CONSERVATION INTERNATIONAL

PROJECT IMPLEMENTATION REPORT (PIR)

for the project:

Systems Change Lab

FY23
July 1, 2022 – June 30, 2023

Executing Partners

SYSTEMS CHANGE LAB

Project Information

Project Title:			
Country(*ies*):	Global	**GEF ID**:	10923
GEF Agency(*ies*):	Conservation International	**Duration In Months**:	30
Executing Agency(*ies*):	World Resources Institute	**Actual Implementation Start Date**:	July 1, 2022
GEF Focal Area(*s*):	Biodiversity, Climate Change, Land Degradation	**Expected Project Completion Date**:	December 31, 2024
GEF Grant Amount:	$2,000,000	**Expected Financial Closure Date**:	June 30, 2025
Expected Co-financing:	$3,930,467	**Date of Last Steering Committee Meeting**:	August 31, 2022
Co-financing Realized as of June 30, 2023:	$110,089	**Mid-Term Review-Planned Date**:	N/A
Date of First Disbursement:	July 27, 2022	**Mid-Term Review-Actual Date**:	N/A
Cumulative disbursement as of June 30, 2023	$546,979	**Terminal Evaluation-Planned Date**:	July 1, 2024
PIR Prepared by:	Mary Levine	**Terminal Evaluation-Actual Date**:	TBD
CI-GEF Project Manager:	Prapti Bhandary	**CI-GEF Finance Lead**:	Elizabeth Mast

Minor Amendment Categories	Minor Amendment Justification Minor amendments are changes to the project design or implementation that do not have significant impact on the project objectives or scope, or an increase of the GEF project financing up to 5%. Please select the box that is most applicable for FY23 and include an explanation for the minor amendment request.
Results Framework ☐	
Components and cost ☐	
Institutional and implementation arrangements ☐	
Financial management ☐	
Implementation schedule ☐	
Executing Entity ☐	
Executing Entity Category ☐	
Minor project objective change ☐	
Safeguards ☐	
Risk analysis ☐	
Increase of GEF project financing up to 5% ☐	

Co-financing ☐	
Location of project activity ☐	
Other ☐	

MINOR AMENDMENT RESPONSE FROM CI-GEF

The CI-GEF Project Agency Project Implementation Report (PIR) is composed of six sections:

Section I: **Project Implementation Progress Status Summary**: provides a brief summary of the project as well as the implementation status and rating of the previous and current fiscal years;

Section II: **Project Results Implementation Progress Status and Rating**: describes the progress made towards achieving the project objective and outcomes, the implementation rating of the project, as well as recommendations to improve the project performance, when needed;

Section III: **Project Risks Status and Rating**: describes the progress made towards managing and mitigating project risks, the project risks mitigation rating reassessment as needed, as well as recommendations to improve the management of project risks;

Section IV: **Project Environmental and Social Safeguards Implementation Status and Rating:** describes the progress made towards complying with the Environmental & Social Safeguards and the Plans prepared during the PPG phase, the safeguard plans implementation rating, as well as recommendations to improve the project safeguards;

Section V: **Project Implementation Experiences and Lessons Learned**: describes the experiences learned by the project managers and the lessons learned through the process of implementing the project; and

Section VI: **Project Geocoding:** documents the precise and specific geographic location(s) of activities supported by GEF investments based on information available in project documentation.

SECTION I: PROJECT IMPLEMENTATION PROGRESS STATUS SUMMARY

PROJECT SUMMARY
In partnership with leading data providers and technical experts, the Systems Change Lab (SCL) aims to develop a centralized tracking platform where transformations across systems are monitored on a regular basis, providing the first complete picture of progress towards necessary transitions side-by-side, informing policy and practice. This platform will also track changes occurring in the underlying drivers of systems changes – those forces that have historically enabled transformational change, including innovations in technologies, practices, and approaches, supportive policies, strong institutions, shifts in social norms, and leadership from critical change agents. For each transformation (e.g., protect terrestrial ecosystems), the SCL will identify at least five key drivers of change, with measurable indicators (e.g., number of countries that have committed to halting deforestation, total amount of finance allocated to forest conservation, percent of indigenous communities' land with tenure security, etc.). As a dynamic, virtual situation room for systems change, the SCL will help decision-makers around the world monitor, learn from, and accelerate transformations across nearly all major socio-technical, social-ecological, political, social, and economic systems to address global environmental problems.

PRIOR PROJECT IMPLEMENTATION STATUS
Not applicable

CURRENT PROJECT IMPLEMENTATION STATUS (FY23)
Systems Change Lab had two major knowledge products launched in the first year of the project (State of Climate Action 2022 and Systems Change Lab's new data platform). Both are valuable tools for financiers and decision-makers in government, private sector, civil society, and beyond who are considering where to focus their limited time and resources to tackle our global challenges across climate, biodiversity loss and equity [supporting the project's objective]. State of Climate Action 2022 report [Component 1 + 2], our comprehensive assessment of the gap in climate action across all major sectors. As the third installment in the series, it analyzed progress made across 40 indicators toward 2030 and 2050 targets aligned with limiting warming to 1.5C. We found that, once again, none of the 40 indicators assessed are on track to achieve the 2030 sectoral targets. Systems Change Lab's new data platform [Component 1 + 2] launched at COP27, which tracks global progress on the 70+ transformational shifts needed to limit global warming to 1.5°C, halt biodiversity loss and build a just economy. The initiative's open-source platform tracks which shifts are accelerating or heading in the wrong direction entirely, as well as the key forces driving these changes. Systems Change Lab also made progress in Year 1 in developing knowledge products to help improve decision-makers' understanding of the key ingredients of systems change [Component 2]. We have partnered with Rocky Mountain Institute (RMI) on a complimentary set of research analyses and knowledge products in a workstream called "Ingredients of change". This research series between SCL and RMI will analyze both the historical context as well as future forecasting around the exponential growth of the key renewable technology shifts that are underway globally, including solar PV, wind, electric vehicles, total energy, heat pumps, and green hydrogen. We are also piloting "Connections" as another series of knowledge products to launch in the next fiscal year, which will aim to offer a 'systems lens' of the complex interconnections involved in accelerating system shifts, to help inform decision-makers' and leading coalitions' strategy, funding priorities, and collaborations. Example key questions this series will explore: What dependencies, adverse impacts, co-benefits, limiting/enabling factors, and trade-offs should be considered when looking to scale system transformations? In Year 1 we also completed initial activities and developed a partnership with Global Optimism to enlist key leaders and coalitions as part of our effort to advocate for transformational systems change [Component 3] and will continue to expand on in this next fiscal year. This effort will look to broaden a diverse community of voices that will leverage evidence-based analysis of the system transformations underway to reframe the narrative, shift mindsets towards possibility and opportunity, and ultimately incite leaders to act with grit and determination to get the world back on track to achieve the Paris Agreement. We will look to specifically leverage the aforementioned "Ingredients of change" series in this work.

Our project has successfully mitigated risks and carried out the safeguard plans to ensure successful uptake of our knowledge products, meaningful partnerships and stakeholder engagement. Challenges encountered in Year 1 have included data gaps and capacity to more deeply embed gender sensitive and equity considerations into our SCL framework of shifts and indicators. We have adapted to these challenges with overall transparent treatment on our data platform and have successfully put partnerships in place to help us embed gender and equity more holistically.

SUMMARY: PROJECT IMPLEMENTATION PROGRESS STATUS

PROJECT PART	PRIOR FY22 IMPLEMENTATION PROGRESS RATING	CURRENT FY23 IMPLEMENTATION PROGRESS RATING[1]	RATING TREND[2]
OBJECTIVE	Not applicable	HS	Not applicable
COMPONENTS AND OUTCOMES	Not applicable	S	Not applicable
ENVIRONMENTAL & SOCIAL SAFEGUARDS	Not applicable	S	Not applicable

PROJECT RISK RATING[3]

RISKS		L	Not applicable

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[1] *Implementation Progress (IP) Rating*: Highly Satisfactory (**HS**), Satisfactory (**S**), Moderately Satisfactory (**MS**), Moderately Unsatisfactory (**MU**), Unsatisfactory (**U**), and Highly Unsatisfactory (**HU**). For more details about IP rating, please see the Appendix I of this report
[2] *Rating trend*: Improving, Unchanged, or Decreasing
[3] *Risk Rating:* Low (L), Moderate (M), Substantial (S), High (H)

SECTION II: PROJECT RESULTS IMPLEMENTATION PROGRESS STATUS AND RATING

This section describes the progress made since the start of the project towards achieving the project objective and outcomes, the implementation progress rating of the project, as well as recommendations to improve the project performance. This section is composed four parts:

    a. Progress towards Achieving Project Expected Objective: this section measures the likelihood of achieving the objective of the project

    b. Progress towards Achieving Project Expected Outcomes (by project component)

    c. Overall Project Results Progress Rating, and

    d. Recommendations for improvement

a. Progress towards Achieving Project Expected Objective:

This section of the report assesses the progress in achieving the objective of the project.

PROJECT OBJECTIVE:	To help enable decision-makers to accelerate the systemwide shifts needed to safeguard the global commons for all.

OBJECTIVE INDICATORS	END OF YEAR INDICATOR STATUS	PROGRESS RATING[4]	COMMENTS/JUSTIFICATION
Indicator a: A global open data platform established for tracking transformational change across key systems	One global open data platform established	IS	We are currently on target with indicator a. We have developed a global, open data platform for tracking transformational change across 5 key systems: Power, Industry, Transportation, Technical Carbon Removal, and Finance. We are slated to add additional systems over the next FY as well as continue to refine those previously launched systems.
Indicator b: Number of decision-makers informed by the Systems Change Lab's data platform, assessment reports, knowledge products, and targeted support over the project period	40,434 decision-makers informed by the Systems Change Lab	IS	We are currently on target with indicator b. In total, we have had 343 decision-makers informed by targeted support, 22,473 informed by the platform, and 17,618 informed by the assessment reports.

OBJECTIVE IMPLEMENTATION PROGRESS RATING	JUSTIFICATION
HS	A highly satisfactory rating has been given to the objective implementation. The project successfully established a global open data platform tracking transformational change across 5 key systems: Power, Industry, Transportation, Technical Carbon Removal, and Finance. The platform is being used by a wide range of audiences. Through SCLs data platform, trainings, and other KM products more than 40,000 decision makers have been informed.

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[4] **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved

b. Progress towards Achieving Project Expected Outcomes (by project component).

This part of the report assesses the progress towards achieving the outcomes of the project.

COMPONENT 1	Establishing and maintaining the Systems Change Lab's monitoring platform.

Outcome 1:	A dynamic, user-centered, and open-source data platform is formally launched and operational to monitor systems change globally.
Outcome 2:	Decision-makers are informed by the Systems Change Lab's assessment reports, which will provide a complete, annual snapshot of progress made toward accelerating the systems change needed to safeguard the global commons.

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[5]	COMMENTS/JUSTIFICATION
Outcome indicator 1.1.1: Number of dynamic, user-centered, and open-source data platforms to monitor systems change globally that are designed, launched, and operational.	**Target 1.1.1** One dynamic, user-centered, and open-source data platform to monitor systems change globally is designed, launched, and operational.	One data platform operational	IS	We have created one dynamic, user-centered, and open-source data platform to monitor systems change globally that is designed, launched, and updated regularly. We currently have 5 systems launched on the platform: Power, Industry, Finance, Transportation, and Technological Carbon Removal. We plan on launching more systems in the coming year, with a total of 15 when complete.
Outcome indicator 1.1.2: Number of decision-makers visiting the data platform during the project period (disaggregated by gender).	**Target 1.1.2** 15,000 decision-makers visiting the data platform during the project period, with 5,000 in the first year and 10,000 in the second year (at least 50% women).	57,000	IS	We have had approximately 57,000 decision makers visit the data platform during the first year (July 2022 – June 2023), with approximately 52.3% identified as women and/or gender minorities. Please note that our gender number is approximate given that we used Google Analytics to gather data and are therefore limited in our ability to accurately measure gender.
Outcome indicator 1.2.1: Number of decision-makers informed by the Systems Change Lab's assessment reports	**Target 1.2.1** At least 10,000 decision-makers informed by the Systems Change	17,618	IS	17,618 decision-makers were informed by the Systems Change Lab's annual assessment report: the *State of Climate Action* 2022. According to the data available from Google Analytics, of those that downloaded the report, approximately 52% were women. Please note that our data on

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OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[5]	COMMENTS/JUSTIFICATION
annually (disaggregated by gender).	Lab's assessment reports annually (at least 50% women).			gender is approximate given a small amount of data reported from Google Analytics. We intend on launching this year's report by COP28.
Outcome indicator 1.2.2.: Number of high-level decision-makers (e.g., at the CEO or ministerial level) who include findings from the Systems Change Lab's assessment reports in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) each year (disaggregated by gender and system).	**Target 1.2.2** At least five high-level decision-makers (e.g., at the CEO or ministerial level) include findings from the Systems Change Lab's assessment reports in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) each year (at least two are women or identify as gender minorities, with the aim of reaching three).	17	IS	17 high-level decision-makers included Systems Change Lab's assessment reports in their engagements, speeches, or outreach efforts this year, with at least 52% identifying as women or gender minorities. We will continue to look for gender parity as we look to secure high-level engagement opportunities with key decision-makers. The following are example high-level decision makers who have discussed findings from Systems Change Lab: •Nigel Topping, COP26 UN High-Level Climate Champion •Paul Bodnar, Director of Sustainable Finance, Industry and Diplomacy, the Bezos Earth Fund •Avinash Persaud, Special Envoy to the Prime Minister of Barbados on Investment and Financial Services and for Climate Finance •Catherine McKenna, Chair, UN Expert Group on Net-Zero Pledges of Business, Financial Institutions, Cities and Regions •Rachel Kyte, Dean of The Fletcher School at Tufts University •François Bausch, Deputy Prime Minister, Minister for Mobility and Public Works and Minister of Defence, Luxembourg •Maruxa Cardama, Secretary General, SLOCAT Partnership on Sustainable, Low Carbon Transport •Binyam Reja, Global Practice Manager for the Transport Practice in the Infrastructure Vice Presidency of the World Bank •Jennifer Layke, Global Director, Energy, World Resources Institute •Laura Cozzi, Chief Energy Modeller, IEA •Mohamed Adow, Founder and Director, Power Shift Africa •Nandini Das, Energy Research and Policy Analyst, Climate Analytics •Nicole Iseppi, Director of Energy Innovation, the Bezos Earth Fund •Helen Mountford, President and CEO, ClimateWorks Foundation •Jennifer Austin, Director of Policy and Strategy for High Level Champions for Climate Action •Ahmed Saeed, Vice President, Asian Development Bank •Andrew Steer, President and CEO, Bezos Earth Fund

COMPONENT 1 IMPLEMENTATION PROGRESS RATING	JUSTIFICATION	RATING TREND
HS	A highly successful rating has been given to component 1. The project already surpassed all the targets set for the outcomes under component 1. In FY23 SCL designed and launched a dynamic, user-centered, and open-source data platform to monitor systems change globally. The platform is operational, and more than 50,000 decision makers have been informed though the platform. 17 high level decision makers have used findings from SCL's assessment reports in their engagements and speeches, out of which more than 50% were women.	NA

COMPONENT 2	Co-creating the SCL's knowledge products to help improve decision-makers' understanding of the key ingredients of systems change.

Outcome 1:	Decision-makers are informed by compelling case studies of transformational change and an evidence base of the most critical drivers of such transitions across systems.

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[6]	COMMENTS/JUSTIFICATION
Indicator 2.1.1 Number of decision-makers informed by each of the Systems Change Lab's knowledge products during the project period (disaggregated by gender).	**Target 2.1.1** At least 2,000 decision-makers informed by each of the Systems Change Lab's knowledge products during the project period (at least 50% women).	22,473	IS	We have had approximately 22,473 decision makers visit the data platform during the first year (July 2022 – July 2023), with approximately 58% identified as women and/or gender minorities. During this time, the top five countries with the most traffic to our site included: 42% of users from the United States, 10% from the United Kingdom, 7% from Canada, 6% from Germany, and 3% from India. .

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OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[6]	COMMENTS/JUSTIFICATION
Indicator 2.1.2 Number of high-level decision-makers (e.g., at the CEO or ministerial level) who include findings from the Systems Change Lab's knowledge products in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) each year (disaggregated by gender and system).	**Target 2.1.2** At least five high-level decision-makers (e.g., at the CEO or ministerial level) include findings from the Systems Change Lab's knowledge products in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) during the project period (at least two are women or identify as gender minorities, with the aim of reaching three).	17	IS	17 high-level decision-makers included Systems Change Lab's assessment reports in their engagements, speeches, or outreach efforts this year, with at least 52% identifying as women or gender minorities. We will continue to look for gender parity as we look to secure high-level engagement opportunities with key decision-makers. The following are example high-level decision makers who have discussed findings from Systems Change Lab: •Nigel Topping, COP26 UN High-Level Climate Champion •Paul Bodnar, Director of Sustainable Finance, Industry and Diplomacy, the Bezos Earth Fund •Avinash Persaud, Special Envoy to the Prime Minister of Barbados on Investment and Financial Services and for Climate Finance •Catherine McKenna, Chair, UN Expert Group on Net-Zero Pledges of Business, Financial Institutions, Cities and Regions •Rachel Kyte, Dean of The Fletcher School at Tufts University •François Bausch, Deputy Prime Minister, Minister for Mobility and Public Works and Minister of Defence, Luxembourg •Maruxa Cardama, Secretary General, SLOCAT Partnership on Sustainable, Low Carbon Transport •Binyam Reja, Global Practice Manager for the Transport Practice in the Infrastructure Vice Presidency of the World Bank •Jennifer Layke, Global Director, Energy, World Resources Institute •Laura Cozzi, Chief Energy Modeller, IEA •Mohamed Adow, Founder and Director, Power Shift Africa •Nandini Das, Energy Research and Policy Analyst, Climate Analytics •Nicole Iseppi, Director of Energy Innovation, the Bezos Earth Fund

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[6]	COMMENTS/JUSTIFICATION
				• Helen Mountford, President and CEO, ClimateWorks Foundation • Jennifer Austin, Director of Policy and Strategy for High Level Champions for Climate Action • Ahmed Saeed, Vice President, Asian Development Bank • Andrew Steer, President and CEO, Bezos Earth Fund

COMPONENT 2 IMPLEMENTATION PROGRESS RATING	JUSTIFICATION	RATING TREND
S	A successful rating has been given to component 2. 22,473 decision makers visited the data platform during the first year. 17 high-level decision-makers included Systems Change Lab's assessment reports in their outreach efforts this year. SCL is in the process of generating case studies which will be launched in early FY24.	NA

COMPONENT 3	Mobilizing action for systems change, informed by the SCL's data and knowledge products.

Outcome 1:	Decision-makers are equipped with the Systems Change Lab's data, analysis, and/or targeted support to sustain and promote systems change for those shifts that are heading in the right direction.

OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[7]	COMMENTS/JUSTIFICATION
Indicator 3.1.1 Number of decision-makers who download data from the Systems Change Lab's platform during the project period (disaggregated by gender).	**Target 3.1.1** 1,500 decision-makers download data from the Systems Change Lab's platform during the project period, with 500 downloading data	1277	IS	There were 1,277 file downloads from the Systems Change Lab platform during the project period, with at least 68% identifying as woman or other gender minority.Google Analytics does not track which specific indicator dataset was downloaded.

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OUTCOMES TARGETS/INDICATORS	END OF PROJECT INDICATOR TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[7]	COMMENTS/JUSTIFICATION
	in the first year and 1,000 downloading data in the second year (at least 50% women).			
Indicator 3.1.2 Number of decision-makers surveyed who have responded saying that the data, analysis, and/or targeted insights from the Systems Change Lab has 'frequently' or 'very frequently' helped them promote or sustain systems change during the project period (disaggregated by gender and system).	**Target 3.1.2** At least 100 decision-makers surveyed who have responded saying that the data, analysis, and/or targeted insights from the Systems Change Lab has 'frequently' or 'very frequently' helped them promote or sustain systems change during the project period (at least 33% are women or identify as gender minorities, with the aim of reaching 50%).	0	IS	This survey activity has been delayed as we work to ensure that our engagement with decision-makers is effective, but also because we want to ensure decision-makers have had time to engage with the platform and begin using it in their work. We have so far trained 343 decision-makers and received informal feedback stating that Systems Change Lab is valuable in their work. We are designing a survey that will be sent out this summer, with additional follow-up surveys sent throughout the next year, to gather specifics from previously trained stakeholders.

COMPONENT 3 IMPLEMENTATION PROGRESS RATING	JUSTIFICATION	RATING TREND

S	A successful rating has been given to component 3. 1277 decision makers have downloaded data from SCL's data platform. The project is in the process of designing a survey that enables them to track if the platform has helped decision makers.	NA

c. Overall Project Results Rating

OVERALL PROJECT RESULTS IMPLEMENTATION RATING

OVERALL RATING	JUSTIFICATION	RATING TREND[8]
S	A successful rating has been given to the overall project result implementation. The project has made significant progress during the first year of implementation, already surpassing some of the targets. SCL designed and launched a dynamic, user-centered, and open-source data platform to monitor systems change globally. The platform is operational, and more than 50,000 decision makers have been informed though the platform. 22,473 decision makers visited the data platform during the first year. 17 high level decision makers have used findings from SCL's assessment reports in their engagements and speeches, out of which more than 50% were women.	NA

d. Recommendations

CORRECTIVE ACTION(S)	RESPONSIBLE PARTY	DEADLINE
Ensure the survey is sent out during the first quarter of FY24. Continue tracking the number of decision makers who are utilizing the platform and other KM products generated by the project.	WRI	Survey – FY24 Q1 Other – End of FY24

SECTION III: PROJECT RISKS STATUS AND RATING

a. Progress towards Implementing the Project Risk Mitigation Plan

This section describes the activities implemented to manage and reduce high, substantial, modest, and low risks of the project. This section has three parts:

    a. Ratings for the progress towards implementing measures to mitigate project risks and a project risks annual reassessment

    b. Recommendations for improving project risks management

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[8] **Rating trend**: Increasing, Unchanged or Decreasing

Progress towards Implementing the Project Risk Mitigation and Plan Project Risks Annual Reassessment

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[9]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[10]
Risk 1: Lack of uptake and use of the SCL's data platform and knowledge products by decision-makers	The Advisory Council will help ensure that there is a high level of engagement and uptake of the outputs of the SCL with decision-makers. This will include having 'Champions' within the Advisory Council, who will use the findings from the SCL to inform the work they are undertaking. These individuals and their affiliated institutions will be well positioned to utilize this information to further advocate for change within their respective spheres of influence. Similarly, through its user needs assessment and user testing, the Lab will continue to cultivate relationships with decision-makers across target stakeholder groups. Strategic, targeted outreach and engagement will also be pursued (see more in Section 1a.7 Innovativeness, sustainability and potential for scaling up).	Alongside the Project Steering Committee (CI-GEF, WRI, Bezos Earth Fund), SCL has established a regular cadence of engagement (from weekly to quarterly) with champion leaders within WRI, BEF and other key partners such as Climate Action Tracker, UN High-Level Climate Champions, Climate Action Tracker, Global Commons Alliance and others. While this has not taken shape as a formal advisory council due to capacity restraints, the same objectives are being fulfilled to mitigate this risk, and thus have been a pivot to strategically continue this route. Details in the outcomes of this engagement effort to date have been detailed in the quarterly reports and in this PIR.	IS	SCL has successfully mitigated this risk via the deep engagement with partners and key champion leaders across a network of stakeholders that we have cultivated. This is demonstrated by the uptake and use of the data platform and knowledge products by decision-makers outlined in the quarterly reports and this PIR.	Moderate	Low	Decreasing
Risk 2: Political inertia	The SCL will mitigate this risk in three key ways:	We have made most progress in (i) activity, with details below, and	IS	We have made most progress in (i) activity, with details below, and likewise some good	Moderate	Moderate	Unchanged

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[10] **Rating trend**: Increasing, Unchanged or Decreasing

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[9]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[10]
	i) Through partnering with high-level decision-makers across civil society, government, and the private sector who do have the political will and power to enact change. ii) In some instances, expanding an existing coalition or forming a new one to counterbalance the power of those with vested interests in the status quo. iii) Identifying small, low order levers of change that can be actioned now, where ambition levels can be ratcheted up over time to lead to durable systems change.	likewise some good traction with (ii) and (iii) as outlined in the quarterly reporting. More on these will continue to be implemented into FY24. The following are example high-level decision makers who have discussed findings from Systems Change Lab: • Nigel Topping, COP26 UN High-Level Climate Champion • Paul Bodnar, Director of Sustainable Finance, Industry and Diplomacy, the Bezos Earth Fund • Avinash Persaud, Special Envoy to the Prime Minister of Barbados on Investment and Financial Services and for Climate Finance • Catherine McKenna, Chair, UN Expert Group on Net-Zero Pledges of Business, Financial Institutions, Cities and Regions • Rachel Kyte, Dean of The Fletcher School at Tufts University		traction with (ii) and (iii) as outlined in the quarterly reporting. More on these will continue to be implemented into FY24.			

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[9]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[10]
		• François Bausch, Deputy Prime Minister, Minister for Mobility and Public Works and Minister of Defence, Luxembourg • Maruxa Cardama, Secretary General, SLOCAT Partnership on Sustainable, Low Carbon Transport • Binyam Reja, Global Practice Manager for the Transport Practice in the Infrastructure Vice Presidency of the World Bank • Jennifer Layke, Global Director, Energy, World Resources Institute • Laura Cozzi, Chief Energy Modeller, IEA • Mohamed Adow, Founder and Director, Power Shift Africa • Nandini Das, Energy Research and Policy Analyst, Climate Analytics • Nicole Iseppi, Director of Energy Innovation, the Bezos Earth Fund					
Risk 3: Project resource risk	The SCL has a three-pronged governance structure working with an Advisory Council, a diverse set of researchers,	SCL has successfully been able to raise additional resources to	IS	SCL has successfully been able to raise additional resources to ensure stability of capacity for the project.	Low	Low	Unchanged

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[9]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[10]
	and data providers to ensure the correct expertise for each sector is well represented. The SCL is also largely housed within WRI and can draw on its deep bench of experts across sectors.	ensure stability of capacity for the project.					
Risk 4: Lack of adequate or available data	The SCL will partner with leading data providers to provide the most up-to-date, complete data to track systems change across sectors and geographies. Annual reports will be produced that show the progress, or lack of progress, made toward transformations, as well as data gaps and challenges. These data gaps and challenges will be highlighted in order to inform research agendas.	Good progress has been made. WRI has signed a custom licensing agreement with IEA to utilize their data publicly that is usually not publicly available. WRI has also special permission from BloombergNEF to use some of their commercial data. This allowed us to use over 30 indicators that were previously not publicly available. We will continue to expand those agreements to additional indicators and additional organizations. We are starting to connect to Integrated Assessment modeling teams and other data providers to continue to identify open datasets and research that can fill remaining gaps in the platform.	IS	SCL has successfully mitigated this risk and will continue to pursue avenues to navigate data challenges.	Moderate	Moderate	Unchanged

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[9]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[10]
Risk 5: Outreach and efforts crowded out by other environmental initiatives	The SCL will be unique in that it will be the only centralized platform for measuring not only progress, but also actions taken across all required transformations. The SCL will be complementary to existing work and will have targeted communications and outreach, and lessons learned that will be shared at major events and during key moments.	SCL has established a regular cadence of engagement with well-connected champion leaders within WRI, BEF and other key partners to ensure that we are well engaged with other similar efforts and that our work remains complementary and not duplicative of other work. We have ensured that our offer remains unique, and this is reflected in the number of partnerships and relationships with leading institutions we have established.		SCL has successfully mitigated this risk via the deep engagement with partners and key champion leaders across a network of stakeholders that we have cultivated. This is demonstrated by the uptake and use of the data platform and knowledge products by decision-makers outlined in the quarterly reports and this PIR.	Low	Low	Unchanged
Risk 6: COVID-19	Due to COVID-19, WRI staff will implement the project's activities through remote work. The components of the SCL can all be implemented remotely, and it is not expected that the workplan and objectives will be considerably impacted.

For staff working on SCL in a WRI office, there are specific policies in place to ensure health and safety. This guidance is updated regularly as the pandemic evolves for each office based on local context. | We continued to work largely remote with an increase in hybrid format. This has enabled our engagement with key stakeholders to occur virtually, across time zones as society continues to work through the "new normal" at work and ongoing factors of COVID-19. | | We continued to work largely remote with an increase in hybrid format. This has enabled our engagement with key stakeholders to occur virtually, across time zones as society continues to work through the "new normal" at work and ongoing factors of COVID-19. | Low | Low | Unchanged |

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[9]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[10]
Risk 7: Social and environmental risks	The social and environmental impacts will be minimal, as the SCL does not have a specific intervention site and the project's activities will largely be carried out remotely. In addition to this, the SCL will aim to drive systems change that will result in a more sustainable, equitable, and prosperous future.	None specific to this risk	IS	The project activities do not have any impact on any specific social or environmental intervention site. We continue to work towards systems change that will result in a more sustainable, equitable, and prosperous future.	Low	Low	Unchanged
Risk 8: Political risks	Most of the data that the SCL will be collecting will be publicly available. The SCL will ensure that this data comes from reputable sources	Datasets are from reputable, trustworthy sources and have well-documented, openly accessible, and peer-reviewed methodologies that clearly note limitations. They are primarily collected from open-source data providers, including both authors of articles and organizations hosting datasets, that are either well recognized as core data providers or known experts in their fields (as suggested by authors and reviewers). Additionally, most of the data collected by SCL is publicly available. For organizations that only provide data behind a paywall, data partnerships are being established to make the		Datasets are from reputable, trustworthy sources and have well-documented, openly accessible, and peer-reviewed methodologies that clearly note limitations. They are primarily collected from open-source data providers, including both authors of articles and organizations hosting datasets, that are either well recognized as core data providers or known experts in their fields (as suggested by authors and reviewers). Additionally, most of the data collected by SCL is publicly available. For organizations that only provide data behind a paywall, data partnerships are being established to make the data viewable, but not downloadable. More information on data collection can be found in our technical note.	Low	Low	Unchanged

PROJECT RISKS	PRODOC RISK MITIGATION MEASURE	MITIGATION MEASURES IMPLEMENTATION	PROGRESS RATING[9]	COMMENTS/JUSTIFICATION	PRODOC RISK RATING	CURRENT FY23 RISK RATING	RISK RATING TREND[10]
		data viewable, but not downloadable. More information on data collection can be found in our technical note.					

OVERALL RATING OF PROJECT RISKS	JUSTIFICATION	RISK RATING TREND[11]
L	A low rating is given to project risks. The project has implemented relevant mitigation measures to keep the risks at a minimum.	NA

Recommendations

MITIGATION AND CORRECTIVE ACTION(S)	RESPONSIBLE PARTY	DEADLINE
Continue implementing mitigation measures as needed to manage the risks.	WRI	FY24

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[11] **Rating trend**: Increasing, Unchanged or Decreasing

SECTION IV: PROJECT ENVIRONMENTAL AND SOCIAL MANAGEMENT IMPLEMENTATION STATUS AND RATING

This section of the PIR describes the progress made towards complying with the approved ESMF plans, as well as recommendations to improve the implementation of the ESMF plans, when needed. This section is divided into seven parts:

    a. Progress towards complying with the CI-GEF Agency's ESMF

    b. Information on progress, challenges and outcomes on stakeholder engagement

    c. Information on the progress towards achieving gender sensitive measures/targets

    d. Information on the implementation of the accountability and grievance mechanism

    e. ESMF lessons learned and Knowledge Management Products developed and disseminated

    f. Overall project ESMF implementation rating

    g. Recommendations

a. Progress towards complying with the CI-GEF Agency's ESMF

MINIMUM ESMF INDICATORS	PROJECT TARGET	END OF YEAR STATUS	CUMULATIVE STATUS	PROGRESS RATING[12]	COMMENTS/JUSTIFICATION
ACCOUNTABILITY AND GRIEVANCE MECHANISM					
1. Number of conflict and complaint cases reported to the project's Accountability and Grievance Mechanism	0	0	0	IS	WRI's reporting hotline (wri.ethicspoint.com) encourages users to report issues including discrimination or harassment, conflicts of interest, theft/fraud/bribery, falsification of documents or records, misconduct or inappropriate behavior, and policy violations. All reports are processed on a totally confidential and anonymous basis if desired.
2. Percentage of conflict and complaint cases reported to the project's Accountability and Grievance Mechanism that have been resolved	100%	0	0	IS	There have been no conflict or complaint cases reported through the hotline, however SCL is committed to protecting the identity of reporters and encourages reports without threat of harm or retribution.

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[12] **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved

#	Indicator	Men	Women				Notes
3.	Number of times the Accountability and Grievance Mechanism is communicated/disseminated to stakeholders *(for projects approved after November 2020)*	Not included in original ProDoc		We continuously disseminate the Accountability and Grievance mechanism as part of our external communications	We have achieved our target.	IS	This is continuously disseminated via email communication in our Engagement Specialist's email signature to stakeholders and other active decision makers as well as included as a slide in presentations to new stakeholders.
GENDER MAINSTREAMING		*Men*	*Women*				
1.	Number of men and women who have been involved in project implementation (baseline numbers refer to consultation and project design)	40 new men (78 in total)	40 new women and gender minorities (75 in total)	165 women and 116 men total have been directly involved in project implementation through consultations, user workshops, and assistance with project design.	165 women and 116 men total have been directly involved in project implementation through consultations, user workshops, and assistance with project design.	IS	Between user workshops and direct consultations, we have managed to ensure that women are well represented in project consulting and implementation. We have achieved our target and greatly expanded our stakeholder community and those involved in project implementation both organically and by ensuring that we reach out to equal numbers of men and women for consultations where possible. We will continue to expand our reach over the next year.
2.	Participation of men and women who received benefits (training and targeted support)	66%	Minimum of 33%, aiming at 50%	44% of 1115 participants who received training or targeted support were women or gender minorities.	44% of 1115 participants who received training or targeted support were women or gender minorities.	IS	Our public trainings have been well attended and we tend to see participation of women of roughly 44% at these events. We see roughly similar participation when we host events and targeted support with other non-profit groups or international institutions. When we have held targeted support trainings with private sector these numbers tend to drop by as much as 10%, indicating that there may be gender disparities within the organizations we are targeting support for and that we should take this into consideration for future support.

| 3. Number of knowledge products published during the project period, highlighting how gender and social equity can be drivers of systems transformations | 3[13] | We have completed 2 knowledge products since June 2022: The State of Climate Action 2022 and the launch of the data platform. | We will hit the project target by the end of 2023 when we publish the next edition of the State of Climate Action report. | IS | We intend to publish our third knowledge product, the State of Climate Action 2023 before COP28 held in November 2023.

The SCL data platform has embedded key shifts and indicators demonstrating how gender and social equity can be drivers of systems transformations. For example, in the power system, a key shift is to "Ensure energy access and a just and equitable transition for all" -- this is alongside other vital shifts to phase out unabated coal and fossil gas electricity generation; rapidly scale up zero-carbon electricity generation; and modernize power grids, scale energy storage and manage power demand. Likewise, within this example shift for equitable transition, gender is represented for example in the indicator tracking "Share of women in the renewable energy workforce". |
| 4. Percentage of dialogues that include a focus on gender and social issues that impede or promote transformation and solutions for change | 100% | We have not yet had a gender or social focus in our presentations or dialogues; however, gender and social issues have begun to be embedded in SCL's framework and thus our content overall (e.g., in power system transformation, including indicators like "Women in the renewable | We have not yet had a gender or social focus in our presentations or dialogues | NS | We have begun to embed gender and broader equity and social inclusion issues into the SCL framework and data platform and are ramping up implementation of this gender/equity focused lens by the end of the project period as our partnership with the Equity Center is now moving forward. |

[13]The social inclusion and equity expert will review the scope of the knowledge products to identify where these issues can be highlighted.

			energy workforce"			
5. Number of decision-makers trained in the use of the platform	34	16[14]	Through targeted support, we have so far reached 343 decision-makers. Of the 287 decision-makers where we were able to identify gender, 139 of these decision-makers identified as women or gender minorities and 148 as men. 56 were unidentified due to organizational policies.	We have well exceeded the projected target. 40% of those trained thus far have identified themselves as women or gender minorities.	IS	We have largely focused targeted support on a small set of organizations and held trainings as events with or for the organization. We have focused on trying to reach networks of decision-makers rather than focusing time on training one organization at a time and have found this to be a successful strategy. There is still work to be done to ensure that more women are represented in trainings as a result of targeted support.
6. Participation of researchers in the SCL research teams	At most 50%		SCL research teams are approximately 50% at least (17 male, 19 female; https://systems changelab.org/about)		IS	We have largely achieved gender parity across our SCL research team.

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[14] The SCL target is to train 50 decision-makers. Available data has shown that currently women represent around 25% of decision makers, so the SCL is adopting the target of increasing women and gender minorities participation in the decision makers group to a minimum of 33%, which represents 16 people in a group of 50.

| 7. | Participation in knowledge-sharing events for decision-makers held during project period | 66% | Minimum of 33%, aiming at 50% | Of the 7 webinars/trainings we held during this period, as well as the attendees of our COP28 event, we informed 10,548 people. We are not able to tell from available data how many of these people were 'decisionmakers'. This is an undercount, as we have held several events (informing an audience of 1000-2000 people) that we don't have data for, so we are being conservative so as to not misrepresent the true total. | Of available gender data during this timeframe, 47% identified as female or another gender minority. | IS | While we have not yet achieved the target of 66%, we are close to achieving and then surpassing 50% if current trends continue. There are data limitations out of our control, and we did not have as much data available in Q1 and Q2, where on average more men reported attending than women. As we improved our ability to collect data, our representation of women and other minorities has slowly risen. In Q3, 44% identified as women or another group; on average in Q4, 48% identified as women or another group. We infer this to mean that earlier data was likely not representative of the total group; as we have improved our language and inclusivity in tracking these metrics, more people have voluntarily self-reported, making our dataset more accurate. |
| 8. | Participation in the targeted, facilitated dialogues held during the project period | 66% | Minimum of 33%, aiming at 50% | We have so far held or participated in 8 targeted, facilitated dialogues during the | We are on track to achieve our minimum target, but still have work to do to achieve 50% | IS | Our targeted, facilitated dialogues have thus far often been with the private sector, and we have noted greater gender disparities during these sessions than at other events. This indicates to us that there may be gender disparities within the organizations we are targeting dialogues |

			project period with 217 attendees from 130 unique organizations. 66 of these decision-makers identified as women or gender minorities and 95 as men. 56 were unidentified due to organizational policies.	participation in events.		with and that we should take this into consideration for future dialogues.
9. Participation in the scoping meetings and/or dialogues held during the project period	66%	Minimum of 33%, aiming at 50%	54% of scoping meeting participants have identified themselves as women or gender minorities.	We are on track to achieve 50% participation from women and gender minorities.	IS	We have ensured that we are engaging a wide variety of stakeholders as part of scoping and dialogues, with a special emphasis on ensuring women are represented in these meetings.
10. Number of decision-makers receiving targeted insights during the project period	34	16[15]	As of June 22, 2023: 35 decision-makers have received targeted insights; of these, 20 are women.	We have achieved our target, but will continue to monitor.	IS	
11. Decision-makers visiting the data platform during the project period	7,500		From Oct. 1, 2022-June 21, 2023: 55K users visited the Systems Change	We have achieved our target, but we will continue to monitor.	IS	

[15] The SCL target is to provide targeted insights to 50 decision-makers. Available data has shown that currently women represent around 25% of decision makers, so the SCL is adopting the target of increasing women and gender minorities participation in the decision makers group to a minimum of 33%, which represents 16 people in a group of 50.

			Lab data platform (52.2% women)			
12. Decision-makers informed by the SCL's assessment reports annually	5,000		From Oct. 26, 2022-June 21, there were 17,545 downloads of the State of Climate Action report	We have achieved our target for this year.	IS	
13. High-level decision-makers (e.g., at the CEO or ministerial level) that include findings from the SCL's assessment reports in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) each year	At most 3	At least 2, with the aim of reaching 3	Secured engagement from high-level decision-makers over target: M (7) F (6)	We have achieved our target for this year.	IS	The following are example high-level decision makers who have discussed findings from Systems Change Lab: • Nigel Topping, COP26 UN High-Level Climate Champion • Paul Bodnar, Director of Sustainable Finance, Industry and Diplomacy, the Bezos Earth Fund • Avinash Persaud, Special Envoy to the Prime Minister of Barbados on Investment and Financial Services and for Climate Finance • Catherine McKenna, Chair, UN Expert Group on Net-Zero Pledges of Business, Financial Institutions, Cities and Regions • Rachel Kyte, Dean of The Fletcher School at Tufts University • François Bausch, Deputy Prime Minister, Minister for Mobility and Public Works and Minister of Defence, Luxembourg • Maruxa Cardama, Secretary General, SLOCAT Partnership on Sustainable, Low Carbon Transport • Binyam Reja, Global Practice Manager for the Transport Practice in the Infrastructure Vice Presidency of the World Bank • Jennifer Layke, Global Director, Energy, World Resources Institute • Laura Cozzi, Chief Energy Modeller, IEA • Mohamed Adow, Founder and Director, Power Shift Africa • Nandini Das, Energy Research and Policy Analyst, Climate Analytics

						• Nicole Iseppi, Director of Energy Innovation, the Bezos Earth Fund We will continue to look for gender parity as we look to secure high-level engagement opportunities with key decision-makers (i.e. those at the Senior Director, CEO, ministerial, or similar position within highly influential organizations).
14. Decision-makers informed by each of the SCL's knowledge products during the project period	1,000		74,648 total decision-makers	We have achieved our target for this year.	IS	Our knowledge products informed approximately 74,648 total decision-makers during the project period.
15. High-level decision-makers (e.g., at the CEO or ministerial level) that include findings from the SCL's knowledge products in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) each year	At most 3	At least 2, with the aim of reaching 3	Secured engagement from high-level decision-makers over target: M (7) F (6)	We have achieved our target for this year.	IS	
16. Decision-makers downloading data from the SCL's platform during the project period	750		From Oct. 1, 2022-June 21, 2023: there were 1,225 file downloads from the platform.	We have achieved our target, but will continue to monitor	IS	

17. Decision-makers surveyed who have responded saying that the data, analysis, and/or targeted insights from the SCL has 'frequently' or 'very frequently' helped them promote or sustain systems change during the project period	66%	Minimum of 33%, aiming at 50%	We have not yet sent out a survey to assess this.	We plan to send at least two surveys to decision-makers to assess this question over the next year and will ask for gender information as part of the survey.	NS	This activity has been delayed as we work to ensure that our engagement with decision-makers is effective, but also because we want to ensure decision-makers have had time to engage with the platform and begin using it in their work. We are designing a survey that will be sent out this summer, with additional follow-up surveys sent throughout the next year.
STAKEHOLDER ENGAGEMENT	*Men*	*Women*				
1. Number of people (sex disaggregated) that have been involved in the project implementation phase (on an annual basis)	40 new men (78 in total)	40 new women (75 in total)	165 women and 116 men total have been directly involved in project implementation through consultations, user workshops, and assistance with project design.	We have achieved our target and greatly expanded our stakeholder community and those involved in project implementation. We will continue to expand our reach over the next year.	IS	Between user workshops and direct consultations, we have managed to ensure that women are well represented in project consulting and implementation. We've done this both organically and by ensuring that we reach out to equal numbers of men and women for consultations where possible.
2. Number of stakeholder groups (government agencies, civil society organizations, private sector, indigenous peoples and others) that have been involved in the project implementation phase (on an annual basis)	50 new stakeholder groups (92 in total)		We have held 83 direct meetings with individuals from 65 new organizations. We held 20 user workshops with 61 users from 38 organizations (145 in total).	We have achieved our target and expanded our stakeholder community and those involved in project implementation. We will continue to engage with new	IS	

			stakeholder organizations over the next year.		
3. Number of engagements (meetings, workshops, consultations, etc.) with stakeholders during the project implementation phase (on an annual basis).	50 new engagements (97 in total)	We have held 83 direct meetings with individuals from 65 new organizations. We participated in 16 group calls, consultations and workshops with 495 participants from 166 organizations. We held 20 user workshops with 61 users from 38 organizations.	We have achieved our target but will continue to monitor.	IS	
4. Number of partnerships established with leading data providers during the project period.	3 partnerships established with leading data providers during the project period.	We have established data partnerships with IEA and BNEF and are in discussions with MSCI regarding an additional data partnership with them.	We are on track to meet our target by the end of the project period.	IS	We have established 2 data partnerships thus far and are endeavoring to establish a 3rd before the end of the project period.
5. Number of partnerships established with leading technical experts during the project period.	At least 2 partnerships with leading technical experts established during the project period.	We have established technical expert partnerships with Mission Possible	We have achieved our target but will continue to work to expand our	IS	

			Partnership, Climate Action Tracker, and UNEP-WCMC	partnerships with technical experts.		
6.	Number of targeted, facilitated dialogues held during project period.	At least 3 targeted, facilitated dialogues held during the project period.	We have so far held or participated in 8 targeted, facilitated dialogues during the project period with 217 attendees from 130 unique organizations.	We have achieved our target but will continue to monitor.	IS	
7.	Number of stakeholder maps developed during the project period.	At least 2 stakeholder maps developed during the project period.	We have developed 1 stakeholder map so far mapping key coalitions across different systems. We are developing a second stakeholder map for top actors from among our audiences and within each sector.	We are on track to meet our target by the end of the project period.	IS	We have developed 1 stakeholder map so far mapping key coalitions across different systems. We are developing a second stakeholder map for top actors from among our audiences and within each sector.
8.	Number of scoping meetings and/or dialogues held during the project period.	At least 10 scoping meetings and/or dialogues held during the project period.	We held a total of 13 with 9 existing coalitions and held additional scoping meetings with prospective coalition	We have hit the target and will continue to engage around our expanded systems and work with more relevant coalitions.	CA	Broadly, we have focused on understanding and meeting the needs of existing coalitions, rather than scoping and holding dialogues to understand how we can create new coalitions. This coalition scoping has been delayed as we have focused on research for new systems, trainings, and learning and sharing events. This is still a priority for us and we are looking for the best way to be an authentic actor in this space.

| | | members during the UN Water Conference. | | | One thing to come out of scoping is our work with Mission Possible Partnership which has led to an MOU for us to work alongside them to assist in their work, as well as a facilitated dialogue and training session with the Direct Air Capture Coalition (DAC). Additionally, we worked with Systemiq and University of Exeter on scoping tipping point-led policy design. We will continue to scope out coalition-focused work based on coalitions expressed needs. |

b. Information on progress, challenges and outcomes on stakeholder engagement

We have held 83 direct meetings with individuals from 65 new organizations, provided targeted support over 16 group calls, consultations and workshops with 495 participants from 166 organizations, trained another 857 individuals. We held 20 user workshops with 61 users from 38 organizations. Through trainings and targeted support, we have reached at least 1115 individuals, a majority of whom have been at the decision-maker level.

As the development of Systems Change Lab has continued, so have stakeholder consultations across the project's primary target audience. We have had relative success speaking with impact investing firms, private philanthropies, multilateral institutions, development banks, policymakers, leaders in the private sector, and coalitions. We still have work to do to expand our relationships with bilateral aid agencies, civil society movements, non-governmental agencies, and especially indigenous communities. Our work in the next year of the project will focus in particular on how we engage the Global South through leveraging existing partner networks and relationships that WRI's International Offices hold. We are also building stakeholder maps for the top organizations and stakeholders from across sectors and audiences that we want to influence.

We have held fewer public trainings than anticipated but are largely on track and have plans to catch up in the coming year, and expect participation in these trainings to exceed our current numbers from public trainings. We are actively reassessing current strategies to ensure we are prioritizing the highest impact engagement activities, which includes additional emphasis on focus groups, events, facilitated dialogues, and engaging with networks of actors, and saving one-on-one conversations for when we believe they will be most valuable for learning or to impact decision-making.

c. Information on the progress towards achieving gender sensitive measures/targets

During this first fiscal year, the SCL has implemented some of the activities anticipated by the GMP. We had considerable challenges finding the right capacity and partnerships internally within WRI and externally to assist us with developing metrics and guidance for our researchers to help guide them during the process. Our researchers are incredibly knowledgeable and have begun to embed gender sensitive and equity considerations into our SCL framework of shifts and indicators; but currently lack the comprehensive expertise to more deeply apply an equity lens more robustly throughout the data platform's indicators. As of FY23 Q3, we have made considerable strides in working with WRI's Equity, Poverty, and Governance Center and Bezos Earth Fund's lead for equity and resilience. We have held several working sessions to give them the opportunity to hear from our researchers about pain points with data challenges and methodologies as well as met with the Equity Center to hear initial thoughts and possible challenges/limitations. We are continuing these discussions, and in the coming months, the Equity Center, BEF and SCL will participate in an iterative research process based on continuous feedback from both sides.

We have had considerable success in meeting our gender targets within our knowledge product and engagement pieces. When we have been able to track gender, we have found that women typically make up 50% or more of the participants. We hope to continue to engage with women and gender minorities, and hope that if there are any challenges in this area, that our engagement process empowers these groups to provide thoughtful responses.

d. Information on the implementation of the accountability and grievance mechanism

Stakeholders and staff are able to submit Grievance cases through our contact form which is readily available on the SCL main page as well as through the online EthicsPoint reporting website. There have been no significant challenges in implementing the AGM, however we are committed to ensuring that reporting methods are anonymous if chosen, and that stakeholders are free to communicate their concerns without fear of reprisal or retribution. We provide the link to our AGM during each one of our Engagement Specialist's outreach efforts (typically included in email communications) as well as in a slide during stakeholder presentations.

As the SCL platform continues to add more systems, the pool of potential stakeholders will increase as well, necessitating timely and careful responses to identified concerns. EthicsPoint is a 3rd party service provider where submitted reports are only available to individuals charged with evaluating the report. Furthermore, EthicsPoint does not maintain any tracking of IP addresses ensuring that an additional level of anonymity is secured. Finally, we have made EthicsPoint available through a dedicated hotline (844-870-4892) so that reports can be made from any location regardless of access to dedicated internet.

e. ESMF lessons learned and Knowledge Management Products (KMPs)[16] developed and disseminated

The online and public nature of Systems Change Lab means that we are continually seeking to amplify diversity in gender and stakeholder groups at every level of development and engagement. This starts from working sessions on inputs on the platform to the highest level where we reach out to high-level decision-makers who identify as women or gender minorities. We will continue to do so, and further implement more robust data collection methods to develop insights in the different types of decision-makers we engage. Our stakeholder engagement strategy is currently iterative as we better understand the specific needs of decision-makers and how the platform can best be adapted to

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[16] Knowledge Management Products are those that are both intended to transmit knowledge but at the same time enable action by their audiences. For example, a lessons learned report, compilation of good practices and recommendations, etc.

enable users to implement data-driven decisions, initiatives, and policies in the public and private sector. We closely review areas where user suggestions and comments point to areas that require greater refinement and changes. Our ESS and grievance mechanism is always available to members of the public and any potential affected groups, where affected parties can provide input without fear of retribution.

During the project period, the State of Climate Action was published October 26. The report was distributed as a PDF and posted on WRI, SCL, CAT, GCA, and other partner websites. In addition, the Systems Change Lab data platform was launched November 3. It is an online data platform. These were our two key products during this timeframe, and we employed a variety of dissemination tactics to promote and get the word out about these products to reach different types of groups. For example, we disseminated the State of Climate Action report to a targeted list of journalists; we sent wide outreach to WRI's email list; we promoted on social media platforms; we actively sought diverse representation on a panel event discussion that explained the report findings; and we provided tools for partners to easily disseminate in multiple formats to their audiences, which differ from those we have access to at WRI. By not using one dissemination strategy, we have different ways to disseminate products to different audiences, geographic regions, gender and racial groups, income levels, etc. That made our dissemination strategy more accessible because people from vulnerable groups might be excluded from some of the standard dissemination audiences, so by employing multiple different channels and audiences, we are expanding ways that we can improve our engagement with vulnerable groups. We have similar examples for the data platform and are going to employ the same strategy moving forward: We will use the data collected during this project year as a benchmark to hold us accountable to continue to learn from and improve our accessibility strategies. By monitoring how well different tactics reach different audiences, we can learn what works and what doesn't, refine our strategy accordingly, and then in turn, more effectively target vulnerable groups in a way that will better meet their unique needs.

f. Overall project ESMF implementation rating

SUMMARY: PROJECT ESMF IMPLEMENTATION RATING BY TYPE OF PLAN

ESMF PLAN REQUIRED BY THE PROJECT	CURRENT FY23 IMPLEMENTATION RATING	RATING TREND
Accountability and Grievance Mechanism	S	Not applicable
Gender Mainstreaming Plan (GMP)	S	Not applicable
Stakeholder Engagement Plan (SEP)	HS	Not applicable

OVERALL PROJECT ESMF IMPLEMENTATION RATING

RATING	JUSTIFICATION	RATING TREND
S	On the AGM, the project has adequately disseminated the existence of their mechanism among stakeholders in a systematic way, during this period. On the GMP, the project has overachieved in most of its gender-disaggregated minimum targets and is moving close to achieving parity. On the strategies/plans that include gender considerations, the project already developed two and is on good direction of travel to hit the target. The project needs to keep an eye on the indicator "Percentage of dialogues that include a focus on gender and social issues that impede or promote transformation and solutions for change" to make sure they advance towards achieving the target. Finally, on the SEP the project has overachieved in most of its targets and is demonstrating to engage with diverse stakeholders.	Not applicable.

g. Recommendations

CORRECTIVE ACTION(S)	RESPONSIBLE PARTY	DEADLINE
To continue strengthening the AGM, the project needs to include a clear reference to the possibility to submit grievances through the Contact Us form (https://systemschangelab.org/contact), or add a reference in that portal to the WRI Ethics point portal (in case that is easier).	PMU	December 2023
The project team needs work towards including a focus or considerations on gender and social issues in the dialogues they plan for FY24 to achieve the target on the indicator "Percentage of dialogues that include a focus on gender and social issues that impede or promote transformation and solutions for change".	PMU	June 2024
The project should continue to monitor the extent to which language could be a barrier in its efforts to engage more stakeholders from the global south and consider translation support if this is eventually identified as a barrier.	PMU	June 2024

SECTION V: PROJECT IMPLEMENTATION EXPERIENCES, KNOWLEDGE MANAGEMENT AND LESSONS LEARNED

Required topics
1. Knowledge activities/products (when applicable), as outlined in the knowledge management plan approved at CEO endorsement/approval.

Additional topics (please choose two)
2. Engagement of the private sector

3. Scientific and technological issues

4. Interpretation and application of GEF guidelines

5. Financial management and co-financing

6. Project institutional arrangements, including project governance

7. Capacity building

8. Implementation of safeguard policies, including gender mainstreaming, accountability and grievance mechanisms, stakeholder consultations

9. Factors that improve likelihood of long term sustainability of project impacts

10. Factors that encourage replication, including outreach, dissemination of lessons learned, and communications strategies

Knowledge activities/products

In Year 1, we have been successful at finalizing the different inputs laid out in our initial knowledge management plan. Each knowledge and research product that we develop is planned to maximize outreach and reinforce the success of preceding releases. This year, we published two flagship knowledge products: the State of Climate Action 2022 report and Systems Change Lab data platform. We employed a variety of dissemination tactics to promote both of these products, building off lessons learned from previous State of Climate Action reports. While both launches exceeded our expectations for outreach, we intend to use both as benchmarks to continually evaluate what is or isn't working and improve our strategy going forward. For example, the successful launch of the beta platform in November 2022 showed the Systems Change Lab team that we need to develop iteratively in response to user and stakeholder feedback. Another example: we established a system of tracking metrics to continually evaluate our dissemination strategy and make sure we continue to improve our reach and engagement with vulnerable groups. We are keenly aware of the challenges involved in ensuring that Systems Change Lab becomes the preeminent source of information for decision-makers. As we release systems, we will continue to maintain a high level of quality by evaluating the foundational research behind our products through rigorous peer-review.

Engagement of the private sector:

As development of Systems Change Lab has continued, so have stakeholder consultations across the project's primary target audiences. We have had relative success speaking with private sector actors including impact investing firms, private philanthropies, financial institutions, and with corporate leaders in the private sector through one-on-one conversations to inform Systems Change Lab's high-level strategy, focus groups held with private sector users to conduct user needs assessments, events and facilitated dialogues coordinated to encourage knowledge-sharing among decision-makers.

We have learned that our public events have been especially effective at engaging private sector leaders who are already committed to reducing their emissions and are now looking to engage stakeholders within their supply chains to reduce emissions as well. Many are interested in better understanding how to fit into the broader push for systemic change and better understanding what levers of change they can pull, and from impact investing firms especially have seen a desire to understand where their leadership is needed. Thus far we have held 4 online facilitated dialogues directly with private sector leaders [Banking, Semiconductors, Business (Target and Starbucks specifically), and Industry (primarily chemicals, transportation, and energy suppliers)], as well as been invited to speak as part of another facilitated dialogue with industry leaders.

Our work in the next year of the project will focus in particular on how we engage private sector actors in the Global South through leveraging existing partner networks and relationships that WRI's International Offices hold. We are also building stakeholder maps for the top organizations and stakeholders from across sectors and audiences that we want to influence.

We are actively reassessing current strategies to ensure we are prioritizing the highest impact engagement activities, which includes additional emphasis on focus groups, events, facilitated dialogues, and engaging with networks of actors, and saving one-on-one conversations for when we believe they will be most valuable for learning or to impact decision-making.

Scientific and technological issues

In the process of implementing Systems Change Lab, we gained valuable experience in presenting scientific information in a user-friendly manner. We designed and enhanced our data platform and visualizations to improve accessibility of our research. Furthermore, we have invested in technological systems and processes to ensure data collection, site management and content updates are seamless and smooth.

On the data visualization side, our key focus of work is to make sure the robust and rigorous research for the systems can be effectively presented and understood by our target audiences. Upon launch of the platform, we included chart features that allowed showcase of historical trends, trajectories to targets, disaggregated sectoral data, changes over previous years, and country-level data through a world map. As we continue to develop and enhance the platform, we plan to iterate on our existing charts by adding new chart functionalities to cater for complex scientific concepts and additional user needs. Those new features include enabling direct browsing and comparison of country-level data, and alternative sectoral charts to accommodate data that cannot be simply summed up to a total and must be displayed as a disaggregate.

On the technology side, efforts have been made to establish a structured system and workflow of data collection, site update, content management and maintenance. The system includes the storage of data files both offline and on the cloud, management of content metadata such as methodology notes, data sources, chart settings, and processes for site content and data updates. With over 200 indicators currently on the platform and another 200 more to be added in the coming months, the system is scalable for handling the increased amount of data. This system also ensures reproducibility of data processing, enabling us to actively maintain and update the content. This is crucial for ensuring the timeliness and relevance of the data platform.

The experiences and lessons learned in the implementation of the project highlighted the importance of utilizing technology to improve accessibility of scientific research. Those efforts enabled us to deliver Systems Change Lab as a valuable online resource and allowed for scalability in the future.

SECTION VI: PROJECT GEOCODING

This section of the PIR documents the precise and specific geographic location(s) of activities supported by GEF investments based on information provided in the Project Document. The following information should be contained in this section:

    a.   Geo Location Information of Project Location(s) for the current fiscal year

    b.   Project Map and Coordinates from Project Document

Geo Location Information of Project Location(s) for the current fiscal year (add additional columns as needed)

Geo Location Information	Location No. 1	Location No. 2
CLASSIFICATION *Indicate whether the site is new or already existing in the previous PIR or indicate whether the site is included at CEO Endorsement/Approval or not. Please add more columns for projects with more than 3 locations.*	Tipping Points Conference, September	COP27 - Egypt
Note: Provide justification if the location is a new site in this line		
GEO NAME ID *Provide the location's Geo Name ID in a numerical format. IDs are available in the GeoNames' geographical database covering all countries and containing millions of placenames with free access at: http://www.geonames.org.*	6324524	349340
LOCATION NAME *Name of the geographic locations in which the activity is taking place. In instance when a GeoNames ID is provided above, the name of the said ID should be reflected. Otherwise, the location name provided will be considered as an exact location.*	University of Exeter, Exeter UK	Sharm el-Sheikh
LATITUDE *Provide locations in Decimal Degrees WGS84 format, a notation expressing geographic coordinates as decimal fractions of a degree. Include at least four decimal points.*	N 50.7371	N 27.9654
LONGITUDE *Provide locations in Decimal Degrees WGS84 format, a notation expressing geographic coordinates as decimal fractions of a degree. Include at least four decimal points.*	W 3.5351	E 34.3618

LOCATION DESCRIPTION _(Optional field)_ Text description that qualifies in a sentence or so the location in which an activity is taking place, such as for example "mini-grid energy system" or "park ranger site".		
ACTIVITY DESCRIPTION _(Optional field)_ Text description that qualifies in a sentence or so the activity taking place at the location, for example, "Installing a mini-grid energy system".	Participated in the Tipping Points Conference at the University of Exeter	Presented the newly launched platform at an event during COP27 in Egypt.

Please provide a justification regarding changes in location during implementation. Justifications should also be provided in the event the geographic location of key project activities cannot be provided at CEO Endorsement/Approval stage.

**_(Geo Name ID: Location Name)_**

Justification: n/a

Project Map and Coordinates

Please provide geo-referenced information and image map where the project interventions took place. If available, please provide attachments as appropriate such as in the case of locations presented along geometric shapes in popular formats like shapefiles, KML and GeoJSON.

(Geo Name ID: Location Name)

Map:
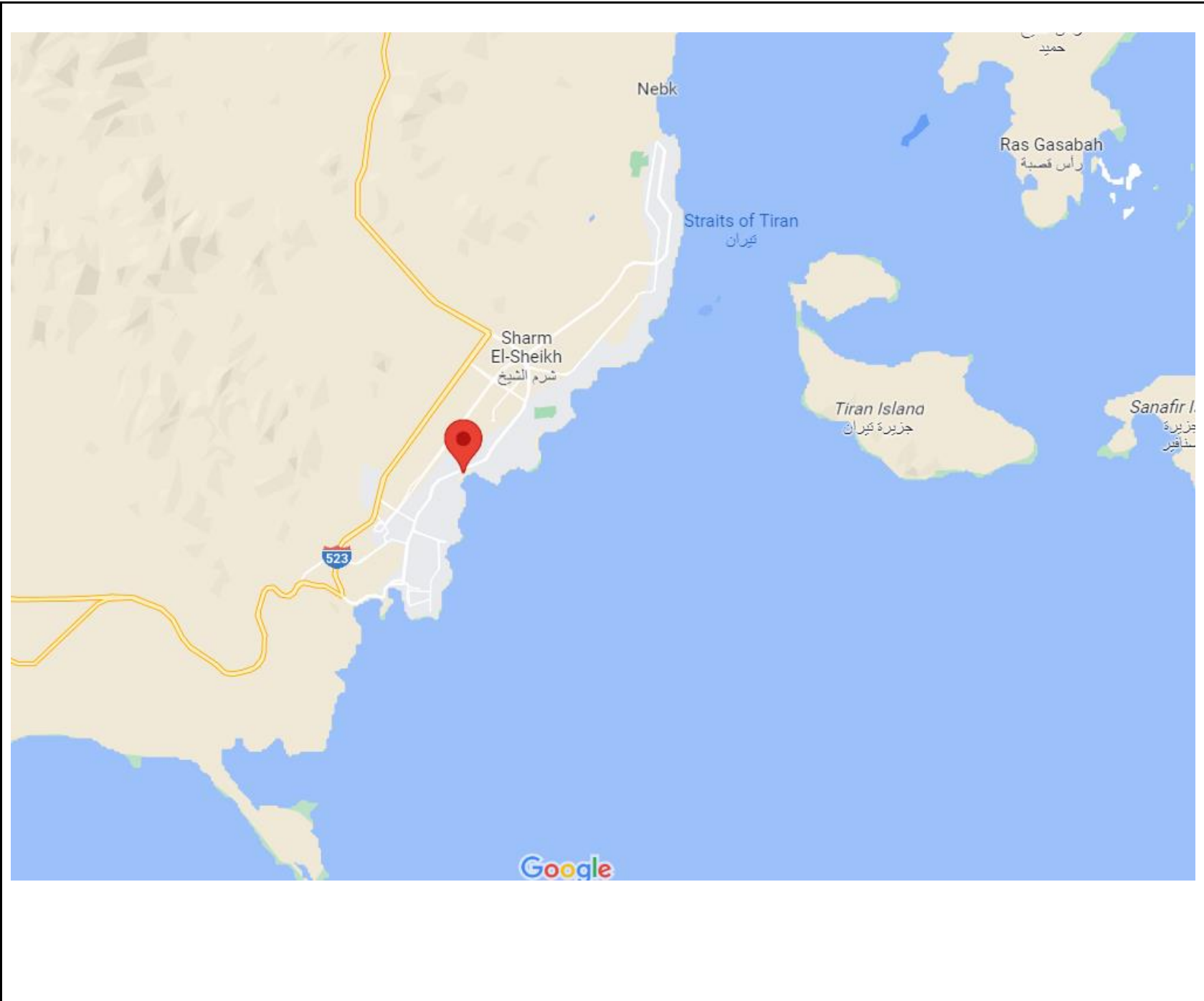
APPENDIX I: PROJECT ANNUAL IMPLEMENTATION PROGRESS RATING

Rating		Overdue (O)	Delayed (D)	Not started on schedule (NS)	Under implementation on schedule (IS)	Completed/Achieved (CA)
Highly Satisfactory (HS)	HS	0%			100%	
Satisfactory (S)	S	20%			80%	
Moderately Satisfactory (MS)	MS	40%			60%	
Moderately Unsatisfactory (MU)	MU	60%			40%	
Unsatisfactory (U)	U	80%			20%	
Highly Unsatisfactory (HU)	HU	100%			0%	

- **Highly Satisfactory**: 100% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project. The project can be presented as an example of "good practice" project,

- **Satisfactory**: 80% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project; except for only 20% that are delayed and/or overdue and need remedial action,

- **Moderately Satisfactory**: 60% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project; while 40% are delayed and/or overdue and need remedial action,

- **Moderately Unsatisfactory**: 40% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project; while 60% are delayed and/or overdue and need remedial action,

- **Unsatisfactory**: only 20% of the indicators: a) have been completed/achieved, b) are under implementation on schedule, and/or c) have not started but are on schedule, according to the original/formally revised Project Annual Workplan for the project; while 80% are delayed and/or overdue and need remedial action, and

- **Highly Unsatisfactory**: 100% of the indicators: a) are overdue, and/or b) delayed in their implementation, according to the original/formally revised Project Annual Workplan for the project.

APPENDIX II: RISK RATINGS

Rating	
Low (L)	L
Moderate (M)	M
Substantial (S)	S
High (H)	H

- **Low Risk (L):** There is a probability of up to 25% that assumptions may fail to hold or materialize, and/or the project may face only modest risks.
- **Moderate Risk (M):** There is a probability of between 26% and 50% that assumptions may fail to hold or materialize, and/or the project may face only modest risks.
- **Substantial Risk (S):** There is a probability of between 51% and 75% that assumptions may fail to hold and/or the project may face substantial risks.
- **High Risk:** There is a probability of greater than 75% that assumptions may fail to hold or materialize, and/or the project may face high risks.

APPENDIX III: PROGRESS TOWARDS ACHIEVING PROJECT EXPECTED OUTPUTS

INDICATORS	PROJECT TARGET	END OF YEAR INDICATOR STATUS	PROGRESS RATING[17]	COMMENTS/JUSTIFICATION
Outcome 1.1				
Output Indicator 1.1.1: Number of dynamic, user-centered, and open-source data platforms to monitor systems change globally that are designed, launched, and operational.	**Target 1.1.1** One dynamic, user-centered, and open-source data platform to monitor systems change globally is designed, launched, and operational.	IS	IS	We have created one dynamic, user-centered, and open-source data platform to monitor systems change globally that is designed, launched, operations, and updated regularly. We currently have 5 systems launched on the platform: Power, Industry, Finance, Transportation, and Technological Carbon Removal. We plan on launching more systems in the coming year.
Outcome indicator 1.1.2: Number of decision-makers visiting the data platform during the project period (disaggregated by gender).	**Target 1.1.2** 15,000 decision-makers visiting the data platform during the project period, with 5,000 in the first year and 10,000 in the second year (at least 50% women).	IS	IS	We have had approximately 22,473 decision makers visit the data platform during the first year (July 2022 – June 2023), with approximately 52.3% identified as women and/or gender minorities. Please note that our gender number is approximate given that we used Google Analytics to gather data and are therefore limited in our ability to accurately measure gender.
Outcome 1.2				

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[17] **O**= Overdue; **D**= Delayed; **NS**= Not started on schedule; **IS**= Under implementation on schedule; and **CA**= Completed/Achieved

Outcome indicator 1.2.1: Number of decision-makers informed by the Systems Change Lab's assessment reports annually (disaggregated by gender).	**Target 1.2.1** At least 10,000 decision-makers informed by the Systems Change Lab's assessment reports annually (at least 50% women).	IS	IS	17,618 decision-makers were informed by the Systems Change Lab's annual assessment report: the *State of Climate Action* 2022. Of those decision-makers, approximately 52% were women. We intend on launching this year's report by COP28. Please note that our data on gender is approximate given the limitations in data gathering.
Outcome indicator 1.2.2.: Number of high-level decision-makers (e.g., at the CEO or ministerial level) who include findings from the Systems Change Lab's assessment reports in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) each year (disaggregated by gender and system).	**Target 1.2.2** At least five high-level decision-makers (e.g., at the CEO or ministerial level) include findings from the Systems Change Lab's assessment reports in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) each year (at least two are women or identify as gender minorities, with the aim of reaching three).	IS	IS	17 high-level decision-makers included Systems Change Lab's assessment reports in their engagements, speeches, or outreach efforts this year, with at least 52% identifying as women or gender minorities. We will continue to look for gender parity as we look to secure high-level engagement opportunities with key decision-makers.
Outcome 2.1				
Indicator 2.1.1 Number of decision-makers informed by each of the Systems Change Lab's knowledge products during the project period (disaggregated by gender).	**Target 2.1.1** At least 2,000 decision-makers informed by each of the Systems Change Lab's knowledge products during the project period (at least 50% women).	IS	**IS**	At least 22,473 decision-makers informed by each of the Systems Change Lab's knowledge products during the first year, with 58% identifying as women and/or gender minorities. Please note that our data on gender is approximate given the limitations in data gathering.

Indicator 2.1.2 Number of high-level decision-makers (e.g., at the CEO or ministerial level) who include findings from the Systems Change Lab's knowledge products in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) each year (disaggregated by gender and system).	Target 2.1.2 At least five high-level decision-makers (e.g., at the CEO or ministerial level) include findings from the Systems Change Lab's knowledge products in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) during the project period (at least two are women or identify as gender minorities, with the aim of reaching three).	IS	IS	17 high-level decision-makers included findings from the Systems Change Lab's knowledge products in their engagements, speeches, or outreach efforts (e.g., op-eds, social media, stakeholder updates, speeches, etc.) during the first year, including 52% identifying as women and/or gender minorities. Please note that our data on gender is approximate given the limitations in data gathering.
Outcome 3.1				
Indicator 3.1.1 Number of decision-makers who download data from the Systems Change Lab's platform during the project period (disaggregated by gender).	Target 3.1.1 1,500 decision-makers download data from the Systems Change Lab's platform during the project period, with 500 downloading data in the first year and 1,000 downloading data in the second year (at least 50% women).	IS	IS	1,277 decision-makers download data from the Systems Change Lab's platform during the project period, with at least 68% identifying as woman or other gender minority. Please note that our data on gender is approximate given the limitations in data gathering.

Indicator 3.1.2	Target 3.1.2	IS	IS	This survey activity has been
Number of decision-makers surveyed who have responded saying that the data, analysis, and/or targeted insights from the Systems Change Lab has 'frequently' or 'very frequently' helped them promote or sustain systems change during the project period (disaggregated by gender and system).	At least 100 decision-makers surveyed who have responded saying that the data, analysis, and/or targeted insights from the Systems Change Lab has 'frequently' or 'very frequently' helped them promote or sustain systems change during the project period (at least 33% are women or identify as gender minorities, with the aim of reaching 50%).			delayed as we work to ensure that our engagement with decision-makers is effective, but also because we want to ensure decision-makers have had time to engage with the platform and begin using it in their work. We have so far trained 343 decision-makers and received informal feedback stating that Systems Change Lab is valuable in their work. We are designing a survey that will be sent out this summer, with additional follow-up surveys sent throughout the next year, to gather specifics from previously trained stakeholders.