Operational Completion Report, UNEP (GEF Funded Enabling Activity)

GEF-FUNDED PROJECT

Either

a) Enabling Activity

Or

b) Exceptional¹ Case (at discretion of Evaluation Office)

GEF ID 10448 – Development of a National Action Plan for Artisanal and Small-Scale Gold Mining in Chad

Project Operational Completion Report

Reporting period: <u>project start</u> (March 2020) – <u>project operational completion</u> (September 2022)

Prepared for UN Environment Programme

and the Ministry of Environment, Fisheries and Sustainable Development, the Basel and Stockholm Convention Regional Centre in Senegal and the Agency of Realization and Engineering for the Development (AGRID)

| SIGNATU | RES |
|--|--|
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¹ Exceptional cases refer to the GEF Guidelines on Terminal Evaluations, 2022 para 21: In instances such as a catastrophic natural event or accident, civil strife, war, or a pandemic, where project sites are inaccessible and it may be dangerous to conduct field verifications, Agencies may prepare a terminal evaluation based on online interviews of key informants and synthesis of information from data sources such as project information reports, mid-term review and financial records. Where online interviews are not possible, the Agencies may prepare a project completion/closure memorandum based on information available through project implementation reports, mid-term reviews, and financial records. The memorandum will – at the minimum – report the status of project results, implementation, and utilization of resources at completion/closure based on the reviewed documents and clearly note the information gaps. The report/memorandum will discuss circumstances due to which field verification and/or key informati interviews were not possible.

² In the event that a signature is not received back from the Executing Agency within 10 working days, kindly enter the date an email requesting signature was sent, who it was sent to at the Executing Agency and who sent the email from UNEP.

| Name of Head of Branch (or head of unit | Date of Copy Sent:/20 |
|---|-----------------------|
| accountable within UNEP for the project): Jacqueline Álvarez | |
| | |

Where your project donor has their own Final Report template you may submit that report and fill in the sections of this UNEP report template that are <u>additional</u>.

Project Information Table

| Identification Table | | | | | |
|--------------------------------------|--|---|--|--|--|
| Project Title | | Development of National Action Plan for Artisanal and Small-Scale Gold Mining in Chad | | | |
| F | Planned | 24 months | | | |
| Duration months E | Extension(s) | No | | | |
| Division(s) Impleme | nting the project | Economy and Industry Division | | | |
| Name of Co-implem | enting Agency | Not applicable | | | |
| Executing Agency(ie | s) | Basel and Stockholm Convention Centre in Senegal (BCRC-SCRC Senegal) | | | |
| Names of Other Proj | ect Partners | Agence de Réalisation et d'Ingénierie por le Développement (AGRID) | | | |
| Project Type | | Enabling Activity (EA) | | | |
| Project Scope | | National | | | |
| Region | | Africa | | | |
| Countries | | Chad | | | |
| Programme of Work | | Direct outcomes: 3.1, 3.5, 3.9, 3.10, 3.12, 3.13 | | | |
| GEF Focal Area(s) | | Chemicals and Waste | | | |
| UNSDCF / UNDAF lir | 5 | UNDAF 2017-2021: Outcome 2: Social Protection, Crisis Management and Sustainability (result 5) | | | |
| Link to relevant SDG indicator(s) | target(s) and SDG | SDG3 (target 3.9), SDG 5 (target 5c), SDG 6 (target 6.3), SDG8 (targets 8.3 and 8.4), SDG 12 (target 12.4) | | | |
| GEF financing amou | nt | 500,000 USD | | | |
| Co-financing amoun | t | 10,000 USD | | | |
| Date of CEO Endorse | ement | 11 February 2020 | | | |
| Start of Implementat | tion | 9 April 2020 | | | |
| Date of first disburse | ement | 4 May 2020 | | | |
| Total disbursement | as of 30 June 2021 | 440,000 USD | | | |
| Total expenditure as | expenditure as of 30 June 2021 440,000 USD | | | | |
| Expected Mid-Term Review Date | | Not applicable | | | |
| Completion Date | Planned | 31 October 2022 | | | |
| | Revised | Not applicable | | | |
| Expected Terminal E | valuation Date | 30 June 2023 | | | |

| Expected Financial Closure Date | 31 December 2023 |
|---|------------------|
| Name of previous phase/preceding project | Not applicable |
| Anticipated future phase/future related project | Not applicable |

Geo-referenced Maps

Not applicable.

Abbreviations and Technical Terms

| Abbreviation/Technical | Definition |
|------------------------|--|
| Term | |
| AGRID | Agence de Réalisation et d'Ingénierie por le Développement |
| ASGM | Artisanal and Small-Scale Gold Mining |
| BCRC-SCRC | Basel and Stockholm Convention Regional Centre |
| EA | Enabling Activity |
| GEF | Global Environment Facility |
| GMP | Global Mercury Partnership |
| IA | Implementing Agency |
| NAP | National Action Plan |
| NCM | National Coordination Mechanism |
| NGO | Non-Governmental Organization |
| SAG | Stakeholder Advisory Group |

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1. Project Description and Implementation Arrangements

The project objective was to assist Chad in the development of its National Action Plan for the Artisanal and Small-Scale Gold Mining (ASGM) sector, raise awareness on the Minamata Convention and build initial national capacity for the early implementation of the National Action Plan and the Minamata Convention.

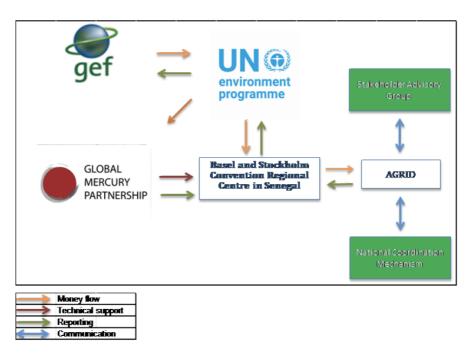
The development of a National Action Plan (NAP) for ASGM is a requirement under the Minamata Convention on Mercury for each Party that determines that ASGM and processing in its territory is more than insignificant (Article 7)³.

UNEP was the GEF Implementing Agency (IA) responsible for monitoring and evaluating the progress of project activities and reports.

The main Executing Agency (EA), the Basel and Stockholm Convention Regional Centre in Senegal (BCRC-SCRC Senegal), was responsible of managing the project activities on a dayto-day basis. The Agency of Realization and Engineering for the Development (*AGRID*, *Agence de Réalisation et d'Ingénierie por le Développement*) acted as co-executing agency through a national coordinator and a local team who were in charge of conducting the activities at the country level.

A National Coordination Mechanism (NCM) (Steering Committee) including key national stakeholders met to evaluate the progress of the project and to take necessary measures to guarantee the fulfilment of its goals and objectives.

Furthermore, the Global Mercury Partnership (GMP) provided technical support and guidance during NAP development.



The project was approved in February 2020 and the actual implementation started in April 2020. The were no extensions to the project's initial duration nor revision of its scope and all outputs were delivered as planned.

³ Chad signed the Minamata Convention on 25 September 2014 and ratified it on 16 August 2017.

2. Executing Agency Performance and Capacity

UNEP contracted the BCRC-SCRC Senegal who mobilized a project coordinator and an international consultant to provide technical support to project execution. The BCRC-SCRC Senegal subcontracted a local NGO, AGRID, to hire the national coordinator and local staff in charge of conducting project activities in-country.

The two Executing Agencies, based in Senegal and N'Djamena respectively, were included in the project design to enhance supervision and monitoring of project activities and the use of funds. A national entity was necessary to conduct activities in-country and engage stakeholders at the national and local level. However, several deficiencies are noted in relation to the involvement and interaction among two agencies and it should be noted that there is a transactional cost of involving two separate entities in different countries.

The performance of both EAs has been satisfactory in relation to the delivery. The main outcome of the project, the National Action Plan (NAP) on the ASGM sector, was developed on time as it had to be completed by 29 July 2022⁴, and the results have been made publicly available in French in both the Minamata Convention website, and the BCRC-SCRC Senegal dedicated webpage⁵.

The document includes a technical description of ASGM in Chad and covers all the sections recommended in the NAP Template which ensures comparability with other National Action Plans. This roadmap will provide guidance for future decision making in relation to the reduction of the use of mercury and formalization of the ASGM sector.

The NAP covers an 8-year period (2022-2030) and contains a general objective, four specific objectives and eight strategies. The work plan is presented in a tabular format including a timeline, responsible entities, estimated resources and possible funders as well as results and indicators. Nevertheless, the format is not easy to read and there are inconsistencies across indicators and targets which might affect the prioritization of actions and resource mobilization. A clearer articulation of the different elements included within each strategy would have benefited the document. There are three mechanisms for implementing, monitoring, and reporting on the NAP for which a funding source remains to be identified.

In relation to the project reports, the quality and level of description of the progress of activities are moderately satisfactory. At the time of the project review, some of the documents were not available and therefore specific aspects of the evaluation could not be fully reviewed (i.e., NCM meeting minutes and attendance lists, field visits and meetings report).

No financial mismanagement or issues were reported as part of the financial audit, and the budget did not require revision during the project implementation. Personnel costs were the main use of project resources. BCRC-SCRC mobilized a project coordinator and an international consultant to review the reports, provide advice, and coordinate the technical activities. AGRID contracted a national coordinator and six experts for field activities. The co-financing proposed at the design stage did not materialize due to lack of funding from the local NGO and budget reorganization from the Government's side due to the COVID-19 pandemic impacts.

Finally, the project document dictated to pay attention to specific items such as inclusivity and gender mainstreaming which were not highlighted nor monitored throughout project

⁴ Chad notified the Secretariat of the Minamata Convention that ASGM activities were more than insignificant in its territory on 29 July 2019.

⁵ <u>https://e-tracking-crcbsaf.org/mercure/rapports/</u>

implementation. There was often a lack of sex-disaggregated indicators and specific efforts to include women in project activities are largely missing.

Chad is characterised by the presence of <u>conflict-affected areas</u> overlapping with ASGM. Some of the sites to be included in the inventory were located in unstable and unsafe areas and therefore, the baseline could not cover these and the fragile context impacted some of the activities. It should also be noted that the majority of the project was coincident with the COVID-19 pandemic which affected the field visits and stakeholder engagement activities.

There is a high potential for socioeconomic development if measures are taken to support the formalization and professionalization of ASGM. For that purpose, awareness raising and capacity building for national stakeholders is needed to ensure that the NAP will be implemented in the coming years. The governance and security contexts should be analysed and taken into account throughout implementation as it heavily affects ASGM dynamics.

3. Summary of Results Achieved

Table 1: Achievement of Outcome(s)

| Project objective and Outcomes | Description of indicator | Baseline level | Mid-term target | End-of-project target | End of Project Progress Rating |
|--|--|----------------|-----------------|---|-----------------------------------|
| Objective: To assist Chad in the development of its National Action Plan for the Artisanal and Small-Scale Gold Mining (ASGM) sector, raise awareness on the Minamata Convention and build initial national capacity for the early implementation of the National Action Plan and the Minamata Convention | Completion of outcomes | NA | NA | NAP submitted to the Minamata Convention | Satisfactory |
| Outcome 1: Chad is enabled to implement its NAP and contribute to the protection of the human health and the environment from the emissions and releases of mercury from the artisanal and small-scale gold mining sector | Implementation of the activities identified in the NAP leading to reduced mercury emissions from the ASGM sector | None | Not applicable | NAP submitted to the Minamata Convention | Satisfactory |

Table 2: Delivery of Output(s)

| Outputs | Expected completion date | End of Project Implementation status (%) | Comments if variance. Describe any problems in delivering outputs | End of Project Progress Rating |
|--|--------------------------------|--|---|-----------------------------------|
| Output 1: Training and guidance provided to relevant stakeholders in Chad to develop and implement a NAP as per Annex C of the Minamata Convention | | | | |
| Activity 1.1.1: Enhance the existing roster of experts; collection and development of tools and methodologies for NAP development | December 2021 | 100% | NA | Satisfactory |
| Activity 1.1.2: Quality check of the NAP project products including e.g. national overview of the ASGM sector, draft of the NAP document and the final quality check by an independent consultant | December 2021 | 100% | NA | Satisfactory |
| Activity 1.1.3: Technical support and capacity building on key elements of the NAP as needed, including e.g. baseline inventories of mercury use in ASGM | March 2022 | 100% | NA | Highly Satisfactory |
| Activity 1.1.4: Knowledge management and information exchange through the UN Environment Global Mercury Partnership website and/or Partners websites and tools | May 2022 | 100% | NA | Highly Satisfactory |
| Activity 1.1.5: Final regional workshop to identify lessons learned and opportunities for future cooperation on the NAP implementation | May 2023 | 0% | The workshop could not be held due to restrictions related to COVID-19. However, the country will be invited to participate in the West Africa Regional NAP Workshop to be organized in May 2023. | Unsatisfactory |
| Output 2.1: National Action Plan developed as per Annex C of the Minamata Convention | | | | |
| Activity 2.1.1: National inception workshop | October 2020 | 100% | The inception workshop was | Satisfactory |

| Outputs | Expected completion date | End of Project Implementation status (%) | Comments if variance. Describe any problems in delivering outputs | End of Project Progress Rating |
|---|----------------------------------|--|---|-----------------------------------|
| | | | organized online due to COVID-19 restrictions. | |
| Activity 2.1.2: Development of the national overview of the ASGM sector according to the NAP guidance | July 2021 | 100% | NA | Satisfactory |
| Activity 2.1.3: Development of the draft NAP | November 2021 | 100% | NA | Satisfactory |
| Activity 2.1.4: Organize national consultations and trainings to finalize the NAP, raise awareness, build capacity for early implementation and agree on a roadmap for NAP endorsement and submission to the Minamata Convention | March 2022 | 100% | AGRID reported awareness raising visits to the mining areas although not supporting documentation could be provided at the time of the review. | Satisfactory |
| Activity 2.1.5: Submit the endorsed NAP to the Minamata Secretariat | September 2022 | 100% | NA | Highly Satisfactory |
| Output 3.1: Status of project implementation and probity of use of funds accessed on a regular basis and communicated to the Global Environment Facility | | | | |
| Activity 3.1.1: EA develops and submits technical and financial reports quarterly to UN Environment using UN Environment's templates | June 2022 | 100% | Part of the reports were missing at the time of the review. | Moderately Satisfactory |
| Activity 3.1.2: UN Environment communicates project progress to the GEF yearly during the PIR using GEF's template | Quarterly and bi- annually | 100% | NA | Highly Satisfactory |
| Activity 3.1.3: Develops and submit terminal report and final statement of accounts to the UN Environment at project end | September 2022 | 100% | NA | Satisfactory |
| Activity 3.1.4: Submit final financial audit to UN Environment | December 2022 | 100% | Delays and lack of responsiveness were reported in relation to the submission of the financial audit. | Satisfactory |

| Outputs | Expected completion date | End of Project Implementation status (%) | Comments if variance. Describe any problems in delivering outputs | End of Project Progress Rating |
|---|--------------------------------|--|--|-----------------------------------|
| Output 3.2: Independent terminal review developed and made publicly available | | | | |
| Activity 3.2.1: Independent consultant carries out the terminal review upon the request of the UN Environment Task Manager and make it publicly available in the UN Environment website | April 2023 | In progress | | |

4. Implementation Challenges and Adaptive Management

| Challenge Encountered | Action Taken |
|--|---|
| Lack of knowledge and capacity on ASGM- related matters in the country. | Capacity building activities and support provided by the project team and the Global Mercury Partnership. The availability and openness of the international technical expert was mentioned as one of the key strengths of the project. |
| COVID-19 pandemic restrictions shifted modality of project activities. | Most of the activities were conducted in a virtual or hybrid format which was effective at the time and well perceived by project stakeholders. A series of recommendations were elaborated for future virtual/hybrid events. However, it would have been beneficial for the BCRC-SCRC Senegal to travel to the country to participate in the field visits and engage with the project stakeholders. |
| Closure of mining sites by the government in October 2020 and the security-related risks affected data collection and stakeholder engagement. | Discussions with the Ministries took place and complementary sources of information were identified. However, some areas were not visited and there are several data gaps in the inventory work. It is recommended that it is reviewed in the future to address those, if conditions allow. |

5. Project Costs and Financing⁶

Table 2: Project Total Funding and Expenditures

| Funding by source (Life of project) | Planned funding | Secured funding | Expended |
|---|--------------------|--------------------|-------------|
| All figures as USD | | | |
| GEF Grant | 500,000 USD | 500,000 USD | 500,000 USD |
| Co-finance | 10,000 USD | 10,000 USD | 0 USD |
| Sub-total: Project Funding | 510,000 USD | 510,000 USD | 500,000 USD |
| Staffing (Total throughout the project) | Planned posts | Filled posts | - |
| All figures as Full Time Equivalents | | | |
| GEF grant-funded staff post cots | 49,454 USD | 273,652 USD | |
| Co-finance funded staff post costs | 10,000 USD | 0 USD | |

Table 3: Expenditure by Component, Outcome or Output (depending on financial system capabilities)

| Component/sub- component/output All figures as USD | Estimated cost at design | Actual Expenditure | Expenditure ratio (actual/planned) |
|--|--------------------------|--------------------|---------------------------------------|
| Component 1 (UNEP) | 50,000 USD | Not available (*) | NA |
| Component 2 (BCRC) | 384,546 USD | 384,546 USD | 1 |

⁶ GUIDELINES ON THE PROJECT AND PROGRAM CYCLE POLICY (GEF/C.59/Inf.03) July 2020

"**Enabling Activities**: The Guidance has been clarified to confirm that <u>co-financing is not required for EAs</u>, that PPGs are not available for EAs, and that M&E budgets are not required as these costs do not apply to EAs. " pg.33 "Enabling Activities qualify for full cost funding from the GEF - i.e. there is no co-finance requirement" pg.33

| Component 3 (BCRC / UNEP) | 20,000 USD | 10,000 USD | 1 | | | |
|---------------------------|------------|------------|---|--|--|--|
| | | | Note: the remaining 10,000 USD are allocated to the terminal review and are managed by UNEP. | | | |
| Project Management (BCRC) | 45,454 USD | 45,454 USD | 1 | | | |

(*) The expenditures of the budget allocated to the Global Component is not reported as part of the individual projects.

6. Stakeholder Engagement and Capacity Development

It should be noted that the majority of the project implementation was coincident in time with the COVID-19 pandemic and the related restrictions and impacts heavily impacted stakeholder engagement. Most of the consultations and meetings were conducted online or via email which had an impact in the ownership and participation of the stakeholders.

A National Coordination Mechanism (NCM) was established in April 2020 as a Steering Committee with the following functions (a) to guide the general implementation of the activities, (b) to monitor the status of the progress, (c) to approve the workplans. It included representatives from the main relevant ministries (those in charge of environment, mines, health, trade) and specific ASGM stakeholders (miners association, women miners association, and mining companies). This body met for the first time in September 2020. No presence of miners nor participation of women was reported as part of the PSC meeting minutes available. It was not possible to assess the other meetings of this body as the documentation was missing. The Minamata Convention Focal Point was regularly involved during the project implementation and played a decisive role acting as an interface between the government, partners and the project team.

The inception workshop took place in October 2020 online. There were 12 participants including the UNEP staff, the project national team and three representatives of governmental institutions (16% of participants were women).

In relation to the Stakeholder Advisory Group (SAG), there is no specific evidence on how the interaction with these entities and individuals took place but a list of actors has been included as part of the NAP listing individuals of the national authorities (environment, mines, trade, health, gender and child care), mining delegates at the territorial level, the private sector (ten mining companies), ASGM stakeholders (gold miners associations) and others (NGOs, UNDP). These groups are listed in a comprehensive manner, but their interests and needs are not present in the document. Moreover, other stakeholders such as indigenous groups, academic and research organizations, and the financial institutions could have been included.

There was no validation workshop organized. The approval and validation of the NAP took place via email with selected stakeholders. The national coordinator reported visits to the five ASGM regions in July-August 2022 where the NAP results were disseminated to ASGM miners and local populations. However, no supporting documentation of these site visits was available at the time of the project review.

In terms of individual capacity development, one of the key challenges emphasized during the review was the lack of technical capacity at the national level. ASGM is a relatively recent

sector in the country and therefore, most of the experts did not have specific previous knowledge or experience in the sector. However, the role of the technical expert from the Regional Centre and the colleagues from the Global Mercury Partnership was useful to provide guidance and materials that helped mitigating this challenge.

A two-day inventory training took place in August 2020 in a hybrid modality prior to the field visits (six team members, six individuals from the government, two individuals from civil society and two from the ASGM communities participated). Due to time constraints, not all the modules could be presented although the reception of the training modality was good, and participants were satisfied with the knowledge acquired.

The global component supported regularly the project team through exchanges and meetings. In January 2022, the NAP was reviewed by an external consultant as part of the global component support provided to NAPs.

Overall, national capacity and capability to prevent and manage the use of mercury have been developed. Further efforts are needed to increase the capacity among government officials and other key stakeholders in mercury use, formalization and health related matters.

7. Awareness Raising Activities

Awareness raising activities such as the inception workshop and the inventory training were conducted in a hybrid format in the capital city. In addition, the project coordinator participated in an online webinar to discuss gender-related aspects in ASGM in Chad.

Under activity 2.1.4, the project elaborated a communication strategy in December 2020 as a way to raise awareness on mercury use in ASGM and its environmental and health impacts. Several items including physical media, audio-visual media, virtual media and in person activities were listed as part of the actions to be developed. However, at the time of the review, the only evidence of the comms materials developed by the project were t-shirts designed with the message *"mercury harms health and pollutes the environment"* that were distributed to ASGM communities.

The communication strategy included useful information to be considered in relation to the strategies to share information with ASGM communities (i.e., communication channels in rural areas, local languages...). Nevertheless, it is unclear if the communication strategy pertained to the project or to the actual implementation of the National Action Plan (NAP). Gender aspects could have also been included across these strategies.

Due to the lack of traditional media and connectivity challenges in ASGM areas, outreach and dissemination efforts should largely rely on in-person interactions and the use of local networks. Awareness on the impacts of mercury in health was reported to be largely absent and therefore, should be prioritized as part of the NAP implementation. Cyanide use is growing across ASGM areas and its related dangers should also be included as part of the strategies.

In relation to online awareness, the website of the BCRC-SCRC Senegal has a section containing the main reports elaborated by the NAP Chad Project. For future projects, it would be desirable to have the relevant Ministries endorse and disseminate the results of the project in their corresponding channels to ensure accessibility at national and local levels.

8. Sustainability and the Scaling Up of Positive Results

The project has achieved its main outcome which was the completion of the NAP. The endorsement and submission to the Minamata Secretariat shows the commitment of the country in complying with its obligations under the Convention. However, the implementation of the NAP will largely depend on the external context, the availability of funds and the awareness and capacity of national stakeholders.

In relation to socio-political stability: economic and security challenges remain significant. Yet, the impacts of insecurity and links to illicit activities are not sufficiently recognized as a counterincentive to formalization within the NAP. It should be noted that in 2022, clashes involving gold miners were reported in the North of the country which derived in a suspension of activities and relocation of people to neighbouring towns. Not all the areas of the country are facing insecurity challenges.

In relation to ownership, interest and commitment of stakeholders: the project involved relevant actors during the design of the NAP. Nevertheless, additional efforts on inclusivity, especially of women and at the site level, are needed to achieve the objectives. Continuous capacity building and awareness raising are recommended to build knowledge on ASGM and strengthen the level of commitment of stakeholders, especially those at the governmental level. Further cooperation between the government and the ASGM operators is encouraged.

In relation to institutional stability: national elections have been delayed by up to October 2024 and changes in government structures and personnel are frequent. Artisanal gold mining activities are relatively new, and therefore, the institutional and legislative framework developed has been developed recently. Structures have been put in place to formalize ASGM although they will require some time until they are operational and effective. To ensure institutional sustainability and scaling up of positive results, the Steering Committee which was formed based on the institutional mechanisms of the MIA project, should be maintained during the implementation of the Plan. As part of the national appropriation and implementation of the strategies developed, it will be necessary to continue communication and awareness-raising with the stakeholders concerned, in order to motivate decision-making and the implementation of activities to achieve the planned changes.

In relation to financial stability: Strategies and actions have been developed and budgeted accordingly. Sources of funding have been identified but they are generic and will require a more detailed identification of partners. The project would have benefited from explicitly linking the NAP and its lines of action with the national priorities (National Development Plan, PND 2017-2021) and existing and upcoming interventions (UNDAF, 2017-2021). The mining sector and the revenues it generates are fundamental for the socioeconomic development of the country and it must be supported to ensure environmental and social protection.

In relation to the scaling up of the project results, a key element is the communication strategy and the dissemination of the results of the project. On one side, the NAP has been published in French in the Minamata Convention Secretariat website which will allow for additional funding opportunities. Additionally, the global component organized a West Africa Regional NAP Workshop in May 2023 to which representatives of Chad were invited; and undertakes regular communication with the governmental focal points. The Government of Chad stated their interest in applying for a Specific International Programme (SIP) project under the Minamata Secretariat to continue supporting the ASGM sector.

The project is replicable in other countries due to its standard design and its lessons learned should feed into the upcoming submissions.

9. Incorporation of Human Rights and Gender Equality

At the global level, there is a consensus on the importance of women to transition to responsible ASGM. However, gender mainstreaming is challenging in Chad because of social and cultural barriers to the participation of women in meetings and surveys in rural areas. Formalization is key in ensuring a more inclusive participation of women in the ASGM sector.

The project document included a section on gender dimensions specifically mentioning gender-related challenges and barriers in the ASGM sector (i.e., uneven distribution of work, gender-differentiated exposure to mercury...). The following activities were foreseen (i) gender

strategy including SMART indicators aimed at gender mainstreaming; and (ii) quick assessment of the gender dimensions in ASGM in Chad.

A thematic report on the role of women and children in ASGM in Chad was prepared including specific figures per province in three categories: (i) workers (3.6% women and 4.7% children); (ii) involved in extraction (5.9% women and 4.9% children) and (iii) involved in processing (2.6% women and 5.2% children). Describing the participation of women in the different stages along the supply chain as well as in the different provinces would have benefited the NAP due to the existence of diverse territorial gender dynamics.

The thematic gender report also included relevant information related to (i) policy, regulatory and institutional aspects; (ii) socioeconomic aspects; (iii) opportunities and resources; and (iv) health and safety aspects. While some of the information was outdated and partially incomplete, it could have informed and complemented the demographic and social section of the NAP report.

Regarding the gender strategy, no evidence on the preparation and implementation of this plan could be found at the time of the review. The progress reports did not include sexdisaggregated data and gender aspects were not discussed in the narrative section.

There was no gender balance within the project team as all the experts were men (including the gender expert) although it should be noted that the recruitment process focused on the technical skills rather than gender-parity and the interviewed women were reluctant to take part of the site visits due to security-related risks. The National Coordination Mechanism (NCM) was also composed by men. While the initiative to recruit a gender expert dedicated specifically to the task of gathering data related to women and children participation in the ASGM sector is a positive sign, gender balance in the project team and the coordination mechanisms are essential to ensure an inclusive and adequate representation of individuals.

In relation to the Stakeholder Advisory Group (SAG), consultations with the Ministry of Women and Children and an Association of Women Traders are reported. Generally, there was no sexdisaggregated data available on the consultations conducted and therefore it is difficult to assess the participation of women. The project team could have made additional efforts to reach out to civil society groups representing women or marginalized groups (e.g., Association for Indigenous Women and Peoples of Chad).

The project document stated that the potential negative health impacts of mercury use in the ASGM sector to women and other disadvantaged or vulnerable groups would be considered. In this sense, pregnant women and those in charge of young children have been rightly identified as a vulnerable group and targeted through awareness raising. Nevertheless, gender mainstreaming, i.e., NAP actions addressing the diverse roles and needs of women on ASGM sites and in the value chains, is limited. Furthermore, some of the strategies can have negative unintended consequences as they might impair women of their livelihood.

10. Environmental, Social and Economic Safeguards

There were no negative impacts identified at the submission stage as the project aimed at assessing the situation of mercury use in the artisanal and small-scale gold mining sector in Chad. No direct actions on the ground were foreseen and therefore safeguards were not activated, and the overall categorization was as a 'low risk' project.

Regarding the safeguard standard related to gender equality (#8), the Executing Agencies had to ensure equal participation of men and women in capacity building and awareness raising activities and to develop a strategy to approach gender issues throughout project implementation. Equal participation in the project cannot be assessed due to the lack of data in the reports.

At the time of project completion, no significant impacts of the project were identified as it mainly assisted Chad to identify priority issues in relation to human health and the environment. However, it should be noted that during the three missions conducted by the project team to ASGM sites, children of less than 14 years old were present and involved in ASGM activities. It should be noted that any project targeting the sector should pay careful attention to this challenge in the future.

11. Knowledge Management

At the global level, a key set of tools and methodologies have been developed by the UNEP Global Mercury Partnership to respond to country needs in NAP development: (i) ASGM Inventory Toolkit; (ii) mobile data collection tool; (iii) Map X platform for NAPs; (iv) Handbook for developing national ASGM Formalization strategies; (v) Quick start guide for managing mercury trade; (vi) Illustrated guide to mercury free ASGM and (vii) other outreach materials.

During the project duration, additional guidance documents were developed including: (i) Guide to incorporate gender dimension into NAP development and implementation; (ii) Technical document on sound ASGM tailings management and (iii) Guidance on using remote sensing to measure ASGM interventions including NAP implementation.

At the project inception, the tools and guidelines are disseminated and explained to the EA and relevant counterparts. Seven (7) individuals (coordinator, inventory expert, socioeconomic expert, health expert, gender expert, geologist and lawyer) were recruited as part of the national team and they were trained in the NAP guidance and the inventory methodology.

The UNEP Global Mercury Partnership also organized the following sessions during the project lifetime: information session on the importance of data collection and gender mainstreaming in NAPs; a webinar on MapX geospatial tool supporting NAPs, a webinar Mining Without Mercury and a webinar on Sound management of mercury-containing tailings in ASGM. The project coordinator was invited to present in one of the sessions and the invitations were shared with the project personnel.

Throughout the project, guidance and feedback was provided by the Task Manager and the NAP Global Component. The draft NAP was also reviewed by an external consultant.

In the BCRC-SCRC Senegal website, the following reports are available: (i) legal and institutional framework of ASGM in Chad; (ii) socioeconomic study of the ASGM sector in Chad; (iii) national sectoral analysis of ASGM in Chad; (iv) study of the role of women and children in ASGM in Chad; (v) public health strategy and assessment of institutional capacity and community health situation in ASGM in Chad; and (vi) the NAP on ASGM in Chad.

A final regional workshop to identify lessons learned and opportunities for collaboration could not take place due to the pandemic. However, as mentioned earlier, a NAP Regional Workshop in West Africa was organized by the NAP Global Component and representatives from Chad were invited to attend and exchange on their experience developing and implementing the NAP (Togo, May 2023).

12. Lessons Learned

Chad's National Action Plan on mercury in ASGM has been finalized and is ready for implementation. The action plan is relevant, not only to ensure compliance with the Minamata Convention, but also to more broadly help ASGM stakeholders in Chad continue to make progress towards more responsible ASGM.

The following lessons learned have been identified:

<u>Lesson 1</u>: The NAP Chad was a project executed in the middle of a pandemic which had to rely extensively on online implementation. The organization of regular online meetings to discuss progress and recommendations to the different tasks were reported to be useful and enriching for the project team. It also allowed national experts to interact with the international technical consultant on a regular basis and ask technical questions. However, stakeholders have also reported that travel restrictions did impact the project activities, especially in relation to stakeholder engagement.

<u>Lesson 2</u>: The gender mainstreaming strategy was not tailored to the country context and additional efforts could have been made. The field work was conducted by a team composed by men and while there was a dedicated gender expert, there is no evidence of a practical approach to invite to the participation of female workers and female entrepreneurs during the field surveys and thereby better analysing their demands and needs. Likewise, for the events and meetings conducted under the project, participation of women was low. Overall, this aspect is considered to be insufficient to include in the NAP priority measures for gender mainstreamed ASGM.

<u>Lesson 3</u>: Organizing the inventory training in a virtual setting proved to be challenging and less effective than in-person capacity building sessions. The travel of the technical consultant in charge of providing the training to the country and conduct field work with the project team is valuable and has been reported to have very good results in interactions and engagement with country stakeholders.

<u>Lesson 4</u>: The government mining closures in October 2020 had an impact on the inventory work as it affected the ASGM population present in the mines and the openness of the miners to respond to the surveys and interviews. In addition, security-related risks and inaccessibility of some territories affected the data collection. Other sites exist, but studies are needed to obtain results that fully take into account national realities.

Lesson 5: Stakeholder engagement in the development of the NAP was found to be moderately unsatisfactory mostly caused by the COVID-19 pandemic and lack of awareness and knowledge of the sector. The Ministry of Health could not provide feedback to the tools developed and did not join the site visits resulting in less engagement on public health strategy development. A practical approach to include women during data collection and project activities was missing which also affected the representativeness of their needs and interests throughout the project. As mentioned in previous sections, additional stakeholders including indigenous communities, private sector entities and financial institutions could have been further engaged in the development of the objectives and strategies. Conversely, it should be noted that close communication and involvement of the Ministry of Environment, Fisheries and Sustainable Development was reported as a strength and helped to mitigate challenges and resulted in better project outcomes.

13. Recommendations

| Recommendation 1: | Carefully design roles and responsibilities of different parties, especially if there are two Executing Agencies involved. Agree on regular meetings to discuss progress and provide feedback on technical deliverables to have a more detailed understanding of the project implementation and national realities. Balance virtual and in- person activities in the work plan. |
|----------------------|--|
| Responsibility | Implementing Agency |

| Timeframe | Design and inception phases |
|-----------|-----------------------------|
| Priority | Medium |

| Recommendation <u>2:</u> | UNEP Guide on Incorporating Gender Dimensions into National Strategy Setting in Chemical Management should be disseminated and socialized at the beginning of the project. In general, NAP projects could benefit from adding sex-disaggregated indicators and targets as well as gender-targeted activities to ensure executing agencies are aware of the expectations and that gender equality is advanced as part of the NAP projects. These needs to be further disseminated with project teams and translated into French and Spanish to ensure it can be understood and applied at the national level. Gender-sensitive targets, indicators and means of verification should be explicitly incorporated into the work plan and monitored carefully throughout implementation. |
|--------------------------|--|
| Audience | Implementing Agency, Executing Agency |
| Timeframe | Design and implementation phases |
| <u>Priority</u> | High |

| Recommendation 3: | Meetings modality should be assessed according to the country context (internet connectivity, cultural sensitivities). Useful tips gathered from the meetings are (i) ask regularly for feedback to encourage active participation; (ii) plan for shorter sessions and introduce regular breaks; (iii) allocate at least four (4) days to allow sufficient time for all topics. If the event is to be conducted in a virtual setting, the following is recommended (i) engage an IT assistant to prevent technical issues; (ii) engage a facilitator to structure agenda and duration; (iii) test connectivity beforehand. If possible, inventory training should take place in person and include field visits. |
|----------------------|---|
| Audience | Implementing Agency, Executing Agency |
| Timeframe | Inception phase |
| Priority | Medium |

| Recommendation <u>4:</u> | Plan inventory activities carefully considering external factors that might affect the representativity of data. Indicate a timeline to update or revise the baseline / information gaps and resources for such exercise. Timing and preparation of field visits should be carefully planned and considered. As part of the reporting on the NAP progress, |
|-----------------------------|--|
| | a re-visit of some of these sites could be eventually carried out to revise part of the inventory. |
| | In addition, strong collaboration with government institutions, CSOs and NGOs as well as mining communities can support the collection of complementary or additional information. |

| Audience | Implementing Agency, Executing Agency |
|-----------|---------------------------------------|
| Timeframe | Inception phase |
| Priority | High |

| Recommendation <u>5:</u> | Expectations on stakeholder engagement should be shared early on and tools to track this could be developed and adapted to the country context. Higher involvement of counterparts in the design of tools and implementation of activities for greater ownership and knowledge on matter is key for sustainability of project results. Define specific times and mechanisms for feedback. The national institutions should revise the strategies, actions and related indicators to ensure consistency in the upcoming reporting exercise. Stakeholder consultation registries should cover the type of engagement of the project activities disaggregated by gender and representation (marginalised groups and indigenous people). Templates including specific data to be gathered could facilitate the tracking of interactions (dates, place, type of meeting, participants disaggregated by sex). |
|--------------------------|--|
| Audience | Implementing Agency, Executing Agency |
| <u>Timeframe</u> | Inception phase |
| <u>Priority</u> | High |

Annexes

Annex 1 Logical Framework and Theory of Change diagram

| Outcome Indicators Baseline: None Target: Implementation of activities identified in the NAP leading to reduced mercury emissions from the | Means of verification NAP is submitted to the Minamata Convention | PoW Expected Accomplishment Under the UN environmental biennial Programme of Work |
|--|--|---|
| <u>Target</u> : Implementation of activities identified in the NAP leading to reduced | | Under the UN environmental |
| <u>Target</u> : Implementation of activities identified in the NAP leading to reduced | | |
| activities identified in the NAP leading to reduced | | bienniar rogramme or work |
| NAP leading to reduced | | (PoW) 2018-2019 "Policies |
| - | | |
| | | and legal, institutional and |
| - | | fiscal strategies and |
| ASGM sector | | mechanisms for sound |
| | | chemicals management |
| | | developed or implemented in |
| | | countries within the |
| | | framework of relevant |
| | | multilateral environmental |
| | | agreements and the |
| | | Strategic Approach to |
| | | International Chemicals |
| | | Management (SAICM)" |
| Indicators (with baseline | Means of verification | PoW Output Reference |
| and targets) | | Number |
| Baseline: Awareness raising | Capacity building and | PoW 524.21 |
| and monitoring activities | awareness raising workshop | |
| made in the framework of | report and list of | |
| the Minamata Initial | participants | |
| Assessment project. | | |
| Target: Relevant | | |
| stakeholders (including men | | |
| and women) are further | | |
| rained to actively contribute | | |
| to the NAP development as | | |
| per the capacity building | | |
| strategy developed at the | | |
| inception meeting. | | |
| Baseline: None | NAP uploaded in the | PoW 524.21 |
| Target: 1 | Minamata Convention | |
| - | website | |
| Baseline: None | Quarterly progress and | PoW 524.21 |
| Target: Reports submitted | financial reports | |
| | Terminal report | |
| о о | Final audit | |
| - F | | |
| | | |
| Baseline: NA | Terminal evaluation | PoW 524.21 |
| | | |
| a timely basis according to | | |
| the project M&E plan | | |
| | and targets) Easeline: Awareness raising and monitoring activities made in the framework of the Minamata Initial Assessment project. Target: Relevant takeholders (including men and women) are further and to actively contribute o the NAP development as per the capacity building strategy developed at the inception meeting. Baseline: None Target: 1 Baseline: None Target: Reports submitted n a regular basis according to the M&E plan Baseline: NA arget: M&E implemented in | and targets)Capacity building and awareness raising amade in the framework of the Minamata Initial Assessment project. Target: Relevant takeholders (including men and women) are further rained to actively contribute o the NAP development as per the capacity building strategy developed at the inception meeting.NAP uploaded in the Minamata Convention websiteBaseline: None Target: Reports submitted n a regular basis according to the M&E planQuarterly progress and financial reports Final auditBaseline: NA Terminal evaluationTerminal evaluation |

Operational Completion Report, UNEP (GEF Funded Enabling Activity)

Annex 2 Stakeholder Engagement Plan

Not applicable.

Annex 3 Planned Multi-Year Budget

EXPENDITURE STATEMENT and UNLIQUIDATED OBLIGATIONS REPORT (US\$)

 Project Name:
 Development of National Action Plans for Artisanal and Small Scale Gold Mining in Chad

 Executing Agency:
 Basel and Stockholm Convention Regional Centre in Senegal

 Project implement From:
 To:

| | | | | Please don't fill out these columns | | | | | | Expenditures | | | | |
|----|--------------|--------------|---|--|-------------------------------------|------------------------------|-----------------------|------------------------|------------------|--------------|-----------|--------------------------|--|--|
| | | | | Component 1 | Component 2 | Component 3 | | | | | | | | |
| | | | | Global Technical Support for National Action Plan development | National Action Plan development | Monitoring and Evaluation | Project Management | Total | 2020 | 2021 | 2022 | Total | | |
| | | | | Output 1.1 | Output 2.1 | Output 3.1 | Output 3.2 | | | | | | | |
| | UNEP BUD | GET LIN | E/OBJECT OF EXPENDITURE | US\$ | US\$ | US\$ | US\$ | US\$ | US\$ | US\$ | US\$ | US\$ | | |
| 10 | UMOJA COD | PROJEC | T PERSONNEL COMPONENT | | | | | | | | | | | |
| | ONIOJA COD | 1100 | Project Personnel | | | | | | | | | | | |
| | 1161 | 1101 | Project coordinator (BCRC) | | | | 9.454,00 | 9.454,00 | 1.324,00 | 3.405,00 | 4.725,00 | 9.454,00 | | |
| | 1161 | 1102 | Project assistant | | | | | 0,00 | | | | 0,00 | | |
| | | 1199 | Sub-Total | 0,00 | 0,00 | 0,00 | 9.454,00 | 9.454,00 | 1.324,00 | 3.405,00 | 4.725,00 | 9.454,00 | | |
| | | 1200 | Consultants w/m | | | | | | | | | 0,00 | | |
| | 1161 | 1201 | Int'l consultant for inventory traini | | 30.000,00 | | | 30.000,00 | 7.850,00 | 15.519,00 | 6.036,00 | 29.405,00 | | |
| | | 1202 | National consultants for national a | | | | | 0,00 | | | | 0,00 | | |
| | | 1299 | Sub-Total | 0,00 | 30.000,00 | 0,00 | 0,00 | 30.000,00 | 7.850,00 | 15.519,00 | 6.036,00 | 29.405,00 | | |
| | | 1300 | Administrative Support | | | | | | | | | 0,00 | | |
| | 1161 | 1301 | Project Financial Officer | -1-(() | | | | 0,00 | | | | 0,00 | | |
| | 1561 | 1600 | Travel on official business (above | | 10,000,00 | | | 40.000.00 | | | | 0,00 | | |
| | 1561 | 1601 1699 | Travel Project coordinator/project Sub-Total | 0,00 | 10.000,00 10.000,00 | 0.00 | 0,00 | 10.000,00 10.000,00 | 0.00 | 0,00 | 0,00 | 0,00 | | |
| | | 1699 1999 | Component Total | 0,00 | 40.000,00 | 0,00 0,00 | 9.454,00 | 49.454,00 | 0,00 9.174,00 | 18.924,00 | 10.761,00 | 0,00 | | |
| 20 | | | ONTRACT COMPONENT | 0,00 | 40.000,00 | 0,00 | 9.454,00 | 49.454,00 | 9.174,00 | 10.924,00 | 10.761,00 | 38.859,00 0,00 | | |
| 20 | | | Sub contracts (UN Organizations) | | | | | | | | | 0,00 | | |
| | 2261 | 2101 | UN Sub-contract (\$50,000 manage | | | | | 0,00 | | | | 0,00 | | |
| | | 2101 | Subcontract AGRID for national ac | | 342.546,00 | 7.000,00 | 36.000,00 | 385.546,00 | 171.088,00 | 145.156,00 | 69.302,00 | 385.546,00 | | |
| | | 2199 | Sub-total | 0,00 | 342.546,00 | 7.000,00 | 36.000,00 | 385.546,00 | 171.088,00 | 145.156,00 | 69.302,00 | 385.546,00 | | |
| | | 2999 | Component Total | 0,00 | 342.546,00 | 7.000,00 | 36.000,00 | 385.546,00 | 171.088,00 | 145.156,00 | 69.302,00 | 385.546,00 | | |
| 30 | | TRAINI | NG COMPONENT | | | | | - | | | | 0,00 | | |
| | | 3200 | Group training (field trips, WS, et | c.) | | | | | | | | 0,00 | | |
| | 3302 and 330 | 3201 | Training on inventory development for the ASGM sector (incl. Provision of materials) | | | | | 0,00 | | | | 0,00 | | |
| | | 3299 | Sub-Total | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | | |
| | | 3300 | Meetings/conferences | | | | | | | | | 0,00 | | |
| | 3302 and 330 | | National project inception worksh | | | | | 0,00 | | | | 0,00 | | |
| | 3302 and 330 | | Final national lessons learned wor | | | | | 0,00 | | | | 0,00 | | |
| | 3302 and 330 | | National Coordination Mechanism | v | | | | 0,00 | | | | 0,00 | | |
| | | 3399 | Sub-Total | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | | |
| | | | Component Total | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | | |
| 40 | | | MENT and PREMISES COMPONENT | | | | | | | | | 0,00 | | |
| | | 4100 | Expendable equipment (under 1, | 500 \$) | | | | | | | | 0,00 | | |

| | | | | | Please do | on't fill out these co | olumns | | Expenditures | | | |
|---|------|--------|----------------------------------|--|-------------------------------------|------------------------------|-----------------------|------------|--------------|------------|-----------|-----------|
| | | | | Component 1 | Component 2 | Component 3 | | | | | | |
| | | | | Global Technical Support for National Action Plan development | National Action Plan development | Monitoring and Evaluation | Project Management | Total | 2020 | 2021 | 2022 | Total |
| | 4261 | 4101 | Operational costs | | 1.000,00 | | | 1.000,00 | 3.264,00 | | | 3.264,00 |
| | | 4199 | Sub-Total | 0,00 | 1.000,00 | 0,00 | 0,00 | 1.000,00 | 3.264,00 | 0,00 | 0,00 | 3.264,00 |
| | | 4200 | Non expendable equipment | | | | | | | | | 0,00 |
| | 4261 | 4201 | Computer, fax, photocopier, proj | ector | | | | 0,00 | | | | 0,00 |
| | 4261 | 4202 | Software | | | | | 0,00 | | | | 0,00 |
| | | 4299 | Sub-Total | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 |
| | | 4999 | Component Total | 0,00 | 1.000,00 | 0,00 | 0,00 | 1.000,00 | 3.264,00 | 0,00 | 0,00 | 3.264,0 |
| 0 | | MISCEI | LANEOUS COMPONENT | NEOUS COMPONENT | | | | | | | | 0,00 |
| | | 5200 | Reporting costs (publications, m | aps, NL) | | | | | | | | 0,00 |
| | 5161 | 5201 | Summary reports, visualization a | 5 | | | 0,00 | | | | 0,00 | |
| | 5161 | 5202 | Preparation of final report | | | | | 0,00 | | | | 0,00 |
| | | 5299 | Sub-Total | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 | 0,00 |
| | | 5300 | Sundry (communications, posta | ommunications, postages) | | | | | | | | 0,00 |
| | 5161 | 5301 | Communications (postage, bank | transfers, etc) | 1.000,00 | | | 1.000,00 | | | | 0,00 |
| | | | | | | | | | 552,00 | 150,00 | 954,00 | 1.656,00 |
| | | 5399 | Sub-total | 0,00 | 1.000,00 | 0,00 | 0,00 | 1.000,00 | 552,00 | 150,00 | 954,00 | 1.656,0 |
| | | 5500 | Evaluation | | | | | | | | | 0,00 |
| | 5581 | 5501 | Independent Terminal Evaluation | ninal Evaluation (\$10,000 managed by l | | | | 0,00 | | | | 0,00 |
| | 5161 | 5502 | Independent Financial Audit | | | 3.000,00 | | 3.000,00 | | | 10.675,00 | 10.675,0 |
| | | 5599 | Sub-Total | 0,00 | 0,00 | 3.000,00 | 0,00 | 3.000,00 | 0,00 | 0,00 | 10.675,00 | 10.675,0 |
| | | 5999 | Component Total | 0,00 | 1.000,00 | 3.000,00 | 0,00 | 4.000,00 | 552,00 | 150,00 | 11.629,00 | 12.331,0 |
| | | TOTAL | | 0,00 | 384.546,00 | 10.000,00 | 45.454,00 | 440.000,00 | 184.078,00 | 164.230,00 | 91.692,00 | 440.000,0 |

Operational Completion Report, UNEP (GEF Funded Enabling Activity)

Annex 4 Risk Management Log

Not applicable.

Annex 5 Final Financial Statement

APPENDIX 10. QUARTERLY EXPENDITURE STATEMENT and UNLIQUIDATED OBLIGATIONS REPORT (US\$)

| Project Name: | GEF 10448 - Development of National Action Plans for Artisanal and Small Scale Gold Mining in | | | | | |
|--------------------------------|---|-----------------|--|--|--|--|
| Executing Agency: | Basel and Stockholm Convention Regional Centre in Senegal | | | | | |
| Project implementation period: | From: may 2020 | To:october 2022 | | | | |
| Reporting period: | From: 01/07/2022 | To: 30/09/2022 | | | | |

| | | | | Please do | on't fill out th | ese columns | | Quarterly Expenditures 2022 | | | F | Please don't fill out these columns | | | | |
|---------------------|---------------------|---|--|---------------|---------------------|---------------------------|-------------------------------|-----------------------------|---------|-----------|---------|--|---|---------------------|---------|-------|
| | | | (Not managed by CRCBS- af) | 2 National | Component 3 0 | Project Managemen t | Total (CRCBS- af) only | Q1 2022 | Q2 2022 | Q3 2022 | Q4 2022 | Total cumulative expenditures (Current Year) | Total cumulative expenditures from previous period | Open commitments | Balance | |
| · · · · · · · · · · | | | | Output 2.1 | Output 3.1 | Output 3.2 | | | | | | | | | | |
| | | NE/OBJECT OF EXPENDITURE | US\$ //////////////////////////////////// | US\$ | US\$ 0 | US\$ | US\$ | US\$ | US\$ | US\$ | US\$ | US\$ | US\$ | | US\$ | |
| 10 | 1 | Project Personnel | | | | | | | | | | | | | | |
| | 1100 | Project coordinator | | | | 9,454.00 | 9,454.00 | 1,757 | 2,968 | | | 4,725 | 4,729 | _ | | 100% |
| | 1102 | Project assistant | | | | | 0.00 | 1,707 | 2,000 | | | 4,720 | | | | 10070 |
| | | Consultants w/m | | | | | | | I. | ł | | | 1 | | | |
| | 1201 | Int'l consultant for inventory | | 30,000.00 | | | 30,000.00 | 6,036 | | | | 6,036 | 23,369 | | 595 | 98% |
| | 1202 | National consultants for | | | | | 0.00 | | - | | | - | | | - | |
| | 1300 | Administrative Support | | | | | | | I. | | | | | | | % |
| | 1301 | Project Financial Officer | | | | | 0.00 | | | | | - | | | - | |
| | 1600 | Travel on official business | | | | | | | | | | | | | | |
| | L | Travel Project | | 10,000.00 | | | 10,000.00 | | | | - | | 3,264 | | 6,736 | 33% |
| 20 | | ONTRACT COMPONENT | | | | | | | | | | | | | | |
| | | Sub contracts (UN | | | | | | /// | | | | | 1 | | | |
| | 2101 | UN Sub-contract (\$50,000 | 50,000 | <i></i> | | | 0.00 | | | | | - | | | - | |
| | L | Subcontract AGRID for | | 342,546.00 | 7,000.00 | 36,000.00 | 385,546.00 | 47,227 | | 22,075 | | 69,302 | 316,244 | | - | 100% |
| 30 | | NG COMPONENT | | | | | | | | | | | | | | |
| | | Group training (field trips, | | | | | 0.00 | | | | | | 1 | | | |
| | 3201 3300 | Training on inventory Meetings/conferences | | | | | 0.00 | | | | | - | <u> </u> | | - | |
| | 3301 | National project inception | | | | | 0.00 | | | | | - | | | | |
| | 3302 | Final national lessons | | | | | 0.00 | | | | | | | | | |
| | | National Coordination | | | | | 0.00 | | | | | - | | | | |
| 40 | L | MENT and PREMISES COMPON | ENT | | | | | | I. | I. | | I | 1 | L | | |
| - | | Expendable equipment | | | | | | | | | | | | | | |
| | | Operational costs | | 1,000.00 | | 8 | 1,000.00 | 916 | - | | - | - 916 | | | 84 | |
| | | Non expendable equipment | | | | | | /// | | | | | | L | | |
| | 4201 | Computer, fax, photocopier, | | | | | 0.00 | | - | | | - | | | - | |
| | 4202 | Software | | | | | 0.00 | | | | | - | | | - | |
| 50 | | LANEOUS COMPONENT | | | | | | <i>M</i> | | | | | | | | |
| | | Reporting costs | | | | | | /// | | | | | 1 | 8 | | |
| | | Summary reports, | | | | Xuuuuuuuuuu | 0.00 | | | | | - | | | - | |
| | 5202 | Preparation of final report | | | | | 0.00 | | | | | - | | | - | |
| | | Sundry (communications, | | | | | | | | | | 7.040 | 700 | | (7.045) | |
| | | Communications (postage, | | 1,000.00 | | | 1,000.00 | 38 | | 7,875 | | 7,913 | 702 | | (7,615) | |
| | 5500 5501 | Evaluation Independent Terminal | 10.000 | | | | 0.00 | | | | | | 1 | | | |
| | 5501 | Independent Financial Audit | 10,000 | | 3,000.00 | | 3,000.00 | | | 2,800 | | - 2,800 | | | - 200 | |
| | TOTAL | | 60.000.00 | 384,546.00 | | 45,454.00 | 440,000.00 | 55,974 | 2,968 | 32,750.00 | | 91,692 | 348,308 | | - 200 | |
| | IUIAL | | | 304,340.00 | 10,000.00 | -3,-34.00 | ++0,000.00 | | 2,000 | 02,700.00 | | 01,002 | 5-10,000 | - 1 | | |

Т

The appended schedule" Explanation for expenditures reported in quarterly expenditure statement" should also be completed

EXPLANATION FOR EXPENDITURES REPORTED IN QUARTERLY EXPENDITURE STATEMENT

| | | Line | Actual Expenditure this quarter | Commitments | | | | | | | |
|---|------------------------|--|--|-------------|---|--|--|--|--|--|--|
| D | PROJE | CT PERSONNEL COMPONENT | | | | | | | | | |
| | 1100 | Project Personnel | | | | | | | | | |
|) | SUB CC | ONTRACT COMPONENT | | | | | | | | | |
| | 2100 Sub contracts (UN | | | | | | | | | | |
| | 2101 | UN Sub-contract (\$50,000 | | | | | | | | | |
| | 2101 | Subcontract AGRID for national activities | 22,075 | 0 | Payment balance fees of audit (2 798 \$) and Awareness activities pre-financed by AGRID (19 277 \$)across five regions of Chad(MAYO KEBI OUEST-GUERA-BATHA-SILA ET OUADDAI- ENNEDI OUEST)between July and August : DSA and land cars rental | | | | | | |
| 0 | TRAINI | | | | | | | | | | |
| D | EQUIPI | MENT and PREMISES COMPON | | | | | | | | | |
| | 4100 | Expendable equipment | 4 | | | | | | | | |
| כ | MISCEI | LLANEOUS COMPONENT | | | | | | | | | |
| | 5200 | Reporting costs | | | | | | | | | |
| | 5300 | Sundry (communications, | | | | | | | | | |
| | 5301 | Communications (postage, bank transfers, etc) | 7,875 | | Translation of audit report Chad: 328 \$; Creation of a platform for the exchange of information on the Minamata Convention, dissemination of guides on good practices to reduce and, if possible, eliminate the use of mercury and mercury compounds in the context of ASM, as well as emissions and releases of mercury to the environment :7 547 \$ | | | | | | |
| | 5500 | Evaluation | ······································ | | | | | | | | |
| | 5501 | Independent Terminal | | | | | | | | | |
| | 5502 | Independent Financial Audit | 2,800 | | Fees of Independent Financial Audit of BSCRCS | | | | | | |
| | TOTAL | - | 32,750 | 0 | | | | | | | |

| Name: | Ms Rokhaya Ndiaye DIOP | Director BCRC | Cheikh Ndongo Faye | Financial Assistant |
|-----------|--|------------------|--------------------|---------------------|
| Signature | Authorized official of Executing Agency | Date: 18/10/2022 | Signature | Date: 18/10/2022 |

Actual Expenditure Commitments Line this quarter **PROJECT PERSONNEL COMPONENT** 10 **Project Personnel** 1100 20 SUB CONTRACT COMPONENT Payment balance fees of audit (2798\$) and Awareness activities prefinanced by AGRID (19 277\$) across five regions of Chad(MAYO KEBI Subcontract AGRID for national 22 075 OUEST-GUERA-BATHA-SILA ET OUADDAI-ENNEDI OUEST)between July 2101 activities and August : DSA and land cars rental **MISCELLANEOUS COMPONENT** 50 Sundry (communications, 5300 postages) Communications (postage, Translation of audit report Chad: 328 \$; Creation of a platform for the exchange 5301 bank transfers, etc) of information on the Minamata Convention, dissemination of guides on good practices to reduce and, if possible, eliminate the use of mercury and mercury 7875 compounds in the context of ASM, as well as emissions and releases of mercury to the environment :7 547 \$ Evaluation 5500 Independent Terminal 5501 Fees of Independent Financial Audit of BSCRCS 2800 Evaluation (\$10,000 managed by UNEP) Independent Financial Audit 5502 32 750 TOTAL

Cheikh Director Ms Rokhaya Ndiaye DIOP Ndongo Faye Name: BCRC CENTRE REGIONAL DES CONVENTIONS DE BALE ET STOCKHOLM POUR LES PAYS FRANCOPHONES D'AFRIQUE - Afrique Francophone Le Directeur Date: 18/10/2022

Signature Authorized official of Executing Agency

Financial Assistant

Date: 18/10/2022 Signature

EXPLANATION FOR EXPENDITURES REPORTED IN QUARTERLY EXPENDITURE STATEMENT

Actual Expenditure Commitments Line this quarter **PROJECT PERSONNEL COMPONENT** 10 **Project Personnel** 1100 20 SUB CONTRACT COMPONENT Payment balance fees of audit (2798\$) and Awareness activities prefinanced by AGRID (19 277\$) across five regions of Chad(MAYO KEBI Subcontract AGRID for national 22 075 OUEST-GUERA-BATHA-SILA ET OUADDAI-ENNEDI OUEST)between July 2101 activities and August : DSA and land cars rental **MISCELLANEOUS COMPONENT** 50 Sundry (communications, 5300 postages) Communications (postage, Translation of audit report Chad: 328 \$; Creation of a platform for the exchange 5301 bank transfers, etc) of information on the Minamata Convention, dissemination of guides on good practices to reduce and, if possible, eliminate the use of mercury and mercury 7875 compounds in the context of ASM, as well as emissions and releases of mercury to the environment :7 547 \$ Evaluation 5500 Independent Terminal 5501 Fees of Independent Financial Audit of BSCRCS 2800 Evaluation (\$10,000 managed by UNEP) Independent Financial Audit 5502 32 750 TOTAL

Cheikh Director Ms Rokhaya Ndiaye DIOP Ndongo Faye Name: BCRC CENTRE REGIONAL DES CONVENTIONS DE BALE ET STOCKHOLM POUR LES PAYS FRANCOPHONES D'AFRIQUE - Afrique Francophone Le Directeur Date: 18/10/2022

Signature Authorized official of Executing Agency

Financial Assistant

Date: 18/10/2022 Signature

EXPLANATION FOR EXPENDITURES REPORTED IN QUARTERLY EXPENDITURE STATEMENT

Annex 6 Inventory of Non- Expendable Equipment

APPENDIX 6A. INVENTORY OF NON-EXPENDABLE EQUIPMENT PURCHASED

UNIT VALUE US\$ 1,000 AND ABOVE

| Project title: | National Action Plan on the Artisanal And Small-Scale Sector in Chad | | | | | | | | |
|--------------------------------|--|--------------|----|------------|--|--|--|--|--|
| Project number: | GEF ID 10448 | GEF ID 10448 | | | | | | | |
| Project executing partner: | BRS Center Senegal | | | | | | | | |
| Project implementation period: | From | April-20 | То | October-22 | | | | | |
| Report as at : | 8-Jun-23 | | | | | | | | |

| Description | Serial No. | Date of Purchase | Original Price (US\$) | Purchased/ Imported from (Name of Country) | Present Condition | Location | Remarks/ Recommendation for disposal |
|-----------------|-------------------|---------------------|--------------------------|--|----------------------|----------|--|
| Laptop HP | I-01-20 | 16/05/2020 | 833 | Sénégal | | AGRID | |
| Vidéo projector | V-02-20 | 16/05/2020 | 500 | Sénégal | | AGRID | This equipment was |
| Printer HP | lm-03-20 | 16/05/2020 | 417 | Sénégal | | AGRID | stolen from AGRID |
| Scanner | S-04-20 | 16/05/2020 | 250 | Sénégal | | AGRID | - |
| | | | | | | | |
| | Total (as par Pur | 1 | 2 000 00 | | | | I |

Total (as per Budget Line 4299) 2 000,00

The physical verification of the items was done by:

Name:

Mrs Rokhaya Ndiaye DIOP

(duly authorized official of Executing Division)

| Signature: | RIALAN | |
|------------|--------|--|
| | | |

Title:

Director

Date: 08/06/2023

Operational Completion Report, UNEP (GEF Funded Enabling Activity)

Annex 7 Key project deliverables/outputs

National Action Plan (NAP) for Artisanal and Small-Scale Gold Mining in Chad (French). Available here.