

GEF-FUNDED ENABLING ACTIVITY PROJECT

Development of National Action Plan for Artisanal and Small Scale Gold Mining in Guinea and Niger

GEF ID 9547

Project Operational Completion Report

Reporting period: project start January 2017 – project operational completion December 2020

Prepared for UN Environment Programme

and Centre African Pour La Sante Environnementale (CASE) and Ministere De L'environnement et du Developpement Durable, Niger

SIGNATURES	
Name of Project Manager (Executing Agency): Dominique Bally Kpokro Ali Seydou Moussa	Signature Date:____/ April /2023 Date:____/ April /2023
Name of Task Manager: Ines Benabdallah	Signature Date:____/ April /2023
Copied to the Following:	
Name of Fund Management Officer: Anuradha Shenoy	Date of Copy Sent:____/ April /2023
Name of Portfolio Manager: Ludovic Bernaudat	Date of Copy Sent:____/ April /2023
Name of Head of Branch (or head of unit accountable within UNEP for the project): Jacqueline Alvarez	Date of Copy Sent:____/ April /2023

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SIGNATURES	
Name of Project Manager (Executing Agency)	Signature
Dominique Bally Kpokro	
	Date <u>24</u> / April /2023
Ali Seydou Moussa	
	Date <u>11</u> / April /2023
Name of Task Manager	Signature
Ines Benabdallah	
	Date ____ / April /2023
Copied to the Following	
Name of Fund Management Officer:	Date of Copy Sent ____ / April /2023
Anuradha Shenoy	
Name of Portfolio Manager	Date of Copy Sent ____ / April /2023
Ludovic Bernaudat	
Name of Head of Branch (or head of unit accountable within UNEP for the project)	Date of Copy Sent ____ / April /2023
Jacqueline Alvarez	



Project Information Table

Identification Table			
Project Title		Development of National Action Plan for Artisanal and Small Scale Gold Mining in Guinea and Niger	
Duration months	<i>Planned</i>	24	
	<i>Extension(s)</i>	45	
Division(s) Implementing the project		UNEP, Economy Division, Chemicals and Health Branch, GEF Chemicals and Waste Unit	
Name of Co-implementing Agency		N/A	
Executing Agency(ies)		Centre African Pour La Sante Environnementale (CASE) and Ministere De L'environnement et du Developpement Durable, Niger	
Names of Other Project Partners			
Project Type		Enabling Activity	
Project Scope		National Action Plan	
Region		Africa	
Countries		Guinea and Niger	
Programme of Work		5(a) PoW 2016-2017 – countries increasingly have the necessary institutional capacity and policy instruments to manage chemicals and waste soundly, including the implementation of related provisions in the multilateral environmental agreements”. Output 2 Secretariat support provided to the INC to prepare the Minamata Convention on Mercury during the interim period, prior to its entry into force.	
GEF Focal Area(s)		Chemicals and Waste	
UNSDCF / UNDAF linkages		The project is consistent with the UN Cooperation Framework in that it is nationally owned, and based in national development priorities, the 2030 Agenda and the principles of the UN Charter. It supports national stakeholders to reach the SDGs in an integrated manner, with a commitment to leave no one behind, human rights and other international standards and obligations.	
Link to relevant SDG target(s) and SDG indicator(s)		12.4.1: number of parties to international multilateral environmental agreements on hazardous waste, and other chemicals that meet their commitments and obligations in transmitting information as required by each relevant agreement.	
GEF financing amount		\$1,000,000	
Co-financing amount		0	
Date of CEO Endorsement		4 August 2016	
Start of Implementation		13 March 2017	
Date of first disbursement		9 January 2017	
Total disbursement as of 31 Jan 2023		\$1,000,000	
Total expenditure as of 31 Jan 2023		\$1,000,000	
Expected Mid-Term Review Date		N/A	
Completion Date	<i>Planned</i>	31 October 2018	

	<i>Revised</i>	31 December 2022
Expected Terminal Evaluation Date		June 2022
Expected Financial Closure Date		April 2023

Name of previous phase/preceding project	N/A
Anticipated future phase/future related project	N/A

Geo-referenced Maps

N/A

Abbreviations and Technical Terms

Abbreviation/Technical Term	Definition
CASE	Centre African Pour La Sante Environnementale
EA	Expected Accomplishment
EOU	Evaluation Office of UNEP
GE	Green Economy
GEF	Global Environment Facility
NAP	National Action Plan
MoU	Memorandum of Understanding
MTR	Mid Term Review
NGO	Non-Governmental Organisation
PoW	Programme of Work
PRC	Project Review Committee
ProDoc	Project Document
SC	Sustainable Consumption
SD	Sustainable Development
SDG	Sustainable Development Goals
ToC	Theory of Change
ToR	Terms of Reference
UNDAF	United Nations Development Assistance Framework
UNEP	United Nations Environment Programme
UNSDCF	United Nations Sustainable Development Cooperation Framework

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1. Project Description and Implementation Arrangements

The goal of the project was to contribute to the implementation of the Minamata Convention through the reduction of the risks posed by the unsound use, management and releases of mercury in the ASGM sectors. This goal contributes to the GEF focal area strategy 1 of the chemicals waste area which is “Develop the enabling conditions, tools and environment to manage harmful chemicals and wastes”.

The project objective was to protect human health and the environment from the risks posed by the emissions and releases to the environment of mercury from artisanal and small-scale gold mining and processing in Guinea and Niger by developing NAPs in compliance with Annex C of the Minamata Convention. This includes planning for a variety of policy and market-based tools to assist in supporting and developing the ASGM sector into a viable and sustainable economic activity, which is recognized by the Minamata Convention as an important component of NAPs for ASGM.

The project framework followed the guidance document on the development of a national strategic plan developed by the UNEP Global Mercury Partnership and revised on the basis of experience in its usage. The guidance has been developed with the intention of addressing ASGM in a holistic manner and includes a review of legal, educational, economic, regulatory and enforcement frameworks, and provides guidance on developing budgets and workplans and identifying potential sources of funding and partners. The NAP guidance will be submitted to the Conference of the Parties for consideration and possible adoption.

The project was executed by the Centre Africain pour la Santé Environnementale (CASE) in the Republic of Guinea and the Ministre de L’Environnement de la Salubrité Urbaine et du Développement Durable in Niger in the Republic of the Niger.

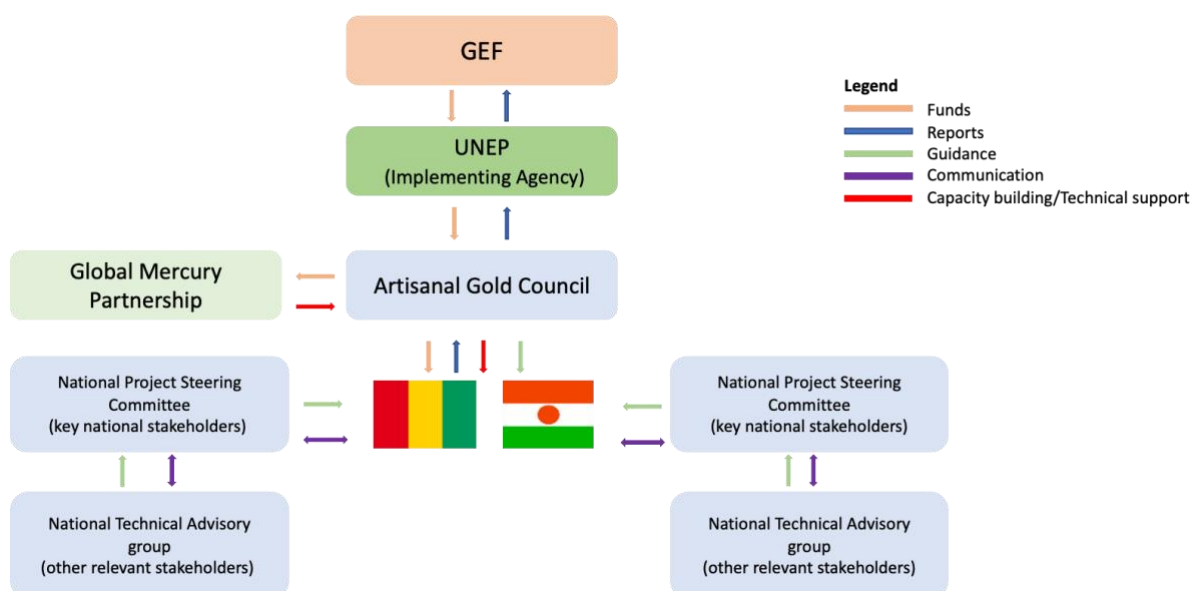


Figure 1. Project Implementation Structure

The project had two extensions due to delays in the reception of the first instalment of the project by the government of Niger, collection of data for AGM inventories due to security reasons (particularly terrorist threat) in some ASGM regions of Niger, reception of the second

and third instalment for Niger due to administrative issues linked with the currencies and the official closure of ASGM activities for at least 3 months (July to October) every year in the Liptako-Gourma region.

2. Executing Agency Performance and Capacity

The project had been initially planned with one Executing Agency, the African Centre for Environmental Health, however this arrangement had to be modified when the Government of Niger were not able to receive funds from non-resident NGOs. A separate agreement was then signed with the Ministry of Environment, Urban Sanitation and Sustainable Development in Niger.

This change in executing arrangements caused a significant delay that contributed to the project being extended, as it also added complications with currency exchange values and bank transfers as well as an increase in administrative workload. However, it also allowed for a more targeted approach to project execution by having teams in both countries.

Quarterly expenditure reports were consistent. Six-monthly progress reports did not follow the Output/Activity format of the project document, and some were missing, however, the level of detail was very good. Final expenditure report submission for Niger was delayed with the report received in January 2023.

Communication between Project Managers and UNEP was reported to be good by both executing and implementing agencies.

All project deliverables were completed and submitted to UNEP, with both NAPs endorsed by the respective Governments of Guinea and Niger. The Guinea National Action Plan has also been submitted to the Minamata Secretariat in 2021.

3. Summary of Results Achieved (Tables)

Table 1: Achievement of Outcome(s)

Project objective and Outcomes	Description of indicator	Baseline level	Mid-term target	End-of-project target	End of Project Progress Rating
Objective To protect human health and the environment from the risks posed by the emissions and releases to the environment of mercury from artisanal and small-scale gold mining and processing in Guinea and Niger by developing NAPs in compliance with Annex C of the Minamata Convention	Completion of outcomes	N/A	N/A	NAP completed and validated	Satisfactory
Outcome 1: <i>Global Technical Support for NAP development</i>	Trainings conducted	N/A	N/A	Technical team trained	Satisfactory
Outcome 2: <i>Endorsement and submission of the National Action Plans to the Minamata Secretariat</i>	Draft Complete NAP Draft Validated NAP Endorsed	N/A	N/A	Draft NAP Complete NAP endorsed by Government	Highly Satisfactory

Table 2: Delivery of Output(s)

Outputs	Expected completion date	End of Project Implementation status (%)	Comments if variance. Describe any problems in delivering outputs	End of Project Progress Rating
Output 1.1: Training and guidance provided to relevant national stakeholders in Guinea and Niger to develop and implement a NAP as per Annex C of the Minamata Convention				
Activity 1.1.1 Organize regional inception and training workshop	May 2018	100%		Satisfactory
Activity 1.1.2 Development of a roster of experts and collection of tools and methodologies for NAP development	2018	100%		Satisfactory
Activity 1.1.3 Capacity building trainings including ASGM and mercury inventory baselining and monitoring	July 2018	100%		Satisfactory
Activity 1.1.4 Knowledge management and information exchange through the Global Mercury Partnership website and/or Partners websites and tools	Nov 2020	100%		Satisfactory
Activity 1.1.5 Final regional workshop to identify lessons learned and opportunities for future cooperation in the NAP implementation. A gender session will be included in the workshop agenda	Nov 2020	100%		Highly Satisfactory
Output 1.2: Draft NAP developed as per Annex C of the Minamata Convention				
Activity 1.2.1 National Inception workshops to (i) develop ToRs for the National Coordination Mechanism and Stakeholder Advisory Group; (ii) agree on the budget allocation and workplan for the project; and finally (iii) develop an awareness raising strategy on mercury use in ASGM and its environmental and health impacts to be implemented throughout the whole project	May 2018	100%		Highly Satisfactory
Activity 1.2.2 Development of the national overview of the ASGM sector according to the NAP guidance by local teams	Nov 2019	100%		Highly Satisfactory

Outputs	Expected completion date	End of Project Implementation status (%)	Comments if variance. Describe any problems in delivering outputs	End of Project Progress Rating
Activity 1.2.3 Organize national workshops to develop the draft NAP and a roadmap for NAP endorsement and submission to the Minamata Secretariat	March 2020	100%		Highly Satisfactory
Output 2.1: Technical support provided to participating countries to facilitate the NAP endorsement and submission to the Minamata Secretariat.				
Activity 2.1.1 Design and conduct national workshops targeting vulnerable groups and miners to complete the final NAPs and to expose the formulated NAPs on ASGM to public consultation and endorsement	Sept 2020	100%		Highly Satisfactory
Activity 2.1.2 Design and conduct national workshops targeting appropriate national decision makers that are decisive to NAP endorsement and official submission to the Minamata Secretariat	Dec 2020	100%		Highly Satisfactory

4. Implementation Challenges and Adaptive Management

Challenge Encountered	Action Taken
The initial execution arrangement agreed on between parties stipulated that CASE executes the project in both countries (Niger and Guinea). However, and soon after parties concluded the project cooperation agreement, the government of Niger informed of new national policies limiting government entities from receiving funds from non-resident NGOs, which was the case for CASE. This was despite signing the endorsement letter and agreeing on the arrangements at the early stages of project design.	UNEP altered the execution arrangement and concluded a direct execution agreement with the government of Niger through the Ministry of Environment. Several delays followed due to difficulties sending funds to the government of Niger (initial account setup and administrative limitations linked with the currency exchanges).
Delays at the inventory work due to security reasons (particularly terrorist threat) in some ASGM regions of Niger.	Project consultants did not travel to high-risk areas
Closure of gold panning sites for the winter season through a ministerial decree (Niger)	Delay data collection at sites so consultants can work within state legal periods of practice
COVID-19	Finalizing reports and validation workshop were delayed because of travel restrictions.

5. Project Costs and Financing

Table 2: Project Total Funding¹ and Expenditures

Funding by source (Life of project)	Planned funding	Secured funding	Expended
<i>All figures as USD</i>			
GEF Grant	\$1,000,000	\$1,000,000	\$1,000,000
<i>Sub-total: Project Funding</i>			
Staffing (Total throughout the project)	Planned posts	Filled posts	-
<i>All figures as Full Time Equivalents</i>			
GEF grant-funded staff post costs	\$55,500	\$55,500	
Co-finance funded staff post costs			

¹ "Enabling Activities: The Guidance has been clarified to confirm that *co-financing is not required for EAs*, that PPGs are not available for EAs, and that M&E budgets are not required as these costs do not apply to EAs. " pg.33, GUIDELINES ON THE PROJECT AND PROGRAM CYCLE POLICY (GEF/C.59/Inf.03) July 2020

Table 3: Expenditure by Component, Outcome or Output (depending on financial system capabilities)

Component/sub-component/output All figures as USD	Estimated cost at design	Actual Expenditure	Expenditure ratio (actual/planned)
Component 1 / Outcome 1	\$781,592	\$781,592	1
Component 2 / Outcome 2	\$92,500	\$92,500	1
PMC	\$90,908	\$90,908	1
M&E	\$35,000	\$35,000	1
Total	\$1,000,000	\$1,000,000	1

6. Stakeholder Engagement and Capacity Development

The international stakeholders that participated in this project included the Minamata Convention Secretariat and the Global Mercury Partnership, who were involved in capacity development and knowledge management activities.

The National stakeholders included Ministries of Environment, Agriculture, Health, Mines, Trade, Finance, Industry, Population (responsible for human rights issues with regards to health, women and children rights), environmental NGOs, Goldminers National Association, research institutes and miner’s unions. These stakeholders conformed the National Steering Committee and adequately represented the sectors involved in artisanal and small-scale gold mining. The Minister of Women’s Affaires had a very active participation in the project. Stakeholder interviews reflected a high engagement level and alignment with Government priorities.

Expert training seminars and workshops under Output 1 supported by the Global Mercury Partnership, contributed to strengthening the technical expertise already present in both countries and necessary to carry out the mercury inventory and monitoring required for the NAP.

7. Awareness Raising Activities

Output 1 included the development of an awareness raising strategy on mercury use in ASGM and its environmental and health impacts. Workshops took place in Abidjan, Côte d'Ivoire, where stakeholders of both Guinea and Niger were trained on implementing the communication strategy in the context of the NAP development; and the region of Kouroussa, Guinea, facilitated by the NGO Carbone Guinée. These activities were accompanied by press releases on the CASE website.

NAP validation workshops in Output 2 included awareness raising activities and were attended by project stakeholders as well as other miner’s groups and civil society organizations working on ASGM outside of the scope of the project.

The closing workshop for the NAP development project was held in Grand Bassam, Côte d'Ivoire. This workshop served to agree on lessons learned, exchange information and experiences to reduce the use of mercury in artisanal and small-scale gold mining.

8. Sustainability and the Scaling Up of Positive Results

This NAP project builds up from the Guinea – Niger Minamata Initial Assessment project implemented by UNIDO, showing continuous governmental support and benefiting from the technical capacity already present in both countries.

Financial sustainability remains one of the main challenges for countries to implement NAPs and access to international cooperation funds is integral to obtain necessary financing.

For Guinea, sustainability is likely as they are set to participate on the second phase of the planetGOLD programme addressing mercury use in artisanal and small-scale gold mining. Further planetGOLD activities in Guinea will also benefit Niger, as they can continue to share best practices and lessons learned and their regional priorities are aligned.

9. Incorporation of Human Rights and Gender Equality (GEF Portal Question)

Human rights and Gender Equality are incorporated in the NAPs with specific objectives and targets. In addition to proposing public health measures to protect women and children from the effects of mercury, the NAPs also note the role women play in ASGM.

NAPs seek to foster gender equality and reinforce women's leadership through capacity building for groups of women in terms of administrative management and financial resources of alternative revenue generating activities that do not entail mercury exposure, creation and support of women's groups on the ASGM sites as well as information and awareness raising activities addressing gender stereotypes, and reproductive health issues.

Regarding the project execution, women were well represented in the Steering Committee as Co-Chairs and representatives of the different Government Ministries. Equally, the Minister of Women's Affairs had a very active participation in the project. Attendance lists for workshops and trainings were also disaggregated by sex.

10. Environmental, Social and Economic Safeguards (GEF Portal Question)

There were no negative environmental impacts identified in the project document given the aim is to reduce mercury use in the ASGM sector and related emissions and releases by introducing more efficient and environmentally safer techniques. There were also no environmental impacts reported during project execution.

Regarding social and economic safeguards, risks were managed by ensuring constant participation and consultation of planned activities with local communities throughout project execution as they were active members of the Project Steering Committee.

11. Knowledge Management (GEF Portal Question)

Technical expertise and tools to facilitate the development of the NAP were provided by the Global Mercury Partnership and the Minamata Secretariat. This included a webinar on “MapX geospatial tool: Supporting National Action Plans for the Minamata Convention on Mercury” was organized in November 2020 to demonstrate the features and functionalities of MapX platform relevant for the NAP development and implementation.

The NAPs were validated in workshops with participation of a wide array of organizations, including some that were not part of the project. This is important as external organizations also benefited from sharing knowledge generated by the project.

12. Lessons Learned (GEF Portal Question – Main Findings)

The security situation in the Northern region of Niger, where it was advised not to carry out activities and difficulty in travelling within regions of Guinea because of the condition of the roads posed challenges for the execution of the project. Even with these challenges, the project was completed successfully thanks to the commitment of its participants.

Adaptative management was crucial in resolving the issues with funds transferred to Niger. Responsiveness of UNEP’s Task Manager to provide direction with progress and expenditure reporting, as well as requesting funds was found to be an important factor contributing to the project’s success. This is especially important when working with Executing Agencies or personnel new to executing projects with UNEP.

Financial sustainability remains one of the main challenges for countries to implement NAPs and access to international cooperation funds is integral to obtain necessary financing. For Guinea, sustainability is likely as they are set to participate on the second phase of the PlanetGOLD programme addressing mercury use in artisanal and small-scale gold mining.

The project team built a good relationship with the mining communities, this was an essential factor in the success of the project, highlighting the importance of engagement with stakeholders at local and national level. The NAPs for both Guinea and Niger have been endorsed by the Government within the implementation period of the project. This shows that reducing mercury use is a priority for the governments and members of the steering committee.

13. Recommendations

Recommended action by Project Team: Take seasonal conditions into account when planning project activities. Executing Agencies in countries with a significant rainy season should prepare or modify their workplan accordingly, in order to minimize activity delays caused by lack of access to project sites because of poor travel conditions.

Recommended action by Partners and Branch: Consult Country Officials during project planning discussions to ensure planned project fund administration is in line with the country’s regulation. Proposed Executing Agency must be able to receive and manage project funds as per project execution plan.

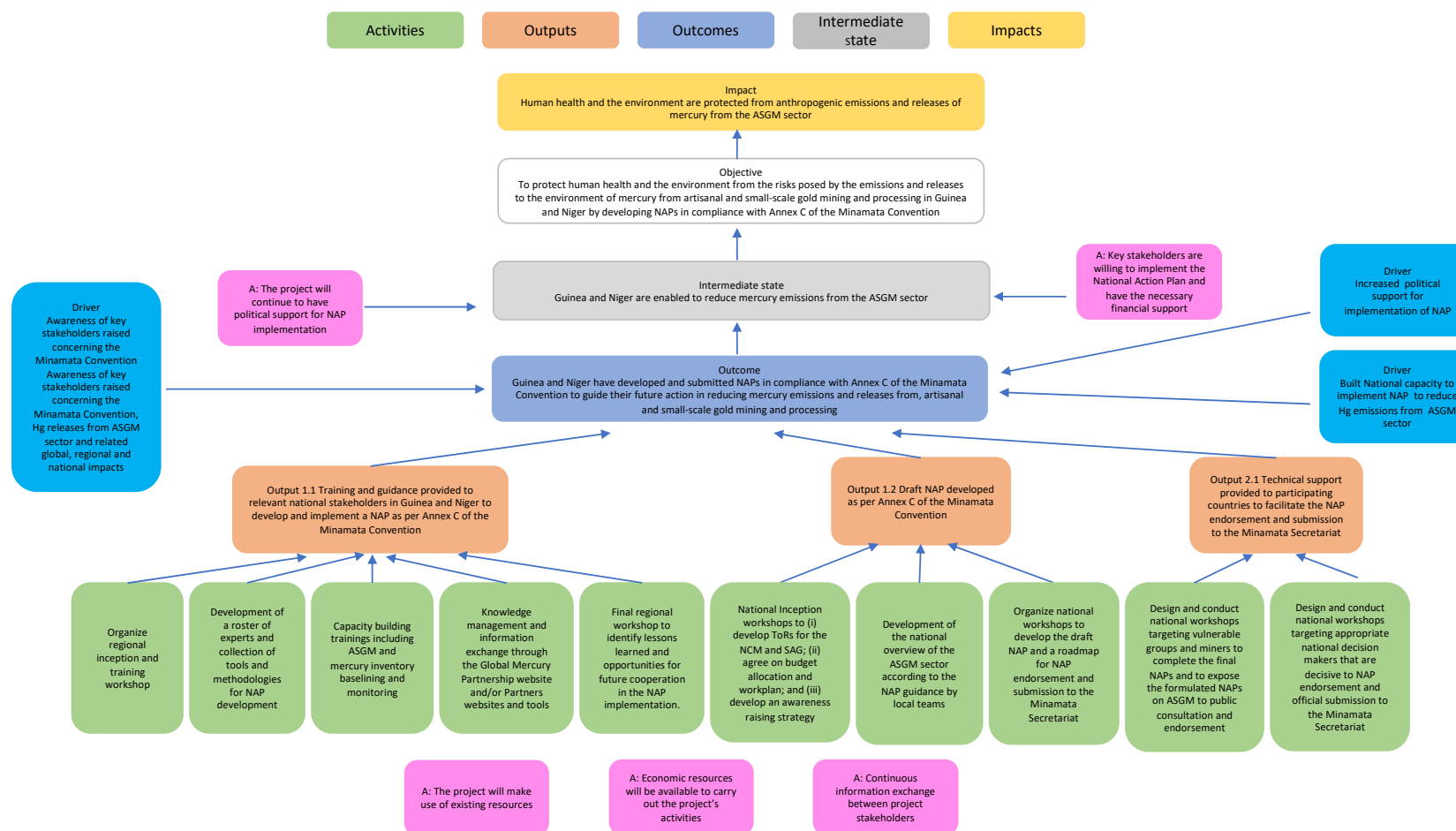
Annexes

Annex 1 Logical Framework and Theory of Change diagram

Logical Framework

Project outcome: Guinea and Niger have developed and submitted NAPs in compliance with Annex C of the Minamata Convention to guide their future action in reducing mercury emissions and releases from, artisanal and small-scale gold mining and processing.			
Project objective: To protect human health and the environment from the risks posed by the emissions and releases to the environment of mercury from artisanal and small-scale gold mining and processing in Guinea and Niger by developing NAPs in compliance with Annex C of the Minamata Convention.			
Project Component	Project Outputs	(in \$)	
		GEF Project Financing	Confirmed Co-financing ¹
1. Global Technical Support for NAP development	1.1 Training and guidance provided to relevant national stakeholders in Guinea and Niger to develop and implement a NAP as per Annex C of the Minamata Convention 1.2 Draft NAP developed as per Annex C of the Minamata Convention	781,592	0
2. Endorsement and submission of the National Action Plans to the Minamata Secretariat	2.1 Technical support provided to participating countries to facilitate the NAP endorsement and submission to the Minamata Secretariat.	92,500	0
	Monitoring and Evaluation	35,000	
	Subtotal	909,092	0
	Project Management Cost ²	90,908	0
	Total Project Cost	1,000,000	0

Theory of Change



Annex 2 Planned Multi-Year Budget

ANNEX F: BUDGET BY PROJECT COMPONENT AND UNEP BUDGET LINES												
RECONCILIATION BETWEEN GEF ACTIVITY BASED BUDGET AND UNEP BUDGET BY EXPENDITURE CODE (GEF FINANCE ONLY)												
Project No:								Total GEF funding:	1,095,000			
Project Name:					Development of National Action Plans for Artisanal and Small Scale Gold Mining in Guinea and Niger			IA fee (9.5%):	95,000			
Executing Agency:					CASE			Project	1,000,000			
Source of funding (noting whether cash or in-kind):					GEF Trust Fund Cash							
					BUDGET ALLOCATION BY PROJECT COMPONENT/ACTIVITY				ALLOCATION BY CALENDAR YEAR			
					Component 1	Component 2	Project Management	Monitoring and Evaluation	Total	Year 1	Year 2	Total
					Global technical support for NAP development	Endorsement and submission of the National Action Plans to the Minamata Secretariat						
UNEP BUDGET LINE/OBJECT OF EXPENDITURE					US\$	US\$			US\$	US\$	US\$	US\$
10	PROJECT PERSONNEL COMPONENT											
	1100	Project Personnel										
	1161	1101	Project coordinator			30,500			30,500	15,250	15,250	30,500
	1161	1102	Project assistant					0	0	0	0	0
		1199	Sub-Total		0	30,500			30,500	15,250	15,250	30,500
	1200	Consultants w/m										
	1161	1201	Int'l consultant for inventory training and development or review	90,000	10,000				100,000	50,000	50,000	100,000
		1299	Sub-Total	90,000	10,000	0			100,000	50,000	50,000	100,000
	1300	Administrative Support										
	1161	1301	Project Financial Officer						0	0	0	0
	1600	Travel on official business (above staff)										
	1561	1601	Travel Project coordinator/project staff	24,092	5,000				29,092	14,546	14,546	29,092
		1699	Sub-Total	24,092	5,000	0			29,092	14,546	14,546	29,092
		1999	Component Total	114,092	15,000	30,500			159,592	79,796	79,796	159,592
20	SUB CONTRACT COMPONENT											
	2100	Sub contracts (UN Organizations)										
	2261	2101	UN Sub-contract	100,000					100,000	50,000	50,000	100,000
		2199	Sub-total	100,000					100,000	50,000	50,000	100,000
	2200	Sub contracts (SSFA, PCA, non-UN)										
	2261	2201	Sub contract for national implementation in Guinea	247,500	30,000	30,204			307,704	153,852	153,852	307,704
	2261	2202	Sub-contract for national implementation in Niger	247,500	30,000	30,204			307,704	153,852	153,852	307,704
		2299	Sub-total	495,000	60,000	60,408			615,408	307,704	307,704	615,408
		2999	Component total	595,000	60,000	60,408			715,408	357,704	357,704	715,408
30	TRAINING COMPONENT											
	3200	Group training (field trips, WS, etc.)										
	3302 and 3303	3201	Training on inventory development for the ASGM sector (incl. Provision of materials)	50,000					50,000	25,000	25,000	50,000
		3299	Sub-Total	50,000		0			50,000	25,000	25,000	50,000
	3300	Meetings/conferences										
	3302 and 3303	3302	Final national lessons learned workshop						0		0	0
	3302 and 3303	3303	Coordination meetings	7,000	1,000				8,000	4,000	4,000	8,000
		3399	Sub-Total	7,000	1,000	0	0		8,000	4,000	4,000	8,000
		3999	Component Total	57,000	1,000	0			58,000	29,000	29,000	58,000
40	EQUIPMENT and PREMISES COMPONENT											
	4100	Expendable equipment (under 1,500 \$)										
	4261	4101	Operational costs	1,500	500				2,000	1,000	1,000	2,000
		4199	Sub-Total	1,500	500	0			2,000	1,000	1,000	2,000
	4200	Non expendable equipment										
	4261	4201	Computer, fax, photocopier, projector	3,500	500				4,000	2,000	2,000	4,000
	4261	4202	Software	1,500	500				2,000	1,000	1,000	2,000
		4299	Sub-Total	5,000	1,000	0			6,000	3,000	3,000	6,000
		4999	Component Total	6,500	1,500	0			8,000	4,000	4,000	8,000
50	MISCELLANEOUS COMPONENT											
	5200	Reporting costs (publications, maps, NL)										
	5161	5201	Summary reports, visualization and diffusion of results	7,500	7,500				15,000		15,000	15,000
	5161	5202	Preparation of final report		7,000				7,000		7,000	7,000
		5299	Sub-Total	7,500	14,500	0			22,000	0	22,000	22,000
	5300	Sundry (communications, postages)										
	5161	5301	Communications (postage, bank transfers, etc)	1,500	500				2,000	1,000	1,000	2,000
		5302	Recovery of costs						0	0	0	0
		5399	Sub-total	1,500	500	0			2,000	1,000	1,000	2,000
	5500	Evaluation										
	5581	5501	Independent Terminal Evaluation				20,000		20,000		20,000	20,000
	5161	5502	Independent Financial Audit				15,000		15,000		15,000	15,000
		5599	Sub-Total		0	0	35,000		35,000		35,000	35,000
		5999	Component Total	9,000	15,000	0	35,000		59,000	1,000	58,000	59,000
		TOTAL		781,592	92,500	90,908	35,000		1,000,000	471,500	528,500	1,000,000

Annex 3 Final Financial Statement

Final Financial Statement Guinea

Final EXPENDITURES STATEMENT										
Project title:		Development of National Action Plan for Arsenal and Small Scale Gold Mining Guinea and Niger						CSE		
Project number:		9547								
Project implementing agency/organization:		United Nations Environment Programme								
Project implementation period:		From: 16-Jan-17		To:		01-Dec-20				
EXPLANATION FOR CSE EXPENDITURES										
		Budget		2020						
		CASE	Niger	UNEP	2017	2018	2019	Q1	Q2	Q3
B1**	Budget line description				15,240	11,430	3,830	-	-	-
1101	Project coordinator									
1102	Project assistant					25,000	65,000		10,000	
1201	International Consultant									
1202	Project assistant									
1301	Project Financial Officer									
1401	Travel Project coordinator/project staff				7,162	11,738	8,268			1,5
2101	UN Sub-contract (managed by UNEP)			100,000						
2201	Sub contract for national implementation in Guinea		307,704		123,204	102,000	82,200			
2202	Sub contract for national implementation in Niger			307,704			30,855			
3101	Training on inventory		50,000							
3202	Final national lessons learned workshop (Using the remaining									
3303	Coordination meetings		8,000			4,855	2,538			5
4101	Operational costs		2,000		1,020	980				
4201	Computer, fax, photocopier, projector		4,000		1,294	-	2,706			
4202	Software s		2,000		1,000		1,000			
5101	Summary reports, visualization & diffusion of results		15,000				5,000		5,000	
5202	Preparation of final report		7,000							3,500
5301	Communications		2,000			1,080	920			
5302	Recovery of costs									
5301	Independent Terminal Evaluation			20,000						
5302	Independent Financial Audit- Guinea		10,000	5,000						
5302	Independent Financial Audit- Niger									
	Total for current quarter		567,296	312,704	123,000	150,000	156,338	201,212	18,500	2,5

Name: Dominique Bahy YPOIENO
 Duly authorized official of executing Agency
 Date: 27/04/2021

Signature



Name: _____
 Project Manager
 Date: _____


Signature


Final Financial Statement Niger

Template_Final_expenditure_report

FINAL EXPENDITURE STATEMENT and UNLIQUIDATED OBLIGATIONS REPORT (US\$)							
Project title:		Development of National Action Plan for Artisanal and Small Scale Gold Mining Niger					
Project number:		9547					
Project implementing agency/organization:		United Nations Environment Programme					
Project implementation period:		From:	01-janv-18		To:	31-mars-21	
Reporting period:		From:	01-janv-18		To:	31-mars-21	
UNEP Budget Line	UNEP approved budget		Actual expenditures incurred*				
	Total project budget	Cummulative expenditures for 2018	Cummulative expenditures for 2019	Cummulative expenditures for 2020	Cummulative expenditures for 2021	Total cummulative expenditures to date	Cummulative unspent balance to-date
1101	Project coordinator	25 000	9 375	12 500	3 125	25 000	-
1102	Project assistant	-	-	-	-	-	-
1201	National Inventory Consultants	227 000	48 035	120 364	34 900	203 299	23 701
1202	Project assistant	-	-	-	-	-	-
1301	Project Financial Officer	5 204	1 953	2 604	651	5 208	(4)
1601	Travel Project coordinator/project staff	10 000	-	9 998	-	9 998	2
2101	UN Sub-contract	-	-	-	-	-	-
2202	Sub contract for national implementation in	-	-	-	-	-	-
3201	Training on inventory	15 000	15 000	-	-	15 000	-
3302	Final national lessons learned workshop	-	-	-	-	-	-
3303	Coordination meetings	9 000	6 000	6 250	3 900	16 150	(7 150)
4101	Operational costs	2 000	587	1 413	-	2 000	-
4201	Computer, fex, photocopier, projector	1 500	1 000	2 100	700	3 800	(2 300)
4202	Software	-	-	-	-	-	-
5201	Summary reports, vizualisation & diffusion c	9 000	2 000	6 600	10 400	19 000	(10 000)
5202	Preparation of final report	3 000	-	1 800	3 000	4 800	(1 800)
5301	Communications	1 000	200	1 200	1 900	3 300	(2 300)
5302	Recovery of costs	-	-	-	-	-	-
5501	Independent Terminal Evaluation	-	-	-	-	-	-
5502	Independent Financial Audit	5 000	-	-	5 000	5 000	-
99	GRAND TOTAL	312 704	84 150	164 829	58 576	5 000	312 555

*The actual expenditures should be reported in accordance with the specific budget lines of the approved budget (Appendix 1) of the project document in Annex 1
 **Budget Lines (BL) in this report shall be exactly as specified in the approved budget (Appendix 1) of the project.

Name:	SEYDOU MOUSSA ALI	Title:	DG DE L'ENVIRONNEMENT ET DU DD
Duly authorized official of Executing Division		Date:	30/01/2023
Signature:			



LE DIRECTEUR GÉNÉRAL

Annex 4 Inventory of Non- Expendable Equipment

Inventory of non-expendable equipment Guinea

Annex 6A. Non-expendable equipment_filled and signed.xls

APPENDIX 8A: INVENTORY OF NON-EXPENDABLE EQUIPMENT PURCHASED

UNIT VALUE US\$ 1,000 AND ABOVE

Project title: 9547 Development of National Action Plan for Artisanal and Small-Scale Gold Mining Guinea and Niger
 Project number: GFL-2329-pppp-nnnn
 Project executing partner: Centre Africain pour la Santé Environnementale (CASE)
 Project implementation period: From January-17 To December-20
 Report as at (ddmmyyy): 30-Jun-21

Description	Serial No.	Date of Purchase	Original Price (US\$)	Purchased/ Imported from (Name of Country)	Present Condition	Location	Remarks/ Recommendation for disposal
COMPUTER LENOVO	4Go de DD	12/05/2017	360				
COMPUTER DELL	DELL I7 1To SN: H2XJ402	12/05/2017	760				
COMPUTER LENOVO		17/10/2019	1000				
PRINTER HP	HP 2130 SN:CN 63 M 488XF	12/05/2017	60				
PRINTER HP	HP LaserJet PRO M125a SN: CNB6JJD52Q	12/05/2017	200				
PRINTER HP	HP ColorLaserJet PRO M180a	17/05/2019	800				
VIDEO PROJECTOR	EPSON	17/10/2019	700				
2 Disque dur 500Go	500Go	12/05/2017	120				
Total (as per Budget Line 4299)			<u>4,000.00</u>				

The physical verification of the items was done by:

Name: KPOKRO BALLY DOMINIQUE
 (duly authorized official of Executing Division)

Title: CHAIRMAN OF BOARD OF DIRECTORS

Signature: 

Date: 30/06/2021

Inventory of non-expendable equipment Niger

APPENDIX 8A: INVENTORY OF NON-EXPENDABLE EQUIPMENT PURCHASED

UNIT VALUE US\$ 1,000 AND ABOVE

Project title: _____
 Project number: S1-32GFL-000626 P1-33C
 - Programme des Nations Unies pour l'Environnement (PNUE) : Agence d'exécution
 - Centre Africain pour la Santé Environnementale (CASE) : Appui technique
 Project executing partner: -Fonds pour l'Environnement Mondial (FEM) : Appui financier
 Project implementation period: From FEVRIER 2018 To 30 Juin 2021
 Report as at (ddmmyyy): 30-Mar-22

Description	Serial No.	Date of Purchase	Original Price (US\$)	Purchased/ Imported from (Name of Country)	Present Condition	Location	Remarks/ Recommendation for disposal
Ordinateur portable marque HP Corei 7,8th Gen,Série:/ID4AL494A Pro	Ordinateur portable HP	24-Mar-18	1000	NIGER	Fair	Niamey	Peut etre affectué à la Direction Générale
Impimante HP Laser simple+ toner imprimante HP Laser P2035(05A)		4-May-18	521	NIGER	Bad	Niamey	Peut etre affectué à la Direction Générale
Total (as per Budget Line 4299)			<u>1,521.00</u>				

The physical verifaciton of the items was done by:

Name: SEYDOU MOUSSA Ali Signature: _____
 (duly authorized official of Executing Division)
 Title: Directeur Général de l'Environnement Date: 3/31/2022
et du Développement Durable

Annex 5 Definition of Ratings

All ratings on this report are based on the GEF Project and Program Cycle Policy document and used where applicable. Throughout this Operational Completion Report, it is a 6-point Likert scale ranging from Highly Unsatisfactory to Highly Satisfactory reviewing compliance with the original or revised implementation plans for the project. Below are descriptions of the ratings of the report:

Implementation Ratings:

Highly Satisfactory (HS): Implementation of all components is in substantial compliance with the original/formally revised implementation plan for the project. The project can be presented as “good practice”.

Satisfactory (S): Implementation of most components is in substantial compliance with the original/formally revised plan except for only a few that are subject to remedial action.

Moderately Satisfactory (MS): Implementation of some components is in substantial compliance with the original/formally revised plan with some components requiring remedial action.

Moderately Unsatisfactory (MU): Implementation of some components is not in substantial compliance with the original/formally revised plan with most components requiring remedial action.

Unsatisfactory (U): Implementation of most components is not in substantial compliance with the original/formally revised plan.

Highly Unsatisfactory (HU): Implementation of none of the components is in substantial compliance with the original/formally revised plan.