

GEF - PROJECT IMPLEMENTATION REPORT (PIR)

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UNEP GEF PIR Fiscal Year 2024
Reporting from 1 July 2023 to 30 June 2024

1 PROJECT IDENTIFICATION

1.1 Project Details

GEF ID: 10844	Umoja WBS: SB-022110
SMA IPMR ID: 139060	Grant ID: S1-32GFL-000846
Project Short Title: GEF-CW.10844. GOLD + Guinea	
Project Title: Global Opportunities for Long-term Development of ASGM in Guinea	
Duration months planned:	60
Duration months age:	12
Project Type:	Full Sized Project (FSP)
Parent Programme if child project:	10569
Project Scope:	National
Region:	Africa
Countries:	Guinea
GEF Focal Area(s):	Chemicals and Waste
GEF financing amount:	\$ 5,302,000.00
Co-financing amount:	\$ 11,997,141.00
Date of CEO Endorsement/Approval:	2022-12-09
UNEP Project Approval Date:	2022-02-20
Start of Implementation (PCA entering into force):	2023-05-31
Date of Inception Workshop, if available:	2023-04-17
Date of First Disbursement:	2023-07-05
Total disbursement as of 30 June 2024:	\$ 856,315.00
Total expenditure as of 30 June:	\$ 302,150.00

Midterm undertaken?:	No
Actual Mid-Term Date, if taken:	
Expected Mid-Term Date, if not taken:	2026-05-01
Completion Date Planned - Original PCA:	2028-04-30
Completion Date Revised - Current PCA:	
Expected Terminal Evaluation Date:	2029-04-30
Expected Financial Closure Date:	2029-10-31

1.2 Project Description

The project structure is built around 4 components that reflect the identified needs in terms of activities, outcomes, and key outputs. The components are:

1. The promotion of formalization in the national ASGM sector through the strengthening, awareness-raising, and support to mining organizations that will facilitate sustainable changes and enhance the organizational capacity of the targeted ASGM communities.
2. The provision of adequate financial solutions that can promote a competitive mercury-free gold market in the country, integrated in transparent and traceable international gold supply chains.
3. The introduction and adoption of efficient mercury-free gold processing technologies in the ASGM sector.
4. The fostering of dissemination and exchange of information initiatives at the national level and the international sphere in line with the planetGOLD programme outreach strategy.

The project plans to focus its activities in three administrative regions in Guinea – KanKan, Farana and Boké. In the KanKan region, the project will work in Siguiri, Mandiana and Kouroussa prefectures, which host the largest number of artisanal gold mining sites in Guinea who are using the most mercury across the country. In the Farana Region, the project will work in the Dinguiraye prefecture, which hosts the 4th largest number of artisanal gold mine sites and mercury usage. The project also plans to intervene in the Boké Zone, notably in the prefecture of Gaoual. This region and prefecture have been selected because of recent increase in ASGM activity in the area that have not been captured during the MIA and NAP process, as well as beliefs that there is a significant quantity of mercury being used in the area. The project will support a mercury assessment in the area and general baseline assessment prior to confirming this location for project activities.

It is noted that the ASGM sector in Guinea is prone to significant shifts and movements of miners from one location to another, depending on production rates and identification of new deposits in other areas. As such, while the initial target areas zones have been identified, the Project Steering Committee may revise these locations should there be significant shifts in ASGM activity in a particular zone (i.e. significant decrease/increase in mining activity and presence of artisanal gold miners).

1.3 Project Contacts

Division(s) Implementing the project	Industry and Economy Division
Name of co-implementing Agency	N/A
Executing Agency (ies)	Centre African Pour La Sante Environnement ale (CASE)
names of Other Project Partners	N/A
UNEP Portfolio Manager(s)	Kevin Helps
UNEP Task Manager(s)	Grace Halla
UNEP Budget/Finance Officer	Edward Aput
UNEP Support Assistants	Iñaki Rodriguez
Manager/Representative	Dominique Bally Kpokro
Project Manager	Ibrahima Sory Sylla
Finance Manager	Jean Martial Brombro, Aïcha Kaba (Assistant)
Communications Lead, if relevant	Marie Olga Sy

2 Overview of Project Status

2.1 UNEP PoW & UN

UNEP Current Subprogramme(s):	Thematic: Chemicals and pollution action subprogramme
UNEP previous Subprogramme(s):	
PoW Indicator(s):	<ul style="list-style-type: none"> • Pollution: (i) Number of Governments that, with UNEP support, are developing or implementing policies, strategies, legislation or action plans that promote sound chemicals and waste management and/or the implementation of multilateral environmental agreements and the existing framework on chemicals and waste • Pollution: (iii) Number of policy, regulatory, financial and technical measures developed with UNEP support to reduce pollution in air, water, soil and the ocean • Pollution: Change in action by the private sector and civil society on pollution prevention and control as a result of UNEP action Progress in the chemicals- and pollution-related aspects of the 2030 Agenda on which UNEP focuses its work • Governance: (iv) Number of entities at the national, regional or global levels that UNEP has supported in developing integrated approaches and tools for enhanced coordination, cooperation and synergies for the coherent implementation of multilateral environmental agreements
UNSDCF/UNDAF linkages	The project supports the 2024-2028 United Nations Sustainable Development Country Framework (UNSDCF) by supporting national and government actors and coordinating technical activities with the UN agencies based in Guinea. In particular, the project strongly supports UNSDCF objectives number 2 (Support to a sustainable growth, creation of welfare and decent jobs, where gender and geographical inequalities are reduced) and number 3 (Promotion of local and national institutions to be become stronger, credible, legitimate, and more transparent to support the needs and demands of the people of Guinea)
Link to relevant SDG Goals	<ul style="list-style-type: none"> • Goal 5: Achieve gender equality and empower all women and girls • Goal 9: Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation • Goal 12: Ensure sustainable consumption and production patterns
Link to relevant SDG Targets:	<ul style="list-style-type: none"> • 5.c Adopt and strengthen sound policies and enforceable legislation for the promotion of gender equality and the empowerment of all women and girls at all levels • 9.3 Increase the access of small-scale industrial and other enterprises, in particular in developing countries, to financial services, including affordable credit, and their integration into value chains and markets • 9.4 By 2030, upgrade infrastructure and retrofit industries to make them sustainable, with increased resource-use efficiency and greater adoption of clean and environmentally sound technologies and industrial processes, with all countries taking action in

	<p>accordance with their respective capabilities</p> <ul style="list-style-type: none"> 12.4 By 2020, achieve the environmentally sound management of chemicals and all wastes throughout their life cycle, in accordance with agreed international frameworks, and significantly reduce their release to air, water and soil in order to minimize their adverse impacts on human health and the environment
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2.2. GEF Core and Sub Indicators

GEF core or sub indicators targeted by the project as defined at CEO Endorsement/Approval, as well as results

Indicators	Targets - Expected Value			Materialized to date
	Mid-term	End-of-project	Total Target	
11- People benefitting from GEF-financed investments	N/A	5020	5020	564
11.1- Male	N/A	2081	2081	367
11.2- Female	N/A	2939	2939	197
9.2- Quantity of mercury reduced	N/A	12.15	12.15	
9.5- Low-chemical/non-chemical systems implemented, particularly in food production, manufacturing and cities	N/A	4	4	

Implementation Status 2024: 1st PIR

2.3. Implementation Status and Risks

	PIR#	Rating towards outcomes (section 3.1)	Rating towards outputs (section 3.2)	Risk rating (section 4.2)
FY 2024	1st PIR	MS	MS	M
FY 2023				
FY 2022				
FY 2021				
FY 2020				
FY 2019				
FY 2018				
FY 2017				

FY 2016				
FY 2015				

Summary of status

Key progress: The Project Management Unit (PMU) was established, including the project manager and the key technical national consultants responsible for each of the project components. Following the inception workshop, several bilateral meetings were held with Project Steering Committee (PSC) members, to ensure adequate coordination between the project's planned intervention and the national ASGM formalization strategy. These meetings created a positive synergy which further facilitated the success of the many field missions carried out by the PMU in the different targeted areas. The overall expenditure of this project was USD 184,940 during the reporting period, with a forecasted expenditure of USD 856,315.

C1 Formalization: Major progress was achieved under Component 1. The project conducted a review of the current regulatory framework and proposed various amendments to better regulate the ASGM sector within the national Mining Code. In addition, the project carried out several field missions to the different ASGM regions in the country, where the PMU could engage with various ASGM groups which will benefit from the project's intervention. Finally, local, traditional, and prefectural representatives were engaged and briefed about the project's objectives, improving, and facilitating further field work planned in the upcoming years.

C2 Access to Finance: The project developed a preliminary assessment of the different financial entities established in Guinea and arrange various meetings with private sector financial service providers to introduce the project.

C3 Introduction of Mercury Free - Technologies: The project worked on the development of educational materials tailored to local languages with a focus on depicting the dangers of the use of mercury. In addition, the PMU discussed the best approach to introduce mercury-free technologies with key institutional partners in the private sector and the national government. Finally, the project conducted a field mission to Gaoual region to complete the national inventory of mercury usage in the ASGM sector.

C4 Communications and Knowledge Management: The project established its Internet presence with the launching of the country project website and different social media channels accounts. In addition, the project comms team supported the development of educational materials for Component 1 and 3 in local languages which will further sustain the mercury reduction efforts with the local communities in upcoming years.

2.4 Co Finance

Planned Co-	\$ 11,997,141
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finance:	
Actual to date:	224,459
Progress	<p>Justify progress in terms of materialization of expected co-finance. State any relevant challenges:</p> <p>Carbone Guinée: 14,171.56 USD This co-financing is related to the access and use of the premises located in Kakan region by members of the project team during the different field missions carried out during the last implementation year.</p> <p>CASE: 59,230 USD This co-financing is related to the in-kind support related to the Monitoring and Evaluation of the project provided by the institution.</p> <p>Anglo Gold Ashanti Guinea (SAG): 50,000 USD This co-financing is reflected in the in-kind support provided by the organization in the form of in-person meetings, field visits and technical support to the project.</p> <p>Ministry of Environment and Sustainable Development (MEED): 101,057.58 USD This cofinancing is related to the in-kind support provided by civil servants, access and use of Ministry vehicles, access, and use of Ministry's premises in Conakry and the participation and support to the different field missions carried out in the last implementation year.</p>

2.5. Stakeholder

Date of project steering committee meeting	2024-05-24
Stakeholder engagement (will be uploaded to GEF Portal)	<p>Through a stakeholder consultation strategy, it was possible to involve several national stakeholders from both the public and private sectors. Thus, the planetGOLD Guinea project allows interaction between stakeholders who are:</p> <p>The Ministry of the Environment, Sustainable Development represented by the Executive Secretariat for the Management of Chemical Substances, the National Directorate of the Environment, the Regional Directorates of the Environment of the regions of Kankan, Mandiana, Kouroussa, Dinguiraye, Siguiri and Faranah.</p> <p>The Ministry of Mines and Geology, represented by the Sub-Directorate of Artisanal and Small-Scale Mining, housed within the National Directorate of Mines, the regional directorates of mines and geology of the regions of Kankan, Mandiana, Kouroussa, Dinguiraye, Siguiri and Faranah.</p> <p>The Ministry of Health and Public Hygiene and Universal Health Coverage represented by the Directorate of Public Hygiene and Environmental Health.</p>

	<p>The Ministry of Economy and Finance represented by the Directorate General of Customs.</p> <p>The Ministry of Industry and Trade through the Directorate of Foreign Trade.</p> <p>The Ministry of the Interior through the General Directorate of Territorial Administration and Decentralization, which contributes to involving the prefects of regions, departments, sub-prefects and village chiefs of the localities concerned by the project.</p> <p>Civil Society Organizations, like local NGOs, represented at the Steering Committee level</p> <p>The Ministry of Technical Engineering, Vocational Training and Employment has made available to managers for the development of the referential related to the professionalization of the artisanal gold panning profession</p> <p>UNOG (Guinean Artisanal Gold Miners Union), through their local representatives, facilitated access to gold mining sites and the involvement of informal miners' groups in the process of exchanging good practices around ASM as part of the formalization</p> <p>In addition, industrial mining companies, including Anglo Gold Ashanti Guinea, that have volunteered to support the implementation of the jurisdictional approach in the department of Siguiiri.</p>
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2.6. Gender

Does the project have a gender action plan?	Yes
Gender mainstreaming (will be uploaded to GEF Portal):	<p>The project recognizes that gender inequality intersects with other forms of oppression based on unequal power relations, such as discrimination based on race, racism, ethnicity, age, sexual orientation and homophobia, religious discrimination as reflected in the National Action Plan for Mercury Reduction in the ASGM sector in Guinea and the project document.</p> <p>The project has worked with artisanal gold mining women and their allies to address structural barriers to the realization of women's rights and gender equality during the implementation of the project.</p> <p>In this first year of implementation of the project, 197 women have been reached and supported by the project through communication and dissemination of relevant information, awareness-raising on the dangers of the use of mercury in ASGM, capacity building and advocacy activities.</p>

2.7. ESSM

Moderate/High risk projects (in terms of Environmental and social safeguards)	<p>Was the project classified as moderate/high risk CEO Endorsement/Approval Stage?</p> <p>No</p> <p>If yes, what specific safeguard risks were identified in the SRIF/ESERN?</p> <p>N/A</p>
New social and/or environmental risks	<p>Have any new social and/or environmental risks been identified during the reporting period?</p> <p>Yes</p> <p>If yes, describe the new risks or changes?</p> <p>Political stability in the West African - Sahel region has deteriorated in 2023-2024. Numerous coups d'etat have posed a challenge to the political stability of the region. This may pose a risk by lack of action or support by Government stakeholders to the project</p>
Complaints and grievances related to social and/or	<p>Has the project received complaints related to social and/or environmental impacts (actual or potential) during the reporting period?</p> <p>No</p>

environmental impacts	<p>If yes, please describe the complaint(s) or grievance(s) in detail, including the status, significance, who was involved and what actions were taken?</p> <p>N/A</p>
Environmental and social safeguards management	<p>Natural Resources Management risks: The project will ensure that the mercury-free technologies promoted, to the extent possible, reduce the use of other harmful substances – or where potentially harmful substances are needed, that proper handling, storage and disposal processes and practices are in place. Climate change and natural disasters risks With respect to the potential impacts of the project on climate change, the environmental assessments planned during the intervention will consider potential climate change risks and the corresponding mitigation plans will respond to these, as identified. The project will also consider potential adverse climate change related impacts when considering mercury-free technology options in order to minimize – to the extent possible – the use of technologies that may contribute to climate change (e.g. use of diesel fuel, use of water, etc.). Further, the project will seek to identify new and innovative technologies for identifying specific climate risks in the various project locations, such as through the use of new applications like Climate Genius. Community Health, Safety and Security Risks The project’s goal of reducing the use of mercury is directly related to the harmful effects it has on the human population and the environment. As such, the project is expected to have positive impacts in these areas, and negative impacts are not anticipated. Furthermore, the project will carry out sensitization that will promote improved health, safety and security practices to project beneficiaries in order to improve their adherence to responsible sourcing expectations from the formal gold market (e.g. refiners, jewellery companies, etc.). This will provide an opportunity to carry out baseline assessments, and to promote mitigation approaches with respect to the unique risks in each area. The project will also rely on close relationships with communities, private sector actors, local NGOs, and government authorities in order to closely monitor and adapt to potential negative impacts affecting local communities. While Guinea has not seen the levels of security threats posed by jihadist groups in the Sahel region to the same degree as other countries in West Africa (e.g. Burkina Faso, Mali), there are still concerns over spill over and territorial expansion by these groups into neighbouring countries.</p>

2.8. KM/Learning

Knowledge activities and products	<p>Behavioural change requires tools adapted and accessible to all. In this sense, the project has developed an animation guide containing eleven training modules, an image box (French/n'ko languages) describing the following themes:</p> <ol style="list-style-type: none"> 1. Environmental degradation
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	<ol style="list-style-type: none"> 2. The presence of Children in ASGM 3. Children's exposure to mercury 4. Women's exposure to mercury 5. Gender-based violence 6. Conversion of artisanal gold miners to other non-mining income-generating activities 7. The mercury cycle 8. The dangers of being exposed to mercury in ASGM activities 9. Best practices in ASGM <p>In support of the formalization of the ASGM sector in Guinea, the project drafted a formalization guide (which is being finalized in Q2 2024)</p>
Main learning during the period	<p>A positive approach to the communication of project outcomes and its support by evidence-based proposals has improved the engagement with local communities</p> <p>The involvement and empowerment of local mining communities in the implementation of the project has proven to be an essential factor for the achievement of the expected results. Indeed, the gold mining communities, by becoming aware of the consequences of their activities for their survival and that of the future generation, have been galvanized and have participated in all stages of the project's implementation.</p> <p>Awareness-raising activities at sites is a key element to ensure the sustainable conservation of natural resources such as minerals. During the reporting period gold miners and authorities were very active in awareness-raising sessions on issues related to mercury use, mining environmental degradation and gender-based violence in mine sites. In addition, this space was an opportunity to germinate ambassadors dedicated to the cause of profitable and sustainable ASGM activities</p>

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2.9. Stories

Stories to be shared	<p>Carrying out awareness-raising activities in close collaboration with direct beneficiaries (ASGM communities),</p> <p>Support from local and administrative authorities, including the establishment of six groups on good practices around ASGM,</p> <p>Mobilization of women in their reconversion to income-generating activities outside small mines with regard to market gardening, saponification, trade, dyeing, sewing and hairdressing, etc.,</p> <p>The commitment of the private enterprise Anglo Gold Ashanti (SAG) Industrial Gold Mining Company by providing a training center for the benefit of artisanal miners committed to ASGM without the use of mercury in Siguiri.</p>
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3 Performance

3.1 Rating of progress towards achieving the project outcomes

Project Objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	Progress as of current period (numeric, percentage, or binary entry only)	Summary by the EA of attainment of the indicator & target as of 30 June	Progress rating
To reduce the use of mercury in the ASGM sector in Guinea through a holistic, multisectoral, integrated formalization approach, and increase access to traceable gold supply chains and finance for adoption of sustainable mercury free technologies	Tons of Mercury reduced	N/A	N/A	12.15		As per workplan, the activities related to that objective have not started yet	MS
Outcome 1: Strengthened government agencies and national stakeholders update current regulations to promote formalization of ASGM miners.	Number of new regulations drafted, submitted or adopted by Government	0	14	14	30%	Three new amendments are currently being discussed as part of the adoption process. Their validation in the National Parliament is scheduled for Q4 2024.	MS
Outcome 2: A financial mechanism for the ASGM sector is adopted by financial institutions and cooperatives.	Number of financial instruments for ASGM miners developed and put in place within targeted beneficiaries	0	1	2		As per workplan, the activities to support this outcome have not started yet	MU
Outcome 3: Miners in Guinea adopted mercury-free processing techniques	Number of ASGM sites benefiting from Mercury-free processing technologies	0	0	2	15%	The project has conducted various field missions which have provided the foundations for the success of the upcoming activities planned under this outcome	MS
Outcome 4: Increased adoption of mercury free technologies, responsible sourcing plans and	Number of beneficiaries changing their practices as a result of awareness raising	0	0	2225	15%	The PMU has created awareness raising materials which have been disseminated to various project beneficiaries (ASGM	MS

Project Objective and Outcomes	Indicator	Baseline level	Mid-Term Target or Milestones	End of Project Target	Progress as of current period (numeric, percentage, or binary entry only)	Summary by the EA of attainment of the indicator & target as of 30 June	Progress rating
financing by ASGM miners beyond pilot sites through sharing of lessons learned and peer to peer exchange.						groups)	

3.2 Rating of progress implementation towards delivery of outputs (Implementation Progress)

Component	Output/Activity	Expected completion date	Implementation status as of previous reporting period (%)	Implementation status as of current reporting period (%)	Progress rating justification, description of challenges faced and explanations for any delay	Progress Rating
1 Formalization	Output 1.1 The update of regulations relating to formalization of the artisanal gold mining sector is supported in order to adapt them to the current ASM context and create a corresponding formalization guide	2026-12-30	0	50	An assessment of the regulatory framework (Mining Code) was conducted by the PMU, which proposed further amendments. In addition, the formalization guide was drafted (currently under finalization). Furthermore, the engagement with local beneficiaries could improve the understanding of the needs of the ASGM in Guinea	MS
1 Formalization	Output 1.2 A sensitization campaign is led to reinforce women's leadership and professional development in ASGM	2028-04-30	0	25	During the field missions the PMU could gather the inputs needed to better tailor the sensitization campaign. Educational materials were developed and will be deployed to local beneficiaries in the upcoming reporting period	MS
1 Formalization	Output 1.3 The jurisdictional approach is piloted	2025-12-31	0	15	Due to a delay by the planetGOLD Global Project in the provision of the trainings to develop the activities	MU

Component	Output/Activity	Expected completion date	Implementation status as of previous reporting period (%)	Implementation status as of current reporting period (%)	Progress rating justification, description of challenges faced and explanations for any delay	Progress Rating
					under this output, these activities are expected to take place in the upcoming reporting period	
2 Access to Finance	Output 2.1 Dialogue is facilitated between financial institutions and other finance actors (e.g. investors) to encourage engagement with the ASGM sector	2028-04-30	0	15	The PMU conducted an early identification of financial service providers in Guinea and initiated the engagement with them. a support strategy is being currently developed	MU
2 Access to Finance	Output 2.2. Technical support is provided to cooperatives and/or exporters	2028-04-30	0	0	The activities under this output are expected to begin in year 3 of the Implementation Phase	MU
2 Access to Finance	Output 2.3 Cooperatives/exporters are supported to prepare for and negotiate purchasing and financing contracts with supply chain actors (e.g. refiners)	2028-04-30	0	0	The activities under this output are expected to begin in year 3 of the Implementation Phase	MU
3 Introduction of Mercury-free Technologies	Output 3.1 ASGM miners and communities are sensitized on the health and environmental risks of mercury usage	2028-04-30	0	25	During the different field missions conducted the PMU worked with the local ASGM communities in improving their understanding on the perils of the use of mercury in their daily activities	MS
3 Introduction of Mercury-free Technologies	Output 3.2 Mercury-free technologies available to miners in two prefectures	2028-04-30	0	0	The activities under this output are expected to start in the upcoming reporting period	MS
4 Communications and Knowledge Managemet	Output 4.1 Knowledge products and tools developed through the project are made available nationally to all GEF planetGOLD project stakeholders in Guinea	2028-04-30	0	15	The PMU created different comms materials aimed to support the different planned activities under components 1, 2 and 3. The production and dissemination of these materials among project beneficiaries have proven to be positive	MS
4	Output 4.2 Knowledge products and tools developed through the	2028-04-30	0	15	The PMU regularly participated in global	MS

Component	Output/Activity	Expected completion date	Implementation status as of previous reporting period (%)	Implementation status as of current reporting period (%)	Progress rating justification, description of challenges faced and explanations for any delay	Progress Rating
Communications and Knowledge Managemet	project are available globally through the GEF planetGOLD programme				comms & KM activities under the planetGOLD global project, including the participation in JA/LA trainings	

The Task Manager will decide on the relevant level of disaggregation (i.e. either at the output or activity level).

4 Risks

4.1 Table A. Project management Risk

Please refer to the Risk Help Sheet for more details on rating

Risk Factor	EA Rating	TM Rating
1 Management structure - Roles and responsibilities	Moderate	Moderate
2 Governance structure - Oversight	Low	Moderate
3 Implementation schedule	Moderate	Moderate
4 Budget	Low	Low
5 Financial Management	Moderate	Moderate
6 Reporting	Moderate	Moderate
7 Capacity to deliver	Low	Low

If any of the risk factors is rated a Moderate or higher, please include it in Table B below

4.2 Table B. Risk-log

Implementation Status (Current PIR)

Insert ALL the risks identified either at CEO endorsement (inc. safeguards screening), previous/current PIRs, and MTRs. Use the last line to propose a suggested consolidated rating.

Risks	Risk affecting: Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current PIR	Δ	Justification
Covid-19 restrictions (movement. large gatherings. travel. etc.) continue to be in place	All	L	L					L	=	All the measures placed during the pandemic have been removed and people can travel. meet and work easily.

Risks	Risk affecting: Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current PIR	Δ	Justification
Shifting priorities due to Covid-19 pandemic or other international events	All	L	L					L	=	All the measures placed during the pandemic have been removed and people can travel. meet and work easily.
Lack Political Stability	All	M	M					M	=	The current political situation is stable. The political leaders are working to achieve the end of the military transition in peace. The project is following the news and is in permanent contact with public administration and diplomatic representations for better information.
High Staff turnover within government ministries/departments	All	M	M					M	=	The recent change at the head of the ministries of Mines and Environment led to a smooth transition which was made smoothly without change of technical experts following the project activities
Miners do not trust or buy-in to mercury-free technologies	Outcome 3	M	L					L	↓	Miners from the designated project areas are fully committed to make the project a success. They are impatient to start working on mercury free technologies to comply with government decision as first expression of their buy-in to the project.
Lack of prioritization of reducing mercury usage by miners. including when financing is	Outcome 3	M	L					L	↓	See above.

Risks	Risk affecting: Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current PIR	Δ	Justification
available (or miners simply do not endorse mercury-free processing methods)										
Climate change impacts. such as increased flooding or droughts. have a negative impact on the project activities and project beneficiaries (e.g. increased poverty related to climate change impacts)	All	M	M					M	=	The climate related issues are well documented and known from the miners' groups. which adjust their working methods and schedule according to the seasons.
Risk-aversion of LBMA/reputable gold refiners	Outcome 2	M	M					M	=	Risk aversion remains stable for the reporting period.
Supply chain partners are unable to establish commercial terms (or. competitive commercial terms compared to the informal market)	Outcome 2	M	L					L	↓	Capacity building trainings have reduced this potential risk
Low risk threshold by financial institutions / other potential providers of access to credit	Outcome 2	M	M					L	↓	Two commercial banks in Guinea are already interested in designing new products for miners to access credits and benefits for their investment in ASGM.
Land conflict / Social tension	All	L	L					L	=	The land owners. traditional custodians of land. mining cadastre and territorial administration department work in synergy to avoid land conflicts.
Efficient and lucrative alternative mercury-free gold processing techniques are not appropriate (or not available) for ASGM	Outcome 3	M	L					L	↓	The field studies performed will mitigate this risk.
Inability to identify a gold exporter that meets minimum due diligence expectations of refiners	Outcome 2	M	M					M	=	Several exporters interested in gold purchase are contacting the PMU to express their interest.

Risks	Risk affecting: Outcome / outputs	CEO ED	PIR 1	PIR 2	PIR 3	PIR 4	PIR 5	Current PIR	Δ	Justification
ASGM associations and miners are unable to meet the standards established by planetGOLD	Outcome 2	H	M					M	=	The training will help achieving this outcome.
		L						M	↑	Regional political instability has increased the consolidated project risk

4.3 Table C. Outstanding Moderate, Significant, and High risks

Additional mitigation measures for the next periods

Risk	Actions decided during the previous reporting instance (PIRt-1, MTR, etc.)	Actions effectively undertaken this reporting period	What	When	By Whom
Regional political instability (Lack of political stability)	N/A	Close monitoring of the evolution of politics in the West Africa / Sahel region (ECOWAS countries)	Liaison with UN RC Office in Guinea	Regularly during the entire reporting period	PMU
High Staff turnover within government ministries/departments	N/A	Briefings provided to new members of the different ministries involved in the project.	Continuation of the monitoring of the potential turnover of Ministry's staff and provision of briefing meetings about the project	Ad-hoc as per necessity	PMU
Climate change impacts	N/A	Monitoring of climate change effects in the targeted sites with Ministry of Environment staff	Continuation of the monitoring. Drafting of adaptation plans for each targeted site	Regularly. Adaptation plans to be drafted by 31-12-2025	PMU
Risk-aversion of	N/A	Development of activities	Development of the	Strategy drafted by 31-12-	PMU

Risk	Actions decided during the previous reporting instance (PIRt-1, MTR, etc.)	Actions effectively undertaken this reporting period	What	When	By Whom
LBMA/reputable gold refiners		under Component 2 to mitigate the perceived risks	planned activities. Engagement with planetGOLD global project to draft strategy to engage with reputable refiners	2025	
Inability to identify a gold exporter that meets minimum due diligence expectations of refiners	N/A	Engagement with Ministry of Mines in the identification of potential exporters	Continuation of identification process. Discussion with other planetGOLD country projects in the region to consolidate efforts and minimize risks	Regularly during the entire reporting period	PMU
ASGM associations and miners are unable to meet the standards established by planetGOLD	N/A	Development of activities under Component 2	Development of the planned activities. Engagement with planetGOLD global project to provide technical support to minimize risk	Regularly during the entire reporting period	PMU
Low risk threshold by financial institutions / other potential providers of access to credit	N/A	Development of activities under Component 2	Continuation of the identification process of financial institutions in the country. Engagement with UNRC to increase potential network	Regularly during the entire reporting period	PMU

High Risk (H): There is a probability of greater than 75% that assumptions may fail to hold or materialize, and/or the project may face high risks. Significant Risk (S): There is a probability of between 51% and 75% that assumptions may fail to hold and/or the project may face substantial risks. Moderate Risk (M): There is a probability of between 26% and 50% that assumptions may fail to hold or materialize, and/or the project may face only modest risks. Low Risk (L): There is a probability of up to 25% that assumptions may fail to hold or materialize, and/or the project may face only modest risks.



5 Amendment - GeoSpatial

Project Minor Amendments

Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5% as described in Annex 9 of the Project and Program Cycle Policy Guidelines. Please tick each category for which a change occurred in the fiscal year of reporting and provide a description of the change that occurred in the textbox. You may attach supporting document as appropriate

5.1 Table A: Listing of all Minor Amendment (TM)

Minor Amendments	Changes
Results Framework:	No
Components and Cost:	No
Institutional and implementation arrangements:	No
Financial Management:	No
Implementation Schedule:	
Executing Entity:	No
Executing Entity Category:	No
Minor project objective change:	No
Safeguards:	No
Risk analysis:	Yes
Increase of GEF financing up to 5%:	No
Location of project activity:	No
Other:	No

Minor amendments

Minor changes via recruitment of an additional financial assistant to advance work in the field.

Minor changes due to some rescheduling of activities as there is a national temporary closure of all ASM sites every year due to weather by the government from June to end October.

5.2 Table B: History of project revisions and/or extensions (TM)

Version	Type	Signed/Approved by UNEP	Entry Into Force (last signature Date)	Agreement Expiry Date	Main changes introduced in this revision

GEO Location Information:

The Location Name, Latitude and Longitude are required fields insofar as an Agency chooses to enter a project location under the set format. The Geo Name ID is required in instances where the location is not exact, such as in the case of a city, as opposed to the exact site of a physical infrastructure. The Location & Activity Description fields are optional. Project longitude and latitude must follow the Decimal Degrees WGS84 format and Agencies are encouraged to use at least four decimal points for greater accuracy. Users may add as many locations as appropriate. Web mapping applications such as OpenStreetMap or GeoNames use this format. Consider using a conversion tool as needed, such as: <https://coordinates-converter.com> Please see the Geocoding User Guide by clicking here

Location Name	Latitude	Longitude	GEO Name ID	Location Description	Activity Description
ASGM site in Krouda oulen	10.85086398764434	-8.662330372904076		ASGM site Kroudaoulen is located in the Sub-prefecture of Morodou. Prefecture of Mandiana	An active ASGM zone with a strong population agglomeration.
AGSM site of Gnédjèya	11.603377101280984	-9.387243426989727		ASGM site Gnédjèya is located in the sub-prefecture of Kintinia. Prefecture of Siguiri.	An active ASGM area with a strong involvement of the urban community in the raw ore extraction process. The mines are weakly mechanized.
Koba ASGM Site	10.684660619164383	-9.660771784123709		The Koba ASGM site is located in the Koumana Sub-prefecture. Kouroussa Prefecture.	The ASGM zone is highly active with the effective involvement of the local community in the ore extraction process.
ASGM site in Poutou-poutou	11.738464304914144	-13.423773778557457		The ASGM site of Poutou-poutou is located in the sub-prefecture of Kounsitel.	The area of the Poutou-poutou ASGM Site is highly active with an effective

Location Name	Latitude	Longitude	GEO Name ID	Location Description	Activity Description
				Prefecture of Gaoual	involvement of the local community in the mining process. The mining depth is between 50m and 60mThe use of mercury is seen in the raw treatment process.

Please provide any further geo-referenced information and map where the project interventions is taking place as appropriate. *

[Annex any linked geospatial file]

Additional Supporting Documents:

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