

GEF - PROJECT IMPLEMENTATION REPORT (PIR)

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UNEP GEF PIR Fiscal Year 2024
Reporting from 1 July 2023 to 30 June 2024

1 PROJECT IDENTIFICATION

1.1 Project Details

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|---|--|
| GEF ID: 10465 | Umoja WBS: SB-014805 |
| SMA IPMR ID: 43223 | Grant ID: S1-32GFL-000744 |
| Project Short Title: GEF-7 CITinova II | |
| Project Title: Promoting integrated metropolitan planning and innovative urban technology investments in Brazil | |
| Duration months planned: | 48 |
| Duration months age: | 18 |
| Project Type: | Full Sized Project (FSP) |
| Parent Programme if child project: | 10391 |
| Project Scope: | National |
| Region: | Latin America and Caribbean |
| Countries: | Brazil |
| GEF Focal Area(s): | Biodiversity,Climate Change Mitigation |
| GEF financing amount: | \$ 12,552,440.00 |
| Co-financing amount: | \$ 184,789,243.00 |
| Date of CEO Endorsement/Approval: | 2021-12-18 |
| UNEP Project Approval Date: | 2022-12-23 |
| Start of Implementation (PCA entering into force): | 2022-12-29 |
| Date of Inception Workshop, if available: | 2023-08-08 |
| Date of First Disbursement: | 2023-01-04 |
| Total disbursement as of 30 June 2024: | \$ 770,053.00 |
| Total expenditure as of 30 June: | \$ 209,117.00 |

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|---|------------|
| Midterm undertaken?: | No |
| Actual Mid-Term Date, if taken: | |
| Expected Mid-Term Date, if not taken: | 2025-01-31 |
| Completion Date Planned - Original PCA: | 2027-01-31 |
| Completion Date Revised - Current PCA: | |
| Expected Terminal Evaluation Date: | 2027-07-31 |
| Expected Financial Closure Date: | 2028-01-31 |

1.2 Project Description

The GEF-7 CITinova II project aims to reduce greenhouse gas emissions, strengthen biodiversity conservation, and achieve economic, social, and environmental co-benefits through integrated urban planning. The project activities are planned at national and sub-national levels. The latter occurs at the Belem Metropolitan Region, the Florianopolis Metropolitan Region and the Greater Teresina Integrated Development Region.

The project is executed by the Ministry of Science, Technology and Innovation (MCTI), in partnership with the UNEP Brazil Office and the Brazilian Biodiversity Fund (FUNBIO), as co-executing partners. MCTI nominates a National Director and a National Coordinator, who are responsible for the general supervision of the project activities, which will be carried out, in a decentralized manner, by the co-executing partners. UNEP Brazil is responsible for hiring the project team supporting MCTI in the project coordination and management. FUNBIO is responsible for executing the 4 components and managing the respective funds.

The proposed activities are organized into four Components:

Component 1 - Governance and integrated urban planning: Proposal of metropolitan governance arrangements, development of integrated metropolitan planning tools and preparation of integrated metropolitan plans for the mitigation of greenhouse gas emissions, integrated management of conservation units and urban green areas, solid waste management, sustainable urban mobility, and strategies for the sustainable urban development of urban areas.

Component 2 - Investments in low-emission technologies and biodiversity conservation: Implementation of low-emission pilot projects in the cities of Belem, Florianopolis, and Teresina. Development of agroforestry production chains on Combú Island (PA), strengthening community-based conservation and fauna monitoring in the Pirajubaé Marine Extractive Reserve (SC) and strengthening water security and fauna monitoring in the Carijós Ecological Station (SC).

Component 3 - Innovative financing: Strengthening national mechanisms for financing sustainable urban development initiatives. Capacity building of public entities to access financing. Development of a payment program for environmental services aiming to strengthen the conservation of Utinga State Park and to improve the provision of ecosystem services for the Belem Metropolitan Region.

Component 4 - Dissemination of knowledge and capacity building: Development of the Innovations and Solution System for Sustainable Urban Planning (SIS+) to promote the dissemination of knowledge on sustainable urban development and integrated urban planning at national, regional, and local level. Capacity building regarding sustainable urban planning. Systematization and dissemination of good practices, lessons learned and project experiences.

1.3 Project Contacts

| | |
|---|--|
| Division(s) Implementing the project | Ecosystems Division, Climate Change Division |
| Name of co-implementing Agency | |
| Executing Agency (ies) | Ministry of Science, Technology and Innovations (lead), with co-executing partners: the Brazilian Biodiversity Fund (FUNBIO) and UNEP Brazil |
| names of Other Project Partners | Luciana Lima Cruz |
| UNEP Portfolio Manager(s) | Asher Lessels (Climate Change Division) and Johan Robinson (Ecosystems Division) |
| UNEP Task Manager(s) | Asher Lessels (Climate Change) and Robert Erath (Ecosystems) |
| UNEP Budget/Finance Officer | Fatma Twahir (Climate Change Division) and Solomon Kinuthia (Ecosystems Division) |
| UNEP Support Assistants | Luiza Schmidt (Climate Change Division) and Anna Fanzeres (Ecosystems Division) |
| Manager/Representative | Marcela Aboim Raposo |
| Project Manager | Caterina Ferrero |
| Finance Manager | Mariana Miguel dos Santos |
| Communications Lead, if relevant | |

2 Overview of Project Status

2.1 UNEP PoW & UN

| | |
|---------------------------------------|---|
| UNEP Current Subprogramme(s): | Thematic: Climate action subprogramme, Thematic: Nature action subprogramme, Foundational: Environmental governance, Enabling: Finance and economic transformations, Enabling: Digital transformations |
| UNEP previous Subprogramme(s): | |
| PoW Indicator(s): | <ul style="list-style-type: none"> • Climate: (i) Number of national, subnational and private-sector actors that adopt climate change mitigation and/or adaptation and disaster risk reduction strategies and policies with UNEP support. • Climate: (ii) Amounts provided and mobilized in \$ per year in relation to the continued existing collective mobilization goal of the \$100 billion commitment through to 2025 with UNEP support. • Nature: (i) Number of national or subnational entities that, with UNEP support, adopt integrated approaches to address environmental and social issues and/or tools for valuing, monitoring and sustainably managing biodiversity. • Nature: (iv) Increase in territory of land- and seascapes that is under improved ecosystem conservation and restoration |
| UNSDCF/UNDAF linkages | <p>UNDAF Brazil 2017-2021, Outcome 3: Strengthened institutional capacity to promote and implement coherent public policies for the sustainable management of natural resources and ecosystem services, and for combating climate change and its adverse effects.</p> <p>UNSDCF Brazil 2023-2027, Outcome 3.2: By 2027, Brazil will have advanced in the implementation of actions to mitigate climate change and adapt to its effects, reduce deforestation, risks of disasters, considering groups and people in situations of vulnerability, including forcibly displaced people, with a generational, gender, racial and ethnic equality approach, and protection of the territories of indigenous peoples, traditional peoples and communities, and rural, forest and riverside populations, aiming at promoting a resilient and decarbonized economy, considering national legislation and regulations and aligned with prevailing international commitments.</p> |
| Link to relevant SDG Goals | <ul style="list-style-type: none"> • Goal 11: Make cities and human settlements inclusive, safe, resilient and sustainable • Goal 13: Take urgent action to combat climate change and its impacts |
| Link to relevant SDG Targets: | <ul style="list-style-type: none"> • 11.2 By 2030, provide access to safe, affordable, accessible and sustainable transport systems for all, improving road safety, notably by expanding public transport, with special attention to the needs of those in vulnerable situations, women, children, persons with disabilities and older persons • 11.3 By 2030, enhance inclusive and sustainable urbanization and capacity for participatory, integrated and sustainable human settlement planning and management in all countries • 11.6 By 2030, reduce the adverse per capita environmental impact of cities, including by paying special attention to air quality |

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| | <p>and municipal and other waste management</p> <ul style="list-style-type: none"> • 11.b By 2020, substantially increase the number of cities and human settlements adopting and implementing integrated policies and plans towards inclusion, resource efficiency, mitigation and adaptation to climate change, resilience to disasters, and develop and implement, in line with the Sendai Framework for Disaster Risk Reduction 2015-2030, holistic disaster risk management at all levels • 13.2 Integrate climate change measures into national policies, strategies and planning |
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2.2. GEF Core and Sub Indicators

GEF core or sub indicators targeted by the project as defined at CEO Endorsement/Approval, as well as results

| Indicators | Targets - Expected Value | | | Materialized to date |
|--|--------------------------------------|---|---|----------------------|
| | Mid-term | End-of-project | Total Target | |
| 1.2- Terrestrial protected areas under improved management effectiveness | | 27,861 | | |
| 1- Terrestrial protected areas created or under improved management for conservation and sustainable use | | 16,355 ha terrestrial 1,687 ha marine | | |
| 6- Greenhouse gas emissions mitigated | | 106,380 tCO ₂ e | Direct: 5,611,683 tCO ₂ e Indirect: 18,937,180 tCO ₂ e | |
| 11- People benefitting from GEF-financed investments | 1000 Women 1000 Men 2000 Total | 1,200,000 Women 1,160,000 Men 2,360,000 Total | | |

Implementation Status 2024: 1st PIR

2.3. Implementation Status and Risks

| | PIR# | Rating towards outcomes (section 3.1) | Rating towards outputs (section 3.2) | Risk rating (section 4.2) |
|---------|---------|---------------------------------------|--------------------------------------|---------------------------|
| FY 2024 | 1st PIR | MS | MS | M |
| FY 2023 | | | | |
| FY 2022 | | | | |
| FY 2021 | | | | |
| FY 2020 | | | | |
| FY 2019 | | | | |
| FY 2018 | | | | |
| FY 2017 | | | | |
| FY 2016 | | | | |
| FY 2015 | | | | |

Summary of status

Rating towards outcomes: Moderately Satisfactory.

There has been no concrete progress on the indicators at the outcome level because the project team was fully hired in Q2 2024 and onboarded in June 2024. Considering this, the team is now focused on elaborating the Terms of Reference for hiring the consultants to implement the project. Despite the reduced team, during the reporting period, the PMU team has worked on engaging the local stakeholders and aligning the project activities with the current context and needs of the territory. Considering it, the elaboration of the Terms of Reference will be more accurate, allowing the achievement of all project outputs.

Rating towards outputs: Moderately Satisfactory

The rating towards outputs is moderately satisfactory, given the significant delays in project execution. However, although the activities are still in the contracting phase, the project has made progress in aligning the project activities with the current context and needs of the territory, which is expected to result in a more fluid and assertive execution of the activities. The on-site missions were very important for identifying overlaps with the ongoing activities and engaging local actors in the project.

Risk rating: Moderate

The project risk is considered moderate due to delays in the execution of the outputs. A portion of the team has already been hired for several months, and multiple trips to metropolitan regions have been carried out. Excessive delays may lead to a shortage of resources for the technical and management teams, should the project require an extension. Besides this, the missions in the territory during the last period guaranteed a strong commitment of the actors to the project, representing an effective strategy to mitigate the risk of lack of engagement of local stakeholders and decision-makers.

2.4 Co Finance

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| Planned Co-finance: | \$ 184,789,243 |
| Actual to date: | 155,569 |
| Progress | Justify progress in terms of materialization of expected co-finance. State any relevant challenges: During the reporting period, primary efforts were dedicated to negotiating adjustments in the institutional arrangements for project implementation, as well as revising the budget and team structure. Also, the project team was concentrated on the engagement of stakeholders, both at the national and subnational levels to review and/or confirm the project scope. Consequently, the co-finance reported in 2023 considered only the in-kind participation of the Ministry of Science, Technology and Innovation, FUNBIO, and UNEP-BR. |

2.5. Stakeholder

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| Date of project steering committee meeting | 2023-08-08 |
| Stakeholder engagement (will be uploaded to GEF Portal) | <p>The project was officially launched in August 2023 in Belém, during the Amazonian Dialogues, with the presence of the Minister of Science, Technology and Innovation, Luciana Santos, and the Minister of Cities, Jader Barbalho Filho. The event was attended by relevant stakeholders from the Ministry of Environment and Climate Change, state and municipal governments, metropolitan regions, universities and civil society. This event marked a significant milestone for the project and provided an excellent opportunity to exchange experiences with the CITinova I (GEF-6) project, whose results were presented during the event.</p> <p>One of the main achievements during this period was the strong involvement of the Ministry of Cities and the Ministry of Environment and Climate Change in the project. Both ministries have officially joined the Project Steering Committee and are actively participating in</p> |

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| | <p>project activities. This close partnership led to the MCTI being invited to draft an inter-ministerial decree to establish the new program "Cidades Verdes Resilientes" (Resilient Green Cities). Furthermore, the National Project Director has become the official representative of the MCTI on the Council of Cities, providing a valuable opportunity to contribute to the decision-making process for the transition to sustainable cities.</p> <p>With the hiring of the metropolitan coordinators and the start of the implementation of the technical activities, the Local Advisory Groups (LAG) will be created to provide guidance and oversee the project activities. These groups are anticipated to be operational by Q4/2024.</p> <p>During the reporting period, the project team conducted missions to the metropolitan regions. These missions were crucial for engaging with local stakeholders and presenting the project to current municipal policymakers and technical teams. They provided an opportunity to learn about ongoing synergistic projects in the area and to gather insights into local needs. This engagement ensured that the planned components and outputs remained relevant to the local context in the post-pandemic period. Below is a summary of the stakeholders engaged in each mission:</p> <p>TERESINA – November 2023 and July 2024</p> <p>The project team had the opportunity to present and discuss the project with approximately 150 stakeholders, including: Governmental institutions – including Federal, State and Metropolitan Region municipalities - 56 stakeholders; Academia – 57 stakeholders; Innovation sector – 15 stakeholders; Social society – 2 urban vegetable garden women cooperatives</p> <p>FLORIANÓPOLIS – November 2023 and July 2024</p> <p>The project team had the opportunity to present and discuss the project with approximately 100 stakeholders, including: Governmental institutions – including Federal, State and Metropolitan Region municipalities - 40 stakeholders; Academia – 05 stakeholders; Innovation sector (private/public agencies) – 20 stakeholders; Civil society – representatives from the community of the Pirajubaé Protected Area and civil society and private sector representatives of the CODERF (Development Committee of the Greater Florianopolis Region) – 25 stakeholders</p> <p>BELÉM – March, April 2024 (Urbanshift Regional Forum) and July 2024</p> |
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| | The project team had the opportunity to present and discuss the project with approximately 100 stakeholders, including: Governmental institutions – including Federal, State and Metropolitan Region municipalities - 41 stakeholders; Academia – 06 stakeholders; Civil society – 06 civil society organizations (15 people), Belém Municipal Forum on Climate Change (25 people) and 3 Combu island producer cooperatives (6 people); and participation in the CITInova Stand during the Urbanshift Regional Forum (30 stakeholders) |
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2.6. Gender

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| Does the project have a gender action plan? | Yes |
| Gender mainstreaming (will be uploaded to GEF Portal): | <p>The Gender Action Plan strategy for the project focuses on incorporating a gender perspective into relevant activities, results, and products of the project rather than including isolated gender-oriented activities in different components. Given that the implementation of the technical activities has recently started, there are no significant results to report at this time. However, the Project Management Unit (PMU) is taking the following gender-responsive measures to ensure the effective implementation of the Gender Action Plan:</p> <ul style="list-style-type: none"> - The Communication and Gender Assistant was recruited in June 2024. She is currently enhancing the Gender Action Plan and will be responsible for monitoring its implementation. - The Communication and Gender Assistant is organizing an activity for the project team to strengthen gender actions in project implementation. The activity will be conducted in groups with the main objective of generating ideas to ensure that each project output is gender sensitive at all stages, from planning to evaluation. - The PMU is ensuring that all Terms of Reference incorporate gender perspective activities to guarantee the effective implementation of the Gender Action Plan guidelines. - During the missions, the PMU focused on mapping ongoing gender-sensitive projects and activities to create synergies with project actions. In Teresina, the team visited the urban community vegetable garden led by women, while in Belém, the team visited the Dona Nena cocoa production site on Combu island, which is a hub of local female entrepreneurship. In June, during a recent visit to Combu Island, the PMU engaged with local stakeholders to understand the dynamics of the families, tourism-focused enterprises (mostly restaurants), and associations on the island. <p>It is important to emphasize that the recent recruitment of the Metropolitan Coordinators, who were onboarded in June 2024, plays an</p> |

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| | important role in implementing the Gender Action Plan. Their knowledge of the regional dynamics will enable the PMU to identify the most pressing gender issues and map existing gender-sensitive projects in the metropolitan area to build a synergetic implementation strategy. |
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2.7. ESSM

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| Moderate/High risk projects (in terms of Environmental and social safeguards) | <p>Was the project classified as moderate/high risk CEO Endorsement/Approval Stage?</p> <p>Yes</p> <p>If yes, what specific safeguard risks were identified in the SRIF/ESERN?</p> <p>Moderate risk was identified triggering safeguard standards for: SS1: Biodiversity, Ecosystems and Sustainable Natural Resource Management SS2: Climate Change and Disaster Risks in providing this rating, the UNEP Safeguard Advisor noted that: At the early stage of the project implementation, risk mitigation options and measures (avoid, minimize, mitigate and offset) should be assessed and planned. Develop mitigation measures against the identified risks at the early phase of the project implementation. Throughout the project implementation phase, manage, monitor, and report the risks regularly.</p> |
| New social and/or environmental risks | <p>Have any new social and/or environmental risks been identified during the reporting period?</p> <p>No</p> <p>If yes, describe the new risks or changes?</p> <p>N/A</p> |
| Complaints and grievances related to social and/or environmental impacts | <p>Has the project received complaints related to social and/or environmental impacts (actual or potential) during the reporting period?</p> <p>No</p> <p>If yes, please describe the complaint(s) or grievance(s) in detail, including the status, significance, who was involved and what actions were taken?</p> <ul style="list-style-type: none"> N/A |
| Environmental and social safeguards management | <p>The lack of commitment of local actors to the implementation and adoption of the activities proposed by the project is the main risk associated with the project. To mitigate these risks, the team is implementing the following mitigation actions: Establishment Local Advisory Groups, when necessary. Conducting regular meetings with the focal points from the Metropolitan Regions. Keep stakeholders informed about project goals, progress, and benefits through regular updates and open forums. Involving local political leaders in the planning decision-making process to ensure their input is considered and they feel ownership of the project incorporated and to foster a sense of ownership of the project. Clearly articulating the local economic, social, and environmental benefits of the project.</p> |

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| | <p>Aligning project objectives with local policy priorities and agendas to gain secure political support and buy-in. Offering training sessions and workshops for local political leaders and stakeholders to enhance their understanding of the project tasks and goals.</p> <p>Securing formal agreements or memoranda of understanding (MOUs) with local governments to formalize solidify commitments and define responsibilities. Regarding risks related to national financial/economic crisis and inflations and rate fluctuations, the team is working on the following safeguards measures: Monitoring the materialization of the expected co-financing and will developing contingency plans in case of significant deviations Regularly conducting financial risk assessments to anticipate and planning for potential economic downturns. Evaluating the scope of activities to identify any potential need for adjustments Considering the risks associated to the lack of commitment of women and beneficiaries resistance to the project activities, the team is implementing the following actions: Mapping of local dynamics and impact studies. Planning co-creation strategies with local communities Mapping of local and national government safeguard actions. Customizing communication to address the specific interests and concerns of different political stakeholders. Mapping gender-sensitive projects and initiatives ongoing in the territory. Involving women in the planning and decision-making processes from the outset to ensure their voices are heard. Identifying and addressing specific barriers that might prevent women from participating, such as time constraints, cultural norms, or lack of childcare. Offering support services such as childcare, transportation, and flexible meeting times to accommodate women’s needs. Establishing regular feedback mechanisms to capture women’s experiences and adjust project activities accordingly. Publicly recognizing and celebrating the contributions of women to the project to encourage continued engagement. Partnering with local leaders and influencers who can advocate for women’s participation and help overcome resistance. Project delay an budget shortage represent a new risk to the project, as safeguard measures the team is implementing the following actions: Promoting enhanced planning and forecasting: development of project review considering current delays Increasing co-financing: collaborate with partner organizations to share resources, reducing the need for separate budget allocations for travel and personnel. Virtual Engagement: Where possible, replace physical travel with virtual meetings to reduce travel costs and allocate funds more efficiently towards other essential budget items</p> |
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2.8. KM/Learning

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| <p>Knowledge activities and products</p> | <p>A new OneDrive project account has been created to serve as the primary repository for the project. The new account enables all team members to easily access, edit, and share files, as the Ministry account allows to invite users to have full access to the database regardless of their hiring contract. All files have been transferred to the new repository, which is active and in use.</p> <p>1. The team has initiated the internal knowledge management process through the following actions: (i) Mapping of the main processes: identifying the documents required for each process, and defining the type, frequency and responsible parties for each one. (ii) List of periodic technical and financial reports, defining deadlines, responsible and internal approval process. (iii) Definition of the preparation</p> |
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| | <p>of quarterly project reports, including a progress report on the project and a report on the lessons learned from the implementation of the project. (iv) Define the elaboration of monthly newsletters to inform the project partners about the activities and main results achieved.</p> <p>2. The communication assistant has reactivated the project's social media accounts (created in GEF-6), producing daily content that showcases the project's activities, fosters stakeholder engagement, and enhances visibility of the project. Efforts are underway to procure an updated visual identity for the project to ensure cohesive communication and a professional image. Currently, a new website template is being contracted so that it can be updated regularly and become a virtual repository for the project's actions. The site is anticipated to be updated by the end 2024.</p> <p>3. Considering the large number of knowledge management platforms available in the government, the PMU is engaging in discussions with the Ministry of Environment and Climate Change and the Ministry of Cities regarding the potential hosting the project's contents on an existing platforms, such as the recently redeveloped CAPAcidades of the Ministry of Cities (https://www.capacidades.gov.br/). This approach would allow for wider dissemination of the contents, with lower operational costs and more resources for the development of the courses. Moreover, such strategy would contribute to the sustainability of the KM strategy, once governmental platforms have maintenance resources allocated within the annual governmental budget.</p> <p>4. The PMU is working on the definition of the SIS+ system (Component 4). The system will gather all the existing data of the national platforms (IBGE, INPE, AdaptaBrasil, etc) in order to offer to the metropolitan regions a tool of integrated planning based on evidence. Besides, the SIS+ will gather a library of sustainable solutions that the municipalities could implement in their territory to face the challenges of climate mitigation and adaptation.</p> <p>5. All UrbanShift activities, such as the National Dialogue, the UrbanShift Lab and the Regional Forum, are already available on the website https://pt-br.shiftcities.org/events.</p> |
| Main learning during the period | <p>- Importance of the presence of the PMU team in the territory to promote the active participation of local stakeholders in the implementation of the activities, as well as the possibility of aligning the project deliverables with local initiatives. The missions to the territory resulted in a closer partnership with the local focal points, the active involvement of various Secretariats, and the map of activities that may need to be reviewed in light of the post-pandemic scenario.</p> <p>- The importance of a local project consultant in the metropolitan region for the acceptance of the project actions by the subnational governments.</p> |

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| | - The Urbanshift events were an important moment to meet and engage with local stakeholders beyond the project activities. It led to a closer relationship and contributed to the partners' sense of belonging to the project. |
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2.9. Stories

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|-----------------------------|--|
| Stories to be shared | No stories to be shared at this point. |
|-----------------------------|--|

3 Performance

3.1 Rating of progress towards achieving the project outcomes

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--|---|----------------|-------------------------------|-----------------------|---|---|-----------------|
| Brazilian metropolitan regions reduce greenhouse gas emissions, conserve biodiversity and achieve economic, social and environmental co-benefits through an integrated urban planning approach | A. Area of landscapes under improved practices (excluding protected areas) | 0 | 0 | 27,861 | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |
| | B. Protected areas under improved management for conservation and sustainable use | 0 | 0 | 18,042 | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |
| | C. Greenhouse gas emissions mitigated (metric tons of CO2e) | 0 | 0 | 106,380 | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--|---|--|--|---|---|--|-----------------|
| | D. Number of direct beneficiaries | 0 | 2000 | 2,360,000 | 150 | 150 government representatives (national and sub-national) participated in the UrbanShift capacity building events, sharing experiences and lessons learned in integrated urban planning projects. The UrbanShift events represent an important moment to emphasize the importance of sustainable integrated urban planning to sub-national officials and decision-makers. | S |
| 1: Governments of the Belém Metropolitan Region, the Florianópolis Metropolitan Region and the Greater Teresina RIDE adopt integrated plans, strengthen governance and use new planning tools for accelerating sustainable urban development | Indicator 1A: # of metropolitan areas that adopt protocols for use of local integrated planning digital modules | 0. The Belem and Florianopolis Metropolitan Regions and the Greater Teresina RIDE do not have GIS planning platforms | All three metropolitan regions and urban agglomerations test prototypes of the modules | 3 metropolitan areas: 1Ai. The State of Pará has adopted a technical cooperation agreement (protocol) for institutionalizing, managing and updating the local integrated planning module 1Aii. At least two municipalities of the Belém Metropolitan Region have each made at | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--------------------------------|-----------|----------------|-------------------------------|---|---|---|-----------------|
| | | | | <p>least one planning decision based on the use of the local integrated planning module 1Aiii. The Teresina and Timon municipalities have adopted a technical cooperation agreement (protocol) for institutionalizing, managing and updating the local integrated planning module 1Aiv. The Teresina and Timon municipalities have each made at least one planning decision based on the use of the</p> | | | |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--------------------------------|--|--------------------|----------------------------------|---|---|---|-----------------|
| | | | | local integrated planning module. 1Av. SUDERF has adopted a technical cooperation agreement (protocol) for institutionalizing, managing and updating the local integrated planning module 1Avi. At least two municipalities of the Florianópolis Metropolitan Region have each made at least one planning decision based on the use of the local integrated planning module | | | |
| | Indicator 1B: # of metropolitan regions, | Belém metropolitan | Each metropolitan region / urban | 3 metropolitan regions and | 0 | There has been no substantive progress on this indicator because the team was | MS |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--------------------------------|--|--|--|--|---|---|-----------------|
| | urban agglomerations and RIDEs with improved evidencebased sustainable, inclusive integrated plans | region, the TeresinaTimon Urban Agglomeration and Greater Teresina RIDE have no integrated plans. Florianopolis Metropolitan Region has the Sustainable Urban Mobility Plan (PLAMUS) | agglomeration / RIDE has initiated a process to develop at least i) one climate mitigation and technology action plan and ii) one biodiversity conservation plan | urban agglomerations: 1Bi. A climate mitigation and low-emission technology action plan for the Belém Metropolitan Region is adopted by the State of Pará together with Belém Metropolitan Region municipalities 1Bii. An Integrated Management Plan for Protected Areas (PAs) and Urban Green Areas for the Belém Metropolitan Region is adopted by the State of Pará | | fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--------------------------------|-----------|----------------|-------------------------------|---|---|---|-----------------|
| | | | | 1Biii. A climate mitigation and low-emission technology action plan for the urban agglomeration is adopted by the Teresina and Timon municipalities 1Biv. A Comprehensive Biodiversity and Ecosystem Services Plan and Actionably Strategy is adopted by the Greater Teresina RIDE 1Bv. A climate mitigation and low-emission technology action plan for the Florianopolis Metropolitan Region is | | | |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--------------------------------|--|---|---|---|---|---|-----------------|
| | | | | adopted by CODERF 1Bvi. Socio-environmental macro-zoning of the Florianópolis Metropolitan Region is adopted by CODERF | | | |
| | Indicator 1C: # of metropolitan regions and urban agglomerations with enhanced and gender-sensitive institutional arrangements | Belém metropolitan region: limited arrangements exist by State Law No. 76/2011 but were not operationalized. Teresina-Timon Urban Agglomeration: no arrangements exist Florianópolis: arrangements as per Complementary | Completed technical study of good governance structures for each of the three metropolitan regions / urban agglomerations | 3 metropolitan regions and urban agglomerations: 1Ai. The State of Pará and Belém metropolitan area municipalities have reviewed a proposal for updating Complementary Law 027/95 (for enhanced and gender sensitive metropolitan governance and management | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--------------------------------|-----------|------------------|-------------------------------|---|---|---|-----------------|
| | | Law No. 636/2014 | | arrangements) and the Legislative Assembly of the State of Pará has formally considered this for adoption on at least one occasion 1Aii. The Teresina and Timon municipalities have reviewed a proposal for creating urban agglomeration governance and gender-sensitive management arrangements and their Municipal Chambers have considered the proposal for adoption on at least one occasion 1Aiii. A | | | |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--|---|----------------|---|---|---|---|-----------------|
| | | | | strategy for enhancing Florianopolis Metropolitan Region gender-sensitive governance and management arrangements is adopted by CODERF | | | |
| 2: The governments of the municipalities of Belém, Florianópolis and Teresina invest in science and evidence based solutions for low-emission and biodiversity conservation-centered urban development | Indicator 2: # of cities with sustainable integrated lowemission, resilient, conservation or land restoration investment plans or project pipelines | 0 | Three (3) completed designs of sustainable integrated lowemission and biodiversityconservation solutions in the municipalities of Belém, Florianópolis and Teresina, for executing in the second half of the project to generate evidence for scale up through investment plans | At least 3 cities have prepared low-emission project pipelines and submitted a minimum of one project to a financing institution: 1. Based on the experiences of the pilot low-emission street, Belem City has submitted minimum one project to a financing | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--------------------------------|-----------|----------------|-------------------------------|---|---|---|-----------------|
| | | | | <p>institution to scaleup the integrated pilot;</p> <p>2. Based on the experiences of the pilot low-emission city block, Teresina City has submitted minimum one project to a financing institution to scale-up the integrated pilot;</p> <p>3. Based on the experiences of the pilot sustainable district, Florianopolis City has submitted minimum one project to a financing institution to scale-up the</p> | | | |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--|---|--|--|--|---|---|-----------------|
| | | | | integrated pilot. | | | |
| 3: Metropolitan region and national actors initiate or strengthen innovative financing mechanisms for scaling-up sustainable urban solutions | Indicator 3A: # of metropolitan regions and national public financing institutions that have initiated or strengthened innovative financial mechanisms for scaling-up sustainable urban solutions | BNDES and FINEP existing financing mechanisms to promote sustainable urban development | Belém Metropolitan Region: New environmental services to be provided in the surroundings of the Utinga State Park have been identified. National public financing: an analysis report has been prepared and submitted to national financing institutions on local government financial demand profiles, national financial sources, the effectiveness of existing financial instruments, and good international practices and criteria for financing sustainable urban development | 3 metropolitan regions/national institutions with innovative financial mechanism operational. 3.1.i. One payment for ecosystem services mechanism in the Utinga State Park has been adopted by the Belém Metropolitan Region. 3.1.ii. Two new or strengthened innovative financial mechanisms for sustainable urban development have been implemented by | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--|--|----------------|---|---|---|---|-----------------|
| | | | | national public financing institutions such as the Brazilian Development Bank (BNDES) and the Funding Authority for Studies and Projects (FINEP). | | | |
| | Indicator 3B: \$USD available through new or enhanced innovative financial mechanisms for scaling-up sustainable urban solutions | 0 | Public financing institutions have access to recommendations on financing models and criteria for sustainable urban development suited to the Brazilian urban reality and different types of financial institutions | Minimum USD \$75,000,000 confirmed to be available through new or strengthened public financial mechanisms for sustainable urban development, including through mechanisms of BNDES and FINEP | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |
| 4: Brazilian metropolitan region governments commit to greater ambition on | Indicator 4A # average monthly visits to the SIS+ online platform over a six- | 0 | 0 (beta version is available for internal testing) | Average of 500 visitors per month over a | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed | MS |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--|--|----------------|---|---|---|---|-----------------|
| sustainable urban development by drawing on new tools, enhanced access to good practices and strengthened capacity | month period | | | six-month period | | onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | |
| | Indicator 4B: # of new commitments by Brazilian metropolitan region governments to develop integrated urban plans, including PDUIs | 0 | Minimum 14 metropolitan regions identified with potential interest to scale up ambition and invited to participate in the capacitybuilding activities | 7 additional metropolitan regions (10% of all metropolitan regions) | 0 | There has been no substantive progress on this indicator because the team was fully staffed in Q2 2024 and completed onboarding in June 2024. Considering this, the team is currently focused on developing the Terms of Reference for engaging consultancies to implement the project. | MS |
| | Indicator 4C: # of cities that have shared good practices and lessons learned with the SCIP global platform | 0 | 1 | 3 | 3 | Government representatives participated in the following UrbanShift capacity building events • City Academy – Buenos Aires, Argentina – October 2022 • City Academy – Training on Nature Based Solutions and Integrated Climate Action Planning – 04 Brazilian cities trained from Brasil • Peer Exchange – Experience Exchange on Implementing Nature Based Solutions – 02 Brazilian cities trained • 1st National Dialogue – Florianópolis, Brazil – November 2023 – Discussing metropolitan planning and governance – 06 Brazilian cities trained • Planning Laboratory – Discussing | S |

| Project Objective and Outcomes | Indicator | Baseline level | Mid-Term Target or Milestones | End of Project Target | Progress as of current period (numeric, percentage, or binary entry only) | Summary by the EA of attainment of the indicator & target as of 30 June | Progress rating |
|--------------------------------|-----------|----------------|-------------------------------|-----------------------|---|---|-----------------|
| | | | | | | climate change as Public Function of Common Interest for the Metropolitan Region of Florianopolis – 10 cities trained • Regional Forum UrbanShift – Accommodating Urban Growth, Circular Economy, and Accessing Urban Climate Finance - 24 Brazilian cities trained | |

3.2 Rating of progress implementation towards delivery of outputs (Implementation Progress)

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|--|---|--------------------------|---|--|--|-----------------|
| 1 Governance and evidence-based integrated planning | Output 1.1 – An integrated planning digital module, linked to the System of Innovations and Solutions for Sustainable Urban Planning (SIS+), is available to support integrated urban planning of the Belém Metropolitan Region by its municipalities | 2026-06-30 | n/a | 0 | The PMU is preparing the term of reference for the hiring of the consulting firm responsible for the development of the metropolitan modules. To this end, the PMU organized a meeting with the CITInova I SISDIA platform managers to understand the opportunities and challenges of replicating the metropolitan system. On the other hand, the metropolitan coordinators are gathering information with the local stakeholders to define the specific scope of the module for | MU |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|-----------|---|--------------------------|---|--|--|-----------------|
| | | | | | each metropolitan region. The consultancy is expected to be hired in Q1/2025. | |
| | Output 1.2 - Integrated urban plans are developed as tools for the Belém Metropolitan Region to use in accelerating sustainable urban development | 2026-06-30 | n/a | 0 | - The hiring process for the consultancy for the development of a Low-emission plan (D1.2.5) is in its final stage and it is expected to start in August 2024.- The Consultancy for the development of the climate mitigation and technology action plan (D1.2.2) is expected to be hired by Q2/2025 as well as the Integrated Management Plan for Protected Areas (PAs) and Urban Green Areas (D1.2.3).- Regarding D1.2.4 – Integrated solid waste management plan for the Belém Metropolitan Region, the Integrated solid waste management plan for the State of Pará, including Belém Metropolitan Region is currently under development by the Federal University (UFPA). The PMU team is evaluating how to support the plan development with complementary studies. | MS |
| | Output 1.3 - A proposal for updating complementary law 027/95 to enhance the governance and management of the Belém Metropolitan Region is developed and submitted for approval by the State Government of Pará | 2026-06-30 | n/a | 0 | During the reporting period, the PMU team visited the Metropolitan Region of Belém to engage with local metropolitan stakeholders. The first deliverable, expected to be commissioned in Q4 2024, will involve a study on good practices on metropolitan governance. This study | MU |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|-----------|--|--------------------------|---|--|---|-----------------|
| | | | | | will support the proposal of metropolitan management models for the three beneficiary regions of the project. Articulation activities with the various municipalities are scheduled to commence at the beginning of 2025, following the municipal elections set to occur in Q4 2024. | |
| | Output 1.4 - An integrated planning digital module, linked to SIS+, is available to support integrated urban planning of the Timon-Teresina Urban Agglomeration by the Teresina and Timon municipalities | 2026-06-30 | n/a | 0 | The PMU is producing the term of reference for hiring the consulting firm responsible for developing the metropolitan modules. To this end, the PMU organized a meeting with the CITinova SISDIA platform managers to understand the opportunities and challenges of replicating the metropolitan system. On the other hand, the metropolitan coordinators are gathering information with the local stakeholders to define the specific scope of the module for each metropolitan region. The consultancy is expected to be hired in Q1/2025. During the mission in July 2024, the PMU verified that the Municipality of Teresina is currently engaged in developing a monitoring system to monitor the Sustainable Development Goals. Its scope, coverage, and evolving status need to be monitored to | MU |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|-----------|---|--------------------------|---|--|---|-----------------|
| | | | | | coordinate actions. | |
| | Output 1.5 - Integrated urban plans are developed as tools for the Greater Teresina RIDE municipalities to use in accelerating sustainable urban development | 2026-06-30 | n/a | 0 | The consultancy for the Low-emission Plan (D. 1.5.4) is expected to be hired in Q2/2025. The consultancy will develop a diagnosis of the center of Teresina and propose low-emission solutions for the thematic areas such as mobility, and infrastructure. The diagnosis and solutions will support the development of the low emission pilot. The Consultancy on climate mitigation and technology action plans (for D1.5.2) is expected to be hired in Q1/2025. A consultancy for sustainable mobility plan (D1.5.3) is expected to be hired in Q2/2025. The consultancy for hiring the biodiversity strategy for the Greater Teresina is expected to be hired in Q2/2025. | MU |
| | Output 1.6 - A proposal for local legislation to enhance the governance and management of the Timon-Teresina Urban Agglomeration is developed and submitted for approval by the Teresina and Timon municipalities | 2026-06-30 | n/a | 0 | During the reporting period, the PMU team visited the Metropolitan Region of Teresina to engage the local metropolitan stakeholders, to present the scope of the project and to raise awareness of the importance of adopting a metropolitan governance mechanism. The first product the is expected to be hired on Q4/24 is a study on good practices on metropolitan governance to support the proposal of metropolitan | MU |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|-----------|--|--------------------------|---|--|---|-----------------|
| | | | | | management models for the three beneficiary regions of the project. The articulation activities with the Teresina and Timon municipalities will continue on the beginning of 2025, after the municipal elections that will take place in Q4/2024. | |
| | Output 1.7 - An integrated planning digital module, linked to SIS+, is available to support integrated urban planning of the Florianópolis Metropolitan Region by its municipalities | 2026-06-30 | n/a | 0 | The PMU is producing the term of reference for the hiring of the consulting firm responsible for the development of the metropolitan modules. To this end, the PMU organized a meeting with the CITinova SISDIA platform managers to understand the opportunities and challenges of replicating the metropolitan system. On the other hand, the metropolitan coordinators are gathering information with the local stakeholders to define the specific scope of the module for each metropolitan region. The consultancy is expected to be hired in Q1/2025 | MU |
| | Output 1.8 - Integrated urban plans are developed as tools for the Florianópolis Metropolitan Region municipalities to use in accelerating sustainable urban development | 2025-09-30 | n/a | 0 | The consultancy for the development of a Low-emission plan (D. 1.8.3) is expected to be hired by Q1 2025. The consultancy will develop a diagnosis of the center of Teresina and propose low-emission solutions for thematic areas such as mobility and infrastructure. The | MU |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|-----------|--|--------------------------|---|--|--|-----------------|
| | | | | | <p>diagnosis and solutions will support the development of the low-emission pilot. The Consultancy on climate mitigation and low-emission technology action plans (D1.8.2) is expected to be hired by Q2/2025. It has been identified that the Municipality of Florianópolis maintains a municipal GHG emissions inventory and is planning to develop a decarbonization plan. The PMU is evaluating the potential for coordinating efforts with the project's activities. The development of the region's Social Environmental Macro-Zoning (D1.8.4) depends on the guidelines provided by the Integrated Development Plan (PDUI) for the Metropolitan Region of Florianópolis. However, PDUI has not yet been developed or hired yet. As an alternative, PMU articulated with the metropolitan stakeholders to develop a Biodiversity Strategy and Action Plan for the metropolitan region, focusing on the mosaic of conservation units and urban green areas. The results and guidelines of this Strategy will be incorporated by PDUI.</p> | |
| | Output 1.9 - Proposals on strengthened metropolitan governance arrangements and socio-environmental macrozoning guidelines are | 2026-06-30 | n/a | 0 | During the reporting period, the PMU team visited the Metropolitan Region of | MU |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|--|---|--------------------------|---|--|--|-----------------|
| | developed and submitted for approval by the Florianopolis Metropolitan Region Development Committee | | | | Florianopolis to engage the local metropolitan stakeholders, to present the scope and to raise awareness of the importance of adopting a metropolitan governance mechanism. In November 2023, Florianopolis hosted the National Dialogue and the UrbanShift Lab. The National Dialogue gathered national and international experiences in metropolitan governance, discussing concrete cases and evaluating goals and lessons learned to identify possible governance arrangements. The UrbanShift LAB focused on the metropolitan region of Florianópolis, discussing climate change and adaptation as a Public Function of Common Interest. It was an opportunity to discuss how the metropolitan region could address the problem with an integrated approach. The Consultancy on urban governance arrangements is expected to be hired by Q2/2025. | |
| 2 Sustainable integrated low-emission and conservation | Output 2.1 - Low-emission street pilot in the Belem City Center | 2026-06-30 | n/a | 0 | The hiring process for the consultancy for the development of a Low-emission plan (D1.2.5) and pilot (O2.1) is in its final stage and activities are expected to start in August 2024. During the mission in March 2024, it was identified that the Avenue Almirante Barroso | MS |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|-------------|---|--------------------------|---|--|--|-----------------|
| investments | | | | | (Admiral Barroso) will be targeted for interventions for the COP30. The PMU team is evaluating how the project may coordinate efforts with this initiative or whether a redefinition of the scope may be necessary. | |
| | Output 2.2 - Local communities in the Combú Island urban protected area in Belém enhance sustainable livelihood options through strengthened management and development of agroforestry production chains | 2026-06-30 | n/a | 0 | During the mission in July 2024, the PMU team visited the Metropolitan Region of Belém. During this visit, it was observed that many local properties already have agroforestry systems with native species of açai and cocoa. IDEFLOR, the institution responsible for the management of this conservation unit, thus proposed certifying these businesses on the island to foster sustainable practices. Local leaders highlighted the urgent need for potable water, sanitation, and solid waste management. Potable water is currently imported from the mainland by boat. PMU is currently articulating with local stakeholders to define the challenge to be addressed by the pilot. | MU |
| | Output 2.3 - Low-emission city block pilot in the Teresina City Center | 2026-06-30 | n/a | 0 | The consultancy on Low-emission pilot is expected to be hired by Q1/2025. | MU |
| | Output 2.4 - Low-emission district pilot on the mainland of the Florianopolis Municipality | 2026-06-30 | n/a | 0 | The consultancy on Low-emission pilot is expected to be hired by Q2/2025. | MU |
| | Output 2.5 - Three pilot investments in the Pirajubae Marine Extractive | 2026-06-30 | n/a | 0 | Water Analysis Laboratory ESEC | MU |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|---------------------------------------|--|--------------------------|---|--|--|-----------------|
| | Reserve and Carijós Ecological Station in Florianopolis demonstrate methodologies to measure urban protected area health and foster community-based conservation | | | | Carijós: The activities of purchasing equipment and strengthening the laboratory are scheduled to begin in Q1/2025. The institution responsible for the management of the conservation units has also invested in new equipment for the lab. Avifauna Monitoring ESEC Carijós and RESEX Pirajubaé: The activities of purchasing equipment and of consultancy to implement the activities are expected to begin in Q1/2025. Sustainable Tourism RESEX Pirajubaé: The activities foreseen by the project to support Sustainable Community-Based Tourism are focused on building infrastructure. However, the location for implementing the infrastructure has not yet been agreed and a partner has not been identified to manage and maintain the infrastructure after the end of the project. For this reason, as an alternative, the project will develop a business model to strengthen local community-based tourism. | |
| 3 Innovative financing and scaling-up | Output 3.1 - Brazilian local governments have access to strengthened national financing mechanisms for low-emission sustainable urban development | 2026-06-30 | n/a | 0 | Consultancy is expected to begin in Q2/2025 | MU |
| | Output 3.2 - Brazilian local governments enhance capacity to access financial mechanisms for financing low-emission sustainable urban | 2026-06-30 | n/a | 50 | Training Activities for Access Finance (D3.2.1): Consultancy is expected to | S |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|--|---|--------------------------|---|--|--|-----------------|
| | development | | | | begin in Q1/2025. Electronic Guide for Financing Access and Training for Financing Access (D3.2.2): Terms of reference, timeline, and calculation memo are under development, and the consultancy is expected to begin in Q1/2025. UrbanShift Activities on Financing (D3.2.6) in partnership with the project have been completed. They are the following: <ul style="list-style-type: none"> Financing Academy – São Paulo, Brazil – August 2023 Financing Academy – San Jose, Costa Rica – October 2023 UrbanShift has recently offered a six-month grant to the city of Teresina to support the city with a key challenge regarding climate change. C40 will work together with Teresina stakeholders. | |
| | Output 3.3 - An innovative financing mechanism in support of conservation and ecosystem service-provision in the Utinga State Park benefits urban dwellers in the Belém Metropolitan Area | 2026-06-30 | n/a | 0 | Based on expertise in this field, FUNBIO will conduct the consultancy, with expected commencement in Q1/2025. | MS |
| 4 Knowledge exchange and capacity building | Output 4.1 - A System of Innovations and Solutions for Sustainable Urban Planning (SIS+) for promoting sustainable urban development is available to Brazilian Federal, state and municipal governments | 2026-06-30 | n/a | 0 | <ul style="list-style-type: none"> The PMU is currently hiring a design thinking consultancy to better define the module scope, considering the new platforms developed nationally, the SISDIA legacy and possibility of coordination with other platforms like ReDUS, AdaptaBrasil, Portal Capacidades. The design thinking consultancy aims to refine the scope of the national | MU |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|-----------|--|--------------------------|---|--|---|-----------------|
| | | | | | system and the electronic financing access guide, as well as potential interactions between the project's platforms and external platforms. | |
| | Output 4.2 - Brazilian city stakeholders strengthen their knowledge, through SIS+ and national networks, on good practices and public policies for achieving integrated sustainable urban development | 2026-06-30 | n/a | 0 | The systematization of lessons learned, good practices and project experiences will be carried out by the PMU. After consolidating the information, it will be prepared for publication on the project website by the communication team. | S |
| | Output 4.3 - Local, state and federal stakeholders strengthen their capacity to undertake integrated urban planning as a result of training activities, including those provided through the Global Program of the Sustainable Cities Impact Program | 2026-06-30 | n/a | 75 | UrbanShift Activities Completed in partnership with the Project: <ul style="list-style-type: none"> • City Academy – Buenos Aires, Argentina – October 2022 • City Academy – San Jose, Costa Rica – July 2022 • Peer-to-Peer Exchange – Barranquilla, Colombia – July 2023 • 1st National Dialogue – Florianópolis, Brazil – November 2023 • Planning Laboratory – Florianópolis, Brazil – November 2023 • Regional Forum UrbanShift – Belém, Brazil – April 2024 UrbanShift Activities planned in partnership with the Project: <ul style="list-style-type: none"> • Peer-to-Peer Exchange – Rotterdam, Netherlands – September 2024 • 2nd National Dialogue – Teresina, Brazil – to be scheduled in the first half of 2025 Training for | S |

| Component | Output/Activity | Expected completion date | Implementation status as of previous reporting period (%) | Implementation status as of current reporting period (%) | Progress rating justification, description of challenges faced and explanations for any delay | Progress Rating |
|-----------|-----------------|--------------------------|---|--|---|-----------------|
| | | | | | Sustainable Urban Planning: • The consultancies that will develop the content of the training activities are expected for Q2/2025. • There were two new key themes identified: (i) gender and (ii) living labs and innovative public procurement. | |

The Task Manager will decide on the relevant level of disaggregation (i.e. either at the output or activity level).

4 Risks

4.1 Table A. Project management Risk

Please refer to the Risk Help Sheet for more details on rating

| Risk Factor | EA Rating | TM Rating |
|---|-----------|-----------|
| 1 Management structure - Roles and responsibilities | Low | Low |
| 2 Governance structure - Oversight | Low | Low |
| 3 Implementation schedule | Moderate | Moderate |
| 4 Budget | Moderate | Moderate |
| 5 Financial Management | Low | Low |
| 6 Reporting | Low | Low |
| 7 Capacity to deliver | Low | Low |

If any of the risk factors is rated a Moderate or higher, please include it in Table B below

4.2 Table B. Risk-log

Implementation Status (Current PIR)

Insert ALL the risks identified either at CEO endorsement (inc. safeguards screening), previous/current PIRs, and MTRs. Use the last line to propose a suggested consolidated rating.

| Risks | Risk affecting: Outcome / outputs | CEO ED | PIR 1 | PIR 2 | PIR 3 | PIR 4 | PIR 5 | Current PIR | Δ | Justification |
|--|-----------------------------------|--------|-------|-------|-------|-------|-------|-------------|---|---------------|
| Weak inter-jurisdictional coordination and governance. including insufficient alignment of priorities. approaches and activities between various levels of actors. leads to ineffective execution of project activities and a reduced project impact | Outcome 1 | M | M | | | | | | = | |

| Risks | Risk affecting: Outcome / outputs | CEO ED | PIR 1 | PIR 2 | PIR 3 | PIR 4 | PIR 5 | Current PIR | Δ | Justification |
|--|-----------------------------------|--------|-------|-------|-------|-------|-------|-------------|---|---|
| Lack of local political support for developing the plans and implementing the pilots reduces project impact | Outcomes 1 and 2 | S | S | | | | | | = | |
| National elections in 2022 and municipal elections in 2024 result in some of the project's institutional stakeholders changing their priorities. leading to less institutional support for project interventions | All outcomes | S | S | | | | | | = | |
| Local communities and citizens resist participating in the interventions as they do not find them useful or have concerns about their social, economic or environmental impact. leading to slower project execution and reduced impact | Outcome 2 | L | L | | | | | | = | |
| A national financial or economic crisis affects national, provincial and local budgets and those of public financial institutions. leading to reduced co-financing, changing priorities and reduced investment | All outcomes | S | S | | | | | | = | |
| Public and private financing institutions and private sector actors fail to engage in project activities. leading to reduced project impact | Outcome 3 | M | L | | | | | | = | This risk is considered low given the communication and engagement strategy implemented by the project. |
| Women do not participate effectively in project activities. leading to project outputs that are not gender-sensitive | All outcomes | M | M | | | | | | = | |
| Other Brazilian cities fail to engage in SIS+ or capacity-building activities. leading to reduced project replication | Outcome 4 | M | L | | | | | | ↓ | This risk is considered low given the communication and engagement strategy implemented by the project. |
| Project actions lead to environmental | Outcome 2 | M | M | | | | | | = | |

| Risks | Risk affecting: Outcome / outputs | CEO ED | PIR 1 | PIR 2 | PIR 3 | PIR 4 | PIR 5 | Current PIR | Δ | Justification |
|---|--------------------------------------|--------|-------|-------|-------|-------|-------|-------------|---|---|
| degradation or negative global environmental benefits | | | | | | | | | | |
| Low level of political engagement due to preparations for COP30 (Belem) | Outputs 1.1, 1.2, 1.3, 2.1, and 2.2. | N/A | M | | | | | | ↑ | Since May 2023 when Belém was officially selected to host COP30, the city has been the target of several initiatives and political demands have increased. |
| Possible shortage of raw materials and local labor due to the large number of activities that will take place in the area in preparation for COP30(Belém) | Output 2.1 | N/A | H | | | | | | ↑ | Since May 2023 when Belém was officially selected to host COP30, the city has been the target of several initiatives involving public works. |
| Inflation and exchange rate fluctuations | All outcomes | S | S | | | | | | = | |
| Extreme climatic events | Outcome 2 | N/A | M | | | | | | ↑ | Extreme weather events have increased in Brazil in the last year. |
| | | M | M | | | | | | = | There are some risks with potential negative impacts, but most impacts are amenable to management using standard mitigation measures, which are being implemented by the Project Management Unit (PMU). |

4.3 Table C. Outstanding Moderate, Significant, and High risks

Additional mitigation measures for the next periods

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|---|---|--|--|-------------------------|------------|
| <p>Weak inter-jurisdictional coordination and governance. including insufficient alignment of priorities. approaches and activities between various levels of actors. leads to ineffective execution of project activities and a reduced project impact</p> | <p>To mitigate this risk during project implementation. a number of mitigation measures will be undertaken to promote and facilitate intra- and inter-jurisdictional integration. These include:1. Creation of multi-stakeholder local advisory groups in each metropolitan region 2. Creation of an advisory group of specialists (AGS) consisting of key national actors in the sustainable urban development space 3. Specific outputs aimed at strengthening coordination 1.3. 1.6 and 1.9. on metropolitan region coordination. output 3.1 on national coordination on finance4. Development of outputs which will be executed in consultation</p> | <p>The Metropolitan Coordinator hiring process is complete. The coordinator will be responsible for coordinating the project activities with the local government and engaging with the local stakeholders. During the reporting period. the PMU team organized missions to the metropolitan regions. These missions provided a valuable opportunity to engage with local stakeholders and present the project to municipal policymakers and technical teams. Additionally. the trip served as a platform to introduce the local metropolitan technical coordinator to stakeholders. outlining the project's scope and</p> | <ul style="list-style-type: none"> • Establishment of Local Advisory Groups • Conducting regular meetings with the focal points from the Metropolitan Regions. | <p>By December/2024</p> | <p>PMU</p> |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|---|--|---|--|------------------|---------|
| | with different ministries and other national actors: outputs 3.1 and 4.3. | emphasizing the importance of metropolitan governance. | | | |
| Lack of local political support for developing the plans and implementing the pilots reduces project impact | Project design involved a detailed process of consultation with local governments. to ensure that project activities respond to their needs and to obtain local political buy-in. Local governments are fully supportive of the proposed project interventions.To mitigate this risk during project implementation. a LAG will be created to ensure local actor buy-in. The PMT will also work closely with the local governments. including by locating a project officer in each city. This person will have the function of maintaining close relationship with the local government and keeping it informed of project progress as well as | The Metropolitan Coordinator hiring process is complete. During the reporting period. the PMU team organized missions to the metropolitan regions. These missions provided a valuable opportunity to engage with local stakeholders and present the project to municipal policymakers and technical teams. Additionally. the mission served as a platform to introduce the local metropolitan technical coordinator to stakeholders. outlining the project's scope and emphasizing the importance of metropolitan governance. | <ul style="list-style-type: none"> • Establishment of Local Advisory Groups • Conducting regular meetings with the focal points from the Metropolitan Regions. Keep stakeholders informed about project goals. progress. and benefits through regular updates and open forums. • Involving local political leaders in the decision-making process to ensure their input is incorporated and to foster a sense of ownership of the project. • Clearly articulating the local economic. social. and environmental benefits of the project. • Aligning project objectives with local policy priorities and agendas to secure political | By December/2024 | PMU |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|---|---|---|--|-------------------------|------------|
| | <p>keeping PMT informed of any possible changes in political priorities. Through this conduit and information. PMT will apply adaptive management to ensure local political support is maintained. Furthermore. PMT will highlight to the cities the international dimension of the project and its potential to raise the international profile of the cities as they cope with their commitments.</p> | | <p>support and buy-in. • Offering training sessions and workshops for local political leaders and stakeholders to enhance their understanding of the project tasks and goals. • Securing formal agreements or memoranda of understanding (MOUs) with local governments to formalize commitments and define responsibilities.</p> | | |
| <p>National elections in 2022 and municipal elections in 2024 result in some of the project's institutional stakeholders changing their priorities. leading to less institutional support for project interventions</p> | <p>To mitigate this risk during project implementation. the PMT will establish local advisory groups (LAGs) and undertake highly participatory processes for project execution. These groups. which will consist of representatives of the local and provincial governments. academia. and civil society (see</p> | <p>During the reporting period. the PMU team organized missions to the metropolitan regions. These missions provided a valuable opportunity to engage with local stakeholders and present the project to municipal policymakers and technical teams. Additionally. the missions served as a</p> | <p>• Conducting regular meetings with the focal points from the Metropolitan Regions. • Monitoring the candidates with the most acceptance and voting trends • Promoting activities to engage candidates in the project. • Developing contingency plans to</p> | <p>By December/2024</p> | <p>PMU</p> |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|---|---|---|--|--------------------------------------|--------------------------|
| | <p>executing arrangements). will build a strong local identity and support for the project. ensuring it is protected against change in political priorities. At the time of such elections. the PMT and UNEP Task Managers will also meet with the elected governments to ensure their buy-in of the project. At the national level it is envisioned that cities will continue to be of national priority. The AGS will serve to mitigate risks in changing priorities by preserving the project's identity and direction among governmental and non- governmental national actors.</p> | <p>platform to introduce the local metropolitan technical coordinator to stakeholders. outlining the project's scope and emphasizing the importance of metropolitan governance.</p> | <p>address potential political challenges and disruptions.</p> | | |
| <p>A national financial or economic crisis affects national. provincial and local budgets and those of public financial institutions.</p> | <p>The project has been designed to draw on existing and planned investments and co-financing in each city. To</p> | <p>Participation in regular meetings of the Council of cities. Sustainable taxonomy working groups. Engagement with</p> | <ul style="list-style-type: none"> Monitoring the materialization of the expected co-financing. and developing contingency plans in case of significant | <p>During project implementation</p> | <p>National Director</p> |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|---|--|---|---|------------------|--|
| leading to reduced co-financing. changing priorities and reduced investment | mitigate this risk during project implementation. the PMT will regularly monitor the materialization of the expected co- financing. and will develop contingency plans in case of significant deviations. Furthermore. the CODUS will consider issues of resource mobilization. including as these may be affected by national economic crises. in its work to strengthen financial instruments for sustainable urban development. | other ministries to ensure that the project's issues are placed as a priority by the government. | deviations• Regularly conducting financial risk assessments to anticipate and planning for potential economic downturns. | | |
| Women do not participate effectively in project activities. leading to project outputs that are not gender- sensitive | To mitigate this risk during project implementation. the PMT will hire a dedicated communication and gender officer who will implement the project's gender action plan and routinely inform all partners on progress in implementing the plan. particularly with respect to the project's gender | The hiring process for the Communications and Gender Assistant was completed. The Communications and Gender Assistant is responsible for developing and monitoring the implementation of the Gender Action Plan; she has already started working on | <ul style="list-style-type: none"> • Mapping gender-sensitive projects and initiatives ongoing in the territory. • Including gender -responsiveness and monitoring in the terms of reference. • Involving women in the decision-making processes from the outset to ensure their voices are heard. | By December/2024 | PMU. Communications and Gender Assistant |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|-------------------------|--|---|--|----------------|---------|
| | <p>targets. It will also run participative and gender-sensitive processes for developing and executing the outputs on project plans and pilots. to mitigate this risk.</p> | <p>it.The hiring process for the Metropolitan Coordinator was completed. The coordinator is responsible for coordinating the project activities with the local government and engaging with the local stakeholders.</p> | <p>Identifying and addressing specific barriers that might prevent women from participating. such as time constraints. cultural norms. or lack of childcare. • Offering support services such as childcare. transportation. and flexible meeting times to accommodate women’s needs. • Establishing regular feedback mechanisms to capture women’s experiences and adjust project activities accordingly. • Publicly recognizing and celebrating the contributions of women to the project to encourage continued engagement. • Partnering with local leaders and influencers who can advocate for women’s participation and help overcome resistance.</p> | | |
| Project actions lead to | To mitigate this risk during | PMT is monitoring the | PMT will keep monitoring | During project | PMU |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|--|---|---|---|------------------|---------|
| environmental degradation or negative global environmental benefits | project execution. the PMT will monitor on a periodic basis project progress (minimum every six months) against the UNEP Safeguard Risk Identification Form. On a six-monthly basis it will also assess and identify any further risks in this area. and develop risk mitigation strategies to ensure such degradation or negative benefits do not occur. The UNEP Task Managers will consult with PMT on a six-monthly basis on this matter (through the half-yearly and project implementation review reports). | project progress on a periodic basis against the UNEP Safeguard Risk Identification Form.Updated assessments and mitigation measures are being included in the ToRs for the consultancies in charge of project activities. especially the pilot projects. | the project progress on a periodic basis against the UNEP Safeguard Risk Identification Form.Updated assessments and mitigation measures will be included in the future ToRs. | implementation | |
| Low level of political engagement in Belém due to preparations for COP30 | New risk | During the reporting period. the PMU team organized missions to the metropolitan regions. These missions provided a valuable opportunity to engage with local | <ul style="list-style-type: none"> • Planning for the implementation of Local Advisory Groups. when necessary. • Conducting regular meetings with the focal points from the | By December/2024 | PMU |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|--|--|--|--|-------------------------|------------|
| | | <p>stakeholders and present the project to municipal policymakers and technical teams. Additionally, the trip served as a platform to introduce the local metropolitan technical coordinator to stakeholders, outlining the project's scope and emphasizing the importance of metropolitan governance.</p> | <p>Metropolitan Regions. • Securing formal agreements or memoranda of understanding (MOUs) with local governments to solidify commitments and responsibilities. • Adapting project plans based on political feedback and changing circumstances according COP 30 activities. • Clearly articulating the local economic, social, and environmental benefits of the project.</p> | | |
| <p>Possible shortage of raw materials and local labor in Belém due to the large number of activities that will take place in the area in preparation for COP30</p> | <p>New risk</p> | <ul style="list-style-type: none"> • Planning activities for COP30 in advance to guarantee the materials and professionals needed. • Metropolitan Coordinator hiring process complete. The coordinator will be responsible monitoring the activities ongoing in the territory. | <ul style="list-style-type: none"> • Planning activities for COP30 in advance to guarantee the materials and professionals needed. • Including both local and regional suppliers' networks to ensure flexibility and responsiveness. • Collaborating with other organizations to form consortiums for bulk | <p>By December/2024</p> | <p>PMU</p> |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|--|--|---|--|-------------------------------|---------|
| | | | <p>purchasing to negotiate better terms and secure supplies.</p> <ul style="list-style-type: none"> Identifying and using alternative materials that can be substituted without compromising quality. | | |
| Inflation and exchange rate fluctuations | New risk | Calculation of the activities costs with quote below current rate | <ul style="list-style-type: none"> Evaluating the scope of activities to identify any potential need for adjustments | By December/2024 | PMU |
| Extreme climatic events | | | <ul style="list-style-type: none"> Working in partnership with local civil defense. Evaluation of climate risk modeling from climate action plans for interventions in the municipalities Collaborating with local governments, NGOs, and community groups to align disaster preparedness and response efforts. | During project implementation | PMU |
| Beneficiaries resist project activities. making them impossible to implement | | <p>Missions to the metropolitan regions:</p> <ul style="list-style-type: none"> presentation of the project's local activities Engaging municipal | <ul style="list-style-type: none"> Mapping of local dynamics and impact studies Planning co-creation strategies with local communities | By December/2024 | PMU |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|------|--|---|--|------|---------|
| | | <p>policy makers and technical teams with the project. •</p> <p>Mapping synergistic projects taking place in the Metropolitan Region.</p> | <p>Mapping of local and national government safeguard actions •</p> <p>Customizing communication to address the specific interests and concerns of different political stakeholders. •</p> <p>Keeping stakeholders informed about project goals, progress, and benefits through regular updates and open forums. •</p> <p>Clearly articulating the local economic, social, and environmental benefits of the project. •</p> <p>Aligning project objectives with local policy priorities and agendas to gain community buy-in. •</p> <p>Identifying and engaging local influencers and advocates who can champion the project. •</p> <p>Providing technical assistance to help local community to integrate</p> | | |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|--|--|--|--|--|------------|
| | | | <p>project plans into their life quality improvement.</p> <ul style="list-style-type: none"> • Building strong community support through public engagement. ensuring that the project has broad-based backing from local citizens. • Establishing feedback mechanisms to capture and address community concerns. showing political leaders that the project has popular support. | | |
| <p>Implementation schedule and budget – excessive project delay can cause budget shortages for project staff and management activities in the final implementation phase. impacting the project results.</p> | <p>New risk</p> | <p>New risk</p> | <p>1. Promoting enhanced planning and forecasting: development of project review considering current delays 2. Increasing co-financing: collaborate with partner organizations to share resources. reducing the need for separate budget allocations for travel and personnel 3. Virtual Engagement: Where possible. replace physical</p> | <p>1. By Dec/2024. 2. During project implementation 3. During project implementation</p> | <p>PMU</p> |

| Risk | Actions decided during the previous reporting instance (PIRt-1, MTR, etc.) | Actions effectively undertaken this reporting period | What | When | By Whom |
|------|--|--|--|------|---------|
| | | | travel with virtual meetings to reduce travel costs and allocate funds more efficiently towards other essential budget items | | |

High Risk (H): There is a probability of greater than 75% that assumptions may fail to hold or materialize, and/or the project may face high risks. Significant Risk (S): There is a probability of between 51% and 75% that assumptions may fail to hold and/or the project may face substantial risks. Moderate Risk (M): There is a probability of between 26% and 50% that assumptions may fail to hold or materialize, and/or the project may face only modest risks. Low Risk (L): There is a probability of up to 25% that assumptions may fail to hold or materialize, and/or the project may face only modest risks.

5 Amendment - GeoSpatial

Project Minor Amendments

Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5% as described in Annex 9 of the Project and Program Cycle Policy Guidelines. Please tick each category for which a change occurred in the fiscal year of reporting and provide a description of the change that occurred in the textbox. You may attach supporting document as appropriate

5.1 Table A: Listing of all Minor Amendment (TM)

| Minor Amendments | Changes |
|--|---------|
| Results Framework: | |
| Components and Cost: | |
| Institutional and implementation arrangements: | Yes |
| Financial Management: | Yes |
| Implementation Schedule: | |
| Executing Entity: | |
| Executing Entity Category: | |
| Minor project objective change: | |
| Safeguards: | |
| Risk analysis: | |
| Increase of GEF financing up to 5%: | |
| Location of project activity: | |
| Other: | |

Minor amendments

Minor amendments were made in the institutional and financial arrangements for implementation after the change in project leadership (National Director and National Coordinator) resulting from a change in government in 2023.

5.2 Table B: History of project revisions and/or extensions (TM)

| Version | Type | Signed/Approved by UNEP | Entry Into Force (last signature Date) | Agreement Expiry Date | Main changes introduced in this revision |
|---------|----------|-------------------------|--|-----------------------|--|
| Rev1 | Revision | 2022-12-23 | 2022-12-29 | 2028-01-31 | Exclusion of CGEE as one of the co-executing agencies. |
| Rev2 | Revision | 2023-11-28 | 2023-12-18 | 2028-01-31 | Changes in institutional arrangements. specifically changes to the project team. made under new government leadership. |

GEO Location Information:

The Location Name, Latitude and Longitude are required fields insofar as an Agency chooses to enter a project location under the set format. The Geo Name ID is required in instances where the location is not exact, such as in the case of a city, as opposed to the exact site of a physical infrastructure. The Location & Activity Description fields are optional. Project longitude and latitude must follow the Decimal Degrees WGS84 format and Agencies are encouraged to use at least four decimal points for greater accuracy. Users may add as many locations as appropriate. Web mapping applications such as OpenStreetMap or GeoNames use this format. Consider using a conversion tool as needed, such as: <https://coordinates-converter.com> Please see the Geocoding User Guide by clicking here

| Location Name | Latitude | Longitude | GEO Name ID | Location Description | Activity Description |
|---------------|----------|-----------|-------------|----------------------|----------------------|
| Florianópolis | -27.5969 | -48.5495 | | | |
| Teresina | -5.08921 | -42.8016 | | | |
| Belém | -1.45502 | -48.5024 | | | |

Please provide any further geo-referenced information and map where the project interventions is taking place as appropriate. *

[Annex any linked geospatial file]