



Enabling Activity Project Implementation Report

(01 July 2021 – 30 June 2022)

Project Title:	<i>National action plan for the artisanal and small-scale gold mining sector in the Plurinational State of Bolivia</i>
GEF ID:	10310
UNIDO ID:	180123
GEF Replenishment Cycle:	GEF-7
Country(ies)	<i>Plurinational State of Bolivia</i>
Region:	<i>LAC - Latin America and Caribbean</i>
GEF Focal Area:	<i>Chemicals and Waste (CW)</i>
Implementing Department/Division:	<i>ENV / MCM</i>
Executing Agency(ies):	<i>Ministry of Environment and Water, Ministry of Mining and Metallurgy, Ministry of Health, Fundación Medmin</i>
Project Duration (months):	24
Extension(s):	1
GEF Project Financing:	500,000 USD
Agency Fee:	47,500 USD
Co-financing Amount:	31,000 USD
Date of EA Approval:	7/7/2020
UNIDO Approval Date:	8/28/2020
Actual Implementation Start Date:	9/14/2020
Cumulative disbursement as of 30 June 2022:	195,251.44
Original Project Completion Date:	12/30/2022
Project Completion Date as reported in FY21:	9/30/2022
Current SAP Completion Date:	12/31/2023
Expected Project Completion Date:	12/31/2023
Expected Financial Closure Date:	6/30/2024
UNIDO Project Manager¹:	<i>Mr. Jérôme Stucki</i>

¹ Person responsible for report content

I. Overview of project status

Please refer to the explanatory note at the end of the document and select corresponding ratings for the current reporting period, i.e. FY22. Please also provide a short justification for the selected ratings for FY22.

In view of the GEF Secretariat's intent to start following the ability of projects to adopt the concept of adaptive management², Agencies are expected to closely monitor changes that occur from year to year and demonstrate that they are not simply implementing plans but modifying them in response to developments and circumstances. In order to facilitate with this assessment, please introduce the ratings as reported in the previous reporting cycle, i.e. FY21, in the last column.

Overall Ratings ³	FY22	FY21
Global Environmental Objectives (GEOs) / Development Objectives (DOs) Rating	<i>Moderately Satisfactory (MS)</i>	<i>Unsatisfactory (U)</i>
<i>There is a renewed interest in working on mercury-related matters in the country following a formal complaint by the United Nations Rapporteur on the impacts of mercury used in ASGM in Bolivia. The main government institutions have re-prioritized this topic in their agendas and this should have a positive impact in the project activities.</i>		
Implementation Progress (IP) Rating	<i>Moderately Unsatisfactory (MU)</i>	<i>Unsatisfactory (U)</i>
<i>While the contracts with the three co-executing ministries are still on hold due to the administrative requirements, the contract with the co-executing entity Fundación Medmin was signed. Therefore, the activities envisaged under output 1.2 have formally started including (i) developing strategies to engage stakeholders and (ii) elaborating the baseline scenario on ASGM in the country.</i>		
Overall Risk Rating	<i>Moderate Risk (M)</i>	<i>Moderate Risk (M)</i>
<i>The risk rating remains the same as in FY21. The mining cooperatives in Bolivia have a high political power and could jeopardize the development of the NAP.</i>		

1. Using the previous reporting period as a basis, please elaborate on progress, challenges and outcomes of **project implementation activities**.

As indicated in the previous PIR, the project had faced several delays due to (i) COVID-19; (ii) change in the government and (iii) request to re-issue a call for proposals to identify the fourth project co-

² Adaptive management in the context of an intentional approach to decision-making and adjustments in response to new available information, evidence gathered from monitoring, evaluation or research, and experience acquired from implementation, to ensure that the goals of the activity are being reached efficiently

³ Please refer to the explanatory note at the end of the document and assure that the indicated ratings correspond to the narrative of the report

executing entity.

While there is still no UNIDO Representative in Bolivia, a national coordinator for the country programme has been appointed which has proven useful to ease the coordination required at the local level.

The three contracts with the co-executing ministries are still pending due to the legal and administrative requirements. It is expected that these will be signed in Q3 2022.

The main progress has been (i) the conformation of the Project Steering Committee (CDN in Spanish) and (ii) the elaboration of a work plan for the project that has been circulated and validated by all stakeholders.

Furthermore, the available secondary information on ASGM in the country is being compiled in order to identify the main data gaps and design the field methodology. The capacity building activities on "Developing Baseline Estimates of Mercury Use in Artisanal and Small-Scale Gold Mining Communities: A Practical Guide" started in July 2022 and the field visits are expected to start in August 2022.

2. Please elaborate on progress, challenges and outcomes of stakeholder engagement, using the previous reporting period as a basis.

The stakeholder engagement with institutional authorities is still challenging. Formal communications are required for minor decisions adding a substantial delay every time there is a need for inputs or feedback. This will be mitigated once the pending contracts are signed and a national coordinator is recruited within the Ministry of Environment and Water.

At least five (5) coordination meetings with the CDN have been held in the reporting period.

Fundación Medmin is currently part of the Grupo Inter-Institucional de Trabajo sobre Oro Responsable (GIT-OR) whose main objective is to promote responsible mining in order to reduce the negative social and environmental impacts of this activity. The network is engaged with the project and will contribute with inputs to the development of the NAP.

3. Using the previous reporting period as a basis, please report on the progress achieved on implementing gender-responsive measures, as documented in the project document.

The work plan developed integrates gender-related aspects both in the team structure as well as on the activities to be conducted. There is a gender specialist within the team that will be in charge of mainstreaming gender into the National Action Plan (NAP).

4. Using the previous reporting period as a basis, please elaborate on any knowledge activities / products, as outlined in the project document.

The team from Fundación Medmin has compiled secondary information on ASGM in Bolivia generating a broad repository available in physical and digital means that allows for the generation of a baseline scenario on ASGM in the country.

II. Minor Amendments

1. Please briefly elaborate on any **minor amendments**⁴ to the approved project that may have been introduced during the reporting period or indicate as not applicable (NA).

Please tick each category for which a change has occurred and provide a description of the change in the related textbox. You may attach supporting documentation, as appropriate.

<input type="checkbox"/>	Results Framework	NA
<input type="checkbox"/>	Components and Cost	NA
<input type="checkbox"/>	Institutional and Implementation Arrangements	NA
<input type="checkbox"/>	Financial Management	NA
<input checked="" type="checkbox"/>	Implementation Schedule	<i>The project has requested an extension due to the delays faced.</i>
<input type="checkbox"/>	Executing Entity	NA
<input type="checkbox"/>	Executing Entity Category	NA
<input type="checkbox"/>	Minor Project Objective Change	NA
<input type="checkbox"/>	Safeguards	NA
<input type="checkbox"/>	Risk Analysis	NA
<input type="checkbox"/>	Increase of GEF Project Financing Up to 5%	NA
<input type="checkbox"/>	Co-Financing	NA
<input type="checkbox"/>	Location of Project Activities	NA
<input type="checkbox"/>	Others	NA

III. Project Risk Management

1. Please indicate any implication of the **COVID-19** pandemic on the progress of the project.

During 2021 and 2022 there were no impacts of the COVID-19 pandemic on the progress of the project.

2. Please clarify if the project is facing delays and is expected to request an **extension**.

No further extensions are required at this stage. The situation will be reassessed in the next PIR Exercise based on the project progress.

⁴ As described in Annex 9 of the *GEF Project and Program Cycle Policy Guidelines*, **minor amendments** are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5%.

EXPLANATORY NOTE

1. **Timing & duration:** Each report covers a twelve-month period.
2. **Responsibility:** The responsibility for preparing the report lies with the project manager in consultation with the division chief and director.
3. **Evaluation:** For the report to be used effectively as a tool for annual self-evaluation, project counterparts need to be fully involved. The (main) counterpart can provide any additional information considered essential, including a simple rating of project progress.
4. **Results-based management:** The annual project/programme progress reports are required by the RBM programme component focal points to obtain information on outcomes observed.

Global Environmental Objectives (GEOs) / Development Objectives (DOs) ratings	
Highly Satisfactory (HS)	Project is expected to achieve or exceed <u>all</u> its major global environmental objectives, and yield substantial global environmental benefits, without major shortcomings. The project can be presented as "good practice".
Satisfactory (S)	Project is expected to <u>achieve most</u> of its <u>major</u> global environmental objectives, and yields satisfactory global environmental benefits, with only minor shortcomings.
Moderately Satisfactory (MS)	Project is expected to <u>achieve most</u> of its major <u>relevant</u> objectives but with either significant shortcomings or modes overall relevance. Project is expected not to achieve some of its major global environmental objectives or yield some of the expected global environmental benefits.
Moderately Unsatisfactory (MU)	Project is expected to achieve <u>some</u> of its major global environmental objectives with major shortcomings or is expected to <u>achieve only some</u> of its major global environmental objectives.
Unsatisfactory (U)	Project is expected <u>not</u> to achieve <u>most</u> of its major global environmental objectives or to yield any satisfactory global environmental benefits.
Highly Unsatisfactory (HU)	The project has failed to achieve, and is not expected to achieve, <u>any</u> of its major global environmental objectives with no worthwhile benefits.

Implementation Progress (IP)	
Highly Satisfactory (HS)	Implementation of <u>all</u> components is in substantial compliance with the original/formally revised implementation plan for the project. The project can be presented as "good practice".
Satisfactory (S)	Implementation of <u>most</u> components is in substantial compliance with the original/formally revised plan except for only few that are subject to remedial action.
Moderately Satisfactory (MS)	Implementation of <u>some</u> components is in substantial compliance with the original/formally revised plan with some components requiring remedial action.
Moderately Unsatisfactory (MU)	Implementation of <u>some</u> components is <u>not</u> in substantial compliance with the original/formally revised plan with most components requiring remedial action.
Unsatisfactory (U)	Implementation of <u>most</u> components is <u>not</u> in substantial compliance with the original/formally revised plan.
Highly Unsatisfactory (HU)	Implementation of <u>none</u> of the components is in substantial compliance with the original/formally revised plan.

Risk ratings	
Risk ratings will assess the overall risk of factors internal or external to the project which may affect implementation or prospects for achieving project objectives. Risk of projects should be rated on the following scale:	
High Risk (H)	There is a probability of greater than 75% that assumptions may fail to hold or materialize, and/or the project may face high risks.
Substantial Risk (S)	There is a probability of between 51% and 75% that assumptions may fail to hold or materialize, and/or the project may face substantial risks.
Moderate Risk (M)	There is a probability of between 26% and 50% that assumptions may fail to hold or materialize, and/or the project may face only moderate risk.
Low Risk (L)	There is a probability of up to 25% that assumptions may fail to hold or materialize, and/or the project may face only low risks.

