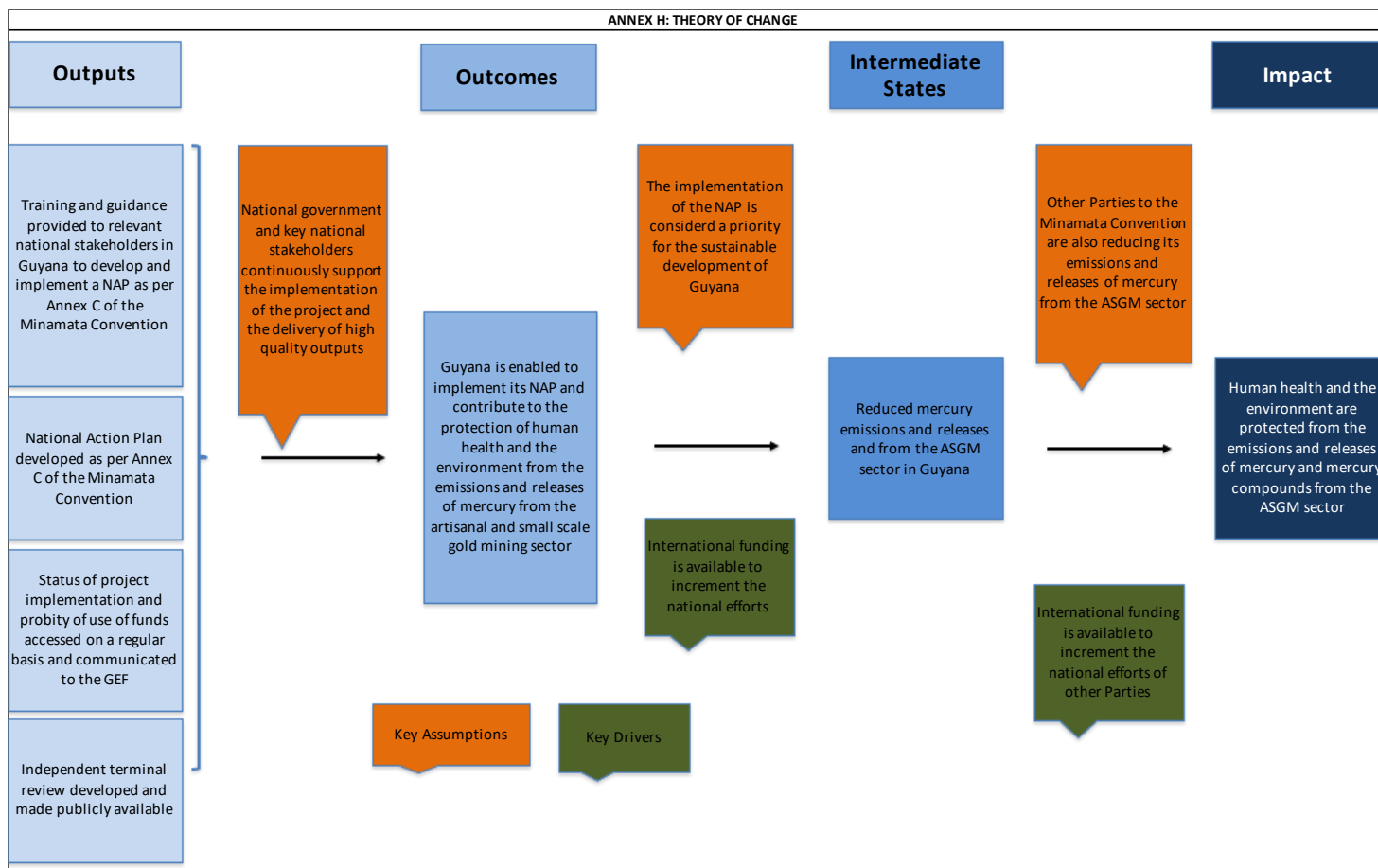
Annexes

Annex 1 Logical Framework and Theory of Change diagram

Logical Framework

Project Outcome	Outcome Indicators (with baseline and targets)	Means of verification	PoW Expected Accomplishment
Guyana is enabled to implement its NAP and contribute to the protection of the human health and the environment from the emissions and releases of mercury from the artisanal and small-scale gold mining sector	Baseline: None Target: Implementation of activities identified in the NAP leading to reduced mercury emissions from the ASGM sector	NAP submitted to the Minamata Convention	Under the UN Environment biennial Programme of Work (PoW) 2018-2019 "Policies and legal, institutional and fiscal strategies and mechanisms for sound chemicals management developed or implemented in countries within the framework of relevant multilateral environmental agreements and the Strategic Approach to International Chemicals Management (SAICM)";
Project Outputs	Indicators (with baseline and targets)	Means of verification	PoW Output Reference Number
1.1. Training and guidance provided to relevant national stakeholders in Guyana to develop and implement a NAP as per Annex C of the Minamata Convention	Baseline: Awareness raising activity and monitoring activities made in the framework of the Minamata Initial Assessment project. Target: Relevant stakeholders are further trained to actively contribute to the NAP development as per the capacity building strategy developed in the inception meeting.	Capacity building and awareness raising workshop report and list of participants	PoW 524.21
2.1. National Action Plan developed as per Annex C of the Minamata Convention	Baseline: None Target: 1	NAP uploaded in the Minamata Convention website	PoW 524.21
3.1. Status of project implementation and probity of use of funds accessed on a regular basis and communicated to the Global Environment Facility	Baseline: None Target: Reports submitted on a regular basis according to the M&E Plan	Quarterly progress and financial reports Terminal report Final audit	PoW 524.21
3.2. Independent terminal review developed and made publicly available.	Baseline: N/A Target: M&E implemented in a timely basis according to the project M&E Plan	Terminal evaluation	PoW 524.21

Theory of Change



Annex 2 Planned Multi-Year Budget

ANNEX G: BUDGET BY PROJECT COMPONENT AND UNEP BUDGET LINES												
RECONCILIATION BETWEEN GEF ACTIVITY BASED BUDGET AND UNEP BUDGET BY EXPENDITURE CODE (GEF FINANCE ONLY)												
Project No:									Total GEF funding:	547,500		
Project Name:		Development of National Action Plans for Artisanal and Small Scale Gold Mining in Guyana							IA fee (9.5%):	47,500		
Executing Agency:		BCRC Caribbean							Project funding:	500,000		
Source of funding (noting whether cash or in-kind):		GEF Trust Fund Cash										
		BUDGET ALLOCATION BY PROJECT COMPONENT/ACTIVITY					ALLOCATION BY CALENDAR YEAR					
		Component 1	Component 2	Component 3		PMC	Total	2019	2020	2021	Total	
		Global technical support for NAP development	National Action Plan Development	Monitoring and Evaluation								
		Output 1.1	Output 2.2	Output 3.1	Output 3.2							
UNEP BUDGET LINE/OBJECT OF EXPENDITURE		US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	
10	UMOJA CODES											
	Project Personnel											
	1161 Project coordinator					45,360	45,360	22,680	22,680		45,360	
	Sub-Total	0	0	0	0	45,360	45,360	22,680	22,680	0	45,360	
	Consultants w/m						0				0	
	1161 National consultants		249,300				249,300	150,000	99,300		249,300	
	1161 Int'l consultant for inventory training and development or review		30,000				30,000	15,000	15,000		30,000	
	Sub-Total	0	279,300	0	0	0	279,300	165,000	114,300	0	279,300	
	Travel on official business (above staff)						0				0	
	1561 Travel Project coordinator/project staff		10,246				10,246	5,123	5,123		10,246	
	Sub-Total	0	10,246	0	0	0	10,246	5,123	5,123	0	10,246	
	Component Total	0	289,546	0	0	45,360	334,906	192,803	142,103	0	334,906	
20	Sub contracts (UN Organizations)						0				0	
	2261 UN Sub-contract	50,000					50,000	25,000	25,000		50,000	
	Sub-total	50,000					50,000	25,000	25,000	0	50,000	
	Component total	50,000	0	0	0	0	50,000	25,000	25,000	0	50,000	
30	Group training (field trips, WS, etc.)						0				0	
	3302 and 3303 Training on inventory development for the ASGM sector (incl. Provision of materials)		20,000				20,000	10,000	10,000		20,000	
	Sub-Total	0	20,000	0	0	0	20,000	10,000	10,000	0	20,000	
	Meetings/conferences											
	3302 and 3303 Inception workshop		15,000				15,000	15,000			15,000	
	3302 and 3303 Final national lessons learned workshop		15,000				15,000		15,000		15,000	
	3302 and 3303 Coordination meetings		8,000				8,000	4,000	4,000		8,000	
	Sub-Total	0	38,000	0	0	0	38,000	19,000	19,000	0	38,000	
	Component Total	0	58,000	0	0	0	58,000	29,000	29,000	0	58,000	
40	Expendable equipment (under 1,500 \$)										0	
	4261 Operational costs	0	2,000			94	2,094	1,047	1,047		2,094	
	Sub-Total	0	2,000	0	0	94	2,094	1,047	1,047	0	2,094	
	Non expendable equipment										0	
	4261 Computer, fax, photocopier, projector		4,000				4,000	2,000	2,000		4,000	
	4261 Software		2,000				2,000	1,000	1,000		2,000	
	Sub-Total	0	6,000	0	0	0	6,000	3,000	3,000	0	6,000	
	Component Total	0	8,000	0	0	94	8,094	4,047	4,047	0	8,094	
50	Reporting costs (publications, maps, NI)						0				0	
	5161 Summary reports, visualization and diffusion of results		15,000				15,000		15,000		15,000	
	5161 Preparation of final report		7,000				7,000		7,000		7,000	
	Sub-Total	0	22,000	0	0	0	22,000	0	22,000	0	22,000	
	Sundry (communications, postages)						0				0	
	5161 Communications (postage, bank transfers, etc)		2,000				2,000	1,000	1,000		2,000	
	5,161 Recovery of costs						0	0	0		0	
	Sub-total	0	2,000	0	0	0	2,000	1,000	1,000	0	2,000	
	Evaluation						0				0	
	5,581 Independent Terminal Evaluation					15,000	15,000		0	15,000	15,000	
	5,161 Independent Financial Audit			10,000			10,000			10,000	10,000	
	Sub-Total	0	0	10,000	15,000	0	25,000	0	0	25,000	25,000	
	Component Total	0	24,000	10,000	15,000	0	49,000	1,000	23,000	25,000	49,000	
	TOTAL	50,000	379,546	10,000	15,000	45,454	500,000	251,850	223,150	25,000	500,000	

Annex 3 Final Financial Statement

ANNEX -10 Quarterly Expenditure Statement Template . xlsx

ANNEX 10: QUARTERLY EXPENDITURE STATEMENT and UNLIQUIDATED OBLIGATIONS REPORT (US\$)																	
Project title:	Development of National Action Plan for Artisanal and Small-Scale Gold Mining in the Co-operative Republic of Guyana																
Project number:	10153																
Project implementing agency/organization:	UN Environment																
Project implementation period:	From:	Aug-19				To:	Feb-23										
Reporting period:	From:	Jan-23				To:	Feb-23										
UNEP BUDGET LINE/OBJECT EXPENDITURE	UNEP approved Budget																
	Component 1 Global Technical Support for NAP Development	Component 2 National Action Plan Development	Component 3 Monitoring and Evaluation		Project Management	Total Project Budget based on Budget Revision at January 2022	Current YEAR BUDGET based on Budget Revision January 2022	Q1-2023	Q2-2023	Q3-2023	Q4-2023	Total expenditure for current QUARTER	Total expenditures for current YEAR	Cummulative expenditure for previous YEARS	Total Cummulative expenditures to date	Cumulative Unspent Balance	
	Output 1.1	Output 2.2	Output 3.1	Output 3.2		A	B	C	D	E	F	G	H=C+D+E+F	I	J= H+I	K=A-J	
	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	US\$	
10 PROJECT PERSONNEL COMPONENT																	
1161	Project personnel																
1161	Project Coordinator	-	-	-	-	45,360.00	45,360.00	-	-	-	-	-	-	-	45,360.00	45,360.00	-
1161	Sub-total	-	-	-	-	45,360.00	45,360.00	-	-	-	-	-	-	-	45,360.00	45,360.00	-
1161	Consultants (w/n)																
1161	National Consultants	-	255,218.00	-	-	255,218.00	-	-	-	-	-	-	-	-	255,218.00	255,218.00	-
1161	International Consultants for inventory training and development or review	-	34,200.00	-	-	34,200.00	-	-	-	-	-	-	-	-	34,200.00	34,200.00	-
1161	Sub-total	-	289,418.00	-	-	289,418.00	-	-	-	-	-	-	-	-	289,418.00	289,418.00	-
1561	Travel on official business																
1561	Travel on official business	-	3,902.00	-	-	3,902.00	-	-	-	-	-	-	-	-	3,902.00	3,902.00	-
1561	Sub-total	-	3,902.00	-	-	3,902.00	-	-	-	-	-	-	-	-	3,902.00	3,902.00	-
1561	Component Total	-	293,320.00	-	-	45,360.00	338,680.00	-	-	-	-	-	-	-	338,680.00	338,680.00	-
20 SUB-CONTRACT COMPONENT																	
2261	Sub-contracts (UN organizations)																
2261	UN Sub-contract	50,000.00	-	-	-	50,000.00	50,000.00	-	-	-	-	-	-	-	-	-	50,000.00
2261	Sub-total	50,000.00	-	-	-	50,000.00	50,000.00	-	-	-	-	-	-	-	-	-	50,000.00
2261	Component Total	50,000.00	-	-	-	50,000.00	50,000.00	-	-	-	-	-	-	-	-	-	50,000.00
30 TRAINING COMPONENT																	
3202 and 3303	Group training (field trips, WS , etc)																
3302 and 3303	Training on inventory development for the ASGM sector (incl. Provision of materials)	-	20,000.00	-	-	20,000.00	-	-	-	-	-	-	-	-	19,604.00	19,604.00	396.00
3299	Sub-Total	-	20,000.00	-	-	20,000.00	-	-	-	-	-	-	-	-	19,604.00	19,604.00	396.00
3300	Meetings/Conferences																
3302 and 3303	Inception Workshop	-	15,000.00	-	-	15,000.00	-	-	-	-	-	-	-	-	15,011.00	15,011.00	- 11.00
3302 and 3303	Final National Lessons Learned Workshop	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
3302 and 3303	Coordination Meetings	-	600.00	-	-	600.00	-	-	-	-	-	-	-	-	600.00	600.00	-
3302 and 3303	Sub-total	-	15,600.00	-	-	15,600.00	-	-	-	-	-	-	-	-	15,611.00	15,611.00	- 11.00
3302 and 3303	Component Total	-	35,600.00	-	-	35,600.00	-	-	-	-	-	-	-	-	35,215.00	35,215.00	385.00
40 EQUIPMENT AND PREMISES COMPONENT																	
4261	Expendable equipment																
4261	Operational costs	-	2,000.00	-	-	94.00	2,094.00	-	-	-	-	-	-	-	2,094.00	2,094.00	-
4261	Sub-Total	-	2,000.00	-	-	94.00	2,094.00	-	-	-	-	-	-	-	2,094.00	2,094.00	-

EXPLANATION FOR EXPENDITURES REPORTED IN QUARTERLY EXPENDITURE STATEMENT

From:	Jan-23	Total	
To:	Feb-23	expenditure for	EXPLANATION
BL**	QUARTER		
1161		-	Project Coordinator
1161		-	National Consultants
1161		-	International Consultants for inventory training and development or review
1561		-	Travel on official business
2261		-	UN Sub-contract
3302 and 3303		-	Training on inventory development for the ASGM sector (incl. Provision of materials)
3302 and 3303		-	Inception Workshop
3302 and 3303		-	Final National Lessons Learned Workshop
3302 and 3303		-	Coordination Meetings
4261		-	Operational costs
4261		-	Computer, fax, photocopier, projector
4261		-	Software
5161		16,538	Summary reports, visualisation and diffusion of results Final Payment to Communications Consultant (Intellect Storm), printing of awareness raising posters and flyers, branded materials with Hg free Caribbean logo and social media advertisements
5161		-	Preparation of final report
5161		-	Communications (postage, bank transfers, etc.)
5161		-	Recovery of Costs
5161		-	Independent Terminal Evaluation
5161		9,000	Independent Financial Audit Final Audit Payment
99		25,538	Total as per Expenditure Statement <i>equals total of column D,E,F or G (as relevant)</i>

**Budget Lines (BL) in this report shall be exactly as specified in the approved budget (Appendix 1) of the project.

Name: Jewel Batchasingh
Duly authorized official of Executing Division

Title: Director
Date: 19/02/2024

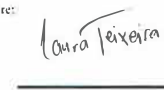
Name of Project Managers: Laura Teixeira

Date: 19/02/2024

Signature:



Signature:



Annex 5 Definition of Ratings

All ratings on this report are based on the GEF Project and Program Cycle Policy document and used where applicable. Throughout this Operational Completion Report, it is a 6-point Likert scale ranging from Highly Unsatisfactory to Highly Satisfactory reviewing compliance with the original or revised implementation plans for the project. Below are descriptions of the ratings of the report:

Implementation Ratings:

Highly Satisfactory (HS): Implementation of all components is in substantial compliance with the original/formally revised implementation plan for the project. The project can be presented as “good practice”.

Satisfactory (S): Implementation of most components is in substantial compliance with the original/formally revised plan except for only a few that are subject to remedial action.

Moderately Satisfactory (MS): Implementation of some components is in substantial compliance with the original/formally revised plan with some components requiring remedial action.

Moderately Unsatisfactory (MU): Implementation of some components is not in substantial compliance with the original/formally revised plan with most components requiring remedial action.

Unsatisfactory (U): Implementation of most components is not in substantial compliance with the original/formally revised plan.

Highly Unsatisfactory (HU): Implementation of none of the components is in substantial compliance with the original/formally revised plan.