



UNEP GEF PIR Fiscal Year 2023

1 July 2022 to 30 June 2023

Identification

1.1 Project details

GEF ID	10442	SMA IPMR ID	84843
Project Short Title	FEM/PNUE/APA-Niger	Grant ID	S1-32GFL-000694
		Umoja WBS	SB-017766
Project Title	Effective National Implementation of the Access and Benefit Sharing and Traditional Knowledge Regime in Niger in accordance with the Nagoya Protocol		
Project Type	▼ Medium Sized Project (MSP)	Duration months	Planned
Parent Programme if child project	NA		36.0 months
GEF Focal Area(s)	Biodiversity	Completion Date	Planned -original PCA
Project Scope	▼ National		Revised - Current PCA
Region	▼ Africa	Date of CEO Endorsement/Approval	7-May-21
Countries	NIGER	UNEP Project Approval Date (on Decision Sheet)	20-Aug-21
GEF financing amount	USD 867,580.00	Start of Implementation (PCA entering into force)	20-Aug-21
Co-financing amount	USD 3,695,000	Date of First Disbursement	8-Dec-21
		Date of Inception Workshop, if available	27-Dec-21
Total disbursement as of 30 June	USD 300,000	Midterm undertaken?	▼ No
Total expenditure as of 30 June	USD 151,467	Actual Mid-term Date, if taken	
		Expected Mid-Term Date, if not taken	NA
		Expected Terminal Evaluation Date	01/08 au 31/12/2024
		Expected Financial Closure Date	30-Jun-25

1.2 EA: Project description

The GEF/UNEP/APA-Niger project is part of the implementation of the 3rd objective of the Convention on Biodiversity on the fair and equitable sharing of benefits arising from the utilization of genetic resources. It translates the ABS protocol into concrete action at the national level by supporting the country in setting up a framework for the implementation of the protocol through the development of legislative, legal, institutional and administrative tools and/or instruments.

Project objective: To establish favorable conditions for the implementation of the Nagoya Protocol on ABS through the establishment of a national regime for access to genetic resources and traditional knowledge in Niger.

The project has two components which are:

Component 1: Development of a legislative, administrative and institutional framework for access and benefit-sharing with a view to the operationalization of the Nagoya Protocol in Niger.

This component should produce an overall strategic framework for an ABS regime in line with the Niger Nagoya Protocol and all specific implementation instruments and tools.

Component 2: Raising awareness on the Nagoya Protocol, the national ABS regime and capacity building for all relevant stakeholders in Niger. This component should develop a comprehensive communication strategy on the national ABS strategy and will also support training, capacity building for various categories of stakeholders and including the development of genetic resources and value chain development.

The executing agency is: Executive Secretary of the National Environment Council for Sustainable Development (ES/NECSD). The main partners are the various ministries, civil society, which will be involved through the Biodiversity Commission and the ABS Technical Committee.

1.3 Project Contact

Division(s) Implementing the project	Biodiversity/Land Degradation GEF/BD-LD, Ecosystems Division, UN Environment (UNEP)	Executing Agency(ies)	Executive Secretary of the National Environment Council for Sustainable Development (ES/NECSD)
Name of co-implementing Agency	NA	Names of Other Project Partners	UNEP, Technical ministries, universities, traditional knowledge holders, civil society, which will be involved through the Biodiversity Commission and the ABS Technical Committee. etc.
TM: UNEP Portfolio Manager(s)	Ersin Esen	EA: Manager/Representative	Dr Kamayé Maâzou
TM: UNEP Task Manager(s)	Adamou Bouhari	EA: Project Manager	Mr Boukar Attari
TM: UNEP Budget/Finance Officer	Paul Vontamitis	EA: Finance Manager	Mr Hassane Harouna
TM: UNEP Support/Assistant	Eric Mugo	EA: Communications lead, if relevant	Mrs. Boubacar Aï Boubé

OVERVIEW OF PROJECT STATUS

TM: UNEP Current Subprogramme(s)

TM: PoW Indicator(s)

Nature Action

TM: UNEP previous Subprogramme(s)

Healthy and Productive Ecosystem

EA: UNSDCF/UNDAF linkages

Contribute to Niger UNDAF under the following outcomes :i) Resilience;
ii) Social Development and Demographic dividend

EA: Link to relevant SDG Goals

SDG15- Preserve and restore
terrestrial ecosystems

EA: Link to relevant SDG Targets

Target15.6: Promote the fair and equitable sharing of benefits arising from the utilization of genetic resources and promote appropriate access to them, as agreed at the international level.**Indicator 15.6.1:** Number of countries that have adopted legislative, administrative and operational frameworks to ensure fair and equitable benefit-sharing.**Target15.9:** By 2020, integrate ecosystem and biodiversity protection into national planning, development mechanisms, poverty reduction strategies and accounting.**Indicator 15.9.1:** Progress towards the ACHI National Biodiversity Targets 2 of the Strategic Plan for Biodiversity 2011-2020

TM: GEF core or sub indicators targeted by the project as defined at CEO Endorsement/Approval, as well as results

Indicators	Targets - Expected value			Materialised to date
	Mid-term	End-of-project	Total Target	
11: People benefitting from GEF-financed	600 (75% men and 25% women)	2000	2000	1306

Implementation Status

2023

2nd PIR

FY 2023

FY 2022

FY 2021

FY 2020

FY 2019

FY 2018

FY 2017

PIR #

2nd PIR

1st PIR

Rating towards outcomes (DO)
(section 3.1)

S

S

Rating towards outputs (IP)
(section 3.2)

S

S

Risk rating
(section 4.2)

M

M

2.3 Implem.	FY 2016				
	FY 2015				
ince	<div> <div>EA: Summary of status (will be uploaded to GEF Portal)</div> <div>Project activities are conducted in accordance with the project's Work Plan. Draft versions of almost all studies were available in 2022. These versions have been subject to quality assurance by resource persons. The validation of these studies was delayed by the delay in the disbursement of funds.</div> </div>				
	EA: Planned Co-finance	USD 3695000		EA: Actual to date:	USD 1568484

EA: Justify progress in terms of materialization of expected co-finance. State any relevant challenges.

2.5. Stakeholder

EA: Date of project steering committee

EA: Stakeholder engagement
(will be uploaded to GEF Portal)

During the process, the following 08 categories of stakeholders were identified as key to the project, including: 1) decision-makers (government and political leaders); (2) civil servants/public servants; (3) civil society organizations; (4) Members of Parliament; (5) the media; (6) research and training institutions; (7) holders and custodians of traditional knowledge of indigenous and local communities and (8) local authorities.

Thus, for stakeholder engagement, a communication strategy is envisaged that would mainly include broad information and sensitivity on the Nagoya Protocol, the procedures established in the regulatory framework of the new ABS regulatory framework, and stakeholder participation and resource mobilization.

To date, eight (8) information and awareness-raising workshops on the Nagoya Protocol have been organized in the eight regions of Niger and have concerned the 7 categories of stakeholders, with the exception of parliamentarians for whom an extraordinary session will be organized. The commitment of stakeholders is reflected in: (i) the participation of some fifty structures from the State, civil society and the private sector in the project launch workshop; (ii) the commitment to co-financing;

iii) the participation of the main structures in the first session of the Steering Committee iv) the participation of about 500 people in awareness-raising and information workshops in the (eight) 8 regions of the country, (v) the provision by the CNEDD of offices and the creation of an optimal working environment; vi) participation in the 13th meeting of the consultation framework of stakeholders involved in the field of adaptation to climate change; vii) the organization during the first edition of the Student Day at the Department of Biology of Abdou Moumouni University of a conference on biodiversity including the Nagoya Protocol and information on the APA project and attended by 300 students and 15 teacher-researchers; and finally (viii) the creation of a platform of actors who participated in the various information and awareness-raising meetings in the Hui (8) regions of the country.

TM: Does the project have a gender action plan?

Yes

2.6. Gender

EA: Gender mainstreaming
(will be uploaded to GEF Portal)

Factors limiting gender equality include traditions, poverty and religion in Niger. This project takes gender inclusion seriously, especially the empowerment of women and youth. The project aims to reach 20,000 beneficiaries (**direct and indirect**) including 13,000 men and 7,000 women. To the extent possible and depending on the availability of women and youth and their receptivity to public information and awareness-raising activities, the project plans to include a significant number of women and youth in all capacity-building, communication and training activities. **This is why university youth has been targeted through conferences in all regions of the country**. The essence of these activities will be to equip these actors with knowledge of the emerging ABS regulatory framework in Niger with a focus on how their economic rights and interests are taken into account in the ABS framework and are balanced with broader national interests relating to biological conservation and GR, sustainable use and preservation of traditional knowledge.

In view of the above, the project encourages, as much as possible, the participation of youth and women in the ABS process. Thus, the following targets were hit:
Launch of the project: 53 participants including 10 women. Among these participants are 39 adults, 14 youth (3 young women and 11 young men).

For the steering committee: 24 participants including 6 women

Information and awareness-raising missions: 851 actors, including 111 women.

On the occasion of the first edition of the Student Day at the Department of Biology of Abdou Moumou University: 300 students (including 120 students) and 15 research professors.

For participation in the 13th edition of the consultation framework: 63 participants including 11 women.

In practice, in Niger there is a good trend in the participation of women in the valorization of GR. This trend must be promoted during the project's trainings on valuation aspects.

2.7. ESSM

TM: Was the project classified as moderate/high risk at CEO Endorsement/Approval Stage?



Yes

TM: If yes, what specific safeguard risks were identified in the SRIF/ESERN?

Funds availability and administrative process

TM: Have any new social and/or environmental risks been identified during the reporting period?



Yes

TM: If yes, please describe the new risks, or changes

Security and political stability

TM & EA: Has the project received complaints related to social and/or environmental impacts (actual or potential) during the reporting period?



No

TM & EA: If yes, please describe the complaint(s) or grievance(s) in detail including

EA: Environmental and social safeguards management
(will be uploaded to GEF Portal)

The objective of this project is to develop texts, strategies and other tools for the implementation of the Nagoya Protocol on Access to Genetic Resources and Benefit-sharing in Niger; Therefore, the Impact Statement must apply to project products for which a strategic assessment would be undertaken at the appropriate time.

2.8. KM/Learning

EA: Knowledge activities and products
(will be uploaded to GEF Portal)

Regarding knowledge management within the framework of the project, five (05) WhatsApp platforms have been created. These are: (i) knowledge management with 19 members; (ii) APA Technical Committee which has fifteen (15) members; (iii) APA Working Group with ten (10) members; (iv) GEF/UNEP/APA-Niger project composed of 106 members; (V) Project staff and resource persons (interns) which includes six (6) members. These platforms aim to put its members at the same level of information and to serve as a framework for discussion and exchange between actors. A leaflet is published in 500 copies and distributed to stakeholders. Also, a newsletter was written and distributed. For better visibility, all relevant products and tools produced by the project will be placed on the biodiversity clearing-house mechanism CHM Niger and CHM-APA as well as on the CNEDD website.

Please attach a copy of any products

EA: Main learning during the period

The withdrawal of the legal expert during contract negotiations allowed the project to change its approach, given the time that the process of recruiting a new expert will take. This new approach consisted in setting up by the country's high authorities a working group composed of qualified and identified persons at the level of the technical ministries. Thus, the specifications assigned to the legal expert are transferred to this working group. This approach has allowed, on the one hand, a better appropriation of the text and the tools developed following the permanent exchanges between the members and on the other hand, a better involvement of the institutions composing the working group. However, it should be noted that this approach requires more time and also enhances the national contribution to the implementation of the project. In addition, the complete review of draft documents by knowledgeable persons (quality assurance), has improved the quality of these documents before their validation.

2.9. Stories

EA: Stories to be shared
(section to be shared with communication division/
GEF communication)

The creation of WhatsApp platforms at the project level provides a forum for exchange between stakeholders and allows for wide dissemination of project-related information. Also, the establishment of a working group instead of recruiting an expert on very important topics has advantages and should be privileged in the implementation of a project.

3. RATING PROJECT PERFORMANCE

3.1 Rating of progress towards achieving the project outcomes (Development Objectives)

Project objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	Progress as of current period (numeric, percentage, or binary entry only)	EA: Summary by the EA of attainment of the indicator & target as of 30 June	TM: Progress rating
Objective							
To create and apply the enabling conditions for the implementation of Nagoya Protocol on the Access and Benefit Sharing and Traditional Knowledge Regime in Niger.	the extent of key constituents of an effective ABS regime that are in place/fully functional in Niger at the end of the project and are in compliance with the Nagoya Protocol.	There is no fully compliant Nagoya Protocol ABS regime in Niger	The entire set of the constituents of the National Nagoya Protocol(NP) compliant ABS Regulatory regime of Niger drafted and progressing towards trialing and improvement	All the constituents of a NP Compliant ABS regime are completed and ready for full implementation in Niger	60%	All experts responsible for developing the elements of the access and benefit-sharing regime have been recruited. Also, draft versions of all deliverables are available and have been reviewed by resource persons for quality assurance.	S
Outcome 1							
The Nagoya Protocol is operationalized in Niger with institutions capable of discharging key functions	Number of roadmap and action plan for the streamlining of biodiversity and ABS principles in sectoral policies and laws	There is no roadmap and plan for streamlining of biodiversity and ABS in sectoral policies in Niger	roadmap and the action plan should be completed before	3 planning and policy tools with due gender parity considerations finalized and adopted by relevant authority (1 roadmap and plan completed within six months of the project start; 1 portfolio of sectoral policies with streamlined ABS principles,	60%	The roadmap and action plan were developed by the APA Working Group and validated by the APA Technical Committee	S
	Number of ABS strategy in Niger	There is no national ABS strategy in Niger	The various elements and framework of 1 comprehensive ABS strategy) 1 draft of all of the components of the ABS legislation with due consideration of gender parity produced; 1 draft of each implementing regulation with gender consideration produced (these may include draft ministerial orders for PIC, model clauses of MAT, ABS permit, codes of best practice, community protocol, designation of CNAs, checkpoints etc);	1 comprehensive ABS strategy including the vision, objective for NP implementation in Niger and all relevant approaches to conservation, valorization approach, commercial and noncommercial research, approach to benefit sharing, TK protection etc.)	70%	The draft national ABS strategy was prepared by the expert. This version has been reviewed by resource persons for quality assurance. It is expected to validate the strategy during this year in accordance with the 2023 Annual Work Plan and Budget (AWPB) of the project)	S

	Number of ABS law and implementing regulations adopted encapsulating all clusters of NP obligations including gender consideration	Article 6(3) of the loi n° 98-56 du 29 décembre 1998, portant loi cadre relative à la gestion de l'environnement and Ministerial order N°00106/MEMS/RS du 17 mai 2013	1 draft of all of the components of the ABS legislation with due consideration of gender parity produced; 1 draft of each implementing regulation with gender consideration produced (these may include draft ministerial orders for PIC, model clauses of MAT, ABS permit, codes of best practice, community	Nagoya Protocol ABS law with due gender consideration finalised and validated; all implementing regulations and ministerial orders relating to the support tools finalised and adopted (these may include ministerial orders for PIC, model clauses of MAT, ABS permit, codes of best practice, community protocol, designation of CNAs, checkpoints) finalised sets of texts with gender consideration on the roles and responsibilities of each of the ABS institutions (1 or more CNAs, 1 or more checkpoints, 1 CHM publication protocol, designation of CNAs, checkpoints etc); 1 Nagoya Protocol ABS law with due gender consideration finalised and validated; all implementing regulations and ministerial orders relating to the support tools finalised and adopted (these may include ministerial orders for PIC, model clauses of MAT, ABS permit, codes of best practice, community protocol, designation of CNAs, checkpoints)	70%	Draft versions of the law and implementing regulations were prepared by the APA Working Group. The law has been reviewed by resource persons for quality assurance. Validation of these deliverables is expected this year in accordance with the 2023 Annual Work Plan and Budget (AWPB) of the project).	S
	Number and types of prototypes of ABS tools with due gender consideration e.g., PIC, MAT, access permit, codes conduct, community protocols, code of conducts adopted	There is no model or prototype of any ABS tool in Niger	1 draft of all prototypes of support tools with gender consideration e.g., PIC, model MAT, Access permits, community protocols and codes of conduct, etc	1 prototype of each of the support tools with gender consideration including PIC, MAT, Access permits, community protocols, codes etc finalised and adopted	70%	All models/prototypes for PIC, MAT, including sectoral contractual clauses have been developed by the APA Working Group. Their validation is expected during this year in accordance with the 2023 Annual Work Plan and Budget (AWPB) of the project)	S
	Number and types of institutions/agencies whose roles and responsibilities have been defined, bounded, and integrated into the ABS law and implementing regulations e.g., NFP, NCA(s), National ABS committee, CHM publishing agency, checkpoints.	NFP Nagoya Protocol appointed CNEDD in place coordinating the Nagoya Protocol, ABS implementation process	government decision to confirm NP focal point appointment; 1 framework document with draft text and gender consideration to guide the and define the roles of selection of NCA(s), checkpoints, publishing agency, drafts roles and responsibilities of NCA(s), checkpoints, CHM publishing agency, ABS committee.	finalised sets of texts with gender consideration on the roles and responsibilities of each of the ABS institutions (1 or more CNAs, 1 or more checkpoints, 1 CHM publication agency,) validated, adopted and s included in the draft law, implementing regulations and support tools, and trailed	70%	The expert responsible for preparing the institutional analysis report submitted the draft report. This version has been reviewed by resource persons for quality assurance. The report should have been validated if funds were available	S

Relevant stakeholders in Niger are aware of the developed ABS legislative, regulatory and institutional frameworks and of potential business opportunities related to the use of high potential genetic resources and associated TK	Number of strategy produced on sensitisation, awareness and raising and communication with gender consideration	40 members of parliament already trained on the ABS concept (75% men and 25% women)			70%	The expert responsible for developing the overall communication strategy submitted the draft strategy. This version has been reviewed by resource persons for quality assurance. Its validation will take place at the end of the validation of the other studies.	S
	Number of actors including women across the different stakeholders' groups sensitised and fully aware of all the constituents of the ABS regulatory and institutional framework	40 members of the technical committee on Biodiversity trained on the ABS process			60%	An information and awareness-raising mission on the project and the Nagoya Protocol was organized in the 8 regions of the country taking into account gender. Also, members of the APA Technical Committee were also trained on the same subject. In addition, there remains the dissemination of project products, namely texts, strategy, implementation tools, etc. with stakeholders. It should be noted that draft versions of these products are already available.	S
	Number of people having accessed the ABS manual with due consideration of gender and youth	60 members of the national association of traditional doctors trained on the ABS concept and ABS mechanisms	1 draft of the sensitisation, awareness raising and communication strategy with due gender consideration; 1 draft of the various training modules developed and ready for delivery/use; stakeholders groups and specific beneficiaries identified and at least 04 groups enrolled including women and the youth, potential GRs for the valorisation strategy selected; at least two	1 sensitisation, awareness raising and communication strategy finalised; 1 ABS manual validated and adopted and ready for dissemination; , all the ABS instruments disseminated; 2000 including women and youth reached during conferences organised in universities, research and other educational settings and through awareness raising, sensitisation and communication	50%	The expert responsible for developing the overall communication strategy submitted the draft strategy. This version has been reviewed by resource persons for quality assurance. The strategy will be validated during this year in accordance with the 2023 Annual Work Plan and Budget (AWPB) of the project)	S
	Number of people including women trained in different aspects domestic ABS framework including on valorisation of GRs	Existence of biodiversity courses at Universities				All project products will be disseminated after validation and/or adoption. The delay in the transfer of funds impacted the validation of documents planned in accordance with the project's product development action plan.	
	Number and types of institutions / organisations whose personnel and people including women were trained						
	Number of thematic modules with due gender consideration developed and delivered						

	Number of instruments with due gender consideration widely disseminated		workshops (training, valorisation etc) organised in universities, public institutions and other research and educational settings, 600 beneficiaries reached in the various dimension of sensitisation, awareness raising and capacity building (75% men and 25% women).				
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For joint projects and where applicable ratings should also be discussed with the Task Manager of co-implementing agency.

3.2 Rating of progress implementation towards delivery of outputs (Implementation Progress)

Output	Expected completion date	Implementation status as of 30 June 2022 (%) (Towards overall project targets)	Implementation status as of 30 June 2023 (%) (Towards overall project targets)	EA: Progress rating justification, description of challenges faced and explanations for any delay	TM: Progress rating
Under Comp 1					
Output 1.1: Strategy and action plan developed for the full implementation of ABS measures in Niger	2022-2023	35%	70%	This increase is due to the fact that at the end of June 2023, the draft national strategy and action plan was reviewed by resource persons for quality assurance and the document is available for validation	S
Output 1.2: Legislative, regulatory, administrative, institutional and policy measures for the full operationalization of the Nagoya Protocol are developed in Niger	2022-2023	35%	70%	The draft versions of the law, the implementing decree and other implementing regulations were prepared by the APA Working Group. The draft version of the law has been reviewed by resource persons for quality assurance. Also, provisional templates for other tools i.e. prototypes for PIC, MAT, including sectoral contractual clauses are available.	S
Output 1.3: Functional technical ABS institutions are in place in Niger applying procedures appropriate to the Nagoya Protocol, and exchanging information through the ABS Clearing-House	2022-2023	35%	70%	The expert responsible for preparing the institutional analysis report has already tabled the draft version of the report and this version has been reviewed by resource persons for quality assurance. The document is available for validation upon receipt of funds.	S
Under Comp 2					
Output 2.1 : A detailed ABS awareness raising strategy on the national ABS frameworks including materials tailored for specific stakeholder groups. (IPLC, civil society, researchers, private sector, government entities involved in ABS implementation.) are developed and rolled out in Niger	2022-2023	35%	70%	The expert responsible for developing the overall communication strategy signed his contract, prepared and submitted the draft strategy to the PMU. This draft version has been reviewed by resource persons for quality assurance.	S

Output 2.2 : Training designed for specific stakeholder groups in Niger conducted on managing access to genetic resources on various aspects of valorisation to develop business opportunities for resources of high potentials including traditional knowledge associated with these resources	2022-2023	35%	60%	This slight change is due, on the one hand, to the withdrawal of the first international expert recruited and, on the other hand, to the death of the second international expert recruited in place of the first. However, in consultation with the project's stakeholders, it was agreed to contact resource persons, in particular teacher-researchers, to carry out the work relating to valorization. Negotiations for the signing of the contracts have been completed and the contracts are in the process of being signed. Also, a specific study serving as a basis to facilitate the selection of genetic resources with high potential value for training in valorization has been carried out.	S
The Task Manager will decide on the relevant level of disaggregation (i.e. either at the output or activity level).					

4 Risk Rating

4.1 Table A. Project management Risk

Please refer to the Risk Help Sheet for more details on rating

Risk Factor	EA's Rating	TM's Rating
1 Management structure - Roles and responsibilities	✓ Low : Well developed, stable Management Structure and Roles/responsibilities are clearly defined/understood. Low likelihood	✓ Low : Well developed, stable Management Structure and Roles/responsibilities are clearly defined/understood. Low likelihood of potential negative impact on the project delivery.
2	✓ Moderate: Steering Committee and/or other project bodies meet at least once a year and Active membership and participation in decision-making	✓ Moderate: Steering Committee and/or other project bodies meet at least once a year and Active membership and participation in decision-making processes. SC provides
3 Implementation schedule	✓ Low : Project progressing according to original work plan and Adaptive management is practiced and regular monitoring. Low likelihood of	✓ Low : Project progressing according to original work plan and Adaptive management is practiced and regular monitoring. Low likelihood of potential negative impact on the
4 Budget	✓ Low : Activities are progressing within planned budget and Balanced budget utilisation including PMC. Low likelihood of potential negative	✓ Low : Activities are progressing within planned budget and Balanced budget utilisation including PMC. Low likelihood of potential negative impact on the project delivery.
5 Financial Management	✓ Low : Funds are correctly managed and transparently accounted for and Audit reports provided regularly and confirm correct use of	✓ Low : Funds are correctly managed and transparently accounted for and Audit reports provided regularly and confirm correct use of funds. Low likelihood of potential negative
6 Reporting	✓ Low : Substantive reports are presented in a timely manner and Reports are complete and accurate with a good analysis of project	✓ Low : Substantive reports are presented in a timely manner and Reports are complete and accurate with a good analysis of project progress and implementation issues. Low
7 Capacity to deliver	✓ Low : Sound technical and managerial capacity of institutions and other project partners and Capacity gaps were addressed before	✓ Low : Sound technical and managerial capacity of institutions and other project partners and Capacity gaps were addressed before implementation or during early stages. Low

If any of the risk factors is rated a Moderate or higher, please include it in Table B below

4.2 Table B. Risk-log

Implementation Status (Current PIR)

Low risk project

Insert ALL the risks identified either at CEO endorsement (inc. safeguards screening), previous/current PIRs, and MTRs. Use the last line to propose a suggested consolidated rating.

Risk	Risk affecting:	Risk Rating							Variation respect to last rating	
	Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	PIR 6	Δ	Justification
Risk 1: Government and decision-making authorities/agencies highly committed to the project and its objective	All outcomes & outputs	M	L	L					=	After the holding of the first session of the steering committee which recorded the participation of several key structures of the state and civil society, the Project Management Unit (PMU) sent a letter to inform the Minister in charge of the environment for the implementation of the recommendations relating to its Ministry. Also, exchange platforms bringing together all stakeholders have been created. In addition, members of the APA Technical Committee regularly participate in meetings. A working group on legal aspects has already been set up by order of the Prime Minister.
Risk 2 : Health situation with the pandemic COVID-19 and any other emerging health situation	All outcomes & outputs	M	L	L					=	Currently, we are witnessing the lifting of barrier measures and the vaccination campaign against COVID 19
Risk 3: Political stability and security issues under control	All outcomes & outputs	H	L	L					=	The political situation has improved and the security situation is gradually improving
Risk4: Timely mobilisation of experts and funds	All outcomes & outputs		M	H					↑	This change is due to the withdrawal of the first international expert recruited, the death of the second international expert recruited and the delay in the disbursement of funds. These delay the completion of activities.

Risk 5: CSOs and other stakeholders' commitment to maintain their effective participation in all aspects of project implementation	All outcomes & outputs	L	L	L						=	All project stakeholders expressed their commitment to accompany the project throughout its implementation. They requested that the project involve them as much as possible from the beginning to the end of its implementation period.
Risk 6: Lengthy administrative processes and political decision making	All outcomes & outputs		M	L						↓	This risk did not arise during the reporting period.
Risk 7: Extended process of formulation, validation, adoption of all the policy, administrative and legislative instruments	Outcome 1 & output 1.2	H	H	H						=	With the delay in the availability of funds, this risk still remains.
Risk 8: Non respect of the co-financing pledges	All outcomes & outputs	M	L	L						=	Commitments to co-financing are respected
Risk 9: Internal reorganization/staff turnover within key agencies involved in the implementation of the project, especially within the CNEDD and central government directorates of relevant ministries involved in the project	All outcomes & outputs	H	L	L						=	Since the start of the project, no reorganization at the level of the CNEDD and other government institutions, in particular the ministries concerned and/or involved in the implementation of the project, has been observed..
Risk 10 : Désistement des experts	Outcome 1 & output 1.2			L						↑	The cases of withdrawal observed occurred before the contracts were signed by the experts. Currently, almost all experts work in their respective fields and the risk of withdrawal is low.
Consolidated project risk			M	L						↓	Overall, the risk is Low because the majority of risks are low. However, the new risk that has arisen relates to the mobilization of funds at normal time, which can thus negatively impact the implementation of Project activities.

4.3 Table C. Outstanding Moderate, Significant, and High risks

List here only risks from Table A and B above that have a risk rating of **M or higher** in the current PIR

Risk	Actions decided during the previous reporting instance (PIR-1, MTR, etc.)	Actions effectively undertaken this reporting period	Additional mitigation measures for the next periods		
			What	When	By whom
Governance structure - Oversight	NA	Rescheduling of the second session of the Steering Committee after the second transfer of funds to the project	Maintaining communication with the Task Manager and the President of the Project Steering Committee for the rescheduling of the session	After the acquisition of funds following the second disbursement by UNEP	Project Management Unit (PMU), Task Manager and Chairman of the Steering Committee
Timely mobilisation of experts and funds	Implementation of those activities which do not require funds	Implementation of activities that do not require funds, Preparation of reports due on time and their timely submission, Notification to the Project Task Manager of the delay in disbursement of funds and its impact on the activities of the Project.	Increased communication with the Task Manager and the financiers in charge of the Project at UNEP level	2023	Project Management Unit (PMU)
Extended process of formulation, validation, adoption of all the policy, administrative and legislative instruments caused by cumbersome and slow administrative and parliamentary processes	Development of communication with stakeholders	Notification of late disbursement of funds to the project task manager	Increased communication with the Task Manager and the financiers in charge of the Project at UNEP level	2023	Project Management Unit (PMU)

High Risk (H): There is a probability of greater than 75% that **assumptions** may fail to hold or materialize, and/or the project may face high risks
Significant Risk (S): There is a probability of between 51% and 75% that **assumptions** may fail to hold and/or the project may face substantial risks.
Moderate Risk (M): There is a probability of between 26% and 50% that **assumptions** may fail to hold or materialize, and/or the project may face only modest risks
Low Risk (L): There is a probability of up to 25% that **assumptions** may fail to hold or materialize, and/or the project may face only modest risks.

Project Minor Amendments

Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5% as described in Annex 9 of the Project and Program Cycle Policy Guidelines. Please tick each category for which a change occurred in the fiscal year of reporting and provide a description of the change that occurred in the textbox. You may attach supporting document as appropriate.

5.1 Table A: Listing of all Minor Amendment (TM)

Minor amendments	Changes
Results framework	No
Components and cost	No
Institutional and implementation arrangements	Yes
Financial management	No
Implementation schedule	Explain in table B
Executing Entity	No
Executing Entity Category	No
Minor project objective change	No
Safeguards	No
Risk analysis	Yes
Increase of GEF project financing up to 5%	No
Co-financing	No
Location of project activity	No
Other	No

Minor amendments
A budget revision has been proposed by the PMU and is awaiting validation by UNEP. Also, a change of approach was adopted; On the one hand, it concerns the completion of the study on the legal aspects by a working group set up by the Autorité following the withdrawal of the national legal expert and on the other hand, the identification of resource persons at the level of the Faculty of Science and Technology of the University of Niamey to conduct the study on valorization following the death of the international expert recruited. In addition to the risks identified in the project document, three other risks have been added.

5.2 Table B: History of project revisions and/or extensions (TM)

Version	Type	Signed/Approved by UNEP
Original Legal Instrument		
Amendment 1	Revision	No
Extension 1	Extension	No

Entry Into Force (last signature Date)	Agreement Expiry Date	Main changes introduced in this revision

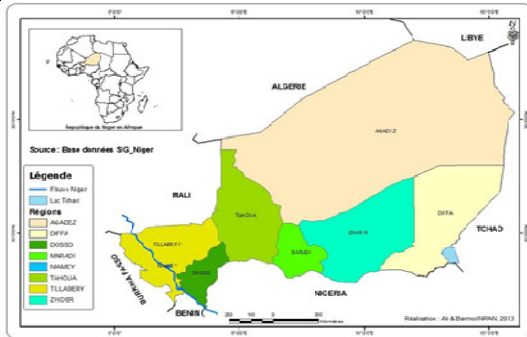
GEO Location Information:

The Location Name, Latitude and Longitude are required fields insofar as an Agency chooses to enter a project location under the set format. The Geo Name ID is required in instances where the location is not exact, such as in the case of a city, as opposed to the exact site of a physical infrastructure. The Location & Activity Description fields are optional. Project longitude and latitude must follow the Decimal Degrees WGS84 format and Agencies are encouraged to use at least four decimal points for greater accuracy. Users may add as many locations as appropriate. Web mapping applications such as [OpenStreetMap](https://www.openstreetmap.org/#map=4/21.84/82.79) (<https://www.openstreetmap.org/#map=4/21.84/82.79>) or GeoNames(<http://www.geonames.org/>) use this format. Consider using a conversion tool as needed, such as: <https://coordinates-converter.com> Please see the Geocoding User Guide by clicking [here](https://gefportal.worldbank.org/App/assets/general/Geocoding%20User%20Guide.docx)(<https://gefportal.worldbank.org/App/assets/general/Geocoding%20User%20Guide.docx>)

Location Name Required field	Latitude Required field	Longitude Required field	Geo Name ID Required field if the location is not an exact site	Location Description Optional text field	Activity Description Optional text field
Gaya (Dosso Region)	11°53.061' Nord	3°26.9514' Est	-	Gaya is a department of the Dosso Region of the Dosso Region. It is located about 150 km south of Dosso. It lies on the banks of the Niger River. It is also a border town between Niger and Benin and close to Nigeria .	Collects data for the realization of community protocols

Birnin Konni (Tahoua Region)	13°47'45" Nord	5°15'00" Est	-	Birnin Konni is part of the departments of the Tahoua Region, located immediately north of the border with Nigeria and west of the seasonal Maggia River.	Collects data for the realization of community protocols

Please provide any further geo-referenced information and map where the project interventions is taking place as appropriate. *



[Annex any linked geospatial file]